Knee osteoarthritis has doubled in prevalence since the mid-20th century

Ian J. Wallace^a, Steven Worthington^b, David T. Felson^c, Robert D. Jurmain^d, Kimberly T. Wren^e, Heli Maijanen^f, Robert J. Woods^g, and Daniel E. Lieberman^{a,1}

^aDepartment of Human Evolutionary Biology, Harvard University, Cambridge, MA 02138; ^bInstitute for Quantitative Social Science, Harvard University, Cambridge, MA 02138; ^cClinical Epidemiology Unit, Boston University School of Medicine, Boston, MA 02118; ^dDepartment of Anthropology, San Jose State University, San Jose, CA 95192; ^eDepartment of Anthropology, University of Tennessee, Knoxville, TN 37996; ^fLaboratory of Archeology, University of Oulu, Oulu 90014, Finland; and ^gBattelle Memorial Institute, Natick, MA 01760

Edited by Osbjorn Pearson, University of New Mexico, Albuquerque, NM, and accepted by Editorial Board Member C. O. Lovejoy July 12, 2017 (received for review March 7, 2017)

Knee osteoarthritis (OA) is believed to be highly prevalent today because of recent increases in life expectancy and body mass index (BMI), but this assumption has not been tested using long-term historical or evolutionary data. We analyzed long-term trends in knee OA prevalence in the United States using cadaver-derived skeletons of people aged ≥ 50 y whose BMI at death was documented and who lived during the early industrial era (1800s to early 1900s; $n = 1,581$) and the modern postindustrial era (late 1900s to early 2000s; $n = 819$). Knee OA among individuals estimated to be ≥ 50 y old was also assessed in archeologically derived skeletons of prehistoric hunter-gatherers and early farmers (6000–300 B.P.; $n = 176$). OA was diagnosed based on the presence of eburnation (polish from bone-on-bone contact). Overall, knee OA prevalence was found to be 16% among the postindustrial sample but only 6% and 8% among the early industrial and prehistoric samples, respectively. After controlling for age, BMI, and other variables, knee OA prevalence was 2.1-fold higher (95% confidence interval, 1.5–3.1) in the postindustrial sample than in the early industrial sample. Our results indicate that increases in longevity and BMI are insufficient to explain the approximate doubling of knee OA prevalence that has occurred in the United States since the mid-20th century. Knee OA is thus more preventable than is commonly assumed, but prevention will require research on additional independent risk factors that either arose or have become amplified in the postindustrial era.

arthritis | aging | obesity | mismatch disease | evolutionary medicine

Osteoarthritis (OA) is the most prevalent joint disease and a leading source of chronic pain and disability in the United States (1) and other developed nations (2). Knee OA accounts for more than 80% of the disease's total burden (2) and affects at least 19% of American adults aged 45 y and older (3). Substantial evidence indicates that knee OA is proximately caused by the breakdown of joint tissues from mechanical loading (4) and inflammation (5), but the deeper underlying causes of knee OA's high prevalence remain unclear and poorly tested, hindering efforts to prevent and treat the disease. Two recent public health trends, however, are commonly assumed to be dominant factors (6, 7). First, because knee OA's prevalence increases with age (8), the rise in life expectancy in the United States since the early 20th century is thought to have led to high knee OA levels among the elderly, with the presumption that, as people age, their senescing joint tissues accumulate more wear and tear from loading (9). Second, high body mass index (BMI) has become epidemic in the United States in recent decades and is a well-known risk factor for knee OA (8), probably because of the combined effects of joint overloading and adiposity-induced inflammation (10). Whether increases in longevity and BMI are responsible for current knee OA levels has never been tested, but this assumption has led many to view the disease's high prevalence as effectively unpreventable, since aging is untreatable, and the high BMI epidemic is intractable (8, 11).

One underused yet potentially powerful way to identify and assess the risk factors responsible for current knee OA levels is to examine long-term changes in the disease's prevalence by comparing contemporary with historic and prehistoric populations (12). Epidemiological studies of present day populations are valuable but are limited in their ability to analyze risk factors that are now pervasive but used to be less common. It is difficult to find large samples of living Americans whose lifestyles, including physical activity levels and diet, resemble those of past generations. Although many variables cannot be measured and thus controlled in epidemiological studies of people living in the past, a major benefit of analyzing populations over historical and evolutionary time is to assess known risk factors under different environmental conditions and thus bring to light the effects of risk factors that might not be apparent or testable in modern populations alone. Furthermore, although knee OA is known to be ancient (12), we know very little about changes in its prevalence over time. Low levels of knee OA have been reported for some historic and prehistoric populations (13–17), suggesting that the disease's prevalence has recently increased, but these studies used different diagnostic criteria than those used to diagnose knee OA in living patients, used samples composed mostly of younger individuals, and did not account for BMI, complicating comparisons with modern epidemiological data.

Here, we investigate long-term trends in knee OA prevalence in the United States and evaluate the effects of longevity and

Significance

Knee osteoarthritis is a highly prevalent, disabling joint disease with causes that remain poorly understood but are commonly attributed to aging and obesity. To gain insight into the etiology of knee osteoarthritis, this study traces long-term trends in the disease in the United States using large skeletal samples spanning from prehistoric times to the present. We show that knee osteoarthritis long existed at low frequencies, but since the mid-20th century, the disease has doubled in prevalence. Our analyses contradict the view that the recent surge in knee osteoarthritis occurred simply because people live longer and are more commonly obese. Instead, our results highlight the need to study additional, likely preventable risk factors that have become ubiquitous within the last half-century.

Author contributions: I.J.W., S.W., D.T.F., and D.E.L. designed research; I.J.W., R.D.J., K.T.W., H.M., and R.J.W. performed research; I.J.W. and S.W. analyzed data; and I.J.W., S.W., D.T.F., and D.E.L. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission. O.P. is a guest editor invited by the Editorial Board.

Freely available online through the PNAS open access option.

¹To whom correspondence should be addressed. Email: danlieb@fas.harvard.edu.

This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1703856114/-DCSupplemental.

BMI on levels of the disease by comparing the prevalence of knee OA among people who lived during the early industrial era (19th to early 20th centuries) with that of people from the modern postindustrial era (late 20th to early 21st centuries). We studied knee OA in the largest available collections of cadaver-derived skeletal remains of people of documented age, BMI, sex, and ethnicity. To further consider knee OA levels from an evolutionary perspective, we also analyzed knee OA in a large sample of archeological skeletons of prehistoric Native American hunter-gatherers (6000–300 B.P.) and early farmers (900–300 B.P.). Although BMI is undocumented for prehistoric skeletons, the age at death and sex can be estimated, allowing us to assess the prevalence of knee OA among older individuals in these populations. The skeletal collections used in this study are, by necessity, samples composed of individuals who could not be randomly selected and for whom we lack comprehensive demographic and contextual information. Despite these limitations, these samples constitute the best available evidence for knee OA levels in the United States during earlier time periods to test if prevalence of the disease is higher today than in the past.

Materials and Methods

Study Samples. The early industrial and postindustrial samples studied included complete skeletons of people aged 50 y and older who lived in major urban areas in the United States (Table S1). All individuals were documented as being of either European-American or African-American ancestry. Early industrial individuals ($n = 1,581$) were inhabitants of Cleveland, Ohio and St. Louis, Missouri who died between 1905 and 1940. BMI at death was recorded for 84% of these skeletons ($n = 1,334$). Postindustrial individuals ($n = 819$) lived in Albuquerque, New Mexico and Knoxville, Tennessee and died between 1976 and 2015. BMI at death was recorded for 64% of these skeletons ($n = 525$). All cadavers were acquired by academic institutions for the purposes of medical and anatomical education and research. Early industrial cadavers were of individuals whose bodies were unclaimed at local morgues or became property of the state; postindustrial cadavers were gathered through body donation programs. Occupation was documented for only 23% of individuals ($n = 544$), but records indicate that differences between samples reflect shifts in the US workforce between early industrial and postindustrial times, with the early industrial sample comprising primarily highly physically active laborers and the postindustrial sample including more service sector workers with less physically demanding jobs (SI Text). Cause of death was documented for 80% of individuals ($n = 1,918$), and differences between samples evince the epidemiological transition between early industrial and postindustrial times, with most deaths among early industrial individuals caused by infectious diseases, such as pneumonia and tuberculosis, whereas most deaths among postindustrial individuals were caused by noninfectious diseases, such as cancer and atherosclerotic heart disease (SI Text). Skeletons with knee joint articular surfaces that were severely damaged postmortem were excluded from the study as were individuals with lower limb amputations.

The prehistoric sample included skeletons from eight archeological sites (in Alaska, California, New Mexico, Kentucky, and Ohio) of people estimated to be aged 50 y and older who were hunter-gatherers ($n = 116$) and early farmers ($n = 60$) (Table S1). Only skeletons sufficiently preserved to examine both the right and left knees were included. Sex assignment was based on dimorphic characteristics of the pubis that have been shown to be 96% accurate (18). Individuals were estimated to be ≥ 50 y old based on age-related changes in the configuration of the auricular surface of the ilium (19). This method has been shown to correctly exclude individuals younger than 50 y of age with 100% accuracy (20). Unfortunately, estimating age precisely beyond 50 y is not possible with available osteological methods, and it is not possible to estimate accurately BMI at death from skeletal remains.

Knee OA Diagnosis. Diagnosis of knee OA was based on visual identification of the presence of eburnation on the articular surfaces of the right or left distal femur, proximal tibia, or patella. Eburnation is a sclerotic, ivory-like reaction of subchondral bone that occurs from bone-on-bone contact at sites exposed by advanced cartilage erosion (12, 21). In pathology studies of skeletal remains, eburnation is considered pathognomonic for moderate to severe OA (12, 22–24). Although it was not possible to assess knee OA blinded to the skeleton's collection of origin, eburnation can be identified with negligible interobserver variation (SI Text). To avoid false-positive diagnoses, individuals

exhibiting knee eburnation but also osteological signs of non-OA arthritides, such as rheumatoid arthritis, calcium pyrophosphate deposition disease, and spondyloarthropathy, were excluded. Osteophytes, bone spurs that often form at the margins of osteoarthritic joints (22–25), were generally large and expansive on eburnated knees but were not used as a diagnostic criterion for knee OA because interobserver variation in identifying osteophytes in skeletal samples is high (26), and they can lead to false-positive diagnoses (12); also, arthroplasty prostheses were not used to diagnose the disease among postindustrial individuals. Knee OA prevalence estimates for our samples are therefore underestimates of total disease prevalence, because they do not include mild (or early) cases of knee OA [e.g., cases that would be classified as two on the Kellgren–Lawrence scale (25)] or cases of the disease where arthroplasty prostheses obscure underlying eburnation.

Statistical Analyses. Log-binomial generalized linear models (GLMs) were used to estimate adjusted prevalence and prevalence ratios for knee OA, which are reported with 95% confidence intervals (95% CIs). Prevalence is a measure of effect size that varies as a function of the values of predictors. Here, we predict prevalence over a range of values of the predictor of interest while holding all other covariates constant at the sample mean. A prevalence ratio is a measure of effect size that is constant over the range of the predictor of interest while controlling for other covariates. Since prevalence ratios are multiplicative, they denote a rate of change (percentage change) of the response per unit increase in the predictor of interest. Model goodness of fit was assessed using the Hosmer–Lemeshow χ^2 test, with significance of individual estimates determined through two-sided Wald tests with an alpha level of 0.05 (Table S2).

Three separate GLMs were performed with a binary response variable indicating presence or absence of knee OA for each individual but including different explanatory variables. The first analysis included the prehistoric, early industrial, and postindustrial samples and controlled only for sex effects, since age and BMI were undocumented for prehistoric individuals. The second and third analyses included only the early industrial and postindustrial samples and additionally controlled for age, BMI, and ethnicity. The second analysis used all available individuals weighted equally, whereas the third analysis incorporated a subset of individuals who were differentially weighted based on optimal matching of covariate values between the early industrial and postindustrial samples. The analysis of matched data was performed as a sensitivity check to assess whether inferences were robust to sampling bias between the early industrial and postindustrial samples. This bias was evidenced by the large differences in average covariate values between these two samples in the unmatched data (Table 1). The purpose of matching is to approximate an experimental template, where the matching procedure mimics blocking before random group assignment to balance average covariate values between “target” and “comparator” groups. Separation of the estimation procedure into two steps simulates the research design of an experiment where no information on outcomes is known at the point of experimental design and randomization. The non-parametric matching procedure is therefore a data preprocessing step that replicates a randomized experiment with respect to observed covariates (27). Preprocessing was achieved by matching individuals from the early industrial and postindustrial samples that had a similar propensity to be included in the postindustrial sample based on covariate values (SI Text). Pruning nonmatches increased similarity in average covariate values between the early industrial and postindustrial samples (Table 1) and reduced model dependency and bias (28). Weights for each individual were constructed to estimate the average effect of interest on the postindustrial sample, with the early industrial sample weighted to look like the postindustrial sample. Analyses were conducted using R, version 3.3.2 (29).

Results

Long-Term Change in Knee OA Prevalence. Across all individuals analyzed ($n = 2,576$), the prevalence of knee OA was markedly higher among individuals from the postindustrial era compared with individuals from early industrial and prehistoric times, with females more affected than males (Fig. 1A and C). After controlling for sex, knee OA prevalence in the postindustrial sample (16%; 95% CI, 14–19%) was 2.6 times higher (95% CI, 2.1–3.4; $P < 0.001$) than in the early industrial sample (6%; 95% CI, 5–7%) and 2 times higher (95% CI, 1.3–3.3; $P = 0.003$) than in the prehistoric sample (8%; 95% CI, 5–13%). Among postindustrial individuals with knee OA, 42% (64/151) had the disease in both knees, a 2.5-fold higher proportion (Fisher's exact test: $P = 0.042$)

of this study is that the recent increase in knee OA levels cannot simply be considered an inevitable consequence of people living longer, but instead is the result of modifiable risk factors, including but not limited to high BMI, that have become more common since the mid-20th century. From an evolutionary perspective, knee OA thus fits the criteria of a “mismatch disease” that is more prevalent or severe because our bodies are inadequately or imperfectly adapted to modern environments (39). Intriguingly, other well-studied mismatch diseases, such as hypertension, atherosclerotic heart disease, and type 2 diabetes (39), that also have become epidemic during the last few decades are strongly associated with knee OA (42), suggesting common causes and risk factors. Susceptibility to knee OA and other mismatch diseases is undoubtedly influenced by intrinsic factors, including age, sex, and genes, but the historical and evolutionary perspective afforded by our data underscores that many modern cases of knee OA may be preventable. Prevention, however, will require a reappraisal of potential risk factors that have emerged

or intensified only very recently. As with other mismatch diseases, it is likely that any effective prevention strategy will involve adjusting physical activity patterns and diets to approximate more closely the lifestyle conditions under which our species evolved.

ACKNOWLEDGMENTS. We thank the curatorial staffs of institutions housing the skeletal collections analyzed, including the American Museum of Natural History, the Cleveland Museum of Natural History, the Department of Anthropology at San Jose State University, the Forensic Anthropology Center at the University of Tennessee, the Maxwell Museum of Anthropology at the University of New Mexico, the National Museum of Natural History, the Peabody Museum at Harvard University, and the W. S. Webb Museum of Anthropology at the University of Kentucky. We also thank Michèle Morgan for providing age estimates for the prehistoric skeletons from New Mexico, and Ashley Brennaman for providing data used to assess interobserver agreement for eburnation identification. This work was supported by the Hintze Family Charitable Foundation and the American School of Prehistoric Research (Harvard University).

- Murray CJ, et al. (2013) The state of US health, 1990-2010: Burden of diseases, injuries, and risk factors. *JAMA* 310:591-608.
- Vos T, et al. (2012) Years lived with disability (YLDs) for 1160 sequelae of 289 diseases and injuries 1990-2010: A systematic analysis for the Global Burden of Disease Study 2010. *Lancet* 380:2163-2196.
- Lawrence RC, et al. (2008) Estimates of the prevalence of arthritis and other rheumatic conditions in the United States. Part II. *Arthritis Rheum* 58:26-35.
- Felson DT (2013) Osteoarthritis as a disease of mechanics. *Osteoarthritis Cartilage* 21:10-15.
- Robinson WH, et al. (2016) Low-grade inflammation as a key mediator of the pathogenesis of osteoarthritis. *Nat Rev Rheumatol* 12:580-592.
- Bijlsma JWW, Berenbaum F, Lafeber FPJG (2011) Osteoarthritis: An update with relevance for clinical practice. *Lancet* 377:2115-2126.
- Nguyen US, et al. (2011) Increasing prevalence of knee pain and symptomatic knee osteoarthritis: Survey and cohort data. *Ann Intern Med* 155:725-732.
- Felson DT, et al. (2000) Osteoarthritis: New insights. Part 1: The disease and its risk factors. *Ann Intern Med* 133:635-646.
- Loeser RF, Collins JA, Diekmann BO (2016) Ageing and the pathogenesis of osteoarthritis. *Nat Rev Rheumatol* 12:412-420.
- Wluka AE, Lombard CB, Cicuttini FM (2013) Tackling obesity in knee osteoarthritis. *Nat Rev Rheumatol* 9:225-235.
- Cross M, et al. (2014) The global burden of hip and knee osteoarthritis: Estimates from the Global Burden of Disease 2010 study. *Ann Rheum Dis* 73:1323-1330.
- Rogers J, Dieppe P (2003) Paleopathology of osteoarthritis. *Osteoarthritis*, eds Brandt KD, Doherty M, Lohmander LS (Oxford Univ Press, Oxford), 2nd Ed, pp 57-65.
- Larsen CS (1982) The anthropology of St. Catherine's Island. 3. Prehistoric human biological adaptation. *Anthropol Pap Am Mus Nat Hist* 57:162-270.
- Waldron HA (1991) Prevalence and distribution of osteoarthritis in a population from Georgian and early Victorian London. *Ann Rheum Dis* 50:301-307.
- Rogers J, Dieppe P (1994) Is tibiofemoral osteoarthritis in the knee joint a new disease? *Ann Rheum Dis* 53:612-613.
- Webb S (1995) *Paleopathology of Aboriginal Australians: Health and Disease Across a Hunter-Gatherer Continent* (Cambridge Univ Press, Cambridge, UK).
- Inoue K, et al. (2001) Prevalence of large-joint osteoarthritis in Asian and Caucasian skeletal populations. *Rheumatology (Oxford)* 40:70-73.
- Phenice TW (1969) A newly developed visual method of sexing the os pubis. *Am J Phys Anthropol* 30:297-301.
- Lovejoy CO, Meindl RS, Prybeck TR, Mensforth RP (1985) Chronological metamorphosis of the auricular surface of the ilium: A new method for the determination of adult skeletal age at death. *Am J Phys Anthropol* 68:15-28.
- Murray KA, Murray T (1991) A test of the auricular surface aging technique. *J Forensic Sci* 36:1162-1169.
- Pritzker KP, et al. (2006) Osteoarthritis cartilage histopathology: Grading and staging. *Osteoarthritis Cartilage* 14:13-29.
- Cockburn A, Duncan H, Riddle JM (1979) Arthritis, ancient and modern: Guidelines for field workers. *Henry Ford Hosp Med J* 27:74-79.
- Jurmain R (1999) *Stories from the Skeleton: Behavioral Reconstruction in Human Osteology* (Gordon and Breach, Amsterdam).
- Waldron T (2009) *Paleopathology* (Cambridge Univ Press, Cambridge, UK).
- Kellgren JH, Lawrence JS (1957) Radiological assessment of osteo-arthritis. *Ann Rheum Dis* 16:494-502.
- Waldron T, Rogers J (1991) Inter-observer variation in coding osteoarthritis in human skeletal remains. *Int J Osteoarchaeol* 1:49-56.
- Morgan SL, Winship C (2015) *Counterfactuals and Causal Inference* (Cambridge Univ Press, Cambridge, UK), 2nd Ed.
- Ho DE, Imai K, King G, Stuart EA (2007) Matching as nonparametric preprocessing for reducing model dependence in parametric causal inference. *Polit Anal* 15:199-236.
- R Core Team (2016) *R: A Language and Environment for Statistical Computing* (R Foundation for Statistical Computing, Vienna).
- Valdes AM, et al. (2011) The GDF5 rs143383 polymorphism is associated with osteoarthritis of the knee with genome-wide statistical significance. *Ann Rheum Dis* 70:873-875.
- Davis MA, Ettinger WH, Neuhaus JM, Cho SA, Hauck WW (1989) The association of knee injury and obesity with unilateral and bilateral osteoarthritis of the knee. *Am J Epidemiol* 130:278-288.
- Radin EL, Orr RB, Kelman JL, Paul IL, Rose RM (1982) Effect of prolonged walking on concrete on the knees of sheep. *J Biomech* 15:487-492.
- Kerrigan DC, Todd MK, Riley PO (1998) Knee osteoarthritis and high-heeled shoes. *Lancet* 351:1399-1401.
- Kiviranta I, Tammi M, Jurvelin J, Säämänen A-M, Helminen HJ (1988) Moderate running exercise augments glycosaminoglycans and thickness of articular cartilage in the knee joint of young beagle dogs. *J Orthop Res* 6:188-195.
- Urquhart DM, et al. (2011) What is the effect of physical activity on the knee joint? A systematic review. *Med Sci Sports Exerc* 43:432-442.
- Roos EM, Herzog W, Block JA, Bennell KL (2011) Muscle weakness, afferent sensory dysfunction and exercise in knee osteoarthritis. *Nat Rev Rheumatol* 7:57-63.
- Handschin C, Spiegelman BM (2008) The role of exercise and PGC1 α in inflammation and chronic disease. *Nature* 454:463-469.
- Giugliano D, Ceriello A, Esposito K (2006) The effects of diet on inflammation: Emphasis on the metabolic syndrome. *J Am Coll Cardiol* 48:677-685.
- Lieberman DE (2013) *The Story of the Human Body: Evolution, Health, and Disease* (Pantheon, New York).
- Floud R, Fogel RW, Harris B, Hong SC (2011) *The Changing Body: Health, Nutrition, and Human Development in the Western World Since 1700* (Cambridge Univ Press, Cambridge, UK).
- Gurven M, Kaplan H (2007) Longevity among hunter-gatherers: A cross-cultural examination. *Popul Dev Rev* 33:321-365.
- Zhuo Q, Yang W, Chen J, Wang Y (2012) Metabolic syndrome meets osteoarthritis. *Nat Rev Rheumatol* 8:729-737.
- Church TS, et al. (2011) Trends over 5 decades in U.S. occupation-related physical activity and their associations with obesity. *PLoS One* 6:e19657.
- Tudor-Locke C, Ainsworth BE, Washington TL, Troiano R (2011) Assigning metabolic equivalent values to the 2002 census occupational classification system. *J Phys Act Health* 8:581-586.
- Omran AR (1971) The epidemiologic transition. A theory of the epidemiology of population change. *Milbank Mem Fund Q* 49:509-538.
- Gaziano JM (2010) Fifth phase of the epidemiologic transition: The age of obesity and inactivity. *JAMA* 303:275-276.
- National Center for Health Statistics (2000) *Leading Causes of Death, 1900-1998*. Available at https://www.cdc.gov/nchs/data/dvs/lead1900_98.pdf. Accessed June 15, 2017.
- Jones DS, Podolsky SH, Greene JA (2012) The burden of disease and the changing task of medicine. *N Engl J Med* 366:2333-2338.
- Brennaman AL (2014) Examination of Osteoarthritis for Age-at-Death Estimation in a Modern Population. M5 thesis (Boston Univ School of Medicine, Boston).
- Cohen J (1960) A coefficient of agreement for nominal scales. *Educ Psychol Meas* 20:37-46.
- Landis JR, Koch GG (1977) The measurement of observer agreement for categorical data. *Biometrics* 33:159-174.
- Gelman A, Hill J (2007) *Data Analysis Using Regression and Multilevel/Hierarchical Models* (Cambridge Univ Press, Cambridge, UK).
- Austin PC, Stuart EA (September 1, 2015) Estimating the effect of treatment on binary outcomes using full matching on the propensity score. *Stat Methods Med Res*.
- Stuart EA (2010) Matching methods for causal inference: A review and a look forward. *Stat Sci* 25:1-21.
- Ho DE, Imai K, King G, Stuart EA (2011) Matchit: Nonparametric preprocessing for parametric causal inference. *J Stat Softw* 42:1-28.