A monkey's tale: The origin of *Plasmodium vivax* as a human malaria parasite

Ananias A. Escalante^{†‡§}, Omar E. Cornejo[‡], Denise E. Freeland[†], Amanda C. Poe[¶], Ester Durrego[‡], William E. Collins[†], and Altaf A. Lal[†]

[†]Division of Parasitic Diseases, Centers for Disease Control and Prevention, Chamblee, GA 30341; [‡]Instituto Venezolano de Investigaciones Científicas, Apartado 21827, Caracas 1020-A, Venezuela; and [¶]Department of Biology, Emory University, Atlanta, GA 30322

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The high prevalence of Duffy negativity (lack of the Duffy blood group antigen) among human populations in sub-Saharan Africa has been used to argue that *Plasmodium vivax* originated on that continent. Here, we investigate the phylogenetic relationships among 10 species of *Plasmodium* that infect primates by using three genes, two nuclear (β -tubulin and cell division cycle 2) and a gene from the plastid genome (the elongation factor Tu). We find compelling evidence that *P. vivax* is derived from a species that inhabited macaques in Southeast Asia. Specifically, those phylogenies that include *P. vivax* as an ancient lineage from which all of the macaque parasites could originate are significantly less likely to explain the data. We estimate the time to the most recent common ancestor at four neutral gene loci from Asian and South American isolates (a minimum sample of seven isolates per locus). Our analysis estimates that the extant populations of *P. vivax* originated between 45,680 and 81,607 years ago. The phylogeny and the estimated time frame for the origination of current *P. vivax* populations are consistent with an "out of Asia" origin for *P. vivax* as hominoid parasite. The current debate regarding how the Duffy negative trait became fixed in Africa needs to be revisited, taking into account not only human genetic data but also the genetic diversity observed in the extant *P. vivax* populations and the phylogeny of the genus *Plasmodium*.

Duffy | genetic diversity | host-switch

Almost 60 years ago, Haldane (1) proposed that human malaria might act as a selective force on human populations. Until recently, tests of this hypothesis were hampered by a limited amount of data and the lack of objective methods of phylogenetic reconstruction.

Initial phylogenetic investigations using molecular approaches have focused primarily on the origin of *Plasmodium falciparum*, the agent of malignant tertian malaria, and its relationship to other human and animal malaria parasite species (2–8). Two major conclusions were drawn from these studies. First, each of the four *Plasmodium* species parasitic to humans arose independently as human pathogens and, second, *Plasmodium reichenowi*, a parasite of the chimpanzee, is the species that shares the most recent common ancestor with *P. falciparum* (3, 6, 8). These findings have led to vigorous debate about the origin and age of the extant populations of *P. falciparum* (9–14). Until now, there has been limited information about the origin of *P. vivax*, the major and most prevalent human malaria parasite outside of sub-Saharan Africa.

Recent discussions on the origin of *P. vivax* have been driven for the most part by the analysis of indirect evidence without strong phylogenetic data. One of the earliest hypotheses placed the origin of *P. vivax* in Southeast Asia, together with other *Plasmodium* species parasitic in nonhuman primates (15). The argument was supported by the abundance of simian malaria parasite species in this region and the observation that several of the macaque parasites shared morphological and biological characteristics with *P. vivax* (15–17). However, this "out of Asia" hypothesis has not been generally accepted in recent years.

Particularly, arguments based merely on species abundance to identify "centers of origin" are questionable because they do not consider the rapid radiation of species in limited areas (6) or habitat changes that may affect the distribution and abundance of species. In contraposition, the high prevalence of Duffy negativity (lack of the Duffy blood group antigen or FY*O) among human populations in sub-Saharan Africa has been used to support an African origin for *P. vivax* (16–18).

The Duffy blood group (FY) is a transmembrane glycoprotein that is also a chemokine receptor (19, 20). It has three blood types; two, FY*A and FY*B, correspond to functional protein, whereas the third, FY*O, fails to express a product on the red blood cell surface because of a promoter mutation. The Duffy antigen/chemokine receptor (also referred as DARC) is also an erythrocyte receptor targeted by *P. vivax* as its gateway to invading the red blood cell. Thus, Duffy negative (FY*O) homozygotes do not express the FY*A or FY*B proteins and are completely protected against *P. vivax* infection (17, 19, 20). The specificity of the Duffy–*vivax* interaction suggests that *P. vivax* could have been in contact with the African human population, allowing that selection imposed by the parasite to drive FY*O to fixation (17); thus, it is possible that *P. vivax* originated out of Africa, carried by any of the hominoid lineages that had their origin there, including modern humans. However, given that *P. vivax* does not exhibit high levels of virulence in terms of mortality rates, it is not likely to be such a strong selective factor (18). This hypothesis leaves open the possibility that the FY*O in Africa could have been fixed by another process (selection due to another pathogen or chance) and then became a barrier against a subsequent introduction of *P. vivax* (18).

An earlier phylogenetic study using the mitochondrial cytochrome *b* gene provided the first molecular suggestions about the origin of *P. vivax*. The estimated gene phylogeny indicated the following: (i) Asian primate malarias, including *P. vivax*, were apparently part of a recent species radiation (6); and (ii) there was a more ancient African origin for the lineage leading to the extant primate malarial species found in Southeast Asia (6). Specifically, parasites from Africa such as *Plasmodium gonderi* were placed at the base of the phylogeny as sister taxa of a monophyletic group that includes all existing Southeast Asian nonhuman primate parasites together with the human parasite, *P. vivax* (6). This phylogenetic information coincides with the origin and radiation of the various primate groups that are malaria hosts in Southeast Asia (21). This phylogenetic study also made less parsimonious that *P. vivax* could originate from a platyrrhine monkey parasite in South America such as *Plas-*

Abbreviations: DHFR, dihydrofolate reductase; FY, Duffy blood group; ML, maximum likelihood; Myr, million years; TMRCA, time to the most recent common ancestor.

Data deposition: The sequences reported in this paper have been deposited in the GenBank database (accession nos. AY639953–AY640007).

[§]To whom correspondence should be addressed at: Malaria Branch, Division of Parasitic Diseases, Centers for Disease Control and Prevention, Mail Stop F-12, 4770 Buford Highway, Chamblee, GA 30341. E-mail: aescalante@cdc.gov.

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Fig. 1. Hypothetical tree topologies under the *Homo* (as proposed by ref. 17) (A) and the *Macaca* (as proposed in this study) (B) scenarios for the origin of *P. vivax*.

among genes, and t was assumed under the scenarios described below. We first estimated the mutation rate for each homologous locus by using the divergence among macaque parasites. The assumption made here is that the mutation rates among macaque parasites are valid independent estimates of the mutation rate within *P. vivax*. We used the divergence among *Plasmodium fragile*, *Plasmodium knowlesi*, *Plasmodium cynomolgi*, and *Plasmodium inui* to estimate the mutation rates. These species were selected based on the estimated phylogeny to capture the maximum divergence among the malaria parasite lineages that are found in at least two major groups of macaque species. Although no evidence suggesting departure from neutrality was found within the *P. vivax* sample or between the species (26, 31), the rate of evolution among the *Plasmodium* species could be affected by several factors, such as differences in generation time or in their effective population sizes. Thus, we tested the assumption of constant rate of evolution as follows: complete phylogenies with all of the species but *P. gonderi* were analyzed for in the cases of *CDC-2* and *β -tubulin* exons through the comparison of their likelihood estimated by DNAML (no molecular clock) and DNAMLK (molecular clock) algorithms of the PHYLIP package (33) without observing significant differences. In the cases of *DHFR* and *Pvs25*, relative ratio tests between *P. cynomolgi* and *P. inui* by using *P. knowlesi* as outgroup were performed, and the molecular clock was not rejected. In such cases, only the *P. cynomolgi*–*P. inui* divergence was used. The time frame used was 1.4–2.5 million years (Myr) assuming that these parasite lineages diverged after the radiation within the major *Macaca* species groups (*silenus*, *fascicularis*, and *sinica*) on which *P. cynomolgi* and *P. inui* are found (23, 24). We did not assume cospeciation of the parasites with their hosts given that host switches appear to be common (see Table 1). We assumed only that the parasite radiation took place together with the

Fig. 2. ML phylogenetic tree based on the nuclear genes *β -tubulin* and *CDC-2* and the plastid gene *TuFA*. Supporting values for the nodes of the tree were obtained through a Monte Carlo Markov chain model as implemented in MR. BAYES (24).

radiation and/or population expansions of the major macaque lineages because we expected that a diverse and abundant fauna of hosts is a requirement for the differentiation of malarial parasites in sympatry. These time frames are supported by (i) fossil and molecular phylogenetic studies on macaques suggesting these time frames as the periods when the group became diverse and abundant (21, 34), and (ii) there was a high correlation between the distributions of the genus *Homo* and *Macaca* during this time frame (21). All of the sequences reported in this study are deposited in the GenBank database with accession nos. AY639953–AY640007.

Results

The phylogeny estimated by ML combining the three genes is depicted in Fig. 2. The model that fit the data best was the general time reverse model (35) with a gamma correction for heterogeneity among sites (genetic distances are provided in Table 4, which is published as supporting information on the PNAS web site). The same phylogeny was obtained by using ML or Bayesian methods. Additional phylogenetic analyses by using maximum parsimony led to similar results. The phylogenies derived from the analysis of individual genes were also consistent. The African primate parasite *P. gonderi* was used for rooting the phylogeny, given that previous studies have shown that Southeast Asia simian parasites originated in Africa (6). *P. vivax* shares a recent common ancestor with two clades, one that includes *P. cynomolgi*, *P. fieldi*, and *P. simiovale* (hereafter referred to as the *P. cynomolgi* lineage), and the clade of *P. inui* and the gibbon parasite *P. hylobati* (hereafter referred to as the *P. inui* lineage). We did not find any significant difference in the likelihood among topologies considering permutations of these two nonhuman primate parasite lineages and *P. vivax* by the Shimodaira–Hasegawa test as implemented in the program CONSEL (30). This group of species (*P. vivax* plus *P. cynomolgi* and *P. inui* lineages) consistently appears as a sister lineage of the clade that includes *P. knowlesi* and *P. coatneyi* (hereafter referred to as the *P. knowlesi* lineage). All together, these simian malaria species appear as a monophyletic group, whereas *P. fragile* is consistently placed as the most basal macaque parasite in the phylogeny (Fig. 1).

We tested two scenarios for the origin of *P. vivax*: the hominoid origin (as proposed by ref. 17) and the macaca origin (estimated in this study). The two phylogenies expected under these scenarios (depicted in Fig. 1) were compared. The phylogenies were statistically different in their goodness of fit to the available data with the “macaque origin” being more likely (likelihood of -16865.31 vs. -16933.35 for 10 species). Furthermore, an

Table 3. TMRCA among *P. vivax* isolates estimated by $t = D/2R$

Genes	Length	<i>n</i>	Estimated	TMRCA (1.4 Myr)	Estimated	TMRCA (2.1 Myr)	Estimated	TMRCA (2.5 Myr)
			mutation rates for 1.4 Myr		mutation rates for 2.1 Myr		mutation rates for 2.5 Myr	
<i>DHFR</i>	709	11	1.23×10^{-7}	17,114	8.21×10^{-8}	25,639	6.90×10^{-8}	30,507
<i>β-tubulin</i>	1185	8	4.78×10^{-8}	46,653	3.18×10^{-8}	70,126	2.67×10^{-8}	83,521
<i>Pvs25</i>	660	10	3.82×10^{-8}	56,414	2.55×10^{-8}	84,510	2.14×10^{-8}	100,701
<i>CDC-2</i>	775	7	5.70×10^{-8}	74,123	3.80×10^{-8}	111,184	3.19×10^{-8}	132,445
Weighted average				45,680		68,512		81,607

Length, sequence length in base pairs; n , number of sequences. TMRCA estimates are based on the radiation of the major *Macaca* lineages as explained in the text. The estimated mutation rates are expressed in substitutions per site per year. Averages are weighted by the number of sequences employed for each locus.

isolates from Africa, where 10% of the malaria cases reported are *P. vivax* infections (49). This broader sample, together with more extensive molecular data, will allow elucidating the history of the extant populations of *P. vivax*. This investigation simply aims to underlay the inconsistency of the molecular data with an out-of-Africa origin of *P. vivax*.

A potential limitation in our analysis is the lack of a sample of *P. schwetzi*, a chimpanzee parasite that some authors consider closer to *P. vivax* but others described as a *P. ovale*-like parasite (23). No material of this parasite is available; thus, no data could be derived that challenges the evidence provided in this study. In addition, it is worth noting that the estimated time frames are consistent with both *H. sapiens* and *H. erectus* (21, 50). The role played by the dynamic of the hominoids in Southeast Asia could be very important in the evolution of *P. vivax*; however, we have no elements that allow us to speculate about the topic.

An Asian origin from a nonhuman primate raises questions about the hypothesis for fixation of the Duffy negativity in sub-Saharan Africa as the result of an ancient presence of *P. vivax* on that continent. We could speculate that the fixation of Duffy negativity was driven by selection from other *P. vivax*-like parasites because Asian malaria parasites, as a monophyletic group, derived from *Plasmodium* parasitic in primates in Africa as evidenced by *P. gonderi* and other malaria parasite species (6). However, the genetic signature of directional selection around FY*O is still controversial, and there is no evidence of a long effect of positive selection in the gene encoding the Duffy blood group when several primates are studied (51). Current investigations show some evidence for a selective sweep leading to the fixation of FY*O in Africa; however, the pattern is still unclear (52–54). Indeed, FY*O fixation could have happened after the

onset of agriculture, when human population sizes increased and selection due to malaria could operate (54), a scenario that is still compatible with an Asian origin of *P. vivax*. Finally, but no less important, the fixation of Duffy negativity could be the outcome of other historic or selective processes. Given the available data about the Duffy blood group and this phylogenetic analysis, it appears that by using the high prevalence of FY*O as evidence that *P. vivax* originated in Africa simply shows the inability of separating “current utility from reasons for origin” (55); that is, the fact that a trait is an adaptation today does not imply that it originated by natural selection. Our conclusion of an Asian origin is consistent with results from analyses of complete mitochondrial genomes (J. Mu, D. Joy, and X. Su, personal communication).

In summary, this investigation points to *P. vivax* being derived from ancestral macaque parasites when hominoids colonized Southeast Asia. Our results do not support that *P. vivax* was a *Homo* parasite before the expansion of the hominoids populations out of Africa. Thus the assumption that the high prevalence of Duffy negative is a consequence of a long *H. sapiens*-*P. vivax* association in Africa needs to be revisited.

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