Thermal contraction of aqueous glycerol and ethylene glycol solutions for optimized protein-crystal cryoprotection

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The thermal contraction of aqueous cryoprotectant solutions on cooling to cryogenic temperatures is of practical importance in protein cryocrystallography and in biological cryopreservation. In the former case, differential contraction on cooling of protein molecules and their lattice relative to that of the internal and surrounding solvent may lead to crystal damage and the degradation of crystal diffraction properties. Here, the amorphous phase densities of aqueous solutions of glycerol and ethylene glycol at $T = 77$ K have been determined. Densities with accuracies of $<0.5\%$ to concentrations as low as 30% (w/v) were determined by rapidly cooling drops with volumes as small as 70 pl, assessing their optical clarity and measuring their buoyancy in liquid nitrogen–argon solutions. The use of these densities in contraction matching of internal solvent to the available solvent spaces is complicated by several factors, most notably the exclusion of cryoprotectants from protein hydration shells and the expected deviation of the contraction behavior of hydration water from bulk water. The present methods and results will assist in developing rational approaches to cryoprotection and an understanding of solvent behavior in protein crystals.

1. Introduction

Roughly 98% of all protein structures have been determined using crystals cooled to $T = 100$ K (Garman & Schneider, 1997; Garman, 1999; Garman & Doublié, 2003; Pflugrath, 2015). Cooling to cryogenic temperatures dramatically reduces the rate at which crystals are damaged by X-rays (Holton, 2009; Garman, 2010; Warkentin, Hopkins *et al.*, 2013), allowing more data to be collected per crystal, and reduces the contribution of thermal motions to atomic B factors, often giving modest increases in diffraction resolution. However, cooling also damages crystals. Crystal mosaicities, which are a measure of the distribution of lattice orientations within the crystal, always increase, from as-grown values of a few thousandths of a degree for well ordered crystals (Fourme *et al.*, 1995; Snell *et al.*, 1995) to 0.2 – 1° or more. This reduces the maximum achievable diffraction signal to noise and can lead to diffraction-spot overlap, especially for, for example, viruses and large complexes, the crystals of which have large unit cells. The distribution of lattice spacings within the crystal (and thus of spatial variations of lattice spacing) also increases, from <0.01 to 1% or more in unfavorable cases (Kriminski *et al.*, 2002). All of these problems become worse if inadequate cryoprotection or too-slow cooling allow internal or external crystal solvent to crystallize on cooling. Crystalline ice contributes rings in the diffraction pattern that can interfere

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with protein lattice diffraction. Aside from degrading diffraction properties, cooling also introduces substantial crystal-to-crystal and even within-crystal nonisomorphism.

The mechanisms by which cooling increases protein-crystal disorder are incompletely understood (Juers & Matthews, 2001, 2004*a,b*; Kriminski *et al.*, 2002). Some disorder must result owing to incomplete evolution of the conformation of the protein towards its equilibrium configuration at each temperature as the crystal temperature drops, leading to a non-equilibrium conformation distribution and static disorder at 100 K (Halle, 2004; Keedy *et al.*, 2014, 2015).

Excess solvent or oil surrounding a crystal will in general contract differently to the crystal on cooling. The resulting stresses may cause crystal bending and perhaps also cracking, especially for thin rod-shaped and plate-shaped crystals.

Significant disorder may also result owing to differences in contraction on cooling of protein molecules, the crystal unit cell and the internal solvent of the crystal (Juers & Matthews, 2001, 2004*a*; Kriminski *et al.*, 2002; Alcorn & Juers, 2010). Protein crystals are composite materials consisting of interpenetrating protein and solvent structures. Protein crystal unit-cell volumes typically contract by 2–6% on cooling from 300 to 100 K, and protein molecular volumes contract by 1–2% (Juers & Matthews, 2001, 2004*a*). The available unit-cell volume for solvent then contracts by ~3–8%. However, when cooled into its glassy low-density amorphous (LDA) ice phase, pure bulk water actually expands on cooling from 298 to 77 K by approximately 6% (Ghormley & Hochanadel, 1971; Debenedetti, 2003; Loerting *et al.*, 2011), which is comparable to the net expansion that occurs when water is cooled to 77 K into its hexagonal ice phase (Röttger *et al.*, 1994).

In general, as the temperature decreases, the equilibrium amount of solvent within the unit cell will change, as the equilibrium volumes of the solvent and of the solvent spaces in the crystal change at different rates. If the crystal were cooled very slowly, solvent could in principle flow into or out of the crystal to maintain equilibrium. However, during rapid cooling in liquid nitrogen or 100 K N₂-gas streams, long-range solvent transport from the crystal interior to its surface is impossible. Consequently, if there is an excess of solvent, it will tend to be pushed out of some crystal regions and to accumulate in others, leading to spatial variations in unit-cell volumes and orientations and in the formation of crystal defects needed to accommodate these variations. The increase in mosaicity and in the spread of unit-cell parameters caused by cooling, as well as the breakup of crystals into mosaic domains visible in X-ray topography, are consistent with this mechanism (Kriminski *et al.*, 2002). Solvent transport into and out of the crystal during transient warming from 100 to ≥273 K in part explains the successful application of cryo-annealing (Juers & Matthews, 2001, 2004*b*).

An obvious remedy for these last two problems is to use a solution (or solutions) whose contraction on cooling matches that of the crystal and/or the solvent spaces in the crystal lattice (Juers & Matthews, 2001, 2004*b*; Kriminski *et al.*, 2002; Alcorn & Juers, 2010). While pure water expands on cooling to 77 K, glycerol, ethylene glycol and all other common cryo-

protectants contract. By adjusting the cryoprotectant type and concentration, it should in principle be possible to produce solutions whose contraction on cooling into the amorphous phase matches that of the solvent spaces within the protein lattice (Alcorn & Juers, 2010).

Unfortunately, the amorphous ice-phase densities of common aqueous cryoprotectant solutions have only been measured at high cryoprotectant concentrations (Juers & Matthews, 2004*b*; Alcorn & Juers, 2010), typically 50%(w/w) and greater. At these large concentrations, the amorphous ice phase is readily obtained by cooling at slow rates (<10 K s⁻¹). Large volumes (>1 ml) and masses (≥1 g) can then be vitrified, and the solution density accurately determined by weighing cold samples in nitrogen gas and when immersed in liquid nitrogen. Solutions whose contraction matches that of protein crystals can be generated using such large cryoprotectant concentrations, but they may substantially perturb the conformation of the protein and modify crystal packing.

Achieving the amorphous ice phase at lower cryoprotectant concentrations requires cooling rates that increase rapidly with decreasing concentration. For glycerol, ethylene glycol and several other common cryoprotectants, the critical cooling rate (CCR) to achieve amorphous ice varies according to $CCR = CCR_0 \exp(-\beta c)$, where CCR_0 is the critical cooling rate of pure water and c is the solute concentration (Warkentin, Sethna *et al.*, 2013). For glycerol solutions, the critical cooling rates are <1 K s⁻¹ at ≥56%(w/v) (Sutton, 1991*a,b*), ~10 K s⁻¹ at ~48%(w/v), ~100 K s⁻¹ at ~36%(w/v) and ~10³ K s⁻¹ at ~27%(w/v), and increase to ~10⁶ K s⁻¹ for pure water. Cooling rates of greater than ~10 K s⁻¹ for three-dimensional (*e.g.* roughly spherical as opposed to thin-film) samples can only be achieved using sample volumes smaller than ~1 µl (Berejnov *et al.*, 2006), corresponding to sample masses smaller than 1 mg. Ascertaining the density of such small masses by conventional buoyancy-based measurements is impractical.

Here, we report the amorphous phase densities of aqueous glycerol and ethylene glycol solutions to concentrations as low as 30%(w/v). We use microscope-based optical imaging to ascertain the phase (amorphous or crystalline; McFerrin & Snell, 2002; Chinte *et al.*, 2005; Berejnov *et al.*, 2006; Meisburger *et al.*, 2013) and a modified buoyancy-based technique (Loerting *et al.*, 2011) to measure the density of flash-cooled drops with volumes as small as ~70 pl (masses as small as ~70 ng). These methods and results will assist in developing rational approaches to cryoprotection and in understanding solvent behavior in protein crystals.

2. Methods

Our initial attempts to measure the densities of individual drops of rapidly cooled cryoprotectant solutions below concentrations of ~50%(w/w), performed more than a decade ago, were based on determining the buoyant force on drops by weighing them, firstly when immersed in liquid N₂ and secondly when in the cold gas immediately above the liquid N₂ surface, as in the work of Alcorn & Juers (2010). To determine

the weights of microlitre-volume, milligram-mass drops, a precision tensiometer with microgram resolution mounted on a mechanical isolation stage was used. The tensiometer and Dewar were shielded to eliminate air currents, and baffles were inserted into the Dewar to damp out surface waves on the liquid nitrogen. In principle, this would have allowed weight measurements of 1 μl (~ 1 mg) drops with $\sim 0.2\%$ accuracy, but hysteresis and drift in the tensiometer limited the accuracy to 2% or worse. Cracking of 1 μl and larger drops during cooling, which affects the displaced drop volume, was also common. Subsequent attempts to use a laser interferometer-based microbalance were also not successful.

The present method, based on cryoflotation (Loerting *et al.*, 2011), does not require direct measurement of drop weights but only a qualitative observation of their buoyancy, and so can yield highly accurate density measurements for drops of volumes down to the picolitre range.

Fig. 1 shows the steps involved in a measurement. Firstly, liquid drops are dispensed and then rapidly cooled using a liquid nitrogen–argon solution. Next, the drops are observed using a microscope to assess their state (crystalline or amorphous) and whether they are cracked. For each uncracked amorphous drop, lower-density liquid nitrogen is added as needed until the drop becomes neutrally buoyant. The density of the liquid nitrogen–argon mixture that yields neutral buoyancy is then determined by measuring the buoyant force on a 0.992 g test mass. This density then directly gives the density of the neutrally buoyant drop. Our experimental apparatus and protocol are described in detail below.

2.1. Cryoprotectant solutions

Aqueous solutions of glycerol and ethylene glycol (EG) were prepared at room temperature in 5% (w/v) increments, where (w/v) represents the ratio of weight of the cryoprotectant in grams to the total volume of the solution in millilitres, expressed as a percentage. Solutions were prepared by dispensing the desired mass of cryoprotectant (measured using a precision microbalance) into a test tube, adding water to bring the volume to near 10 ml and vortexing the mixture until it appeared optically uniform. Water was then added as needed to obtain a final volume of $10.0 \pm$

0.1 ml, the solution was vortexed and its optical uniformity was verified.

Concentrations in % (w/w), in % (v/v) and in mole fraction (mol%) are given by

$$C_{\% (w/w)} = \frac{C_{\% (w/v)}}{\rho_{\text{solution}}}, \quad (1)$$

$$C_{\% (v/v)} = \frac{C_{\% (w/v)}}{\rho_{\text{cryo}}}, \quad (2)$$

and

$$x_{\%} = \frac{100}{100 \frac{M_{\text{cryo}}}{M_{\text{water}}} \frac{1}{C_{\% (w/w)}} + \left(1 - \frac{M_{\text{cryo}}}{M_{\text{water}}}\right)}, \quad (3)$$

respectively. Here, ρ_{solution} is the density of the solution, previously determined at room temperature for aqueous solutions of glycerol (Bosart & Snoddy, 1928) and ethylene glycol (Rodrigues & Francesconi, 2011), and ρ_{cryo} is the density of pure cryoprotectant. M_{cryo} is the molar mass of cryoprotectant (92.09 g mol^{-1} for glycerol and 62.07 g mol^{-1}

Figure 1

Experimental apparatus and protocol for determining the density of aqueous cryoprotectant drops. (a) Preparation of a dense liquid N_2 -Ar solution. (b) Cooling a drop of aqueous cryoprotectant mixture in the N_2 -Ar solution. (c) Visual observation of the condition of the drop: clear or opaque/milky, uncracked or cracked and whether it has positive, negative or neutral buoyancy. (d) Determining the density of the liquid N_2 -Ar solution that gives near-neutral buoyancy of the drop. See §§2.2–2.6 for a detailed discussion.

for ethylene glycol) and $M_{\text{water}} = 18.01 \text{ g mol}^{-1}$. Concentrations in $\%(w/v)$ and $\%(v/v)$ change with temperature as the solution volume changes, whereas concentrations in $\%(w/w)$ and $\text{mol}\%$ are independent of temperature. For this reason, $\%(w/w)$ is used here; results in $\%(w/v)$, as more commonly used in cryocrystallography, are given in the Supporting Information. In units of $\%(w/v)$, pure water is $0\%(w/v)$, pure glycerol is $126\%(w/v)$ and pure EG is $111\%(w/v)$.

2.2. Coolant-mixture generation and properties

Drops were cooled by projecting them onto or into a liquid nitrogen–argon solution. Liquid N_2 boils at 77 K and liquid Ar freezes at 83.8 K. Direct mixing of the liquids leads to the formation of argon slush. By slowly flowing Ar gas into liquid N_2 , the Ar will disperse into the N_2 to form a solution at 77 K. By adjusting the Ar concentration, the density of the solution can be varied between those of liquid N_2 (0.81 g ml^{-1} at 77 K) and Ar (1.4 g ml^{-1} at 84 K). This density range encompasses the low-temperature densities of water (0.93 or 0.94 g ml^{-1}), glycerol (1.33 g ml^{-1} ; Blazhnev *et al.*, 2004) and ethylene glycol (1.23 g ml^{-1}).

As shown in Fig. 1(a), an inner copper container was suspended within a large glass Dewar flask. Initially, both the Dewar flask and the inner copper container were filled with pure liquid N_2 at 77 K. To generate an N_2 –Ar mixture, Ar gas was first precooled by flowing it through a coil suspended in the cold gas layer immediately above the liquid N_2 in the Dewar, and then bubbled into the liquid in the inner container. Precooling the Ar gas and using low Ar flow rates to minimize bubble formation reduced the boil-off of liquid and the consumption of Ar. The high thermal conductance of the copper walls of the inner container ensured the efficient transfer of latent heat from the condensing Ar to the surrounding liquid N_2 , minimizing boiling within the copper container, and ensured that the temperature of the inner liquid remained at 77 K. The Ar flow was continued until the desired solution density was obtained ($\sim 3 \text{ h}$ for a density of 1.3 g ml^{-1}) and the tubing was then removed. A mixer comprised of a thin horizontal copper plate with multiple pinholes attached to a vertical metal rod was used to mix the coolant solution and eliminate concentration and density gradients prior to each measurement. If Ar crystals appeared, a metal rod was used to mix the solution until they disappeared.

2.3. Drop generation and cooling

Drops were generated in two ways. A 1 ml syringe with a 33 gauge needle, combined with gentle tapping to release the drop from the tip, gave drop volumes down to $\sim 1 \text{ nl}$. In initial experiments using cryoprotectant concentrations down to $40\%(w/v)$, drops descended $\sim 14 \text{ cm}$ from the dispensing tip to the liquid N_2 –Ar surface. Dispensed drops would be briefly suspended above the N_2 –Ar surface on a cushion of vapor during initial cooling in the film boiling regime. Most drop cooling thus occurred at the liquid surface and in the cold gas above it. This method could not generate the large cooling

rates required to reliably vitrify drops with cryoprotectant concentrations below 40% .

As shown in Fig. 1(b), for lower cryoprotectant concentrations the cold gas layer above the liquid was gently removed just prior to plunging (Warkentin *et al.*, 2006) using suction produced by a Venturi vacuum generator. Drops were dispensed using a 1 ml syringe with a 33 gauge needle onto a $0.5 \times 1.5 \text{ cm}$, $75 \mu\text{m}$ thick polyester flag attached to a solid metal rod. The rod and flag were then plunged into the N_2 –Ar solution. When boiling ceased and cooling was complete, the solid drops were popped off the flag by gently flexing the flag using fine-tipped metal forceps. The suction was then stopped and a cold gas layer was allowed to reform above the liquid N_2 –Ar solution.

The drop volumes (diameters) used in these measurements ranged from a maximum of $1\text{--}4 \mu\text{l}$ ($\sim 1\text{--}2 \text{ mm}$) for concentrations above $\sim 70\%$ that always formed amorphous phases, to $\sim 2 \text{ nl}$ ($160 \mu\text{m}$) for intermediate cryoprotectant concentration drops [$40\text{--}60\%(w/v)$] dispensed directly into the N_2 –Ar solution, to $\sim 70 \text{ pl}$ ($50 \mu\text{m}$) for low-concentration drops dispensed on the polyester flags. In previous cryoflotation measurements of pure hyperquenched glassy water (Loerting *et al.*, 2011), the amorphous ice samples had volumes of roughly $100 \mu\text{l}$ (masses of roughly 100 mg) and were prepared by vacuum-cooling and deposition of roughly 10^9 $5 \mu\text{m}$ drops of pure water. Vacuum-deposited samples generally contain voids and other defects that affect density measurements. Vacuum deposition of aqueous mixtures is challenging because evaporation may cause drop-size-dependent composition changes. By using individual drops, cracks and voids (bubbles) are easily eliminated by visual screening. Density determination of individual drops as small as $5 \mu\text{m}$ may be possible, provided that evaporation during dispensing and moisture condensation on cold drops are controlled, and provided that drop observation times are extended to account for the smaller drop terminal speeds for a given density difference between the drop and the N_2 –Ar mixture.

2.4. Assessing the state of the drop

As shown in Fig. 1(c), the state of the drop after cooling was assessed by visual observation in a microscope. Drops that were optically clear were assumed to be vitrified, while those that were cloudy, milky or opaque were assumed to be polycrystalline. This visual assay has been used in previous studies of drop vitrification (McFerrin & Snell, 2002; Chinte *et al.*, 2005; Berejnov *et al.*, 2006; Warkentin, Sethna *et al.*, 2013) and correlates well with the absence or presence of ice rings in X-ray diffraction (Garman & Mitchell, 1996; Berejnov *et al.*, 2006) and of excess low- q scatter in small-angle X-ray scattering (SAXS; Meisburger *et al.*, 2013). Drops were lifted to just below the liquid surface, illuminated using a high-intensity LED lamp and observed using a boom-stand-mounted microscope. Cracked drops were removed from further consideration. Fogging and frosting owing to condensation of moisture from the surrounding air was a serious problem

during these observations, and was addressed as described in §2.6 below.

2.5. Measuring drop densities

The initial density of the N₂–Ar solution was adjusted to be somewhat above the expected density of the drops to be measured. Liquid N₂ was added in volume increments beginning at 2 ml and decreasing to 0.25 ml until the drop became neutrally buoyant, as determined by perturbing the submerged drop using a rod and observing its motion through a microscope, or began to sink. Because the N₂–Ar solution tended to stratify into layers of different density, the solution was regularly mixed using the perforated horizontal copper plate. Surface tension did not affect measurements because N₂–Ar has a small surface tension and wets the drop surfaces, and because the neutral buoyancy condition was determined using fully submerged drops. As a check, floating drops were occasionally perturbed downwards using either liquid N₂ or a precooled metal rod to ensure that surface tension had been broken.

As shown in Fig. 1(*d*), the density of the liquid N₂–Ar solution was measured by determining the apparent weight of a ~1 g, ~0.43 ml PTFE (Teflon) test mass when hanging in air at room temperature ($W_{t,air}$) and when submerged in the cryogenic solution at 77 K ($W_{t,cryogen}$). The test mass was hung from the bottom of the pan of a precision microbalance (Mettler AE240) using a 25 µm diameter polymer line. Before submerging, the mass was precooled in liquid N₂ to prevent boiling and changes in the concentration of the N₂–Ar solution. The test mass was then submerged roughly one quarter of the depth of the liquid below the surface of the liquid. This minimized the effects of any density stratification that occurred after mixing and during measurements. Confining the liquid within the small-diameter copper container and efficient heat transfer through the walls of the container to the liquid nitrogen outside eliminated boiling of the N₂–Ar solution and resulted in a highly quiescent liquid–gas interface, allowing the full resolution of the microbalance to be utilized. The change in buoyant force on the suspending line was estimated and found to be negligible compared with experimental uncertainties. Measurements at each cryoprotectant concentration were repeated at least twice to confirm buoyancy observations and density values.

From the apparent weights $W_{t,air}$ and $W_{t,cryogen}$, the density of the cryogen $\rho_{cryogen}$ was calculated using Archimedes' principle as

$$\rho_{cryogen} = \frac{W_{t,air} - W_{t,cryogen} + \rho_{air} V_{t,298K} g}{V_{t,77K} g}, \quad (4)$$

where $\rho_{air} = 1.225 \text{ g l}^{-1}$ is the density of air at 298 K and $V_{t,298K}$ and $V_{t,77K}$ are the volumes of the test mass at 298 and 77 K, respectively. (Since the microbalance reports 'weight' in grams, the factor $g = 9.81 \text{ m s}^{-2}$ is automatically included in its measurements.)

The volume $V_{t,298K}$ of the PTFE test mass at room temperature was determined firstly by measuring its apparent

weight in air and in isopropyl alcohol and secondly by measuring its dimensions using a precision calliper and (for the through-hole by which it was suspended) calibrated steel rods. The test mass volume at 77 K, $V_{t,77K}$, was determined by measuring the apparent weight of the mass in liquid nitrogen.

When examining vitrified drops of aqueous cryoprotectant solutions, it was very difficult and time-consuming to obtain precisely the right N₂–Ar solution composition to achieve perfect neutral buoyancy. Therefore, in most cases the minimum solution density at which the drop clearly floated and the maximum density at which it clearly sank were determined, with the difference between these densities made as small as practical. The density of each drop was then estimated as the arithmetic mean of these values.

The primary source of uncertainty in the drop-density measurements was the difference between the floating and sinking densities, which was typically 0.3%. Mass measurements were accurate to $\pm 0.05 \text{ mg}$ or $< 0.01\%$ of the test mass. The uncertainty in the density of liquid nitrogen was $< 0.1\%$ and the uncertainty in the density of the N₂–Ar solution owing to imperfect mixing, density stratification and evaporation of N₂ or Ar between drop and test mass measurements was $< 0.5\%$, as estimated from the repeatability of the measurements. The uncertainties in the drop concentrations of glycerol or ethylene glycol owing to mixing errors and evaporation were $< 1\%$.

2.6. Low-humidity environment

Condensation of water and formation of ice caused significant difficulties. Condensation on the drop, the test mass and the suspending line all caused weight-measurement errors. Condensation in the cold gas above the liquid nitrogen–argon solution caused fogging that it made it difficult to visualize and assess the state of the drop. Condensation leading to ice in the liquid N₂–Ar reduced visibility as drops descended and ascended through it, and made the tracking of individual drops difficult. To minimize these effects, the measurements were performed in a low-humidity environment. The experiment was contained in an acrylic (PMMA) and polyethylene sheet enclosed workbench, into which dry ($< 1\%$ r.h.) room-temperature air flowed. All exposed cold Dewar, copper container and liquid cryogen surfaces were covered by foam insulation. A ~1 inch diameter hole in a top foam disk, covered by a plastic flapper valve, provided access to drops in the copper container. A small flow of dry N₂ gas into the space between the liquid and the foam (Figs. 1*a*–1*d*) ensured that there was sufficient overpressure at all times to prevent ambient air flow into the Dewar. Gloves and masks were worn during sample manipulation and measurements to reduce moisture release and minimize the perturbation of air flows.

3. Results

Fig. 2 shows the densities of glycerol–water (Fig. 2*a*) and ethylene glycol–water (Fig. 2*b*) solutions *versus* cryoprotectant concentration in %(*w/w*), as determined here at 77 K and

as determined in previous measurements (Bosart & Snoddy, 1928; Rodrigues & Francesconi, 2011) at room temperature. Plots *versus* concentration in % (w/v) are given in Supplementary Fig. S1. The density point at 0% concentration of 0.94 g ml^{-1} is the currently accepted 77 K density for low-density amorphous (LDA) ice, the expected phase of pure amorphous water formed at atmospheric pressure (Debenedetti, 2003; Loerting *et al.*, 2011). For both glycerol and ethylene glycol, extrapolation of our measured 77 K data to zero concentration appears to be consistent with this density. Interpolated densities at 50% (w/w) of $1.187(5)$ and $1.144(5) \text{ mg ml}^{-1}$ for $\sim 2 \text{ nl}$ drops of glycerol–water and ethylene glycol–water solutions are consistent with the values of $1.181(2)$ and $1.139(2) \text{ mg ml}^{-1}$ measured by Alcorn and Juers using $\sim 0.7 \text{ ml}$ samples. The cooling rates for our samples, with volumes that are $\sim 10^3$ – 10^5 times smaller, were much larger than those of Alcorn and Juers. The slightly higher densities measured here are consistent with the smaller fraction of microcrystalline ice (which has a lower density) expected with faster cooling. On the other hand, the very small density differences, which are comparable with experimental uncertainties, indicate that the density (and thus the structure) of the low-temperature amorphous phase does not depend appreciably on the cooling rate, at least in the cooling-rate range explored. At 298 K, the density varies roughly linearly with the cryoprotectant concentration for both glycerol and (less accurately) for ethylene glycol. At 77 K, the density at first increases rapidly with cryoprotectant concentration and then increases much more slowly beyond $\sim 50\%$ (w/w).

In the study of the volumetric properties of liquid mixtures, it is more common to plot the excess specific volume v^E (in ml g^{-1}) (or the excess molar volume, $\tilde{v}^E$, in l mol^{-1}) of the solution, which is defined as the difference between the actual solution specific volume

$$v_{\text{actual}}[c\%(w/w), T] = \frac{V_{\text{actual}}(T)}{m_{\text{cryo}} + m_{\text{water}}} = \frac{1}{\rho_{\text{solution}}[c\%(w/w), T]} \quad (5)$$

and its ‘ideal’ value

$$\begin{aligned} v_{\text{ideal}}[c\%(w/w), T] &= \frac{1}{m_{\text{cryo}} + m_{\text{water}}} \left[\frac{m_{\text{cryo}}}{\rho_{\text{cryo}}(T)} + \frac{m_{\text{water}}}{\rho_{\text{water}}(T)} \right] \\ &= \frac{\left[\frac{c\%(w/w)}{100} \right]}{\rho_{\text{cryo}}(T)} + \frac{\left[\frac{1 - c\%(w/w)}{100} \right]}{\rho_{\text{water}}(T)}, \end{aligned} \quad (6)$$

where m_{cryo} and m_{water} are the masses of the components of the solution. The ‘ideal’ value assumes that the specific volumes of cryoprotectant and water in the solution are the same as they are in their pure bulk forms. The excess specific volume can be written as

$$v^E[c\%(w/w), T] = \frac{1}{\rho_{\text{solution}}[c\%(w/w), T]} - \left\{ \frac{\left[\frac{c\%(w/w)}{100} \right]}{\rho_{\text{cryo}}(T)} + \frac{\left[\frac{1 - c\%(w/w)}{100} \right]}{\rho_{\text{water}}(T)} \right\}. \quad (7)$$

This is plotted for glycerol and ethylene glycol at 77 and 298 K *versus* $c\%(w/w)$ in Fig. 3 and *versus* $c\%(w/v)$ in Supplementary Fig. S2. At room temperature, the deviation of the actual specific volume from its ideal linear behavior is small at all concentrations. The deviations from linearity at 77 K are much larger. The excess specific volume has a maximum value near 50% (w/w) of $\sim -0.065 \text{ ml g}^{-1}$, or roughly 7% of the total specific volume for both glycerol and ethylene glycol, compared with $\sim 1\%$ at 298 K.

Figure 2 Measured density *versus* cryoprotectant concentration in % (w/w) at 77 K and literature values (Bosart & Snoddy, 1928; Rodrigues & Francesconi, 2011) at 298 K for (a) glycerol and (b) ethylene glycol. The solid and dashed lines are the fits given by (8) and (9) and with parameters as given in Supplementary Table S1.

The solid-line fits in Fig. 3 and Supplementary Fig. S2 have the form

$$v_{\text{fit}}^{\text{E}}[c_{\%(\text{w/w})}, T] = a_0(T) \exp \left\{ - \frac{\left[\frac{c_{\%(\text{w/w})} - a_1(T)}{100} \right]^2}{2a_2^2(T)} \right\} + a_3(T), \quad (8)$$

where a_0 , a_1 , a_2 and a_3 are coefficients given in Supplementary Table S1 found by nonlinear regression to the data. There are no theoretical predictions for the amorphous densities of aqueous cryoprotectant solutions, and the functional form of these fits is motivated by the shape of the excess specific volume curves in Fig. 3. The solid-line fits in Fig. 2 and Supplementary Fig. S1 are given by

Figure 3
Excess specific volume $v^{\text{E}} = v_{\text{actual}} - v_{\text{ideal}}$ versus cryoprotectant concentration in % (w/w) at 77 and 298 K for (a) glycerol and (b) ethylene glycol, calculated using (5) and (6) and the densities in Fig. 2. The solid lines are fits given by (8), with parameters as given in Supplementary Table S1.

$$\rho_{\text{fit}}[c_{\%(\text{w/w})}, T] = \frac{1}{v_{\text{ideal}} + v_{\text{fit}}^{\text{E}}}, \quad (9)$$

where the first term in the denominator is the straight line given by (6) and the second is given by the fit of (8).

Fig. 4 shows the experimental fractional change in specific volume between room temperature and 77 K, $100\% \times [v(77 \text{ K}) - v(298 \text{ K})]/v(298 \text{ K})$, as a function of concentration for glycerol and ethylene glycol, and fits given by the difference between the 298 and 77 K fits are shown in Fig. 2. The largest thermal contractions are $\sim 6.5\%$ for glycerol concentrations between ~ 60 and 80% , and $\sim 9.5\%$ for pure EG. The solution contractions remain close to those of the pure cryoprotectants down to $\sim 60\%$ (w/w). From the fits, the crossover between thermal expansion on cooling at low concentrations and thermal contraction at high concentrations occurs at $\sim 23\%$ (w/w) [21% (w/v)] for glycerol and $\sim 19\%$ (w/w) [17% (w/v)] for ethylene glycol. The data for glycerol at concentrations of 50% and above are in general agreement with those reported previously (Juers & Matthews, 2004b; Alcorn & Juers, 2010).

4. Discussion

4.1. Concentration dependence of density in aqueous glasses

For binary liquid mixtures, deviations of the specific volume from the 'ideal' linear behavior described by (6), connecting the pure phases of the two liquids, are typically discussed in terms of attractive or repulsive interactions between the two molecular constituents and/or changes in locally ordered structures within the liquid (Egorov *et al.*, 2010; Egorov & Makarov, 2014).

For aqueous glasses, deviation from the high cryoprotectant concentration linear asymptote to the specific volume, as shown in Fig. 5, rather than deviation from a line between the

Figure 4
Change in density between 298 and 77 K versus cryoprotectant concentration in % (w/w) for aqueous glycerol and ethylene glycol solutions. Data points are given by the difference between data points at 77 K and a fit to the measured (and more accurate) 298 K values. Fits are given by the difference between the fits to the 77 and 298 K data in Fig. 2.

specific volumes of pure water and pure cryoprotectant, may reflect the most important physics. The 9% increase in specific volume that occurs when liquid water transforms to hexagonal ice at 273 K is due to the formation of a relatively open tetrahedral network that 'expels' interstitial waters present in the liquid phase, resulting in less dense packing. On cooling below the phase-transition temperature, hexagonal ice contracts like any normal solid and its specific volume decreases to 1.072 ml g^{-1} at 77 K (Loerting *et al.*, 2011). Low-density amorphous (LDA) ice has a density of $\sim 1.067 \text{ ml g}^{-1}$ at 77 K (Loerting *et al.*, 2011), comparable to that of hexagonal ice. Experiments and simulations suggest that it too has an average oxygen coordination number near four, and a local, open structure that is similar to that of crystalline ice (Debenedetti, 2003).

Cryoprotectants inhibit the formation of this open structure on cooling. Our data suggest that at low cryoprotectant concentrations each added cryoprotectant molecule disrupts the local tetrahedral order of water and has a relatively large effect on the amorphous phase structure, so that the decrease in specific volume is relatively rapid. At high concentrations of glass-formers such as glycerol and ethylene glycol the amorphous structure is dominated by their respective disordered hydrogen-bonding networks, in which the water molecules are a guest. Adding water increases hydrogen bonding between glycerol and water but does not disrupt a low-density structure such as that which forms in pure water. The change in density with cryoprotectant concentration at high concentrations should thus be roughly linear until the concentration drops to the point that the fraction of waters involved in open tetrahedral networks becomes substantial.

Figure 5
Specific volume *versus* cryoprotectant concentration in % (w/w) for glycerol and ethylene glycol at 77 K. The black dotted lines give the ideal specific volume v_{ideal} (described by equation 6). The difference between the black dotted lines and each curve represents the excess specific volume v^E . The dashed lines indicate low- and high-concentration asymptotes, corresponding to regions in which the tetrahedral hydrogen-bond network of LDA ice and the disordered hydrogen-bond network of the pure amorphous cryoprotectant dominate, respectively.

A sense of the differences in glass structure in the low- and high-cryoprotectant concentration regimes can be obtained by calculating the apparent specific volumes $v_{\text{cryo}}^{\text{app}}[c_{\%(\text{w/w})}]$ of the cryoprotectants, assuming that the water has the same specific volume at all cryoprotectant concentrations as it does in pure LDA ice. These can be calculated as

$$v_{\text{cryo}}^{\text{app}}[c_{\%(\text{w/w})}] = v_{\text{solution}} + \left[\frac{100}{c_{\%(\text{w/w})}} - 1 \right] (v_{\text{solution}} - v_{\text{water}}^0), \quad (10)$$

and are plotted in Fig. 6(a). For both glycerol and ethylene glycol, the apparent specific volumes at 30% (w/w) are roughly 77% of their values in their pure amorphous phases [$c_{\%(\text{w/w})} \rightarrow 100\%$], presumably because cryoprotectant molecules added to pure water disrupt the local water structure so as to decrease the local specific volume of water to below that in pure LDA ice.

Similarly, the apparent specific volume of water in cryoprotectant can be calculated, assuming that the cryoprotectant has the same specific volume at all water concentrations as it does in the pure cryoprotectant, as

$$v_{\text{water}}^{\text{app}}[c_{\%(\text{w/w})}] = v_{\text{solution}} + \frac{c_{\%(\text{w/w})}}{100} \left\{ \frac{1}{1 - \left[\frac{c_{\%(\text{w/w})}}{100} \right]} \right\} (v_{\text{solution}} - v_{\text{cryo}}^0). \quad (11)$$

This is plotted *versus* cryoprotectant concentration in Fig. 6(b). The concentration-dependent apparent specific volume of water is the same (to within experimental uncertainties¹) for both glycerol and ethylene glycol. In the dilute limit $c_{\%(\text{w/w})} \rightarrow 100\%$, where the tetrahedral network of water is absent, $v_{\text{water}}^{\text{app}}[c_{\%(\text{w/w})}] \simeq 0.896 \text{ ml g}^{-1}$ (which can be obtained from the zero-concentration intercepts of the high-concentration asymptotes in Fig. 5). This is 15.6% smaller than that of pure LDA ice. It is $\sim 5\%$ larger than the specific volume of high-density amorphous (HDA) ice (0.855 ml g^{-1}), where water molecules fill interstitial sites within the tetrahedral network (Bowron *et al.*, 2006). Perhaps coincidentally, extrapolating a linear asymptote to the temperature-dependent density of liquid water as it approaches its boiling point at 373 K (where the tetrahedral network is also disrupted) down to 77 K gives a specific volume of $\sim 0.87 \text{ ml g}^{-1}$.

4.2. Metrics for cryoprotectant effectiveness

Metrics that are commonly used to assess the effectiveness of cryoprotectants include suppression of the melting point (which is especially relevant to the slow-cooling protocols used in, for example, cell cryopreservation and calorimetric studies) and reduction of the critical (minimum) cooling rate required to obtain vitreous ice (relevant to fast-cooling/vitrification protocols) *versus* cryoprotectant concentration in

¹ Small errors in specific volume of the solution give large errors in the apparent specific volume of water as the water concentration approaches zero; the data points in this limit are currently being remeasured to improve their accuracy, and will be updated in the final version of the figure.

% (w/w). The change in solution specific volume between, for example, room temperature and 77 K, for cryoprotectants at equal concentration in % (w/w), provides a metric relevant to thermal contraction matching of cryosolutions (Alcorn & Juers, 2010). Concentrations in % (w/w) rather than mol% are generally most useful; they normalize the differences in molecular size to some extent and facilitate the comparison of, for example, different molecular weights of polymers such as polyethylene glycol on a per-monomer basis.

The present measurements suggest disruption of the open tetrahedral network of LDA ice, which leads to the initial rapid decrease in specific volume with cryoprotectant concentration at small $c_{\%(\text{w/w})}$, as a salient feature. Possible metrics include the initial slope S of specific volume *versus* cryoprotectant concentration, normalized by the specific

volume difference between pure LDA ice and pure cryoprotectant,

$$S = - \frac{100}{(v_{\text{LDA ice}}^0 - v_{\text{cryo}}^0)} \frac{\partial v}{\partial c_{\%(\text{w/w})}}, \quad (12)$$

or the intersection concentration $c_{\%(\text{w/w})}^{\text{int}}$ of linear fits to the low- and high-cryoprotectant concentration regimes, as shown in Fig. 5. For glycerol and ethylene glycol, S is 1.58 and 1.72 and $c_{\%(\text{w/w})}^{\text{int}}$ is 49.4% (w/w) and 47.8% (w/w), respectively.

4.3. Thermal contraction matching in protein cryocrystallography

The possible consequences of thermal contraction mismatch and the potential benefits of contraction matching have been discussed previously (Juers & Matthews, 2001; Kriminski *et al.*, 2002), most recently and thoroughly by Alcorn & Juers (2010). Thermal contraction matching divides into (at least) two problems.

4.3.1. Contraction matching the crystal. The first problem is to match the contraction of the material *outside* the crystal to the contraction of the crystal. Loop-mounted crystals are typically surrounded by many times their own volume of mother liquor, cryoprotectant solution or oil. Differences in contraction between this material and the crystal will generate stresses that cause crystal bending, cracking and increased mosaicity, especially for crystals with rod-like or plate-like morphologies or fragile lattices. Because both room-temperature and low-temperature unit-cell parameters have rarely been measured for identically prepared crystals with identical cryoprotectant concentrations, available cell-contraction data are extremely limited. Data in Juers & Matthews (2001) suggest an average unit-cell volume contraction ΔV_{cell} of near 4%, with a range between roughly 2 and 6%. From Fig. 4, matching these contractions using glycerol (ethylene glycol) requires concentrations between ~33 and 56% (w/w) [27 and 43% (w/w)]. These are large compared with the concentrations typically used in crystallization solutions and cryoprotectant soaks. They could affect the protein conformation if used in growth solutions and could cause crystal cracking if used for post-growth soaks unless the 'soak' duration were limited to a few-second 'swipe' prior to plunge-cooling. Data from Alcorn & Juers (2010) at 50% (w/w) indicates that high-molecular-weight PEGs, DMSO and MPD contract 25% more than ethylene glycol and so could be more appropriate. The largest contractions at 50% (w/w), which are roughly double that of ethylene glycol, are achieved with 2-propanol, methanol and ethanol, but these are the most perturbing of protein conformation. Alcohols are also highly volatile, requiring careful handling to ensure that concentrations are maintained through to plunge-cooling.

4.3.2. Contraction matching internal solvent spaces. The second problem is to match the contraction of the solvent *inside* the crystal to that of the protein and lattice. On cooling, the unit-cell volume V_{cell} shrinks, as does the volume V_{protein} of the protein molecules within the cell (Juers & Matthews, 2001; Alcorn & Juers, 2010). The volume within the cell available to

Figure 6 Apparent specific volumes of (a) cryoprotectant $v_{\text{cryo}}^{\text{app}}[c_{\%(\text{w/w})}]$ calculated using (10) and (b) water $v_{\text{water}}^{\text{app}}[c_{\%(\text{w/w})}]$ calculated using (11) *versus* cryoprotectant concentration in % (w/w) for ethylene glycol and glycerol in water at 77 K.

solvent changes by $\Delta V = \Delta V_{\text{cell}} - \Delta V_{\text{protein}}$, and dividing by the room-temperature solvent-channel volume gives its fractional change. Using typical values of $\Delta V_{\text{cell}}/V_{\text{cell}}^{\text{RT}} = -0.042$, $\Delta V_{\text{protein}}/V_{\text{protein}}^{\text{RT}} = -0.013$ and a protein volume fraction v_{prot} of 0.47, Alcorn and Juers estimated that typical proteins would require an ethylene glycol concentration of $\sim 50\%$ (w/w) [$\sim 55\%$ (w/v)]. Kriminski *et al.* (2002) estimated the density of flash-cooled glycerol–water mixtures by simple linear interpolation between the densities of LDA ice and amorphous glycerol, assuming that the glycerol:water ratio in the crystal is the same as in the soaking or growth solution, ignoring the differential contraction of the cell and protein, and ignoring water inside the first hydration shell, and estimated the contraction-matching glycerol concentration for tetragonal lysozyme to be $\sim 28\%$ (w/w) [$\sim 30\%$ (w/v)].

However, several complications make contraction matching of internal solvent less than straightforward. Firstly, the cooling-induced unit-cell contraction determined by crystallography is likely to differ from the actual contraction of the crystal, because cooling also produces a large increase in crystal disorder, as reflected in large increases in the crystal mosaicity and in the spread of unit-cell parameters. For example, if excess solvent is expelled from some crystal regions that remain ordered and accumulates in disordered/disrupted regions, the X-ray-determined unit-cell volume will be dominated by the ordered regions and will overestimate the contraction of the crystal as a whole (Kriminski *et al.*, 2002).

Secondly, the actual concentration of cryoprotectant within the crystal will be different from those in the growth or soaking solutions. In general, the chemical potential of solutes within a crystal will be different from those in the surrounding bulk solution, leading to differences in concentration on a per-unit volume or per-protein-molecule basis. For example, salt (Vekilov *et al.*, 1996; Kmetko *et al.*, 2006) and impurity protein (Caylor *et al.*, 1999; Malkin & Thorne, 2004) concentrations within protein crystals are different from those in the mother liquor from which they grow on a per-volume and per-protein-molecule basis. For common cryoprotectants, an extreme example is provided by large-molecular-weight PEGs, which have difficulty penetrating into all but the largest channels and cavities within protein lattices.

Thirdly, the cryoprotectant concentration within protein hydration shells is generally much smaller than in bulk solution for proteins in solution, and this must also be true for proteins in crystals. A wide variety of compounds, including sugars, polyols, amino acids, methylamines and inorganic salts, encompassing glycerol, ethylene glycol and many other compounds routinely used as cryoprotectants in cryocrystallography and in the cryoprotection of proteins in solution, have been shown to be preferentially excluded from globular protein surfaces. In their presence, protein surfaces are preferentially hydrated (Timasheff, 1993, 2002; Parsegian *et al.*, 2000; Shimizu & Smith, 2004; Sinibaldi *et al.*, 2007) and this has a stabilizing effect on protein structure. Monohydric alcohols including methanol and ethanol are an exception: they preferentially bind at protein surfaces (Sinibaldi *et al.*, 2007), contributing to their destabilizing effect on protein

structure. Crystallographic studies of tetragonal and monoclinic lysozyme at room temperature (Datta *et al.*, 2001; Saraswathi *et al.*, 2002) found that the addition of sucrose, sorbitol and trehalose to the mother liquor had essentially no effect on the number of detected hydration waters relative to the cryoprotectant-free structures. Crystallographic study of thaumatin crystals at 100 K grown with and without 31% (w/v) glycerol showed that waters within the first hydration shell were largely unaffected by the glycerol; the glycerol-free structure did have more modelled waters in the second shell, although these had higher *B* factors (Charron *et al.*, 2002). Differences in second hydration shell water counts could simply reflect differences in the extent to which that shell is ordered, rather than the presence of glycerol within the shell.

Finally, the thermal expansion behavior of solvent within the hydration shells may differ substantially from those of bulk solutions, owing both to differences in cryoprotectant concentration and to differences in their structure owing to water interaction with the protein surface. The density of water within the first hydration shell is $\sim 15\%$ larger than in bulk water owing to a geometric effect and owing to structural changes (Merzel & Smith, 2002). Its thermal contraction behavior might be expected to more closely match that of the protein itself.

These last three effects thus render the thermal expansion behavior of water within the first two hydration shells uncertain. Any effects of cryoprotectants upon hydration-shell expansion are also uncertain, but are likely to be much less than in bulk solvent. This suggests that the potential benefits of choosing cryoprotectants based on their expansion behavior will be greatest for crystals with large solvent contents and large solvent channels, and that the benefits for low-solvent-content crystals may be modest at best.

5. Conclusion

Here, we have demonstrated a robust experimental method, based on Archimedes' principle and cryoflotation (Loerting *et al.*, 2011), for determining the amorphous phase densities and thermal contractions of aqueous cryoprotectant solutions using nanolitre- to picolitre-volume drops. Straightforward improvements to our methods should allow drop vitrification and measurement down to cryoprotectant concentrations of 15% (w/w), which is far lower than has previously been possible, and the mapping of amorphous phase densities for a wide variety of aqueous systems. These methods can be applied to determine partial specific volumes of protein within aqueous glasses, and so may yield information about cooling-induced changes in protein hydration and structure relevant both to cryocrystallography and to cryoSAXS (Meisburger *et al.*, 2013).

The present results should be directly applicable to thermal contraction matching of external solvent to the protein lattice. Cryoprotectant exclusion from hydration layers and perturbation of water structure by protein surfaces complicates contraction matching of solvent in internal spaces, at least in lower-solvent-content crystals, where the fraction of bulk-like

solvent is small. Systematic study of, for example, 298 and 100 K mosaicity and unit-cell parameters *versus* cryoprotectant concentration and *versus* the fraction of crystal solvent located in hydration shells may illuminate differences between internal and bulk-solvent composition and structure. DWM acknowledges additional support from the National Institutes of Health under the Ruth L. Kirschstein National Research Service Award (2T32GM008267) from the National Institute of General Medical Sciences.

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