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Mapping the neuroanatomic substrates of cognition in familial attention deficit hyperactivity disorder

Rachel Muster, B.S., Saadia Choudhury, M.A., Wendy Sharp, M.S.W., Steven Kasperek, B.S., Gustavo Sudre, Ph.D., and Philip Shaw, B.M.B.Ch, Ph.D*

Neurobehavioral Clinical Research Section, Social and Behavioral Research Branch, National Human Genome Research Institute, and the National Institute of Mental Health, NIH

Abstract

Background—While the neuroanatomic substrates of symptoms of attention deficit hyperactivity disorder (ADHD) has been investigated, less is known about the neuroanatomic correlates of cognitive abilities pertinent to the disorder, particularly in adults. Here, we define the neuroanatomic correlates of key cognitive abilities and determine if there are associations with histories of psychostimulant medication.

Methods—We acquired neuroanatomic MRI data from 264 members of 60 families (mean age 29.5; SD 18.4, 116 with ADHD). Using linear mixed model regression, we tested for associations between cognitive abilities (working memory, information processing, intelligence and attention), symptoms and both cortical and subcortical volumes.

Results—Symptom severity was associated with spatial working memory ($t=-3.77$, $p=0.0002$), processing speed ($t=-2.95$, $p=0.004$) and a measure of impulsive responding ($t=2.19$, $p=0.03$); these associations did not vary with age (all $p>0.1$). Neuroanatomic associations of cognition varied by task, but centered on prefrontal, lateral parietal and temporal cortical regions, the thalamus and putamen. The neuroanatomic correlates of ADHD symptoms overlapped significantly with those of working memory (Dice's overlap coefficient: spatial, $p=0.003$; verbal, $p=0.001$) and information processing ($p=0.02$). Psychostimulant medication history was associated with neither cognitive skills nor with brain-cognition relationships.

Conclusions—Diagnostic differences in the cognitive profile of ADHD does not vary significantly with age; nor were cognitive differences associated with psychostimulant medication history. The neuroanatomic substrates of working memory and information overlapped with those for symptoms within these extended families, consistent with a pathophysiological role for these cognitive skills in familial ADHD.

Introduction

Deficits in multiple cognitive domains have been held to act as pathways to the core symptoms of ADHD (Castellanos and Proal, 2012, Durston *et al.*, 2011, Sonuga-Barke, 2005). Meta-analyses demonstrate associations between ADHD symptoms and deficits in

*Corresponding author, Philip Shaw, Bldg 31, B1B37, Bethesda 20892, shawp@mail.nih.gov, T: (301) 451-4010, F: (301) 480-3108.
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working memory, general intelligence and some attentional measures, along with the processing of rewards and emotionally charged stimuli (Huang-Pollock *et al.*, 2012, Lijffijt *et al.*, 2005, Martinussen *et al.*, 2005, Willcutt *et al.*, 2005a). While there is a large literature on cognitive deficits and their underlying brain function in ADHD (Cortese *et al.*, 2012), there are fewer studies into the neuroanatomic substrates of these skills among those with the disorder. Thus, the first motivation for this study is the need to map the neuroanatomic substrates of cognitive skills pertinent to ADHD. Additionally, it is unclear if the neuroanatomic correlates of cognition overlap with the neuroanatomic correlates of the core symptoms of ADHD. This is an important question, as a high degree of overlap is not inevitable. The symptoms of ADHD are behaviorally complex, and the underlying neuroanatomic change may differ from the neural basis of more circumscribed cognitive abilities.

This study also aims to examine whether the cognitive profile associated with ADHD varies with age. One way to address this question is to use data from both multi-generational, extended and nuclear families that have members affected by ADHD. Families provide a relatively genetically and environmentally homogenous context for probing brain-cognition relationships. The inclusion of older individuals allows us to ask if the cognitive profile of ADHD is stable across multiple generations of the same family. In turn, this can partly address concerns that ADHD persisting into adulthood might be accompanied by accumulating cognitive deficits, as the disorder can entail a loss of educational and occupational opportunities (Doshi *et al.*, 2012).

The third motivation for this study is the issue of whether lifetime use of psychostimulant medication as a treatment for ADHD might be associated with cognitive skills, and their neural substrates. Again, the use of a multigenerational family design which includes both children and adults from relatively homogenous backgrounds, provides a design suited to test for associations between the lifetime use of psychostimulant medication, cognition and neuroanatomy.

In summary, using family-based data we first delineate the neuroanatomic correlates of cognitive abilities pertinent to ADHD and ask if they vary significantly with age. Second, we determine the degree of overlap between the neuroanatomy of these key cognitive abilities and the neuroanatomic correlates of the symptoms of the disorder. Finally, we test for associations between cognitive skills, the brain and the lifetime history of psychostimulant medication.

Methods

The study included 264 individuals, of whom 188 came from 25 extended multigenerational families and 76 from 35 nuclear families. Extended families were defined by the presence of a diagnosis of ADHD in at least 25% of family members, which represents a marked increase in background population prevalence rates of childhood and adult ADHD (Polanczyk *et al.*, 2007, Simon *et al.*, 2009). In the nuclear families, at least one member had ADHD. The study was approved by the institutional review boards of the National Institute

of Mental Health and the National Human Genome Research Institute. Written informed consent was obtained from adult participants and parents; children gave written assent.

To make the diagnosis of ADHD in adults, the Conners' Adult ADHD Diagnostic Interview for DSM-IV was used (Epstein and Johnson, 2001). This clinician-administered structured interview ascertains symptoms of inattention and hyperactivity-impulsivity in adulthood and the childhood history of these symptoms. The Structured Clinical Interview for DSM-IV-TR Axis I Disorders, Research Version, Patient Edition was used to ascertain other psychiatric disorders (First *et al.*, 2002). The parental Diagnostic Interview for Children and Adolescents-IV (DICA) (Reich, 2000) was used to obtain diagnoses in children. Interviews were conducted by 2 experienced clinicians (W.S. and P.S.). General exclusion criteria were an IQ less than 80 (Wechsler, 2001, 2011), neurologic disorders affecting brain structure, current substance dependence, or psychotic disorders. Twenty-three of the 25 extended families and 24 of the 35 nuclear families were white, non-Hispanic – see Table S1. Details on medications and comorbidities are given in Table S2.

Neuropsychological testing was conducted by research fellows supervised by a clinical neuropsychologist. If subjects were taking psychostimulant medication, this was withdrawn at least 24 hours before testing. Processing speed was assessed by two tests from the Woodcock Johnson battery (Woodcock *et al.*, 2001), visual matching and decision speed. The visual matching task measures perceptual processing speed by asking subjects to make visual comparisons under time pressure. In this task, subjects must locate and circle two identical numbers in each row of six numbers; pairs become progressively more challenging to identify as single digits progress to double and triple digits. The decision speed task requires participants to analyze rows of six images and choose the two that are most closely related in each row under time pressure. Verbal working memory span was assessed through the number of correctly recalled digits in the original and reverse order. Number chains progressed from three digits up to nine in the original order, and two digits up to eight in the reverse order. Spatial working memory span was measured through the number of correctly repeated tapping patterns in the original and reverse order (Wechsler, 2003). Intelligence was estimated from age appropriate versions of the Wechsler intelligence scales (Wechsler 2001, Wechsler 2011). Attentional abilities were measured through the Conners' Continuous Performance Test (CPT) (Conners 2004). This task requires participants to press a key when presented with any stimulus letter, except the letter "X". Based on prior factor analyses of the CPT, we calculated indices reflecting inattentive responding (reaction time variability and the standard error of hit reaction time, detectability and omission errors), impulsive responding (commission errors, reaction time, response style and perseverations), sustained attention (hit reaction time during block changes and its standard error) and vigilance (reaction time during inter-stimulus interval changes and its standard error) (Egeland and Kovalik-Gran, 2008).

Neuroimaging:

A high-resolution ($1.07 \times 1.07 \times 1.2$ mm) T1 weighted volumetric structural image was obtained using a magnetization prepared rapid gradient echo sequence (with ASSET preparation; 124 slices, 1.2 mm slice thickness, 224×224 acquisition metric, flip angle = 6° ,

field of view = 24 cm²) on a 3 T General Electric Signa scanner (USA) using an eight-channel head coil. Analyses were conducted on the National Institutes of Health High Performance Computer Cluster (Biowulf). Cerebral cortical reconstruction and cortical and subcortical volumetric segmentation were performed with the FreeSurfer image analysis suite version 5.3.0 (<http://surfer.nmr.mgh.harvard.edu/>). Technical procedures of this widely used method are described in the web link above. Analyses were conducted on the National Institutes of Health High Performance Computer Cluster (Biowulf). We chose volumes as our metric as these can be defined using FreeSurfer on both cortical and subcortical structures, unlike metrics such as thickness or gyrification that apply only to the cortex. All T1 images were visually inspected, and those with moderate or severe motion or other artifacts were excluded (~ 15%). Those judged by two raters to have no or minimal motion artefact proceeded to segmentation of the subcortical, cerebellar and cerebral cortical structures. Other artifacts that resulted in a scan not proceeding to segmentation included incomplete coverage of the brain; artifacts arising from dental procedures; high carotid pulsation artifact. These segmentations were inspected by two raters and scored as '1' if no errors were detected; '2' if minor errors were noted (e.g. poor segmentation of the inferior regions on one or two of the serial slices); '3' if moderate errors were found (e.g. segmentation errors noted on 3 or more consecutive slices); 4 if there were gross errors. If ratings differed by more than one point, the segmentations were re-inspected and a consensus rating reached. Only scans rated as 1 or 2 were retained, resulting in the further exclusion of around 15% of the segmentations. This study complements our earlier report on heritability of the brain's structural connectivity defined through diffusion tensor imaging of white matter microstructure and functional connectivity defined through using fMRI resting state data (Sudre *et al.*, 2017). A single scanner was used throughout the study, circumventing the problems inherent in trying to integrate data acquired on different platforms.

Analysis:

To examine associations between ADHD, cognition and the brain we used linear mixed models, implemented in the nlme R package (Pinheiro *et al.*, 2014, Pinheiro and Bates, 2000). All models included a random term which accounted for relatedness among individuals through a term for nuclear family identity which was nested within a term for extended family identity. In our neuroimaging analyses, we adjusted for multiple comparisons using the false discovery rate (FDR) procedure, declaring significance at an adjusted *p* value of 0.05 (meaning that 5% of the 'discoveries' were false) (Benjamini and Hochberg, 1995).

We first consider associations between cognitive abilities and the brain. We asked if brain-cognition associations differed over the age range covered (i.e. testing for an interaction between diagnosis and the brain in the determination of cognition). No such interaction emerged that survived adjustment for multiple testing, and thus in further analyses we entered as fixed factors cognitive measures, age terms and gender. We included quadratic and linear age terms as fixed effects, as our initial analyses showed these generally had a significant association with the brain measures. Thus, in the final model the *j*th brain region measure in the *i*th individual was modelled as:

Brain region $ij = \text{intercept} + \beta_1 \text{ cognitive measure} + \beta_2 \text{ age} + \beta_3 \text{ age}^2 + \beta_4 \text{ gender} + d_i + e_{ij}$
 where d is a nested random effect modeling dependence within nuclear and extended family. The intercept and β terms are fixed effects and e_{ij} represents the residual error. The association between regional brain volume and cognition is given by the β_1 term.

The same approach tested for associations between symptoms and the brain. Again, we first tested if age terms interacted with symptoms with respect to the brain regional volumes. No such interactions emerged as significant following adjustment for multiple comparisons and thus we used the same model as above, substituting symptoms for cognition. Finally, we calculated the lifetime history of psychostimulant medication by dividing years taking psychostimulant medication by years in the study and entered this term in the models above.

We used Dice's coefficient to quantify the degree of overlap between brain-cognition and brain-symptom relationships. First, brain-cognition and brain-symptom associations were binarized based on a $p < 0.05$. We determined the overlap between these two data sets (dividing twice the number of intersecting elements by the total number of elements in both sets). To determine the significance of this index we randomly shuffled (2000 permutations) the brain/cognition and brain/symptom labels to create a null distribution. The p value for an observed Dice coefficient is given by the number of Dice coefficients in the permuted sets that are larger than the observed coefficient, divided by the number of permutations.

Results

Cognition:

Several cognitive abilities showed associations with symptom severity: spatial span ($t = -3.77$, $p = 0.0002$, Bonferroni-adjusted $p = 0.002$), visual matching processing speed task ($t = -2.95$, $p = 0.004$, Bonferroni-adjusted $p = 0.03$) and impulsive responding from the CPT ($t = 2.19$, nominally significant only at $p = 0.03$)—See Table 1. The patterns of associations between inattentive and hyperactive-impulsive symptoms were similar for both working memory and information processing. In the CPT, the factor measuring impulsive responding associated with hyperactive-impulsive symptoms ($t = 2.16$, $p = 0.03$), whereas a vigilance factor associated with inattentive symptoms ($t = 2.09$, $p = 0.04$)—See Table S3. The associations between symptom severity and cognitive abilities did not vary significantly with age (i.e. there were no significant interactions between the age terms and symptoms with respect to cognition, all $p > 0.05$).

Brain-cognition associations:

Multiple brain regions emerged as significantly associated with cognitive measures, and both nominal associations and those surviving a FDR adjustment are shown in Figures 1 and 2. Associations surviving FDR adjustment were found between spatial working memory and volumes of most of the prefrontal cortex, extending to the insula and lateral temporal regions. Verbal working memory showed more limited associations with the right orbitofrontal, middle frontal, cingulate and superior temporal gyri. The information processing speed measures both showed association with the precentral, anterior cingulate and orbitofrontal cortical volumes. General intelligence was associated with the volumes of

the superior frontal, parietal (postcentral) and superior temporal gyrus. From the CPT, significant associations emerged between the factor reflecting impulsive responding and the right pericalcarine gyrus; and between vigilance and the right frontal pole—See Table S4 for FDR significant and nominal associations between CPT and subregional volumes.

At a subcortical level, information processing and spatial, but not verbal, working memory were associated with the volumes of the thalami, hippocampus and right putamen- Figure 2. Processing speed measures were additionally associated with cerebellar white matter volume. None of these associations between cognition and brain region volumes differed with age (i.e. there were no significant interactions between age and cognitive effects, following adjustment for multiple testing). The associations also did not differ by diagnostic group (see supplemental results 1).

Impact of psychostimulant medication:

Psychostimulant medication among those with ADHD (as represented by the proportion of life on the medication) was not associated with any cognitive measures (all FDR-adjusted $p > .1$). There were also no significant interactions between medication and the cognitive measures with respect to brain volumes: that is, the associations between cognitive abilities and the brain were not altered by psychostimulant medication history. Finally, we repeated analyses excluding those who were taking any psychotropic medication, and this did not alter the main pattern of results- Table S5. We also repeated analyses excluding those with comorbid disorders. The patterns of results held although some findings lost significance due to reduced sample size – Table S5.

ADHD symptoms and the brain.

Symptom severity was associated (at FDR $p < 0.05$) with predominately prefrontal cortical volumes- specifically the middle, inferior, orbital and paracentral gyri and the left inferior temporal gyri. Nominal associations were found in predominately lateral prefrontal and cingulate regions. Associations between regional volumes and hyperactive-impulsive symptoms were more extensive than those seen for inattentive symptoms, although the general pattern was very similar see Figure S1.

We next determined the significance of the spatial overlap between the neuroanatomic substrates of symptoms and the cognitive measures that showed extensive brain associations (working memory, information processing and IQ). The neuroanatomic substrates of working memory showed the most significant overlap with the neuroanatomic correlates of symptoms (Dice coefficient overlap with spatial working memory, $p=0.003$; for verbal working memory $p=0.001$). Overlap between the substrates for symptoms and information processing was found for the visual matching subtest only ($p=0.02$). No other significant overlaps were found (for symptoms: IQ, $p=0.15$; symptoms: decision speed, $p=0.48$).

Discussion

There are three central findings in this study of families with ADHD. First, we find the cognitive profile tied to ADHD did not differ across generations. Second, lifetime history of treatment with psychostimulant medication in ADHD is associated with neither cognitive

skills nor the relationships between cognition and the brain. Finally, extensive associations are found between brain structure and spatial working memory, information processing speed, impulsive responding and intelligence that centered on prefrontal and cortico-striato-thalamic regions. Further, there is a significant overlap between the neuroanatomic substrate of symptoms and the substrates for working memory and information processing.

Cognitive findings in ADHD

This work builds upon earlier studies of families affected by ADHD, which have mainly focused on young sibling pairs or parent-child dyads (Bidwell *et al.*, 2007, Casey *et al.*, 2007, Gau and Shang, 2010, Goos *et al.*, 2009). By including adults in middle age and beyond we find no differences in the ADHD cognitive profile across generations, suggesting there is no age related cognitive decline in ADHD. However, as the study was cross-sectional it could only delineate how ADHD symptoms relate to cognition at one point in time, and we find that this cross-sectional association does not vary with the age of the participants. However, the study does not address the important but different question of whether change in symptoms over time within a subject is tied to change in cognitive profiles. This requires a definitive developmental mapping of cognitive processes which awaits the collection of both cognitive and clinical longitudinal data. Secondly, we add to the growing literature associating ADHD with deficits in information processing, particularly perceptual processing speed as assessed by visual matching (Rommelse *et al.*, 2007, Salum *et al.*, 2014a, Salum *et al.*, 2014b, Sergeant *et al.*, 1999, Willcutt *et al.*, 2005b). The finding echoes recent suggestions that processing deficits might be a core feature of the disorder (Salum *et al.*, 2014a, Salum *et al.*, 2014b).

Psychostimulant medication

We examined chronic rather than acute effects of psychostimulant medication for ADHD as we tested during temporary medication cessation and found no significant associations between lifetime history of the medication and cognitive abilities. While psychostimulants have acute beneficial effects on core symptoms, the evidence of beneficial acute effects on cognition is more mixed (Advokat and Scheithauer, 2013, Baroni and Castellanos, 2015). Looking to the brain, psychostimulant medication for ADHD has generally been associated with either no detectable change in brain structure or a slight shift towards more typical dimensions (Friedman and Rapoport, 2015, Frodl and Skokauskas, 2012, Nakao *et al.*, 2011, Shaw *et al.*, 2014a). Here we further the field by demonstrating that psychostimulants do not uncouple the typical associations between cognition and brain structure, which were not significantly affected by the duration of psychostimulant treatment. These observational findings on psychostimulants should however be cautiously interpreted, as many factors that influence psychostimulant use could also impact on cognition and randomized control trials are required for causal inferences. Additionally, other neural measures, particularly of brain function, were not examined in this study and these may be more sensitive to the effects of psychostimulants.

The neuroanatomic substrates of cognition and symptoms.

Working memory and information processing measures all showed associations with prefrontal cortical regions, with the exact regions varying by task. Thus, while spatial

working memory showed extensive links with most of the prefrontal cortex, the correlates of verbal working were more circumscribed. This is consistent with the literature, including both a meta-analysis and a recent large study of just over a thousand participants that found superior working memory to be associated with greater prefrontal cortical volumes, specifically the orbitofrontal, and lateral prefrontal cortex (pars orbitalis) (Owens et al., 2018, Yuan and Raz, 2014). Additionally, we report novel associations between information processing speed and multiple frontal cortical regions (the precentral, cingulate and orbitofrontal cortex). General intelligence was tied to prefrontal (superior, precentral), parietal (parietal lobule) and temporal (superior and inferior gyri) dimensions in line with previous reports (Colom *et al.*, 2006, Deary *et al.*, 2010, Shaw, 2007). Notably, all the measures of information processing and working memory overlapped in their association with volumes of the right rostral anterior cingulate cortex, a region that has been consistently implicated in ADHD (Makris *et al.*, 2010, Seidman *et al.*, 2006).

In the subcortex, we find that information processing speed is also associated with thalamo-striato-cerebellar structures. We find stronger associations between cognitive skills and the putamen rather than the caudate. In this context, it is notable that a recent mega-analysis of neuroanatomic MRI studies find the disorder is more strongly associated with volume reduction in the putamen rather than the caudate (Hoogman *et al.*, 2017). Additionally, the rostral putamen emerges as the most strongly correlated of all striatal subregions with fluid intelligence (Burgaleta *et al.*, 2014). We find that the neuroanatomic correlates of attentional skills are more circumscribed although these have been extensively mapped using functional neuroimaging both in those with and without ADHD (Cortese *et al.*, 2012, Petersen and Posner, 2012). We also find associations between the left amygdala, working memory and processing speed. While the amygdala is well-recognized as a pivotal structure in the emotional brain, our finding is consistent with evidence for its role in higher cognitive processing (Schaefer and Gray, 2007). For example, individual differences in amygdala activation predict processing speed during working memory tasks, and the amygdala acts to direct attention towards goal-relevant stimuli, that are often emotionally charged.

We also find associations between cerebellar volumes and processing speed. This is consistent with the role of the cerebellum in rapid information processing and the modification of behavior in response to feedback (Ivry, 1997). Faster information processing is associated with greater cerebellar grey matter volume (Eckert *et al.*, 2010, Genova *et al.*, 2009) and childhood change in cerebellar grey matter is tied to increases in processing speed (Moore *et al.*, 2017).

Working memory showed the most significant overlap with the neural substrate of symptoms. This is in keeping with behavioral data which find prominent working memory deficits in children and adults with ADHD (Alderson *et al.*, 2013, Kasper *et al.*, 2012, Martinussen *et al.*, 2005) and experimental demonstrations that ADHD symptoms are exacerbated by increasing working memory demands, (Hudec *et al.*, 2014, Rapport *et al.*, 2009, Tillman *et al.*, 2011). It has been argued that boosting working memory might have some beneficial effect on symptom profile, though the evidence is mixed (Rapport *et al.*, 2013). Combined, these behavioral and imaging studies point to working memory as a core deficit in the disorder.

Limitations

There are several limitations. First, we did not assess some key cognitive domains pertinent to ADHD, such as processing of emotionally-charged and rewarding stimuli (Plichta and Scheres, 2014, Shaw *et al.*, 2014b). We attempted to minimize the effects of comorbidity by excluding disorders known to impact brain structure, such as the psychoses, substance dependence and dementias. Other comorbidities, such as anxiety disorders, were too uncommon to evaluate separately but the general pattern of findings was unchanged when those with any current comorbidities were excluded. The inclusion criteria for the study centered on a high familial prevalence of ADHD and thus may limit the generalizability of the findings to the general population. Similarly, the main results held when those who were taking any psychotropics (including psychostimulants) were excluded. Finally, we consider only brain structure but note that the functional correlates of cognition in ADHD have already been extensively characterized (Cortese *et al.*, 2012, Hart *et al.*, 2013, Rubia *et al.*, 2014).

Conclusion

We delineate anatomic alterations in fronto-striato-thalamo-cerebellar regions that are tied to the cognitive deficits found in the disorder; these associations are not significantly altered by psychostimulant medication history. The overlapping neural substrate of symptoms and cognition provide further evidence that working memory may be a core cognitive deficit in the disorder.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1:
Associations between symptoms of ADHD, cognitive abilities and cortical volumes (FDR adjusted and nominally significant associations are shown)

Figure 2:

Associations between cognitive tasks and subcortical volumes (FDR adjusted $P < 0.05$ and nominally significant associations at $p < 0.05$ are shown)

Table 1:

Performance on cognitive tasks in those with and without ADHD. Categorical contrasts are shown (ADHD vs non-ADHD), along with the results from a regression of total symptom counts against cognition (all analyses adjust for age terms and sex).

Subdomain Assessed	Test	ADHD Mean (SD)	Unaffected Mean (SD)	t, p value	Regression against total symptom count
Working memory	Digit span	16.9 (4.2)	17.3 (3.9)	t(257)=0.07, p=0.95	t(134)=-0.53, p=0.72
	Spatial span	14.6 (4.5)	16.5 (3.9)	t(252)=2.91, p=0.004	t(131)=-3.77, p=0.0002 *
Processing speed	Visual matching	45.3 (10.5)	48.9 (8.8)	t(256)=2.96, p=0.004	t(133)=-2.95, p=0.004 *
	Decision speed	33.1 (6.8)	34.4 (6.0)	t(256)=1.42, p=0.16	t(133)=-1.30, p=0.20
General intelligence	IQ	110.0 (10.3)	110.6 (10.6)	t(262)=0.34, p=0.74	t(138)=-0.25, p=0.80
Attention	Impulsive responding	.11 (0.6)	-.09 (0.6)	t(251)=-1.60, p=0.12	t(128)=2.19, p=0.03
	Sustained attention	-.01 (0.5)	.01 (0.9)	t(251)=0.27, p=0.78	t(128)=0.33, p=0.74
	Vigilance	.14 (1.0)	-.11 (0.9)	t(251)=-0.36, p=0.72	t(128)=1.06, p=0.29
	Inattentive responding	.09 (0.7)	-.07 (0.6)	t(251)=0.34, p=0.74	t(128)=0.82, p=0.41

* Bonferroni-adjusted $p < .05$