Published in final edited form as:

Proc SPIE Int Soc Opt Eng. 2018 February ; 10575: . doi:10.1117/12.2293199.

Single Season Changes in Resting State Network Power and the Connectivity between Regions:

Distinguish Head Impact Exposure Level in High School and Youth Football Players

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Abstract

The effect of repetitive sub-concussive head impact exposure in contact sports like American football on brain health is poorly understood, especially in the understudied populations of youth and high school players. These players, aged 9–18 years old may be particularly susceptible to impact exposure as their brains are undergoing rapid maturation. This study helps fill the void by quantifying the association between head impact exposure and functional connectivity, an important aspect of brain health measurable via resting-state fMRI (rs-fMRI). The contributions of this paper are three fold. *First*, the data from two separate studies (youth and high school) are combined to form a high-powered analysis with 60 players. These players experience head acceleration within overlapping impact exposure making their combination particularly appropriate. *Second*, multiple features are extracted from rs-fMRI and tested for their association with impact exposure. One type of feature is the power spectral density decomposition of intrinsic, spatially distributed networks extracted via independent components analysis (ICA). Another feature type is the functional connectivity between brain regions known often associated with mild traumatic brain injury (mTBI). *Third*, multiple supervised machine learning algorithms are evaluated for their stability and predictive accuracy in a low bias, nested cross-validation modeling framework. Each classifier predicts whether a player sustained low or high levels of head impact exposure. The nested cross validation reveals similarly high classification performance across the feature types, and the Support Vector, Extremely randomized trees, and Gradboost classifiers achieve F1-score up to 75%.

1. INTRODUCTION

Understanding the effects of repetitive sub-concussive head impacts in youth (ages 9–13), high school (ages 14–19) football players on brain development is of growing concern, and yet the association is challenging to understand. Players sustaining a concussion frequently complain of sensitivity to visual stimuli. Therefore, we hypothesized that the visual networks would contain discriminatory information. Recently, Zhu et al [1] demonstrated the ability of functional connectivity of the default mode network (DMN) to serve as a potential biomarker to monitor dynamic changes in brain function after sports related concussion [2]. There is increasing evidence that the hippocampus, a core region for human

memory, should be included in the DMN. Since traumatic brain injury (TBI) often compromises memory we also hypothesized that the DMN would have telltale features that characterize injury level [3].

In this study we included subjects from both high school and youth and studied the changes in the power spectrum of the resting state networks and the functional network connectivity between AAL regions of DMN, Hippocampal and Visual regions to differentiate high impact exposed players from those who are exposed to light impact. The accuracy of our classification is an indicator of the level of association and the features used by the classifier reveal the aspects of functional brain connectivity most effected from the exposure.

2. MATERIALS AND METHODS

2.1 Combining youth and high school datasets and player selection

The data used in this research is part of IRB-approved iTAKL [4] studies on the effect of repetitive sub-concussive head impacts in youth and high school football players. In each study, players were instrumented with Head Impact Telemetry System (HITS) [5] during all practices and games. In this system, accelerometers are mounted inside the helmet to measure skull acceleration. The risk of concussion from each impact, was computed from the combined probability of concussion from measured linear and rotation accelerations [6]. The risks from each impact are summed to compute each football player's Risk of concussion-Weighted cumulative Exposure (RWE_{CP}) for the season. The 36 players with lowest impact exposure (mean $RWE=0.65 \pm 0.03$) and the 24 subjects with highest impact exposure (mean $RWE=1.91 \pm 0.67$) out of total 138 subjects were selected to study the effect of subconcussive head impact exposure on brain connectivity (Fig.2). Resting state fMRI (rs-fMRI) was acquired on a Siemens 3T scanner. The rs-fMRI scans were acquired with an echo planar sequence covering the entire brain ($FOV = 224 \times 224$ mm, flip angle = 90 deg, $TR = 2$ sec, $TE = 25$ msec) over a 6-minute period before and after the season for each player. The participants were instructed to keep their eyes open and cross hair fixated. The fMRI data was preprocessed using an in house developed processing pipeline that includes steps for motion correction, spatially smoothing and spatial normalization to a common atlas space (MNI) in order to facilitate group ICA.

2.2 Construction of resting state network features

Two types of features were constructed. For the first type, thirty independent components were extracted from the pre- and post-season rs-fMRI data using temporal concatenation group ICA using the InfoMax algorithm (GIFT toolbox [7]). The subject specific time course of three components of DMN including the posterior and frontal DMN, and posterior cingulate cortex (PCC) and Visual Medial were converted to their power spectrum through power spectral density decomposition. This formed four network specific features: Posterior DMN_{PSD} , Frontal DMN_{PSD} , PCC_{PSD} and VMP_{PSD} . This frequency-based representation is invariant to phase and facilitates intersubject comparison of non phase locked, time based activity, which characterizes resting state experiments. The difference of the discrete (binned) PSD vectors, post-season minus pre-season, was calculated for baseline correction, Fig 3(left). This allowed us to focus on functional changes in a single season of football.

For the second feature type, a mean fMRI activation time series was extracted from gray matter voxels that comprise the DMN, Visual Network and the hippocampus. The *DMN regions* included L&R inferior parietal lobule, L&R medial orbitofrontal cortex, L&R posterior cingulate cortex, L&R superior frontal gyrus. The *visual medial regions* included L&R superior occipital gyrus, L&R middle occipital gyrus, L&R inferior occipital, and L&R fusiform gyrus. The *hippocampal regions* included L&R hippocampus, L&R para hippocampus gyrus, L&R amygdala. Inter-regional connectivity was measured using Pearson's correlation coefficient of the mean time series between pairs of regions. These pairwise connectivity values were used to form the entries in a symmetric matrix, called the functional network connectivity matrix. The difference of two FNC matrices, post-season minus pre-season, was computed. The upper triangular portion, Fig 3(right) is vectorized and used as the feature vector. In all three feature vectors were formed and were denoted DMN_{FNC} for the DMN regions, DH_{FNC} for the combined DMN and hippocampus regions, and, $Visual_{FNC}$ for the visual medial regions.

2.3 Classifier training, evaluation and model selection methodology

Next, multiple classifiers were systematically trained to test their ability to distinguish between the high and light impact exposure groups using each feature type. The classifiers included Adaboost, Gradient boost, Support Vector, Random Forest and ERT(Extremely Randomized Trees) classifiers [8, 9]. Nested cross-validation was performed with stratified 3-fold cross-validation in the outer layer and 3-fold cross-validated grid search in the inner layer. Nested cross-validation holds out test data from training data and allows to compute an unbiased estimate of real world performance [10]. The mean of the means of training and cross validation (CV) score across the nested folds are shown in Table 1. The inner layer performed grid search for model (hyper parameter) selection within each classifier category (e.g. Adaboost, Gradboost, Support vector). The F1 score was chosen as the performance metric because it provides an unbiased measure of performance when classes are unbalanced.

3. RESULTS

For each feature, several models performed much better than chance (F1-score=50%) as shown in Table 1. For example both the Gradboost (F1-score=75.0%) and the Support Vector (F1-score=72.2%) classifiers performed well for the feature VM_{PSD} . Similarly, performing classifiers were found for each feature. To assess the reliability of the learning models accuracy via the notion of statistical significance, the approach of [11] was used from which the top performing classifiers have p-values ≈ 0.0001 .

3.1 Classification based on RSN power spectral density features

For the features VM_{PSD} , PCC_{PSD} and $Frontal\ DMN_{PSD}$ the Gradboost classifier was stable across the nested cross-validation and generalized well to the held out test data, as shown in Table 1(left). For the feature, $Posterior\ DMN_{PSD}$, the support vector classifier was stable and generalized well to the test data with F1-score=75.0%. Further, changes in power from pre to post changes in high impact players showed significant increase in posterior DMN, frontal DMN and significant decrease in PCC compared to light impact

players as shown in Table 2. A decrease in Visual medial network power is also observed which trend towards significant with p-value 0.1

3.2 Classification based on regional connectivity features

For functional network connectivity features: DMN_{FNC} , DH_{FNC} and, $Visual_{FNC}$ the support vector, ERT, and Gradboost classifiers performed well in the nested cross validation, as shown in Table 1(right). These classifiers have similar F1-scores between 70.2 and 75.3% and overlapping distributions with generally small standard deviations. Since these classifiers have similar discriminatory power when hyperparameters are properly tuned, their similar performance make sense. Discriminatory features to classify the head impact exposure of the players is identified for Gradboost classifier as it was stable across the nested cross-validation iterations. Connectivity changes of inferior parietal with superior frontal left and medial orbitofrontal left is identified as top two discriminatory features for DMN_{FNC} . Changes of connectivity between hippocampus right and inferior parietal right is identified as top discriminatory feature after adding hippocampus regions to DMN regions in DH_{FNC} followed by connectivity changes of inferior parietal with superior frontal left and medial orbitofrontal left.

4. DISCUSSION

There are several important meta results from the current study. First, is that more than one machine learning algorithm performed well for each feature type. This *bolsters our belief in the existence of a true association between functional connectivity and head impact exposure* during a single season of play. If only one classifier performed well, it might be attributed to chance or bias in that model; however, when several perform well this is unlikely to be due to chance. Second, the use of nested cross validation computes an unbiased estimate of real world performance and the confidence in that estimate which facilitates model comparison. As expected, we observed the cross-validation F1-score is slightly inflated for nearly every model relative to the model's test F1-score [10]. Thus, *the additional outer layer in the nested cross validation appropriately corrected the inherent inflation of model selection in the inner layer*. Lastly, we note that adding the hippocampal regions to DMN regions did not improve these results, suggesting that the hippocampus regions are correlated to the existing features.

4.1 Classification based on RSN power spectral density features

An increase in activity of frontal and posterior DMN subcomponents, and a decrease in PCC is observed in this study, which has also been reported in several other diseases such as in epilepsy, Alzheimer's and mTBI [12]. Suggesting that frontoposterior DMN components are not only intrinsically independent but are also highly complementary in its function [3, 12, 13]. Increase in the DMN activity in players subjected to high head impact exposure, may attribute to compensatory mechanism of neuroplasticity in response to neuronal repair [12]. A decreased connectivity in the PCC is observed in this study and was also shown by Abbas et al [2] in high school football players, exposed to subconcussive head impacts. The observed decrease in PCC activity may have subsequent effects in functional brain health as PCC serves to play a role in processing external/internal stimuli, emotional processing

related to episodic memory and frontal regions that has been associated with social cognition [14].

4.2 Classification based on regional connectivity features

Our results supports our hypothesis that head impact exposure effects functional connectivity that is manifest in important resting state fMRI networks and the hippocampal regions. Discriminatory features derived from FNC analysis of DMN and hippocampus regions show connectivity changes from the inferior parietal region to the superior frontal, medial orbital frontal left and the connectivity with the hippocampus left was the top discriminatory feature for identifying the head impact exposure (Fig.4). Our results corroborates previous findings that head injury often affects memory with changes in hippocampal and frontal regions [14]. For the VM FNC feature, the inferior occipital gyrus left's connectivity between medial and superior occipital gyrus right was identified as a discriminatory feature. In the future, we aim to further study the interactions between the regions, and add subjects and features to enrich the analyses.

5. CONCLUSION

In this study, we examined whether single season changes in resting state fMRI features, including the power spectral density of resting state networks and the functional connectivity between gray matter regions, can discriminate the football players with different levels of sub concussive head impact exposure. We employed a robust model evaluation methodology to compare suitable classifiers, which gives unbiased estimates of real world performance. Our results supports the notion of compensatory role of DMN in response to injury. The consistent results that were found demonstrate the utility of using such a machine learning approach to study connectivity changes in youth and high school football players and provides strong support to the growing body of evidence that there are detectable changes in brain health from playing a single season of football.

Acknowledgement

Support for this research was provided by NIH R01NS082453 (JAM, JS), and R01NS091602 provided support for this research (JAM, CW, JS). This material is also based upon work supported by the NSF Graduate Research Fellowship under Grant #DGE-0907738. Any opinion, findings, and conclusions or recommendations expressed in this material are those of the authors(s) and do not necessarily reflect the views of the NSF.

Note: This work has not been submitted for publication or presentation elsewhere.

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Figure 1:
A) Processing steps including preprocessing, feature construction and classifier training. B)
Intrinsic resting state networks

Figure 2:
Distribution of Risk Weighted cumulative Exposure from combined probability (RWE_{CP})

Figure 3:
Representative examples of the two types features used as input to the machine learning algorithms. (left) PSD, the changes in power/frequency and (right) Seasonal FNC matrix (upper triangular portion).

Figure 4:
Discriminatory features derived from Gradient Boosting algorithm

Table 1:

Nested Cross validation mean F1-scores (percentages) for Training, Cross Validation and Test data

	Classifiers	Train	CV	Test
VM _{PSD}	Adaboost	88.1	53.1 ± 16.8	64.6 ± 23.2
	Gradboost	81.9	73.6 ± 2.9	75.0 ± 0.0
	Support Vector	82.2	70.9 ± 7.2	72.2 ± 4.8
	Random Forest	90.4	70.7 ± 5.5	68.3 ± 5.1
	ERT	89.3	73.9 ± 3.6	70.6 ± 11.1
Posterior DMN _{PSD}	Adaboost	83	54.2 ± 18.1	70.0 ± 5.3
	Gradboost	81.6	71.2 ± 7.0	70.5 ± 7.8
	Support Vector	81.1	75.0 ± 1.6	75.0 ± 0.0
	Random Forest	88.8	69.4 ± 6.9	59.9 ± 19.4
	ERT	88.7	71.6 ± 3.8	62.6 ± 9.1
PCC _{PSD}	Adaboost	86.6	52.5 ± 13.5	58.8 ± 16.9
	Gradboost	82	72.0 ± 4.5	75.0 ± 0.0
	Support Vector	83.2	63.2 ± 17.1	73.8 ± 2.1
	Random Forest	90.2	62.8 ± 8.9	62.7 ± 9.2
	ERT	90.1	67.5 ± 6.4	73.3 ± 3.8
Frontal DMN _{PSD}	Adaboost	83.2	58.1 ± 10.8	65.5 ± 10.3
	Gradboost	81.7	74.4 ± 1.9	75.0 ± 0.0
	Support Vector	81.4	68.3 ± 6.8	73.0 ± 5.6
	Random Forest	89.5	64.1 ± 9.8	58.1 ± 24.3
	ERT	89	69.4 ± 6.6	53.7 ± 36.9
DMN _{FNC}	Adaboost	88.5	66.6 ± 11.0	72.0 ± 9.0
	Gradboost	81.8	75.0 ± 1.6	70.5 ± 7.8
	Support Vector	92.4	73.7 ± 4.0	73.2 ± 4.2
	Random Forest	90.3	64.7 ± 6.5	77.5 ± 2.5
	ERT	89.2	75.3 ± 3.0	72.7 ± 3.2
DH _{FNC}	Adaboost	88.6	62.8 ± 9.1	72.0 ± 9.0
	Gradboost	82.2	75.3 ± 1.3	72.2 ± 4.8
	Support Vector	93.5	72.0 ± 6.6	70.2 ± 4.3
	Random Forest	90.5	63.7 ± 5.4	72.1 ± 13.2
	ERT	89.3	72.9 ± 7.2	72.4 ± 13.5
Visual _{FNC}	Adaboost	87.1	62.8 ± 11.9	67.2 ± 11.0
	Gradboost	82.1	73.1 ± 4.0	70.3 ± 8.2
	Support Vector	92.1	73.7 ± 3.0	73.7 ± 2.3
	Random Forest	90.2	69.5 ± 6.6	71.0 ± 4.2
	ERT	89.1	70.8 ± 2.6	75.8 ± 1.4

Table 2:

Comparison of PSD in High Impact compared to Light Impact players

PSD	Change	P-Value
Visual Medial	Decrease	0.1
Posterior DMN	Increase	0.0201
PCC	Decrease	0.0263
Frontal DMN	Increase	0.0002