A hybrid polymer gel with controlled rates of cross-link rupture and self-repair

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A family of hybrid polymer gels is described, in which covalent cross-links create a permanent, stiff scaffold onto which reversible metal–ligand coordinative cross-links are added. The reversible metal–ligand interactions are shown to bear mechanical stress within the hybrid gel, and relaxations in response to that applied stress are consistent with the stress-free kinetics of ligand exchange in systems that model the reversible cross-links. The stress-induced dissociation of a model metal–ligand complex is examined by a single-molecule force spectroscopy, and its mechanical response is compared with a previously studied complex. The mechanical response of the individual interactions is relevant to those found in the family of hybrid gels, and the modular platform is therefore suitable for the study of stress-induced molecular dissociations, and their subsequent repair, within a macroscopic material of fixed structure.

Keywords: polymer gels; self-repair; force spectroscopy

1. INTRODUCTION

The majority of strategies towards the repair of materials and the recovery of mechanical properties fall broadly into one of two general categories. First, self-healing can be effected by the creation of new chemical bonds within the material, an example of which involves the release and in situ polymerization of monomers in a crack, as demonstrated in elegant work by White et al. (2001). Second, damage can be repaired by the re-creation of what are essentially the same chemical bonds that are ruptured in the damage event. Chen et al.’s (2002) recent demonstration of thermally re-mendable polymers based on cycloaddition (Diels–Alder) reactions falls into this category.

In the latter strategy, the loss of stress-bearing entanglements must occur through bond rupture that is reversible, and the bonds must break in a way that does not lead to subsequent, mechanically unproductive reactions prior to being reformed. Free radicals, such as those produced in homolytic bond scission reactions, are clearly undesirable in this regard. The Diels–Alder-based polymers represent a clever solution to this challenge, because they presumably circumvent free-radical formation through concerted bond rupture mechanisms, i.e. retro-Diels–Alder. In addition to avoiding unwanted side reactions, the re-creation chemistry must not only be thermodynamically favourable, but must also be kinetically accessible on the time-scale of crack propagation. The necessary kinetics might be achieved with an external stimulus, for example heat (as in the Diels–Alder polymers) or light, but autonomic systems (those that function without an applied external stimulus) are clearly desirable.

The use of rapidly reversible, non-covalent interactions (Zimmerman et al. 1998; Moore 1999) such as hydrogen bonds or metal–ligand coordination has been suggested to be a possible mechanism for endowing materials with self-healing character that does not require an external stimulus. This latter equilibrium polymer strategy is conceptually charming, but it is compromised in practice by the simultaneous requirements of reversibility and memory of an initial structural state; water is self-repairing, but fairly useless as a structural material. To preserve a desired structure, reversible interactions might be combined in a composite material with components that preserve a memory of the desired state: encasement within an exoskeleton; tethering to an endoskeleton; or with an additional ‘fixed’ system in an interpenetrating network.

Nature, not surprisingly, appears to use strategies of both creation and re-creation in her own solutions to the self-healing problem. Most common are the active mechanisms of creating a new material; for example, tissue damage often triggers metabolic pathways that remodel and regenerate the damaged, nascent biomaterial. But nature also appears to use the re-creation approach to her advantage, through the sacrifice and subsequent regeneration of non-covalent bonds in a manner similar to that described previously. Thompson et al. (2001) have used a force microscope tip to look at energy dissipation during bone indentation. They found that, below a threshold force value, the indentation
energy was dissipated on a time-scale of approximately 30 s and that the initial bone toughness was recovered after the indentation force was removed. The kinetics of sacrifice and repair are similar to relaxation kinetics found in the collagen matrix within which hydroxyapatite crystals are deposited, implying that inter-strand collagen ‘bonds’ are sacrificed in response to a compressive force and then subsequently repaired once the force is removed. The cellular scaffold and the presence of the minerals within the composite bone presumably provide the permanent framework that facilitates a load-bearing function.

Nature’s successful design strategy inspires the ‘forward engineering’ of alternative, synthetic materials. The construction of such materials is necessarily multi-faceted. First, the critical reversible interactions must be presented within an irreversible macroscopic structure. Second, the reversible interactions within the hybrid material must bear stress in a way that contributes to the mechanical properties. Under a sufficiently high load, the reversible interactions must yield prior to the failure of the ‘permanent’ component. Furthermore, they must yield in a manner that relieves stress on the permanent framework, and they must be able to reform once the applied stress is removed. In this respect, understanding and controlling both the failure (in the presence of an applied external force) and the repair (in the absence of an applied external force) rates of the reversible interactions within the hybrid material are essential. Well-characterized molecular and material platforms, in which systematic structural variations are possible, might facilitate the development of hybrid self-healing materials.

Here, we describe a hybrid polymeric scaffold in which many of the aforementioned criteria are met. The permanent, structural component is a polymer network created by covalent cross-links between polymer chains. The reversible, potentially self-healing component is a metal–ligand coordination complex between a bifunctional Pd(II) or Pt(II) pincer complex, 1, and a polymer side-chain pyridine ligand, 2 (scheme 1). We note that Pollino et al. (2004) also have used pincer complexes as polymeric cross-linkers in combination with other side-chain functionalization strategies (Pollino & Weck 2005). In the present work, the N,C,N-pincer complexes have the mechanistic advantage that their dynamic response, which is related to the kinetics of ligand exchange, can be varied independently of the thermodynamics of complexation. For example, we have previously reported that the association constants for the recognition units in 1a–2 and 1b–2 in dimethylsulphoxide (DMSO) are 1600 and 1300 M\(^{-1}\), respectively, but the solvent-assisted ligand dissociation rate of the former is nearly two orders of magnitude greater than that of the latter (70–100 versus 1.0 s\(^{-1}\); Yount et al. 2005). Similar effects are observed with the Pt(II) complexes 1c and 1d. The variance in pyridine off-rates creates a phenomenological ‘kinetic isotope effect’ through which the contributions of bond rupture to polymer mechanical properties can be evaluated directly. In many cases, those bond rupture events are adequately described without considering the effect of a mechanical stress on the rupture event (Yount et al. 2005).

The apparently minimal contributions of mechanical stress on bond rupture rates need not be general, and in fact (given the importance of bond scission events in polymer failure) there are clearly situations in which the applied force will have a dramatic and critical effect on the rate of bond breaking in the material. The pincer–pyridine complexes are also advantageous in this regard, in that the dynamic mechanical response of the coordinative bond can be observed by a single-molecule force spectroscopy (SMFS). We have recently reported that dissociation under dynamic load of the reversible bond between a Pd(II) pincer complex 3 (scheme 1) and two different pyridine ligands is well correlated with the thermal exchange rates measured independently for metal–ligand complexes that model those used in the SMFS experiments (Kersey et al. 2006). The rupture forces observed by SMFS are apparently kinetically determined, and we examine here the SMFS of the Pd(II)–pyridine complex 1a–2, which differs from the 3–2 complex studied previously.
in that dimethylamino, rather than diethylamino, substituents are adjacent to the metal centre at the ligand-binding site. The decreased steric in 1a-2 are significant, in that they increase the rate of the associative ligand-displacement mechanism by nearly two orders of magnitude, but have only a modest effect on the association constant of the complex in DMSO (Yount et al. 2005). Thus, kinetic contributions to properties—both in single molecules and within bulk material—can be clearly distinguished from thermodynamic contributions. The two complexes also differ, in that 1a-2 possesses an unsaturated spacer, which could possibly lead to cooperative binding due to closely coupled metal centres. Previous work carried out in our group, however, suggests that the metal sites are independent of one another in both thermodynamics and kinetics, and that the differences in the spacers do not influence the coordination interaction (Jeon et al. in press). The complex of 1a with 2 was chosen as the model pincer–pyridine complex in SMFS experiments, because the lifetimes of complexes with less nucleophilic pyridines are too short on the timescale of the SMFS experiments. Previous work, however, suggests that the SMFS of 1a-(2)2 can be scaled reliably to that of complexes containing less nucleophilic pyridine ligands (Kersey et al. 2006), and hence comparisons of 1a-2 are likely to be directly relevant to the cross-linking interactions of 1a with pyridine side chains in the 4-vinylpyridine-based polymer gels and networks described in §§ 2.5 and 3.

With the characterization of both the relative mechanical properties (strain-induced rupture) and the ligand-binding kinetics (bond re-creation) of two homologous pincer–pyridine complexes in hand, these complexes (and their related Pt(II) analogues) are introduced as potential, additional cross-linking interactions into covalently cross-linked, stiff polymer gels. Dynamic mechanical analysis of the gels reveals that the metal–ligand coordination bonds function as reversible, stress-bearing entanglements in the network. Initial features of a self-healing hybrid polymer are met, and the system is well-suited to further systematic structure–activity relationships.

2. EXPERIMENTAL

2.1. Materials

3-Aminopropyltriethoxysilane (APTES), hexanoic anhydride, PYBOP, DMSO, pyridine, 4-(dimethylamino)pyridine (DMAP) and triethylamine were purchased from Acros and used as received. Piperidine (peptide synthesis grade) was purchased from Perkin Elmer and used as received. CH2Cl2 and N,N-dimethylformamide (DMF) were purchased from Fisher Scientific and were made anhydrous by running through solvent drying columns prior to use. Toluene and CHCl3 were purchased from Fisher Scientific, stirred with MgSO4 and filtered through a pad of MgSO4 to remove residual water prior to use. Absolute ethanol was purchased from Aaper Alcohol and Chemical Co., and deionized water was obtained from a Millipore filtering system.

2.2. Cantilever and surface functionalization

All sample preparations were performed in glass scintillation vials. Si3N4 cantilevers (Thermomicroscopes, Sunnyvale, CA, model AUHW) and polished SiO2 substrates (1.5 × 1.5 cm) were cleaned with piranha solution (7:3 H2SO4:H2O2 (30%) by volume) for 30–45 min, rinsed with water and ethanol, dried under a stream of N2 and oven-dried for 5 min at 120°C to remove residual solvent (after each rinsing, cantilevers were dried by touching them against a kimwipe). Caution should be used when handling piranha solution; it has been reported to detonate unexpectedly. Cantilevers/substrates were subsequently incubated in 1–3% APTES in either dry CH2Cl2 or dry toluene for 2 h, followed by rinsing with the same solvent. Substrates were then sonicated for 5 min in the same solvent to remove residual APTES, rinsed with the solvent and dried under a stream of N2.

Cantilevers and substrates were then incubated in 1 mM NHS-PEG-Fmoc (Nektar Therapeutics, Huntsville, AL, Mw = 3501 Da, PDI = 1.01) in dry CHCl3 for 30–60 min. Following PEG attachment, cantilevers/substrates were rinsed with CHCl3 and ethanol, and dried under a stream of N2. Following this step, the terminal Fmoc group was removed by incubating cantilevers/substrates in 20% piperidine in dry DMF for 20–30 min, and samples were subsequently rinsed with DMF and ethanol, dried under a stream of N2 and oven-dried for 5 min at 120°C to remove residual solvent. For attachment of 2, cantilevers/substrates were placed in a 3 ml dry DMF solution containing 2, PYBOP, pyridine, and 0.5 ml triethylamine that was heated at approximately 85°C for 4 min until 2 went into solution, and samples were subsequently incubated overnight at room temperature, yielding 2-functionalized samples. After reactions with 2, cantilevers/substrates were rinsed with DMF and ethanol, dried under a stream of N2 and oven-dried for 5 min at 120°C to remove residual solvent. Finally, cantilevers/substrates were incubated in 10 mM solutions of hexanoic anhydride in dry CH2Cl2 with 0.5 ml triethylamine for 1 h. Cantilevers/substrates were then rinsed with CH2Cl2 and ethanol, and substrates were sonicated for 5 min in ethanol to remove physiosorbed molecules, rinsed with ethanol and dried under a stream of N2. Following the preparation, cantilevers and substrates were either used immediately or stored below 0°C.

2.3. Single-molecule force spectroscopy

Force spectroscopy was carried out using an MFP-3D atomic force microscope (Asylum Research, Santa Barbara, CA) in contact mode at room temperature. Triangular cantilevers with spring constants (k) ranging between 30 and 102 pN nm−1 were used. The spring constant of each cantilever in DMSO was determined using the thermal noise method as previously described (Hutter & Bechhoefer 1993). To correct for viscous drag, forces were determined by taking the difference between the force at rupture and
the average force between the approach and the retract curves (Janovjak et al. 2005).

Approximately 30 ml of a DMSO solution of 1a were pipetted onto the substrate prior to performing experiments. Measurements were performed in an open atmosphere with scanning set for approach/retract cycles. A sensor in the AFM scanner monitored the sample temperature, which varied no more than ±1°C during each experiment. Each experiment was repeated with different samples on different days. Force curves were collected and analysed using Igor Pro (v. 5.0, Wavemetrics). For each loading rate, approximately 250–600 force curves were collected at different locations on the surface. All force curves were converted from deflection–tip displacement plots into force–separation curves using the MFP-3D software provided by Asylum Research. Loading rates were calculated from the product of the piezo retract velocity with the cantilever spring constant ($r_\nu$).

The logarithmic plots of most probable force ($F^*$) versus $\ln(r)$ were fitted with the Bell–Evans model (equation (2.1)), where $k_B T$ is the thermal energy (4.11 pN nm); $x_0$ is the effective distance between the bound state and the transition state, projected along the vector of applied force; $r_0$ is the force-dependent loading rate; and $k_T$ is the thermal off-rate at zero force (Bell 1978; Evans 1997, 2001),

\[
F^* = \frac{k_B T}{x_0} \ln(r_0) + \frac{k_B T}{x_0} \ln \left( \frac{x_0}{k_T k_B T} \right).
\]  

(2.1)

### 2.4. Statistical analysis

All kernel density function (KDF) plots of rupture forces were created using the freeware statistical package R (http://www.r-project.org/; plugin.density package) as previously described (Vander Wal et al. 2006). Density curves were generated using 512 points. The KDF plots were fitted with Gaussian distributions using Igor Pro (v. 5.0), and the peak of the Gaussian distribution was used for $F^*$.

### 2.5. Polymer gel synthesis and dynamic mechanical analysis

In a 1 mm thick glass polymerization chamber were mixed 0.500 ml 4-vinylpyridine (4-VP), 0.500 ml hydroxyethylmethacrylate (HEMA), 0.002 ml ethylene glycol dimethacrylate (EGDMA), 0.300 ml ethylene glycol, 0.200 ml H2O, 0.100 ml of 400 mg ml-1 aqueous ammonium persulphate and 0.100 ml of 150 mg ml-1 aqueous sodium metabisulphite. The ensuing polymerization was allowed to continue for 20 h, after which time the slab of polymer was removed and placed in DMSO for 5 days. The solvent was changed each day to remove low-molecular weight components. The hydrogel was then cut with biopsy punches (6 mm in diameter) and placed in either DMSO or the appropriate DMSO solution of cross-linker. The viscoelastic behaviour of the gels was measured using an ARES rheometer. The gel was placed between parallel plates and compressed with 15 g cm-2 of normal force. Oscillatory rheology data were collected at a strain of 5%.

3. RESULTS AND DISCUSSION

The response of the pincer–ligand complex 1a (2)2 to mechanical force was investigated with SMFS. SMFS has previously been used as a mechanical probe of a wide range of structural changes and protein folding pathways (Rief et al. 1997a,b; Oberhauser et al. 1998; Fisher et al. 1999; Best & Clarke 2002; Marszalek et al. 2002), molecular recognition events (Moy et al. 1994; Strunz et al. 1999; Kersey et al. 2004) and other noncovalent and coordinative-covalent interactions (Conti et al. 2000; Schmitt et al. 2000; Schonherr et al. 2000; Kuderna et al. 2003; Zou et al. 2005a,b), including the bond dissociation of 3-2 (Kersey et al. 2006).

The experimental design is shown schematically in figure 1. An AFM tip and SiO2 surface are functionalized with poly(ethylene glycol) chains (molecular weight approx. 3400 Da) that are functionalized on the other end with pyridine ligand 2. The tip and SiO2 substrate are subsequently immersed in a 0.44 mM DMSO solution of 1a. When the tip is brought into contact with the surface and subsequently retracted, a subset of the resulting force-versus-distance curves display the mechanical signatures of specific, metal-induced bridging of the type depicted in figure 1. These force-versus-distance retraction curves comprise a nonlinear increase in force, which arises from the compliance of the poly(ethylene glycol) tethers, followed by a rupture event at a peak force (figure 2). These rupture events are centred around a separation of 40 nm, approximately twice the contour length of the 3400 Da poly(ethylene glycol) tether. In experiments where 0.44 mM 1a is present, approximately 16% of force–separation curves display rupture events in the range of specific adhesion (30–100 nm), whereas ‘non-specific’ artefacts constitute less than 1% of force–separation curves without 1a. Further evidence for the intermediacy of the 1a (2)2 bonds comes from competitive inhibition studies; the occurrence of apparently ‘specific’ events is reduced to 4% in the presence of 100 mM of the ligand DMAP, which competes with 2 for 1a. Notably, the average force at break did not change with the added inhibitor (48 versus 50 pN at a retract velocity of approx. 230 nm s-1). The irreducibility of the measured force suggests that the measurements are truly ‘single molecule’ in nature, a contention that is supported by
the apparent elasticity of the poly(ethylene glycol) chains measured in the extension profile.

In single-molecule measurements, the force at break varies from one observation to the next. The most probable rupture force ($F^*$) was determined over approximately 250–600 replicate pulling experiments using the standard statistical analysis described previously. The determination of $F^*$ was carried out for a series of tip velocities, which were converted to apparent loading rates ($r_t$, the rate at which the applied force increases) between 2.5 and 123 nN s$^{-1}$ by accounting for the force constant of the AFM cantilever. For each retract velocity, the distribution of rupture forces reflects an ongoing competition in each time-interval, $\Delta t$, during which the bond can either rupture at the instantaneous force on the bond or survive until the next time increment, which leads to an increase in force that depends on the loading rate. The most probable force observed in the SMFS experiment should therefore increase with loading rate, as described in the work of Bell (1978) and Evans (1997, 2001).

The general expectation of $F^*$ increasing monotonically with loading rate is observed (figure 3), although the dependence is not strictly logarithmic as in the case of the most commonly applied Bell–Evans formalism. In fact, for slower tip velocities, it appears that force is independent of loading rate. The deviation for the Bell–Evans equation is not surprising, and probably reflects the influence of multiple factors. First, there is a measurement bias when measuring small forces, because lower forces are more likely to be lost in background noise. Second, as noted by Evans and subsequently by others, the actual loading rate depends on the compliance not only of the AFM tip, but also of the tether linking the tip to the analyte (Evans 1997). Third, it is possible that the lower retract rates are slow relative to ligand rebinding, so that a finite force is observed (Seifert 2002; Dudko 2003; Chen 2005; Schonherr et al. 2005; Zou et al. 2005a,b).

We previously reported that the Bell–Evans treatments of SMFS data for diethylamino-functionalized pincer Pd(II) complexes are in excellent quantitative agreement with thermal, solvent-assisted dissociation rates measured on model complexes (Kersey et al. 2006). For comparison, we carried out the same Bell–Evans analysis on the loading rate-dependent region (high retract rates) of the SMFS data shown in figure 3. The extrapolated thermal off-rate was found to be $81 \pm 11$ s$^{-1}$, and since the off-rate increases proportionally with the number of bonds in series (Evans 2001; Williams 2003; Patel et al. 2004), the corrected apparent thermal off-rate is $40 \pm 6$ s$^{-1}$. This value is within a factor of 2 of that measured by dynamic NMR spectroscopy for a closely related model system ($85 \pm 15$ s$^{-1}$; Yount 2003).

As with the previous measurements, the agreement between the extrapolated SMFS data and the dynamic NMR spectroscopy data could be fortuitous (Friedsam et al. 2003; Hummer & Szabo 2003). Perhaps more important than the absolute values, however, is the relative behaviour of the compounds. In comparing the mechanical differences of $\text{1a} \cdot 2$ with those of $\text{3} \cdot 2$, we note that the stress-free, equilibrium thermodynamics of the model systems are nearly identical ($1600 \pm 1300$ M$^{-1}$, respectively), but the forces required for bond rupture are lower for the faster complex $\text{1a} \cdot 2$ than for $\text{3} \cdot 2$ at comparable loading rates. For example, at a loading rate of approximately 30 nN s$^{-1}$, $\text{3} \cdot 2$ ruptures at an average force of 164 pN, whereas $\text{1a} \cdot 2$ ruptures at an average value of 92 pN. The bond rupture of polymer-tethered ligands under an increasing load is strongly correlated with, and it can therefore be controlled through the stress-free kinetics of the ligand dissociation. The conditions of polymer-tethered ligands in a nucleophilic solvent and a dynamic load are relevant to the behaviour of materials, such as the hybrid gels described below.

The SMFS data for $\text{1a} \cdot 2$ complement our previous SMFS work on the related diethylamino pincer complexes $\text{3}$ (Kersey et al. 2006), to provide a pair of compounds in which both the stress-free kinetics and the dynamic mechanical response (which is shown to be related to those kinetics) can be controlled independently of the thermodynamics of association of the metal–ligand complex. We next turned our attention to the possibility of incorporating these units.
as active, stress-bearing entanglements in hybrid polymer gels. In the hybrid gels, a covalent polymer network provides a permanent structural ‘memory’, while an additional set of reversible cross-links would provide sacrificial cross-links that dissociate under an applied stress and then repair once the applied force is removed, to the structure defined by the covalent network.

The specific model system is a covalent gel polymerized from hydroxy-2-ethylmethacrylate (HEMA), 4-vinylpyridine (4VP) and the bis-functional cross-linker EGDMA. Discrete, transparent, elastomeric gels were prepared from hydroxy-2-ethylmethacrylate (HEMA), 4-vinylpyridine (4VP) and the bis-functional cross-linker EGDMA. The cross-linker structure is again effectively identical to pyridine, ensuring that the equilibrium structures of all pyridine complexes of 4 are effectively identical. The structural similarity of 1a and 1b, along with the similarities in their complexation thermodynamics to pyridine, ensures that the equilibrium structures of gels 4-1a and 4-1b are effectively identical. The oscillatory rheology of 4-1a, however, shows a storage modulus that is nearly identical to that of 4 alone up to 100 rad s⁻¹, where a slight positive deviation occurs. The difference of a factor of approximately 50 in the observed onset is consistent with the previously reported lifetimes of the pyridine complexes of 1a and 1b with pyridine in DMSO.

Figure 4. Storage modulus as measured by the oscillatory rheology of covalent and hybrid DMSO gels: 4-1d (open circles); 4-1c (open triangles); 4-1b (closed triangles); 4-1a (closed circles); and 4 (crosses).

Figure 4. Storage modulus as measured by the oscillatory rheology of covalent and hybrid DMSO gels: 4-1d (open circles); 4-1c (open triangles); 4-1b (closed triangles); 4-1a (closed circles); and 4 (crosses).

A combination of covalent and reversible interactions has previously been shown by Kong et al. (2003) to simultaneously create stiffness and toughness in gels, and it is interesting to think about the same combination in the context of self-healing materials. The SMFS data indicate that reversible cross-links ultimately might be ‘programmed’ to fail under stress. Material architectures in which the failure of sacrificial—but reversible—stress-bearing entanglements protects the irreversible interactions that define a macroscopic structure follow a hierarchical design found often in nature. It is also interesting to consider whether stress-induced reactions within a polymer can be used to trigger subsequent chemistry that repairs local damage.
4. CONCLUSIONS
The rational design of self-healing materials will benefit from an increased understanding of molecular, stress-induced processes within a material context. The inclusion of well-defined and specific reversible interactions in a material is an attractive strategy for engineering repair without an external stimulus, but reversibility must be combined with a permanent component that defines the desired macroscopic structure of the material. We have reported here the first members of a class of isostructural hybrid polymers possessing reversible interactions for which both the rates of stress-induced dissociation and the stress-free rates of repair can be controlled. When combined with covalent cross-links in a hybrid gel, the reversible interactions are shown to bear mechanical stress and to dissociate and reassociate in conjunction with an applied strain. The resulting material platform provides a first-generation system for evaluating structure–activity relationships in the context of self-repairing materials.

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REFERENCES


