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Direct C–H α -Arylation of Enones with $\text{ArI}(\text{O}_2\text{CR})_2$ Reagents

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Abstract

α -Arylation of α,β -unsaturated ketones constitutes a powerful synthetic transformation. It is most commonly achieved via cross-coupling of α -haloenones, but this stepwise strategy requires prefunctionalized substrates and expensive catalysts. Direct enone C–H α -arylation would offer an atom- and step- economical alternative, but such reports are scarce. Herein we report the metal-free direct C–H arylation of enones mediated by hypervalent iodine reagents. The reaction proceeds via a reductive iodonium Claisen rearrangement of in situ-generated β -pyridinium silyl enol ethers. The aryl groups are derived from $\text{ArI}(\text{O}_2\text{CCF}_3)_2$ reagents, which are readily accessed from the parent iodoarenes. The reaction is tolerant of a wide range of substitution patterns, and the incorporated arenes maintain the valuable iodine functional handle. Mechanistic investigations implicate arylation via an unpoled “enolonium” species and show that the presence of a β -pyridinium moiety is critical for the desired C–C bond formation.

The α -arylation of carbonyl compounds represents a powerful class of C–C bond-forming reactions. While transition metal and organocatalysis have resulted in numerous methods for α -arylation of ketones and aldehydes via enolate and enolate equivalents,^{1,2} the corresponding $\text{C}(\text{sp}^2)\text{--H}$ arylation of enones (**1**) has seen less development, despite the fact that the resulting arylated products serve as valuable synthetic intermediates.³ Enone α -arylation is commonly achieved via initial conversion to the α -haloenone (**2**) followed by cross-coupling with a suitable arene partner (Scheme 1A), or the roles of the two coupling partners can be reversed.⁴ While enabling, such stepwise strategies are not without drawbacks; they require prefunctionalization (sometimes multistep) of the starting enone and often use expensive and/or toxic metals in both the catalysts and cross-coupling partners (e.g., Pd, Sn).

Direct enone C–H α -arylation offers a step- and atom- economical alternative, but the development of such methods has proven challenging, and no metal-catalyzed approaches have been reported to date.^{5,6} In 2000, the Krische laboratory disclosed an elegant solution through the use of nucleophilic phosphine catalysis in combination with hypervalent

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ASSOCIATED CONTENT

Supporting Information

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Synthetic procedures, mechanistic investigation into the role of the β -pyridinium moiety, Hammett analysis, and NMR spectra (PDF)

bismuth- (V) species as aryl transfer reagents (Scheme 1A).⁷ Despite effecting metal-free, one-pot enone C–H arylation, this method has not seen broad adoption, likely because of the use of Ar_3BiCl_2 reagents, which are unfamiliar to most chemists, require multistep syntheses,⁸ and suffer from low atom economy, as two of the aryl groups serve as sacrificial “dummy ligands”. Taking inspiration from this pioneering report, we became interested in further developing the concept of “nucleophilic activation” as a general platform for direct enone C–H arylation.

As a part of our laboratory’s ongoing interest in hypervalent iodine compounds, we identified I(III) reagents as a potentially versatile class of arylating reagents to combine with a nucleophilic activation strategy. Hypervalent iodine compounds are attractive because of their low cost, ease of handling, ready accessibility, and versatile reactivity.⁹ Within I(III) species, diaryliodonium salts $[\text{Ar}_2\text{IX}]$ have seen broad utility as aryl transfer reagents, but they suffer from low atom economy and issues of chemoselectivity with nonsymmetrical salts.¹⁰ We were inspired by the recent pioneering work of Shafir¹¹ and others¹², who demonstrated that ArIX_2 reagents can affect α -(2-iodo)arylation of activated enolates (**4**), including 1,3-dicarbonyls, α -cyano ketones, and α,α -difluoro silyl enol ethers via reductive iodonium-Claisen rearrangements of O–I-bound enolates (**6**) (Scheme 1B). The use of ArIX_2 species as arylating reagents is particularly appealing because they are readily accessible from the parent iodoarenes and, notably, the entire aryl iodide motif is transferred intact, making this highly atom-economical and retaining a valuable ortho functional handle for downstream manipulations. To date, however, no successful examples of arylative [3,3] iodonium-Claisen rearrangements on enolates or enol ethers such as those required of the envisioned nucleophilic activation strategy have been described.

Herein we report the direct C–H α -arylation of enones via reductive iodonium-Claisen rearrangement of in situ-generated β -pyridinium silyl enol ethers (**7**) and $\text{ArI}(\text{O}_2\text{CCF}_3)_2$ reagents (Scheme 1B). The necessary $\text{ArI}(\text{O}_2\text{CCF}_3)_2$ reagents are readily synthesized from the parent iodoarenes, the reaction shows broad arene scope, and the products retain the o-iodo functional handle. Mechanistic studies indicate that the reaction proceeds via the formation of an O–I enolonium species¹³ followed by reductive [3,3] rearrangement and that this sequence is highly contingent on the presence of the β -pyridinium moiety. This represents the first example of an iodonium-Claisen rearrangement that circumvents the requirement for α -functionalized enolates (vide supra). The synthetic utility of the resulting α -(2-iodoaryl)enones is demonstrated via the rapid diversification to valuable synthetic building blocks and heterocyclic frameworks.

At the outset of these studies, it was envisioned that the most significant challenge would be controlling for the desired arylation over C–X bond formation (Scheme 2A), as the latter is very well precedented for ArIX_2 species and enolates.^{9,14} Either product would arise from the same O–I-bound “enolonium” intermediate **9**,^{13b} which is rendered electrophilic at the α -carbon and is subject to umpolung attack by nucleophiles. The desired α -arylation via a reductive [3,3] rearrangement (path a)^{11,12} would need to occur selectively over competitive intermolecular attack by a displaced X ligand (path b),^{9,13a,b} which would lead to undesired α -oxidation products (**8**). On the basis of these two possible mechanisms, we hypothesized that $[\text{ArI}(\text{het})_2]\text{X}_2$, or *N*-HVIs, which are the subject of ongoing investigations in our

laboratory,¹⁵ could be ideally suited for this transformation because the heterocyclic nitrogen ligands are both weakly bound and relatively nonnucleophilic, thus favoring path a.

Using cyclohexenone as a model substrate, pyridine-ligated *N*-HVI [PhI(Py)₂](OTf)₂ gave a promising 23% yield of the desired α -iodoarylated enone **10** when combined with stoichiometric pyridine as an exogenous nucleophile (Scheme 2B). Unfortunately, despite screening of *N*-HVIs, other I(III) reagents, β -nucleophiles, and reaction conditions, the yield of the arylation could not be improved. We hypothesized that the low yields could be the result of competitive background reactions between the I(III) reagents and the nucleophiles (i.e., ligand exchange, oxidative degradation, ligand coupling). To address this, a sequential one-pot arylation process was envisioned wherein stoichiometric generation and trapping of an in situ-formed enolate would be followed by addition of the ArIX₂ species. To this end, it was found that treatment with TMSOTf and pyridine under a slight modification of the conditions reported by Kim¹⁶ gave clean conversion to β -pyridinium silyl enol ether **13** as determined by ¹H NMR analysis (Scheme 2C). With efficient conditions for silyl enol ether generation in hand, α -arylation of in situ-generated **13** was then examined (Table 1), beginning with [PhI(Py)₂](OTf)₂, which gave **12** in a similar 30% yield when the reagent was added at low temperature and then warmed to 80 °C for 18 h (entry 1). Turning to other X-ligands, PhI(O₂CCF₃)₂ gave an improved yield of 46% after 12 h (entry 2); interestingly, no α -oxidation products were observed, and the only other species present as determined by NMR analysis was assigned as intermediate arylated pyridinium salt **14**, which was not stable toward isolation. An NMR study then revealed that α -arylation occurred rapidly (<10 min) at low temperature and that final conversion of **14** to **12** was in fact the slow step. On the basis of this finding, various additives were examined to facilitate elimination of the β -pyridinium moiety. The addition of NEt₃ gave a significant boost in the yield to 83% at just 40 °C (entry 3), and the use of acidic silica/MeOH gave a further improvement to 90% (entry 4). The use of PhI(OAc)₂ was less efficient under both basic and acidic conditions (entries 5 and 6). For comparison, arylation was then attempted with diaryliodonium salts (entries 7 and 8), which have been shown to efficiently arylate silyl enol ethers,^{3a,10,17} but no reaction was observed, highlighting the unique reactivity of these β -pyridinium species.

With this sequential activation strategy, the scope of the arylation was found to be quite general (Table 2). All of the necessary ArI(O₂CCF₃)₂ reagents shown can be rapidly synthesized via oxidation of the corresponding commercially available aryl iodides using literature procedures.¹⁸ With regard to the aryl moiety, alkyl groups at the ortho, meta, or para position relative to the iodide were well-tolerated, giving α -(2-iodoaryl)enones **15–19** in good to excellent yields. Electronic variation could be incorporated, including a methoxy (**20**), tosylate (**21**), and ester (**22**), but more strongly electron-withdrawing groups such as -NO₂ (**23**) and -CN (**24**) led to significant drops in yield. The effect of *o*-, *m*-, and *p*-halogen substitution was then examined, as these products would provide multiple functional handles and be particularly challenging to synthesize using traditional metal-catalyzed cross-couplings. While all successfully yielded arylated products (**25–33**), a clear trend emerged between the yield and the substitution pattern (para > meta » ortho). In the case of **25**, **28**, and **31**, the low yields are hypothesized to arise from dual steric and electronic effects that are detrimental to the initial ligand exchange and arylation steps, respectively; together,

these lead to a predominance of oxidative degradation byproducts.¹⁹ Next, polysubstituted arenes were found to be amenable to arylation, giving **34** and **35** in good yields. In cases where regioisomeric products were possible, rearrangement occurred with complete regioselectivity for the less hindered site.²⁰ Electron-rich heteroaromatics could also be incorporated, including 3-iodothiophene (**36**) in 77% yield and desiodopyrazole (**37**), the result of a net ipso substitution. With regard to the enone moiety, both cyclopentenone and acyclic crotonaldehyde gave arylated products in good yields (**38**, **40**), whereas arylation of the more conformationally flexible cycloheptenone (**39**) proceeded in only 12% yield.

The resulting α -(2-iodoaryl)enones can be efficiently converted to synthetic building blocks and polycyclic aromatic heterocycles (Scheme 3). Leveraging the carbonyl oxygen allowed access to benzofurans in a range of oxidation states in excellent yields (**41–44**). In the case of nitrogen-containing scaffolds, conversion to the nitro derivative **45** was of interest, as this functionality has been utilized in the synthesis of indole natural products and related scaffolds.³ Initial screening found that typical nitration procedures led to degradation of the enone moiety,²¹ but conversion of **12** to the diaryliodonium salt (see Supporting Information) followed by treatment with KNO₂ gave **45** in good yields.²²

Mechanistically, the observed electronic trends favoring the use of electron-rich aromatics (see Supporting Information for analysis of yield vs σ_{para} values) are consistent with our initial proposal of an “umpoled” enolonium species that undergoes nucleophilic arylation, in agreement with previous reports (see Scheme 2A).^{11,12,23} A key question surrounded the role of the β -pyridinium moiety in modulating for arylation over C–X bond formation, as α -oxidation side products were not observed under our conditions (Scheme 4A). To begin to probe the origins of this selectivity, β -unsubstituted silyl enol ether **46** was treated with PhI(OCOCF₃)₂, and as expected, this gave exclusively α -oxidized product **47** in nearly quantitative yield, confirming the predominance of this pathway in the absence of any enolate modulation (Scheme 4B). Next, in order to mimic the sterics of the pyridinium while removing any potential electronic effects, β -phenyl silyl enol ether **48** was subjected to our standard arylation conditions (Scheme 4B). Interestingly, this gave a complex mixture of nonspecific degradation products, none of which could be identified as either α -oxidation or α -arylation; this result supports that sterics alone are not sufficient to achieve efficient α -arylation but do act to inhibit intermolecular pathways. Taken together, these results indicate that the β -pyridinium moiety likely modulates reactivity via the interplay of both steric and electronic effects (Scheme 4 inset). Steric hindrance acts to suppress otherwise dominant intermolecular reactions that lead to α -oxidation products, whereas inductive deactivation of the α -carbon further enables the reversepolarity nucleophilic arylation event, likely in an analogous fashion to previously employed α -activating groups.^{11,12}

In conclusion, an efficient method for the direct C–H α -arylation of enones with ArI(O₂CCF₃)₂ reagents has been developed. The reaction proceeds via in situ generation of a β -pyridinium silyl enol ether followed by reductive iodonium-Claisen rearrangement of an umpoled “enolonium” species. This report provides the first example of an iodonium-Claisen arylation that circumvents the previous requirements for α -functionalized enolates. The synthetic utility of the α -(2-iodoaryl)enones was demonstrated through their conversion to various synthetic intermediates and heterocyclic scaffolds. Mechanistically, the β -

pyridinium moiety appears to be critical for promoting the desired arylation over prototypical α -oxidation pathways through both steric and electronic modulation. Further mechanistic investigations, including computational studies, aimed to further understand the steric and electronic effects of both the β -pyridinium and aryl iodide moiety on the reaction pathway are ongoing in our laboratory.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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A. Synthetic approaches to α -aryl enones

Conventional Approach: Stepwise halogenation/cross-coupling

Requires prefunctionalization, expensive/toxic reagents

Alternative Strategy: Direct C–H arylation via nucleophilic activation

B. α -Iodoarylation with $ArIX_2$ via Iodonium-Claisen Prior work: Shafrir,¹¹ Huang¹² *No examples on simple, unactivated enolates*This work: Enone C–H α -arylation via β -pyridinium enolates

– $ArI(O_2CR)_2$ reagents are readily accessible – High atom economy
 – First example of iodonium-claisen on simple enolates

Scheme 1.(A) Traditional Approach to α -Arylation and Alternative Nucleophilic Activation Strategy;(B) α -Arylation with I(III) Reagents: Prior Art and This Work

A. Desired α -arylation versus competitive α -oxidation pathway **B. Initial attempt at direct α -arylation with I(III) reagents** **Nu and I(III) screening: 0-17% yield**

I(III): [PhI(het)₂]₂OTf; (het) = 4-NMe₂Py, 4-CF₃Py, 2-OMePy
 PhI(OAc)₂, PhI(OTFA)₂, Ph₂IX
 Nu: Pyridine, DMAP, imidazole, PPh₃, DABCO, Et₃N

C. Revised approach via *in situ* β -pyridinium silyl enol ether **Scheme 2.**

(A) Precedented C–X Bond Formation vs. Desired Arylation Pathway (B) Initial Attempts at One-Pot Arylation; (C) Modified Strategy via β -Pyridinium Silyl Enol Ethers

Scheme 3. Derivatization of α -(2-Iodoaryl)enones^a

^aConditions: (a) NaBH₄, MeOH, then CuI, 1,10-phenanthroline, MePh, 100 °C to get **41**; then TsOH, 50 °C gives **42**. (b) CuI, 1,10-phenanthroline, microwave, 100 °C. (c) CuI, 1,10-phenanthroline, microwave, 100 °C, then toluene, 95 °C. (d) *m*-CPBA, anisole, TfOH, CH₂Cl₂, then KNO₂, EtOAc, 60 °C.

A. Selective arylation via β -pyridinium silyl enol ether

B. Mechanistic probes: Role of sterics and electronics

No steric or electronic modulation

Exclusive steric blocking

Scheme 4.
Mechanistic Role of the β -Pyridinium Moiety

Table 1.Optimization of the α -Arylation of in Situ-Generated β -Pyridinium Silyl Enol Ether^a

entry	I(III) reagent	temp.	additive	yield (%)
1	[PhI(Py) ₂](OTf) ₂	80 $^{\circ}\text{C}$ ^b	none	30
2	PhI(OTFA) ₂	80 $^{\circ}\text{C}$ ^c	none	46
3	PhI(OTFA) ₂	40 $^{\circ}\text{C}$ ^c	Et ₃ N	83
4	PhI(OTFA) ₂	40 $^{\circ}\text{C}$ ^c	silica/MeOH	90
5	PhI(OAc) ₂	40 $^{\circ}\text{C}$ ^c	Et ₃ N	43
6	PhI(OAc) ₂	40 $^{\circ}\text{C}$ ^c	silica/MeOH	76
7	Ph ₂ IBF ₄	80 $^{\circ}\text{C}$ ^c	–	0
8	NPIF	80 $^{\circ}\text{C}$ ^c	–	0

^a All screening was performed on 0.360 mmol of **11** (1.0 equiv) with 0.470 mmol of I(III) reagent (1.3 equiv) in 1.8 mL of MeCN (0.2 M).^b Reaction heated for 18 h.^c Reaction heated for 12 h.

Table 2.

Scope of Enone C–H α -Arylation with $\text{ArI}(\text{O}_2\text{CCF}_3)_2$ via Sequential Activation Strategy

Aryl Iodide Scope^{a,b}	
	12 , 90%, (76%) ^c
	15 , 68%
	16 , 78%
	17 , 66%
	18 , 56%
	19 , 43%
	20 , 76%
	21 , 46%
	22 , 68%
	23 (X=NO ₂), 16%
	24 (X=CN), 13%
	25 , 12%
	26 , 42%
	27 , 65%
	28 , 16%
	29 , 44%
	30 , 47%
	31 , 34%
	32 , 60%
	33 , 85%
	34 , 64%
	35 , 40%
Heterocyclic Aryl Iodides	
	36 , 77% ^c
	37 , 61% ^c
	38 , 78%
	39 , 12%
	40 , 42%

^a All reactions were performed with 0.36 mmol of enone (1.0 equiv) in 1.8 mL of MeCN (0.2 M).

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The reported yields are averages of three trials.

Arylation was performed with an ArI(OAc)₂ derivative. In the cases of **36** and **37**, the analogous ArI(O₂CCF₃)₂ reagents were not stable.