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Rationale and design of a placebo controlled randomized trial to assess short term, high-dose oral cholecalciferol on select laboratory and genomic responses in African Americans with hypovitaminosis D

Keith C. Norris¹, M. Edwina Barnett², Yuan-Xiang Meng³, David Martins⁴, Susanne B. Nicholas¹, Gary H. Gibbons⁵, and Jae Eun Lee²

¹University of California Los Angeles, Los Angeles, CA. USA

²Jackson State University, Jackson, MS. USA

³Morehouse School of Medicine, Atlanta, GA. USA

⁴Charles R. Drew University of Medicine and Science, Los Angeles, CA. USA

⁵National Heart, Lung, and Blood Institute, Bethesda, MD. USA

Abstract

Cardiovascular Disease (CVD) and related disorders remain a leading cause of health disparities and premature death for African Americans. Hypovitaminosis D is disproportionately prevalent in African Americans and has been linked to CVD and CVD risk factors including hypertension, diabetes, and obesity. Thus, hypovitaminosis D may represent a common pathway influencing CV risk factors in a select subgroup of persons. The purpose of this paper is to report the study design of a prospective eight week prospective double-blind randomized, placebo-controlled trial (n = 330 allocated 2:1 to intervention vs. control) to assess the effect of placebo vs. high-dose oral cholecalciferol (100,000 IU vitamin D3 at baseline and week 2) on 6-week change of select biologic cardiometabolic risk factors (including parathyroid hormone to assess biologic activity, pro-inflammatory/pro-thrombotic/fibrotic markers, insulin sensitivity and vitamin D metabolites) and their relationship to vitamin D administration and modification by vitamin D receptor polymorphisms in overweight, hypertensive African Americans with hypovitaminosis D. Findings from this trial will present insights into potential causal links between vitamin D repletion and mechanistic pathways of CV disease, including established and novel genomic markers.

Address for Correspondence: Keith C. Norris, MD, PhD, 911 Broxton Ave., Suite 103, Los Angeles, CA 90024, Phone 310-794-6973, Fax 310-794-0732, kcnorris@mednet.ucla.edu.

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Keywords

Vitamin D; randomized controlled trial; nutrigenomics; race; disparities; African American; cardiovascular disease

Introduction

Cardiovascular Disease (CVD) and related disorders remain the leading cause of death in the nation¹. Hypovitaminosis D has been linked to several CV risk factors including hypertension, diabetes, obesity^{2,3}, in addition to increased rates of CVD^{2,4,5}. Racial/ethnic minorities suffer from increased rates of both premature CV events and hypovitaminosis D⁶. While hypovitaminosis D is highly prevalent with an estimated 55% of the US adult population having levels at or below 30 ng/ml, of significance over 80% of African Americans have suboptimal values⁷. Thus, hypovitaminosis D may represent a common pathway for a select subgroup of persons with a clustering of CV risk factors in a profile that is more prevalent among racial/ethnic minorities.

Although there has been an overall decline in cardiovascular disease (CVD) mortality over the past century, racial/ethnic minorities continue to suffer a disproportionate burden of CVD morbidity and mortality¹. The explosive increase over the past few decades in the prevalence of many established (e.g., obesity, diabetes, lipid disorders) and putative CV risk factors (e.g., hypovitaminosis D), many of which disproportionately affect minority populations, threatens to maintain the high rates of CV related mortality and widen the racial disparity in CVD.

The transition from an agrarian to a digital society has contributed to both a limited average daily sun exposure and to a relatively unrecognized epidemic of hypovitaminosis D⁶. Hypovitaminosis D has been linked to several CV risk factors, including hypertension, insulin-resistance, dyslipidemia, and obesity⁴. Several observational studies have noted that persons with vitamin D levels in the low normal range exhibit a greater prevalence of CVD risk factors as well as CV events compared with those with normal vitamin D levels^{3,8-10}. Prior studies have shown vitamin D analogs lower blood pressure in hypertensive subjects^{11,12}, while a recent Mendelian randomization study found increased plasma 25(OH)D levels might reduce the risk of hypertension¹³. However, the precise mechanism for this putative antihypertensive effect is unknown although it may be mediated in part through down-regulation of the renin-angiotensin-aldosterone system (RAAS)¹⁴, as well as inhibition of pro-inflammatory and pro-fibrotic pathways (^{5,15}).

Even more intriguing is reports suggesting treatment with active vitamin D [1,25(OH)₂D₃] or vitamin D analogs reduce CV mortality in dialysis patients^{4,16}. However, to date the few controlled intervention studies that have examined the role of vitamin D repletion on CV function or CV mediators have reported mixed results¹⁷⁻²⁴. To better understand the potential mechanism(s) through which Vitamin D repletion may mediate CV risk in persons with hypovitaminosis, we performed a randomized controlled trial to assess the impact of vitamin D repletion on key biologic mediators of CV health, as well as PTH to assess the

biologic activity of Vitamin D, in a cohort of participants with hypovitaminosis D and increased risk for premature CVD.

Primary Research Goals

Numerous studies have demonstrated that hypovitaminosis D is associated with activation of select vasoconstrictive and hypertrophic mediators that lead to endothelial dysfunction, elevated blood pressure and CVD (Figure 1)^{18,25,26}. Thus, the proposed project tests the hypothesis that in high-risk persons with hypovitaminosis D, administration of vitamin D will improve the profile of biologic markers of CVD and CVD risk (including parathyroid hormone, pro-inflammatory/pro-thrombotic/fibrotic markers, insulin sensitivity and vitamin D metabolites).

The nuclear Vitamin D Receptor (VDR) regulates parathyroid hormone (PTH) gene transcription. Therefore, the plasma PTH level serves as a sensitive biomarker of the Vitamin D nutri-genomic response. By characterizing the nutri-genomic response of Vitamin D supplementation on the Vitamin D-PTH relationship in African-Americans, we can compare the clinical and laboratory characteristics of the sub-set of African-Americans that exhibit a weak or null response to Vitamin D supplementation to those how manifest a strong response. The secondary goal is to define the biological markers of CV risk as well as the multivariate determinants (covariates such as age, BMI, baseline Vitamin D level and dietary calcium) of the Vitamin D-PTH level relationship in African-Americans.

Rationale for Recruitment of African American Participants

Self-reported “race” is a social construct with a problematic history of using superficial characteristics such as skin color to “categorize” human beings. Race reflects culture, history, socioeconomics, and political status, juxtaposed with epigenetic changes due to differing psychological and physical environmental influences and differing frequencies of select genetic variants that reflect important linkages to ancestral geographic origins^{27,28}. For example, the association of varying frequencies of select gene variants by self-reported race is associated with increased risk for kidney disease in African Americans^{29,30}, as well as the reduced risk for osteoporosis and bone fractures³¹.

In the U.S., African Americans or Blacks suffer from disproportionately high rates of CV risk factors and premature CV morbidity and mortality³². Analyses from the National Health and Nutrition Examination Surveys (NHANES) have established excess rates of both hypovitaminosis D and cardiovascular risk factors among African Americans using “race/ethnicity” as a self-reported variable³. Self-report of “race” as Black or African Americans identifies a population with increased skin pigmentation, which is known to attenuate the capacity of individuals to generate vitamin D as a result of exposure to UV light^{6,33}. Accordingly, African Americans are particularly predisposed to vitamin D insufficiency⁶, although the clinical impact of vitamin D repletion in African Americans with low vitamin D levels is unknown.

Study Design

The trial was conducted using a prospective, randomized, double-blind, placebo-controlled approach across two clinical sites, Morehouse School of Medicine and Charles R. Drew University of Medicine and Science, with data management by the National Institutes of Health (NIH) Research Centers in Minority Institutions (RCMI) Translational Research Network (RTRN) Data and Technology Coordinating Center³⁴.

Eligibility and Study Population

Of 2396 potentially eligible prescreened participants, 885 attended the eligibility-screening visit. From these 330 eligible participants were randomized. Based on preliminary results of a prior clinically focused study³⁵, the inclusion values for the serum Vitamin D levels (D2 and D3 level) were set between 5 and 25 ng/ml. The limits of body mass index (BMI) were $> 25 \text{ kg/m}^2$ and $< 45 \text{ kg/m}^2$. Subjects not treated for controlled hypertension needed a systolic blood pressure (SBP) $< 120 \text{ mmHg}$ and $< 160 \text{ mmHg}$, and diastolic blood pressure (DBP) $< 80 \text{ mmHg}$ and $< 100 \text{ mmHg}$, while those treated for hypertension SBP needed to be $< 160 \text{ mmHg}$ and DBP $< 100 \text{ mmHg}$. Subjects with diabetes were allowed in the study if HbA1c $< 8.5\%$. Participants were recruited from their local communities at health fairs, churches, university campuses and other community locations, as well as through flyers and direct referrals. A summary of inclusion/exclusion criteria is detailed in Table 1.

Informed Consent

The project was approved by the Morehouse School of Medicine, Charles R. Drew University of Medicine and Science and Jackson State University Institutional Review Boards, and was registered with ClinicalTrials.gov:NCT02802449. To monitor the study progress a Data and Safety Monitoring Board (DSMB) met on quarterly basis via teleconference (and ad hoc as needed) to review/discuss any adverse events. Regardless of causality, each site reported Serious Adverse Events (SAEs) to their IRB and the DSMB within 24 hours of knowledge of the event for all SAEs occurring from screening through the last participant's assessment. The DSMB made recommendations regarding the continuation status of the protocol and oversaw all unmasking for the trials. Written, signed and dated informed consent to participate in each trial was given by the subject, in accordance with the International Conference on Harmonization Good Clinical Practice Guidelines, before completing any study-related activities/procedures. The original signed and dated consent is kept in the subject's research file and a copy was given to the subject. A copy was also placed in participant's source document, while ineligible subject's consent forms were also filed.

Trial Procedure

Table 2 represents the schedule of major study events per study visit. Pre-screening for eligibility was conducted with a short survey asking potential participants about the general information (name, age, race, gender, contact information, etc.) as well as information about general health, current medications, and past participation in other similar research. After

prescreening, participants determined to be potentially eligible attended a screening visit (Visit 1). After signing the informed consent, research staff verified inclusion criteria through assessment of select blood test, BP measurements and anthropometric data. Participants determined to be eligible were randomized to either the vitamin D or placebo group by blocked randomization to achieve balance between study arms and to reduce the opportunity for bias and confounding. Instead of using single block size, we used random block sizes (6, 9, and 12) to keep the investigators blind to the size of each block. For this study, the unblinded biostatistician created a computer-generated list of Randomization Numbers allocating subjects in a 2:1 ratio to receive Vitamin D3 or placebo. For each of the two sites, a separate Randomization Table was developed. The study statistician provided the random allocation sequence to the licensed study pharmacist who prepared the study medication and placebo. Neither the pharmacist nor statistician had contact with participants. Eligible participants underwent a baseline visit (Visit 2) within 2 weeks from visit 1 (screening visit), where a series of baseline measures were obtained, including BP, anthropometry and food frequency questionnaires, urine and fasting blood. Participants were then given either vitamin D3 100,000 IU or blinded placebo under direct observation. Two weeks after the baseline visit (Visit 3), the second dose of study drug or placebo was given to participants again under direct observation. Additional follow-up visits were performed at week 6 (Visit 4), and at the end of study visit on week 8 (Visit 5) where participants were provided their results of general laboratory tests. A visit window of up to 7 days was allowed. Participant recruitment started on March 31, 2014, and the follow-up of the last randomized cohort ended on January 20, 2016.

Intervention

A prospective eight week double-blind randomized, placebo-controlled trial (n = 330 allocated 2:1 to intervention vs. control to assess the effect of high-dose oral cholecalciferol (one dose of 100,000 IU vitamin D3 at baseline and one dose at week 2 given under direct observation) on select biologic and genetic responses in overweight, hypertensive African Americans with hypovitaminosis D.

Procedure for Clinical and Laboratory Measures

As seen in Table 2, blood and urine samples were collected in Clinical Research Centers at each site at baseline (Visit 2) and week 6 (Visit 4). Quest Diagnostic Laboratory analyzed most specimens including serum vitamin D levels, calcium, phosphate, glucose, insulin, intact parathyroid hormone (iPTH), insulin and spot urine microalbumin/creatinine ratio. The Analytical Core Laboratory of Morehouse School of Medicine analyzed urine 8-isoprostane by using the competitive immunoassay for the quantitative determination of free 8-iso-Prostaglandin F2 α (8-iso-PGF2 α ELISA kit, Enzo Life Sciences Inc.) and serum homocysteine, and glutathione levels by high-pressure liquid chromatography (HPLC). Additional blood samples (whole blood, serum and plasma) and urine samples were stored for future assessment of select biomarkers such vitamin D binding protein polymorphisms, vitamin D metabolite ratios (e.g. serum 24,25(OH)2D to 25D) and epigenetic analyses.

Routine sitting blood pressure was measured three times at each visit with a Tycos Classic Hand Aneroid device or a validated, automated sphygmomanometer in a standardized fashion as recommended by the American Heart Association. Anthropometric measurements included height, weight, and waist circumference. Both weight and height readings were used to generate body mass index expressed as kg/m^2 . A self-administered, 126-item semi-quantitative food-frequency questionnaire (Harvard 2007 FFQ) was used to estimate nutrient intake (protein, fats, carbohydrates, vitamins, minerals). (<https://regepi.bwh.harvard.edu/health/nutrition.html>).

Site clinical research staff were trained in good clinical practices and designated staff were trained by the RTRN Data and Technology Coordinating Center to enter data into the electronic case report form utilizing a web-based electronic data capture system.

Outcome Measures

The primary outcomes include change in key markers of cardiovascular risk including interleukin [IL]-6, adiponectin, C-reactive protein [CRP], homocysteine, urinary isoprostane and plasminogen activator inhibitor-1 [PAI-1], and insulin sensitivity (Homeostatic model assessment insulin resistance (HOMA-IR) using an intent-to-treat design. Secondary analyses include changes in markers of cardiovascular risk, insulin sensitivity within the Vitamin D treatment group stratified by nutrient resistant and nutrient sensitive based on parathyroid hormone (1-84 PTH; intact PTH) response. Serum vitamin D level will be measured using high performance liquid chromatography tandem mass spectrometry (LC-MS/MS) which is sensitive and specific for both 25(OH)D2 and 25(OH)D3. Concentrations of each form of Vitamin D will be measured and reported independently as well as summed together to give the total Vitamin D level to allow exploration of any differential responses based on levels of Vitamin D2/D3, D2 or D3.

Statistical Analyses

Baseline characteristics of the study samples will be summarized by the use of two sample t-tests for continuous variables and chi-square tests for categorical variables. In addition to simple statistics (percentage, mean, p-value), we will provide 95% confidence interval, which will enable to better assess the level of evidence for or against the treatment effect since they provide a range of plausible values for the unknown true difference between the groups (Yuko, 2015). Most statistical analyses will be performed using SAS version 9.1.2. To investigate the effect of Vitamin D supplementation on select biomarkers (i.e. C-reactive protein, IL-6, Adiponectin, etc.), we will determine group difference in 6-week change of outcome variables. We will use a generalized mixed model, which is well suited for longitudinal data analysis using repeated measures. In this mixed model, the cluster (or class) variable is subject ID and the random variables are intercept and slope, implying that both the intercept and slope vary across the subjects. If the interaction between study arms and visit is significant, then we can conclude that the change rate of outcome variable (CRP or Adiponectin) differs between groups. We will include Site ID as a covariate in the analytical model to control for potential differential effects across sites.

To characterize nutri-genomic response of Vitamin D supplementation, we will determine the moderating effect of select baseline predictor variables of age, BMI, baseline Vitamin D and dietary calcium on the Vitamin D-PTH relationship. A generalized linear model will be used in which the primary outcome is change in PTH level and the main predictor variable is change in vitamin D level. Moderating effect of select baseline predictor on vitamin D-PTH relation will be investigated by testing the significance of appropriate interactions between each of baseline predictor variables and change of vitamin D level.

The final sample size of 330 was selected to detect at least medium effect size (0.23–0.31) of group difference in changed outcome in a design with 2 repeated measurements having a Compound Symmetry covariance structure when the correlation between observations on the same subject is 0.3, the alpha level is 0.05, and power is assumed to be 80%. Our assumption in conducting power analysis (i.e., covariance structure of compound symmetry and 0.3 of intraclass correlation coefficient), was based on the data from our pilot study.³⁵ Once 40% of the planned samples were collected, we conducted an interim analysis to verify and fine-tune the planned sample size, resulting in the appropriateness of planned samples. The oversampling of the treated arm in the trial (n= 220) was done to ensure appropriate power to determine the interaction effect of select covariates on the Vitamin D-PTH relation (and potentially Vitamin D metabolite ratios) among only those who took the Vitamin D3 supplement, which will be used for the secondary goal to assess the response of CV biomarkers based on repletion of Vitamin D in the setting of physiologic evidence of low Vitamin D. Based on the algorithm proposed by Wahlsten³⁶, the final treated sample of 220 achieved 90% power at an alpha of 0.05 to detect a least assumed effect size of 0.20 (which was based on the results from our pilot study³⁵) in a 2 × 2 factorial design with a hypothesized interaction term between the two factors [e.g., changed vitamin D level (low vs. high) and serum calcium level (low vs. high)]. Although dropouts were infrequent due to the short duration of the intervention, the effect of missing data on the results will be examined by using a pattern mixture model, which includes a variable indicating the missing pattern as a covariate. Before we conduct data analyses, we will define the pattern of missing values and dropouts and then choose the appropriate imputation method. It is known that, for studies with high missing values in repeated measure study design, the mixed model without imputation is more powerful than other imputation methods. The multiple imputation method is also advantageous for dealing with missing values in repeated measure design. Therefore, we will conduct sensitivity analysis to compare each method (mixed model vs. multiple imputation). All statistical models will include Site ID as a covariate to control for the potential of differential effects across sites (i.e., heterogeneity due to unmeasured confounding or effect-modifying factors). If needed, we will conduct analyses stratified by sites to account for potential interactions. Detail statistical analysis plan and approaches to address different methods of analysis, definitions of outcomes, protocol deviations, missing data, sensitivity analyses, and outliers will be documented in the statistical analysis plan (SAP) before data analysis is performed.

The pooled dataset across sites would be expected to increase statistical power, allow for a more refined set of subgroup analyses, enhanced generalizability and the capacity to undertake comparison, cross validation or replication across datasets^{37,38}. We will also assess the impact of key assumptions or variations on the overall conclusions of a study

including different methods of analysis, definitions of outcomes, protocol deviations, missing data, and outliers^{39–41}.

Discussion

Hypovitaminosis D is a silent and emerging epidemic^{42,43} that has been associated with multiple medical conditions, especially cardiometabolic disorders⁴⁴, which is not surprising given the ubiquitous nature of the vitamin D receptor⁴⁵. Despite multiple advances in our understanding of the basic mechanisms of vitamin D and mounting clinical data, it seems we know less and less², while the need for identifying the optimal intake of vitamin D remains ever present³³. From a clinical perspective there is a need for more trials to comprehensively examine the clinical impact of vitamin D supplementation in persons with hypovitaminosis D in a prospective, randomized fashion. A recent large study of 100,000 IU of vitamin D or placebo given monthly for a median of 3.3 years found no difference in CV outcomes⁴⁶. However mean baseline vitamin D levels were only slightly low at 26.5 ng/ml. Consideration of the differential action of Vitamin D by race/ethnicity^{47–49} and/or acting in concert with or a modifier of other systems (such as inflammatory, fibrotic, oxidative stress or renin-angiotensin pathways) also need to be considered^{25,50–54}. Substantial racial/ethnic heterogeneity was noted in the association of vitamin D and cardiovascular outcomes with a significant correlation found in Whites but not Blacks in the Multi-Ethnic Study of Atherosclerosis^{47,55}. Further, while serum 25D levels in the range of 12–15 to 20ng/ml may lead to adverse outcomes in the general population, it may not have deleterious affects in African Americans possibly due to differences in the prevalence of select VDBP polymorphisms⁴⁹. However recent advances in our understanding of free 25D levels being associated with similar vitamin D metabolite ratios (e.g. serum 24,25(OH)2D to 25D) in African Americans and Whites suggests vitamin D metabolite ratios may be a better physiologic indicator of vitamin D adequacy⁵⁶.

In summary, vitamin D supplementation may have differential effects in varying settings and populations. The project described herein will help to move us toward a better understanding of vitamin D in a high risk population, African Americans with hypovitaminosis D. We believe the findings from our trial will provide critical insights into the mechanism of action of vitamin D in a cohort of individuals at greatest risk for untoward effects of hypovitaminosis D and move us closer to a better understanding of what subsets of individuals are most likely to benefit or not from normalization of serum vitamin D levels.

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Figure 1.

Conceptual model of the relationship between vitamin D and cardiovascular disease. RAAS, renin angiotensin-aldosterone system; VSM, vascular smooth muscle. Adapted from⁵.

Table 1

Major inclusion/exclusion criteria

Inclusion	Exclusion
Males or females, 18–70 years of age and self-identified as African American or Black	Not African American or Black
Screening vitamin D (D2 and D3 level) between 5 and 25 ng/ml (recommended level 30 ng/ml)	Screening vitamin D (D2 and D3 level) < 5 ng/ml or > 25 ng/ml
High normal blood pressure or controlled hypertension: If a potential study patient was not on treatment their systolic blood pressure (SBP) needed to be 120 mmHg or diastolic blood pressure (DBP) 80 mmHg, and SBP 160 mmHg and DBP 100 mmHg. If a potential study patient was on treatment for hypertension the SBP needed to be 160 mmHg and DBP 100 mmHg.	Poorly controlled high blood pressure on treatment (SBP > 160 mmHg or DBP > 100 mmHg)
	Subjects requiring treatment with other vitamin D preparations containing more than 400 IU of vitamin D
	Patients who, in the opinion of the Investigator, had a condition which would interfere with their evaluation or may experience an unacceptable health risk by participating in this study *
Body mass index 25 kg/m ² and 45 kg/m ²	Body mass index < 25 kg/m ² or > 45 kg/m ²
	HbA1c > 8.5%

* estimated glomerular filtration rate (eGFR) < 45 ml/min, evidence of disease that could result in hypercalcemia, history of kidney stones (less than one year prior to screening), history of drug, alcohol, or illicit substance abuse (within the past 6 months), recent (<6 months) myocardial infarction, stroke, or hospitalization for congestive heart failure and liver function tests (LFTs) greater than twice the upper limit of normal.

Table 2

Schedule of Major Study Events per Visit

	Visit 1 (Screening)	Visit 2 (Baseline)	Visit 3 (Week 2 from baseline visit)	Visit 4 (Week 6 from baseline)	Visit 5 (week 8 from baseline)
Informed Consent	X				
Checking Inclusion/exclusion criteria	X	X	X	X	
Demographics	X				
Medical history and Review of Medications	X	X	X	X	
Mini Physical Examination [including Height (cm), Weight (kg), and Waist Circumference (cm) (calculated body mass index)]	X			X	
Vital Signs (Sitting blood pressure, heart rate)	X	X	X	X	X
Randomization		X			
Full Lab Panel (Biochemistry and Complete Blood Count)	X			X	
Fasting blood sugar (mg/dl) or HbA1c (%)	X			X	
Serum intact parathyroid hormone (iPTH) (pg/ml) and 1-84 PTH/7-84 PTH ratio)		X		X	
Blood for serum calcium (mg/dl), phosphorus (mg/dl), albumin (mg/dl)	X	X		X	
C-reactive protein (CRP), interleukin 6 (IL-6), adiponectin, plasminogen activator inhibitor 1 (PAI-1), homocysteine, Homeostatic model assessment insulin resistance (HOMA)		X		X	
Serum vitamin D binding protein (VDBP)		X		X	
Blood and DNA STORED for other biomarkers (e.g. pro-inflammatory/pro-thrombotic/fibrotic markers), vitamin D binding protein polymorphisms		X		X	
Serum vitamin D [25(OH)D = D2 + D3] (ng/ml)	X	X		X	
Spot urine for calcium/creatinine and albumin/creatinine ratios and isoprostanes		X		X	
Pregnancy Test	X (serum)	X (urine)	X (urine)	X (urine)	
Study drug dispensed		X	X		
Adverse event		X	X	X	X
Concomitant Medication (including prescription, herbal, and over-the-counter medications)	X	X	X	X	
Nutritional Survey		X			
Drug Accountability		x	x		