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Discussion Addendum for: Phosphine-Catalyzed [3 + 2] Annulation: Synthesis of Ethyl 5-(*tert*-Butyl)-2-phenyl-1-tosyl-3-pyrroline-3-carboxylate

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Graphical Abstract

Nitrogen heterocycles are core structural units of many natural products and drugs.² Among them, pyrrolines are particularly widespread in natural products and bioactive molecules.³ Since Lu's pioneering discoveries, the phosphine-catalyzed [3 + 2] annulation of an imine and an allene is now one of the most attractive methods for the construction of a pyrroline.⁴ Our group⁵ and Shi's⁶ have independently developed the phosphine-catalyzed allene-imine [3 + 2] annulation between γ -substituted allenoates with *N*-sulfonyl imines, broadening the scope of accessible pyrrolidine structures and, thereby, allowing preparation of several natural products and molecules of biological importance (vide infra) (Scheme 1).

Scheme 1 presents a potential mechanism for allene-imine [3 + 2] annulation. The zwitterionic intermediate **A**, generated from addition of tri-*n*-butylphosphine to the allenoate **1**, adds to the imine **2** to form the phosphonium amide **B**. Intramolecular addition of the amide anion to the vinylphosphonium brings about the ylide **C**. Subsequent proton transfer results in the final intermediate **D**, which dissociates to the 3-pyrroline **3** and tri-*n*-butylphosphine. In recent years, many new phosphine-catalyzed [3 + 2] imine-allene annulations, and their synthetic applications, have been reported. These advances are the focus of this Addendum.

In 2005, Kwon and co-workers found that, in the presence of nucleophilic tri-*n*-butylphosphine, a variety of γ -substituted allenoates and *N*-sulfonylimines undergo [3 + 2] annulations to afford functionalized pyrrolines in excellent yields and with high diastereoselectivities (Scheme 1).⁵ Similar results were disclosed contemporarily by Shi and Zhao who used dimethylphenylphosphine as a catalyst for the [3 + 2] annulation of ethyl penta-2,3-dienoate (Scheme 2).⁶

In 2007, He and co-workers demonstrated that the air-stable and readily available 1,3,5-triaza-7-phosphaadamantane (PTA) is a practical and versatile nucleophilic phosphine catalyst for the [3 + 2] annulations of 4-substituted 2,3-butadienoates with *N*-tosylaryldimines, delivering functionalized pyrrolines in good to excellent yields (Scheme 3)⁷

In addition to *N*-sulfonylimines, He found that *N*-thiophosphorylimines (**4**) readily undergo Lu's [3 + 2] annulation with ethyl allenoate or γ -substituted allenoates in the presence of triphenylphosphine (PPh₃) or PTA, affording the corresponding *N*-(thio)phosphoryl 3-pyrrolines **5** in moderate to high yields and with good diastereoselectivities (Scheme 4)⁸ Ready deprotection of the thiophosphoryl group was achieved in MeOH under acidic conditions.

In 2017, Wu and co-workers reported a phosphine-catalyzed [3 + 2] annulation of *N*-aryl fluorinated imines with allenoates.⁹ Using 20 mol % of PCy₃ as the catalyst in toluene at 60 °C, they prepared a series of fluorinated pyrrolines (**6**) in moderate yields (Scheme 5). They converted these product 3-pyrrolines to the fluorinated pyrroles **7** through dehydroaromatizations in the presence of 2,3-dichloro-5,6-dicyano-1,4-benzoquinone (DDQ) in high to excellent yields.

In 2012, Ye and co-workers described the use of cyclic imines for phosphine-catalyzed [3 + 2] annulations with ethyl allenoate to prepare functionalized sultam-fused pyrrolines (**8**) in moderate to good yields and with high regioselectivities (Scheme 6).¹⁰

Following Ye's success at using cyclic imines to generate a class of functionalized dihydropyrroles, Guo¹¹ and Wang¹² independently disclosed the application of sulfamate-derived cyclic imines as [3 + 2] annulation partners (Scheme 7). In the presence of a catalytic amount of PPh₃, their reactions afforded various functionalized cyclic sulfamidates (**9**), with a number of substitution patterns, in excellent yields.

Subsequently, Ma and co-workers disclosed highly efficient 1,3-bis(diphenylphosphino)propane (DPPP)-catalyzed one-pot sequential [3 + 2]/[3 + 2] annulations of an allenoate with cyclic quinazolin-5-ones to provide *N*-fused polycyclic compounds (**11**, Scheme 8).¹³ The product of the first [3 + 2] annulation is an electron-deficient alkene, which prompts the second allene-alkene [3 + 2] annulation. This reaction is exceptionally regio- and diastereoselective.

With further optimization, Ma and co-workers demonstrated the selective [3 + 2] annulations of cyclic quinazolin-5-ones (Scheme 9).¹⁴ Unlike the dual processes described in their previous report, here, in the presence of catalytic PPh₃, only the allene-imine [3 + 2] annulation occurred, smoothly furnishing tricyclic dihydropyrrole derivatives in excellent yields.

In 2011, Loh reported an elegant and highly efficient route toward functionalized 2-alkyl-substituted pyrrolines from *N*-sulfonyl alkylimines.¹⁵ *N*-Sulfonyl alkylimines can be difficult to handle because they readily decompose through hydrolysis, hampering their applicability in many phosphine-catalyzed reactions. In the presence of trimethylphosphine,

Loh demonstrated that the *in situ* isomerization of 3-butynoates to 2,3-butadienoates and subsequent incorporation of *N*-sulfonyl alkylimines through [3 + 2] annulations afforded functionalized pyrrolines in good yields (Scheme 10).

Applying this newly developed method for generating 2-alkyl-substituted pyrrolines, Loh completed a formal synthesis of (±)-allosecurinine featuring a step involving the formation of the 2,5-dialkylpyrroline **12** in 82% yield (Scheme 11). Eight subsequent steps furnished the known intermediate **13** in excellent yield. Kerr and co-workers had previously reported the total synthesis of (±)-allosecurinine **14** from the intermediate **13**.¹⁶

In 2011, Kinderman and co-workers reported the use of rare 2,3-dienitriles **15** in Lu's allene-imine [3 + 2] annulation, in place of the commonly employed 2,3-dienoates (Scheme 12).¹⁷ They prepared several pyrroline derivatives bearing a cyano group from these rare allenitriles. Analogous to the reactivities of allenates, the transformations of the 2,3-butadienenitriles were also catalyzed by PPh₃, providing cyano-substituted pyrrolines (**16**) in good yields.

Enantioselective Allene-Imine [3 + 2] Annulations Using Monofunctional Chiral Phosphines

Prior to the 2008 report by Jacobsen (*vide infra*), enantioselective allene-imine [3 + 2] annulations had been challenging when compared with their corresponding allene-alkene [3 + 2] annulations, with relatively few examples producing synthetically useful levels of enantioselectivity [i.e., enantiomeric excesses (ee's) of greater than 90%]. In 2006, Gladysz employed the chiral rhenium-based phosphine **P1** to catalyze Lu's [3 + 2] annulations of ethyl 2,3-butadienoate with *N*-tosyl imines (Scheme 13).¹⁸ Using this catalyst, the desired pyrrolines were isolated in high yields and with moderate enantioselectivities.

Marinetti was among the forerunners in the area of chiral pyrroline construction, reporting numerous studies of various chiral phosphine catalysts and their abilities to render asymmetric induction.¹⁹ Among the many readily available chiral phosphines with axial and planar chirality that they tested, several induced the enantioselective formation of pyrrolines, albeit with low yields and moderate selectivities (Scheme 14). The chiral phosphines in Scheme 14 produced low to moderate yields of pyrrolines with minimal asymmetric induction. Preliminary screening revealed that several phosphine catalysts produced moderate levels of chiral induction, but the low product yields of these reactions suggested little synthetic utility.

After an extensive search for the ideal catalyst for enantioselective formation of pyrrolines, Marinetti found that Gladiali's phosphine **P6** functioned with moderate enantiocontrol (Scheme 15).^{20,21,22} They synthesized pyrrolines in moderate yields from substrates presenting various activating groups with a range of steric influence, albeit with moderate enantioselectivities.

There exists only a single report of highly efficient asymmetric allene-imine [3 + 2] annulations using chiral phosphines that lack hydrogen-bonding motifs. In 2014, Kwon and

co-workers developed two new pseudoenantiomeric [2.2.1] bicyclic phosphines—*endo*-phenyl-HypPhos (**P7**) and *exo*-phenyl-HypPhos (**P8**)—from naturally occurring *trans*-4-hydroxy-L-proline (Hyp), each in five steps. Both bridged bicyclic *P*-chiral phosphines are efficient at promoting [3 + 2] annulations of γ -substituted allenates with activated imines, providing various chiral functionalized dihydropyrroles in excellent yields and with high ee's (Scheme 16).²³ This methodology has been applied in the synthesis of 1,2,3,5-substituted pyrroline derivatives, providing a geranylgeranyltransferase type I (GGTase-I) inhibitor that is viable for *in vivo* anticancer treatment.²⁴ Both of the HypPhos ligands **P7** and **P8**, along with eight other analogues, are at present available from Sigma-Aldrich.

Although *endo*- and *exo*-phenyl-HypPhos behaved as pseudoenantiomers to form 1,2,3,5-tetrasubstituted pyrrolines in opposite enantiomeric forms, they both provided the (*S*)-1,2,3-trisubstituted pyrrolines when employing simple allenates lacking a γ -substituent. In particular, *endo*-phenyl-HypPhos provided the (*S*)-1,2,3-trisubstituted pyrrolines with higher ee's. To create chiral phosphines with the *P*-stereogenic 2-phosphabicyclo[2.2.1]heptane scaffold, very recently (in 2018) we adopted the natural terpenoid carvone as the starting materials. Hydrodealkenylation²⁵ of the isopropenyl substituent of carvone allowed generation of both the *exo*- and *endo*-phenyl-CarvoPhos ligands **P9** and **P10**.²⁶ These novel *P*-stereogenic chiral phosphines **P9** and **P10** were applied to enantioselective [3 + 2] annulations of allenates and imines to obtain a series of 1,2,3,5-tetrasubstituted pyrrolines in excellent yields and with high ee's (Scheme 17). In particular, a biologically active small molecule, (*R*)-efsevin, was prepared in 93% yield and 84% ee.

In 2012, the Kwon group highlighted the synthetic utility of the HypPhos-catalyzed allene-imine [3 + 2] annulation in the first enantioselective total synthesis of (+)-ibophyllidine.²⁷ This achievement also marked the first nonformal total synthesis of a complex natural product employing a phosphine-catalyzed allene-imine [3 + 2] annulation. From the *N*-Boc-indole-3-imine **17**, the key transformation—the asymmetric [3 + 2] annulation—was catalyzed by *endo*-phenyl-HypPhos (**P7**). The reaction afforded the pyrroline **18** in 93% yield and 99% ee (Scheme 18). When run on an approximately 30 g scale, the annulation proceeded in 94% yield and 97% ee. This powerful phosphine-catalyzed asymmetric [3 + 2] annulation set two of the stereocenters in ibophyllidine with excellent efficiency. The total synthesis of (+)-ibophyllidine **19** was completed in 13 steps from the enantiopure pyrroline **18**.

In 2016, Kwon et al. described another HypPhos-catalyzed [3 + 2] annulation of benzyl allenate with an *N*-(*o*-nitrobenzenesulfonyl) (*o*-nosyl) imine to construct the pyrroline intermediate **20** in the total synthesis of the biologically active natural product (–)-actinophyllic acid hydrochloride (**21**, Scheme 19).²⁸ The combination of *endo-p*-anisyl-HypPhos (**P12**) and (*S*)-BINOL facilitated the transformation to the cycloadduct in 99% yield and with 94% ee. Notably, the use of (*R*)-BINOL as an additive provided the same enantioselectivity as that of (*S*)-BINOL.

Enantioselective allene-imine [3 + 2] annulations using multifunctional chiral phosphines

Early efforts toward the synthesis of enantiopure dihydropyrroles through the [3 + 2] annulations of allenoates with imines met with limited success. In 2008, Jacobsen and co-workers introduced the bifunctional thiourea phosphine catalyst **P13** for the asymmetric [3 + 2] annulation of allenoates with diphenylphosphinoylimines (**22**).²⁹ This protocol furnished a broad range of substituted dihydropyrroles (**23**) in excellent yield with very high enantioselectivities (Scheme 20). The addition of both water and triethylamine increased the rate of the reaction and suppressed undesired byproducts. The effect of adding water—increasing the reaction efficiency by facilitating proton transfer during the annulation—has been studied computationally.³⁰

Lu reported, in 2012, an elegant process for asymmetric induction using the amino acid-derived chiral phosphine **P14**.³¹ Reminiscent of Jacobsen's approach, they used sterically demanding diphenylphosphinoyl imines to achieve high enantiocontrol (Scheme 21). In addition to alkyl imines, aryl imines also afforded their functionalized pyrrolines with great efficiencies and ee's. Using Jacobsen's and Lu's systems, both enantiomers of 2- pyrrolines can be accessed for synthetic applications.

In the same article, Lu and co-workers described the formal synthesis of the alkaloid natural product (+)-trachelanthamidine (**26**), with allene-imine [3 + 2] annulation as a key step, using the chiral phosphine catalyst **P14** (Scheme 22). Several subsequent functional group manipulations were performed to remove the phosphoryl and silyl protecting groups. Sulfonylation of the free pyrroline amino group with *p*-tolylsulfonyl chloride rendered a formal synthesis of the target **25** toward (+)-trachelanthamidine (**26**). This short formal synthesis confirmed the applicability of this key [3 + 2] annulation in natural products synthesis.

In 2013, the Guo group demonstrated the formation of functionalized tricyclic sulfamidates through reactions catalyzed by the L-isoleucine- derived bifunctional *N*-acylaminophosphine **P15**³² (Scheme 23). The transformations proceeded to give chiral sulfamate-fused dihydropyrroles in good yields and with moderate to excellent ee's.

In 2014, Toffano and Vo-Thanh reported the synthesis of a chiral thiourea-phosphine organocatalyst derived from L-proline.³³ The resulting annulation, however, yielded the dihydropyrrole in low ee when using the catalyst **P16** (Scheme 24).

In 2016, the Lu group investigated the bifunctional chiral phosphine **P17** as a catalyst for enantioselective [3 + 2] annulations of isatin-derived ketimines with both simple and γ -substituted allenoates (Scheme 25).³⁴ In the presence of a catalytic amount of the bifunctional chiral phosphine **P17**, unique 3,2'-pyrrolidinyl spirooxindoles were synthesized in a highly enantioselective manner and in good yields.

Kumar and co-workers performed similar transformations using Zhao's *N*-acylaminophosphine **P15** as the catalyst for the synthesis of 3,2'-dihydropyrrolyl spirooxindoles with high efficacies and with excellent enantioselectivities (Scheme 26).³⁵

Very recently, Kitagaki and co-workers demonstrated that the planar-chiral [2.2]paracyclophane-based phosphine-phenol catalyst **P18**, which features a benzene ring spacer between the pseudo-*ortho*-substituted [2.2]paracyclophanol skeleton and the diarylphosphino group, is highly efficient at mediating enantioselective [3 + 2] annulations of allenates and *N*-tosyl imines (Scheme 27).³⁶ This catalyst offered enantioselectivities as high as 92%—the highest reported to date for phosphine-catalyzed annulations of unsubstituted allenic esters with *N*-tosylaldimines.

In summary, phosphine-catalyzed [3 + 2] annulations of allenates and imines can be efficient protocols for regio- and stereoselective syntheses, under mild conditions, of valuable substituted pyrrolines presenting a variety of functional groups. Such methods are intriguing because the seemingly innocuous phosphine acts, in most cases, as the catalyst with no need for additional ligands or additives. The broad substrate scope of phosphine-catalyzed allene-imine [3 + 2] annulations has been exploited in the total syntheses of important bioactive alkaloid natural products and medicinally relevant compounds. Such [3 + 2] annulations should find broader applications in the syntheses of other natural products, bioactive molecules, and functional materials.

Biography

Ohyun Kwon, Professor of Chemistry and Biochemistry at UCLA, received her B.S. and M.S. degrees from Seoul National University in 1991 and 1993, respectively. After obtaining her Ph.D. from Columbia University in 1998, and a postdoctoral stint at Harvard University, Kwon began her independent career at UCLA in 2001. Her research involves the development of phosphine-catalyzed reactions and their application to natural product synthesis and chemical biology. She has played key roles in establishing phosphinocatalysis as one of the main areas of organocatalysis, and is recognized as one of the leaders in the field.

Aslam C. Shaikh was born in 1989 in Ahmednagar (Maharashtra), India. He completed his M.Sc. (2012) in Chemistry from HPT Arts and RYK Science College in Nashik. In 2013, he

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Scheme 1.
Kwon's synthesis of tetrasubstituted pyrrolines

Scheme 2.

Shi's formation of tetrasubstituted pyrroline derivatives

Scheme 3.

He's PTA-catalyzed allene-imine [3 + 2] cycloaddition

Scheme 4.

He's [3 + 2] annulations of aliénés with *N*-thiophosphorylimines

Scheme 5.

Wu's phosphine-catalyzed [3 + 2] annulations of *N*-aryl fluorinated imines with allenates

Scheme 6.
Ye's preparation of functionalized pyrrolines

Scheme 7.

Guo's and Wang's synthesis of functionalized cyclic sulfamidates

Scheme 8.

Ma's synthesis of functionalized polycyclic compounds

Scheme 9.

Ma's synthesis of functionalized dihydropyrroloquinazolin-5-ones

Scheme 10.

Loh's synthesis of functionalized 2-alkylpyrrolines

Scheme 11.
Loh's formal synthesis of (±)-allosecurinine

Scheme 12.
Kinderman's preparation of cyanopyrrolines

Scheme 13.

Gladysz's asymmetric [3 + 2] annulations using a rhenium-containing phosphine catalyst

Scheme 14.

Marinetti's screening of chiral phosphines for [3 + 2] annulations giving pyrrolines

Scheme 15.

Marinetti's asymmetric [3 + 2] annulations forming pyrrolines

Scheme 16.

Kwon's synthesis of enantioenriched dihydropyrroles

Scheme 17.
Kwon's synthesis of enantioenriched pyrrolines

Scheme 18.

Kwon's enantioselective total synthesis of (+)-ibophyllidine

Scheme 19.

Kwon's total synthesis of (-)-actinophyllic acid

Scheme 20.
Jacobsen's asymmetric synthesis of pyrrolines

Scheme 21.

Lu's enantioselective synthesis of 2-alkyl- and 2-aryl- substituted pyrroline 3-carboxylates

Scheme 22.

Lu's formal synthesis of (+)-trachelanthamidine

Scheme 23.

Guo's asymmetric synthesis of functionalized tricyclic sulfamidates

Scheme 24.

Toffano and Vo-Thanh's use of a thiourea-phosphine organocatalyst in an asymmetric allene-imine [3 + 2] annulation

Scheme 26.

Kumar's use of Zhao's chiral phosphine catalyst in aliene-imine [3 + 2] annulations

Scheme 27.

Kitagaki's planar-chiral [2.2]paracyclophane-based phosphine-phenol catalyst for allene-imine [3 + 2] annulations