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Developmental Cascade Effects of Interpersonal Psychotherapy for Depressed Mothers: Longitudinal Associations with Toddler Attachment, Temperament, and Maternal Parenting Efficacy

Elizabeth D. Handley¹, Louisa C. Michl-Petzing¹, Fred A. Rogosch¹, Dante Cicchetti^{1,2}, and Sheree L. Toth¹

¹Mt. Hope Family Center, University of Rochester, University of Minnesota

² Institute of Child Development, University of Minnesota

Abstract

Using a developmental cascades framework, the current study investigated whether treating maternal depression via interpersonal psychotherapy (IPT) may lead to more widespread positive adaptation for offspring and mothers including benefits to toddler attachment and temperament, and maternal parenting self-efficacy. The participants ($N=125$ mother-child dyads, mean mother age at baseline=25.43 years; 54.4% of mothers were African-American; mean offspring age at baseline=13.23 months) were from a randomized controlled trial (RCT) of IPT for a sample of racially and ethnically diverse, socioeconomically disadvantaged mothers of infants. Mothers were randomized to IPT ($n=97$) or an enhanced community standard (ECS) control group ($n=28$). Results of complier average causal effect (CACE) modeling showed that engagement with IPT led to significant decreases in maternal depressive symptoms at post-treatment. Moreover, reductions in maternal depression post-treatment were associated with less toddler disorganized attachment characteristics, more adaptive maternal perceptions of toddler temperament, and improved maternal parenting efficacy eight months following the completion of treatment. Our findings contribute to the emerging literature documenting the potential benefits to children of successfully treating maternal depression. Alleviating maternal depression appears to initiate a cascade of positive adaptation among both mothers and offspring, which may alter the well-documented risk trajectory for offspring of depressed mothers.

Major depressive disorder (MDD) represents a significant public health concern with estimates of lifetime MDD ranging from 13 to 16% in the United States (Hasin, Goodwin, Stinson, & Grant, 2005; Kessler, et al., 2003). Women are more likely to experience depression than are men (Kessler, 2003), with the highest rates of depression occurring among women of child bearing and child raising years (Heneghan, Silver, Westbrook, Bauman, & Stein, 1998; Kessler 2003; Kessler, McGonagle, Swartz, Blazer, & Nelson, 1993). Moreover, racial and ethnic minority women and economically disadvantaged women are especially vulnerable to depression (Andrade et al., 2003; Siefert, Bowman, Heflin,

Danziger, & Williams, 2000) and often experience more barriers and less access to quality mental health treatment (Alegria et al., 2008).

Research demonstrates that offspring of depressed mothers are at heightened risk for a variety of negative outcomes throughout development. These include insecure attachment, depression and anxiety, behavior problems, social and cognitive difficulties, academic problems, and physical illness (Atkinson et al., 2000; Beardslee, Versage, & Gladstone, 1998; Campbell, Morgan-Lopez, Cox, & McLoyd, 2009; Cicchetti & Toth, 1995; Cicchetti, Rogosch, & Toth, 1998; Cummings, Keller & Davies, 2005; Goodman & Gotlib, 1999; Goodman et al., 2011; Gunlicks & Weissman, 2008; Lieb, Isensee, Hofler, Pfister, & Wittchen, 2002; Martins & Gaffan, 2000; Weissman, et al., 2006a). Meta-analytic results indicate that the effect sizes for associations between maternal depression and children's internalizing and externalizing symptomatology and general psychopathology were all statistically significant, but small in magnitude (Goodman et al., 2011). Importantly, effect sizes for the relations between maternal depression and children's symptomatology and negative affect are stronger for younger children (Connell & Goodman, 2002; Goodman et al., 2011), suggesting that children with early developmental exposure to maternal depression are at particular risk for negative outcomes.

Interpersonal psychotherapy (IPT) is a manualized psychosocial intervention for MDD that has repeatedly been shown to be efficacious (i.e., Elkin et al., 1989; Hollon & Ponniah, 2010; Persons, Thase & Crits-Christoph, 1996; Toth et al., 2013). IPT targets interpersonal aspects of depression with possible focus areas including interpersonal transitions, disputes, grief, or interpersonal deficits (Klerman, Weissman, Rounsaville, & Chevron, 1984; Stuart & Robertson, 2003; Weissman, Markowitz, & Klerman, 2000). Importantly, the efficacy of IPT also has been demonstrated with diverse populations, including socioeconomically disadvantaged and minority women (Krupnick et al., 2008; Talbot et al., 2005; Toth et al., 2013).

Given the evidence that IPT is efficacious for reducing depressive symptoms among mothers (Toth et al., 2013), the aim of the present study was to expand on this previous work and determine whether successfully treating maternal depression via IPT may lead to more widespread positive adaptation for children and mothers. The notion that changes in outcomes for one family member as a result of an intervention may subsequently affect changes for other family members has been described as "collateral change" (Patterson, Forgatch, & DeGarmo, 2010, p950). Patterson and colleagues (2010) regard this continued spreading or expanding of positive change to other family members as "a bonus of the intervention" (p. 950) For example, Peltz and colleagues (2015) demonstrated collateral change in their study of child-parent psychotherapy (CPP). Results indicated that mothers who received CPP to improve attachment security with their child, also experienced improvements in their marital relationship. Collateral change is consistent with a developmental cascades theoretical framework. A developmental cascades framework refers to the way in which changes in one system, domain, or level may spread to other systems, domains, or levels over time and contribute to the development of adaptive behavior (Masten & Cicchetti, 2010). Thus, our goal was to determine how reducing maternal depression as a result of IPT may be the first step in a progression of positive outcomes for the broader

family context including improved mother-toddler attachment, improved maternal parenting efficacy, and improved toddler temperament.

Maternal depression, attachment quality, and parenting

As described above, children of depressed mothers are at risk for myriad negative developmental outcomes. Insecure mother-child attachment (Cummings & Cicchetti, 1990) and suboptimal maternal parenting beliefs and behaviors (Downey & Coyne, 1990; Gelfand & Teti, 1990; Turney, 2011) are theorized to underlie this risk process. Establishing a secure attachment relationship with a parent is a critical developmental task during the early years of life. A considerable body of research has documented associations between maternal depression and disruptions in offspring attachment security (e.g., Carter et al., 2001; Cummings & Davies, 1994; Hayes, Goodman, & Carlson, 2013; Lyons-Ruth, Connell, Grunebaum, & Botein, 1990; Martins & Gaffan, 2000; Murray, 1992; Teti, Gelfand, Messinger, & Isabella, 1995; Toth, Rogosch, Manly, & Cicchetti, 2006; Toth, Rogosch, Sturge-Apple, Cicchetti, 2009). For instance, in a study of toddlers of depressed mothers, 43.5% of toddlers of depressed mothers evidenced insecure attachment, compared to 18.2% of toddlers of non-depressed mothers (Cicchetti et al., 1998). Meta-analysis of this literature has revealed a significant overall effect of maternal depression on attachment security, with larger effect sizes found among clinical samples of maternal depression, rather than among non-clinical samples (Atkinson et al., 2000). Moreover, meta-analytic results also indicate a consistent effect of maternal depression on disorganized (D) attachment (Martins & Gaffan, 2000). Mothers' expressed emotion has been identified as a mechanism underlying the association between maternal depression and toddler attachment security (Gravener et al., 2011).

Disorganized attachment early in development places children at heightened risk for future maladaptation. When in stressful situations, individuals with disorganized attachment have been theorized to lack a consistent organized approach to emotion regulation and may experience dissociative states, thus increasing risk for psychopathology and aggressive behaviors (Carlson, 1998). Indeed, previous research has shown that infants with disorganized attachment are more likely to develop maladaptation, especially externalizing symptomatology and aggressive violent behaviors (Groh, Roisman, Van IJzendoorn, Bakermans-Kranenburg, & Fearon, 2012; Fearon, Bakermans-Kranenburg, Van IJzendoorn, Lapsley, & Roisman, 2010; MacDonald et al., 2008; Van IJzendoorn, Schuengel, & Bakermans-Kranenburg, 1999), and are more likely to experience difficulty with peer relations (Jacobvitz & Hazan, 1999). Therefore, interventions to mitigate disorganized attachment are critical to fostering adaptive development. Whether reducing maternal depressive symptoms via IPT may have the additional benefit of improving toddler-mother attachment has yet to be determined.

In addition to disruptions in secure attachment among offspring of depressed mothers, maladaptive parenting beliefs and behaviors also function to mediate risk associated with maternal depression (Cummings et al., 2005; Downey & Coyne, 1990; Gelfand & Teti, 1990; Goodman & Gotlib, 1999; Goodman & Gotlib, 2002; Hoffman, Crnic, & Baker, 2006; McConley et al., 2011; Turney, 2011). Coercive behavior, hostility, inconsistency, and less

responsivity and engagement have all been identified as parenting behaviors associated with maternal depression (Lovejoy et al., 2000). Moreover, parental efficacy beliefs, or the degree to which parents perceive themselves as able to master the varied tasks associated with parenting a child, have emerged as a strong, direct predictor of positive parenting practices (Ardelt & Eccles, 2001; Izzo, Weiss, Shanahan, & Rodriguez-Brown, 2000). Importantly, research has identified a link between higher levels of maternal depression and lower levels of maternal parenting efficacy (Jones & Prinz, 2005; Kohlhoff & Barnett, 2013; Michl, Handley, Rogosch, Cicchetti & Toth, 2015). Taken together, it is evident that depressed mothers are vulnerable to maladaptive parenting beliefs and behaviors, both of which heighten risk among offspring. Whether successfully treating maternal depression via IPT may contribute to improvements in mothers' beliefs about their parenting abilities remains unknown.

Maternal depression and offspring temperament

Early temperamental characteristics have also been linked with a number of developmental outcomes, including the development of maladaptation (Bijttebier & Roeyers, 2009; De Pauw & Mervielde, 2010; Muris & Ollendick, 2005; Nigg, 2006; Rothbart & Posner, 2006). Rothbart and Posner (2006) define temperament as "constitutionally based individual differences in reactivity and self-regulation, as observed in the domains of emotionality, motor activity, and attention" (p. 466). For instance, early childhood negative emotionality has been shown to enhance risk for the development of externalizing and internalizing symptoms two years later (Eisenberg et al., 2009).

Furthermore, research indicates that mothers' depressive symptoms shape their own perceptions and ratings of their infants' temperament (McGrath, Records, & Rice, 2008) such that mothers with higher levels of stress and depressive symptoms tend to view their offspring more negatively. Interestingly, Pauli-Pott and colleagues (2000) did not find a relation between maternal depression and observer ratings of offspring temperament, suggesting depressed mothers may view their children differently than do outside observers. However, it is evident that mothers' perceptions of their children's temperament should not be discounted. Specifically, research indicates that parents' views of their infants' temperaments are predictive of future observer assessment of infants' temperament (Pauli-Pott, Mertesacker, Bade, Haverkock, & Beckmann, 2003), thus indicating that the way in which parents' perceive their infants' temperament actually shapes the future development of the infants' temperamental characteristics. Therefore, although depressed mothers may not provide objective reports of their children's temperament, this negative bias is important because it may heighten children's risk for future maladaptation. Interventions targeting maternal depression may have the added benefit of not only improving mothers' depression, but subsequently reducing the bias in mothers' appraisals of their children, which may contribute to their children's positive adaptation later in development. Understanding whether reducing maternal depression by way of IPT may foster more positive maternal perceptions of toddler temperament has yet to be determined.

Remission of maternal depression and child outcomes

Although it is clear that maternal depression may negatively impact offspring in a variety of critical developmental domains, there is also empirical evidence that remission of maternal depressive symptoms may function to reduce offspring risk (Cuijpers, Weitz, Karyotaki, Garber, & Andersson, 2015; Garber et al., 2009; Gunlicks & Weissman, 2008). For example, the Sequenced Treatment Alternatives to Relieve Depression (Star*D-Child) study has shown that among children of depressed mothers, children of mothers whose depression remitted experienced fewer current psychiatric symptoms and diagnoses compared to children of non-remitting mothers (Weissman et al., 2006b). STAR*D results also indicate sustained benefits in children a year after their mothers' depression remitted (Wickramaratne et al., 2011). In a sample of depressed and non-depressed parents and their children, Garber and colleagues (2011) found significantly different trajectories of children's depressive symptoms between children of remitted and children of non-remitted depressed parents such that children of remitted parents had a steeper drop in depressive symptoms over time. Finally, there is also evidence that reductions in maternal depressive symptoms may mediate the positive effects of a family-based intervention (i.e., Family Check Up; an intervention not specifically targeting maternal depression) on offspring development of conduct problems (Shaw, Connell, Dishion, Wilson, & Gardner, 2009).

In addition to effects of parental depression remission on children's psychopathology, prior research also suggests that parental remission from depression affects parent and offspring perceptions of parenting (Cuijpers et al., 2015). Specifically, Weissman and colleagues (2014) demonstrated differences in perceived parenting among remitted mothers, remitted and then relapsed mothers, and non-remitted mothers. Similarly, Garber and colleagues (2011) showed that decreases in parental depressive symptoms were related to increases in children's perceptions of parental acceptance, which in turn affected decreases in children's depressive symptoms. Together, these findings are consistent with the notion that maladaptive parenting partially mediates the impact of maternal depression on offspring outcomes. The studies described above provide evidence that the alleviation of maternal depressive symptoms is related to improvements in not only maternal parenting behavior, but also children's mental health.

Interpersonal psychotherapy (IPT) for mothers

It is evident that IPT is an efficacious treatment for adult depression; however, the cascading effects of reducing maternal depression via IPT on offspring are only beginning to emerge, and with mixed results. For example, in a randomized controlled trial, Mulcahy, Reay, Wilkinson and Owen (2010) examined the efficacy of a group IPT intervention for mothers with postnatal depression and found that women assigned to group IPT perceived significant improvements in the mother-infant relationship at post-intervention compared to mothers who received treatment as usual (TAU). Additionally, Swartz and colleagues (2008) identified improvements in children's depressive symptoms among children already receiving psychiatric treatment whose mothers underwent IPT-MOMS, a 9 session IPT adaptation designed specifically for mothers of children with psychiatric disorders. Similarly, Verdelli and colleagues (2004) examined IPT for mothers of offspring receiving

treatment for depression. Results of this pilot study showed that improvements in mothers' depressive symptoms as a result of IPT were associated with children's improvement in overall functioning, but not specifically with reductions in children's symptomatology. Contrary to results on positive outcomes for offspring associated with IPT treatment for mothers, Forman and colleagues (2007) found that although depressed mothers randomized to IPT reported improvements in parenting stress, these mothers continued to view their children as lower in attachment security, and higher in behavioral problems and negative temperament compared to non-depressed mothers.

Together these studies provide some preliminary support for the hypothesis that reducing maternal depressive symptoms via IPT may contribute to positive benefits for the family. However, the results are mixed and the studies are limited in a number of critical ways. Specifically, much of the research has utilized small sample sizes and/or employed samples of children currently undergoing psychiatric care, thus limiting generalizability. Furthermore, racially and ethnically diverse mothers and low-income mothers have been underrepresented within this literature. Additionally, most of these studies have relied on self-report measures of children's attachment security and psychopathology. Whether improvements in maternal depression through IPT may lead to a spreading of positive adaptation for young offspring including reducing disorganized attachment among toddlers, enhancing adaptive maternal beliefs about parenting, and alleviating maternal perceptions of offspring temperament remains unknown.

Current study

Using data from a randomized controlled trial (RCT) of IPT for a sample of racially and ethnically diverse, socioeconomically disadvantaged mothers of infants, we sought to investigate whether effects of IPT on maternal depression (Toth et al., 2013) may contribute to less toddler disorganized attachment characteristics, less maternal perceptions of difficult toddler temperament, and improved maternal parenting efficacy. In doing so, we extend current knowledge regarding the links between alleviating maternal depression and toddler attachment and temperament. Using the current sample, Toth and colleagues (2013) demonstrated that IPT was related to greater reductions in maternal depression at post-intervention compared to an enhanced community standard (ECS) control group. We hypothesized that this alleviation of maternal depressive symptoms would predict less toddler disorganized attachment and difficult temperamental characteristics and greater maternal parenting efficacy.

Method

Participants

Participants for this investigation included 125 racially and ethnically diverse low-income urban mothers (aged 18-40; mean age=25.43, $SD=5.01$; 54.4% African-American, 22.4% Caucasian, 20.8% Hispanic, 2.4% "other" race/ethnicity) with an infant. Mean ages (in months) of children at each assessment were as follows: M age at baseline = 13.23 ($SD = .99$), M age at post-treatment = 19.81 ($SD = 1.83$), and M age at follow-up = 26.88 ($SD = 1.10$). Informed consent for participation was obtained from mothers prior to the initiation of

data collection, and the research was conducted in accord with the Institutional Review Board approval. All women met criteria for Major Depressive Disorder. We recruited a community sample of non-treatment-seeking women from primary care clinics serving low-income women and from Women, Infant and Children (WIC) clinics. Seventy-eight percent of the sample was at or below the U.S. Department of Health and Human Services definition of poverty level, and 96% met Women, Infants, and Children (WIC) criteria (185% of the poverty level). A project recruitment coordinator initially screened women with the Center for Epidemiologic Studies-Depression Scale (CES-D; Radloff, 1977), and those scoring above 16 were targeted for further assessments to determine eligibility for inclusion. Women who subsequently scored 19 or higher on the Beck Depression Inventory II (BDI-II; Beck, Steer, & Brown, 1996), and who met MDD diagnostic criteria based on the operational criteria on the Diagnostic Interview Schedule for DSM-IV (DIS-IV; Robins, Cottler, Bucholz, & Compton, 1995) were eligible to participate. Following confirmation of diagnoses on the DIS, women were randomized to Interpersonal Psychotherapy (IPT) or Enhanced Community Standard (ECS) (see Intervention Groups section below for details on interventions).

For all but 6.5% of the women, the onset of their first major depressive episode preceded the infant's birth. Accordingly, the current sample was not comprised of women with depression restricted to the post-partum period, but rather was of longer standing duration. Regarding comorbid DSM-IV diagnoses, 50.4% of women met criteria for an anxiety disorder (non-PTSD), 32.8% met criteria for posttraumatic stress disorder, and 16.8% met criteria for antisocial personality disorder. No statistically significant differences were found in rates of comorbid disorders between the IPT and ECS groups (see Table 1).

Although scores on the Hamilton Rating Scale for Depression (HRSD-R) of 14 or higher are generally considered indicative of MDD, utilization of this cut-off criteria for study admission has been criticized because individuals may be erroneously excluded (Bagby, Ryder, Schuller & Marshall, 2004; Morris et al., 2007). Therefore, the HRSD-R was not used to exclude participants in the current investigation. Women meeting diagnostic criteria for lifetime bipolar disorder or for any lifetime psychotic spectrum disorder were excluded. Women with Mood Disorder Due to a General Medical Condition and Substance-Induced Mood Disorder also were excluded, as were women with any current alcohol or substance abuse disorder, as defined by DSM-IV criteria. Women with other co-morbid disorders were not excluded.

Procedures

Assessments were conducted at baseline, post-intervention, and at an eight-month post-intervention follow-up. All assessments were conducted by trained interviewers who were unaware of group condition or study hypotheses. Due to possible variations in literacy and reading ability, all self-report measures were read to participants while they followed along and marked their answers. Following confirmation of diagnostic status, women were randomized to the IPT or to the ECS group, using a progressive block randomization procedure over the extended period of participant recruitment. Demographic variables including age, race, ethnicity, education, and number of children were used as blocking

variables. Because the clinical trial involved women who were not seeking treatment, we expected that there would be a number of participants who would not be interested in the active IPT arm when offered, and thus, would decline treatment, thereby not complying with their random assignment to receive the intervention (Little & Yau, 1998). Accordingly, the ratio of random assignment to IPT versus ECS was adjusted over time in order to ensure that sufficient numbers of women would receive IPT, the active intervention under evaluation. Thus, more women were randomized to receive IPT ($n = 97$) than the ECS condition ($n = 28$). Group assignment was not revealed until completion of the baseline research assessments, at which time participants were informed of their group assignment by the recruitment coordinator. As described in detail below, complier average causal effect modeling (CACE) was employed to handle the rate of non-compliance with randomization ($n=39$ mothers were classified as non-compliers).

Intervention Groups

Interpersonal Psychotherapy (IPT)—IPT was delivered in accord with the treatment manual (Weissman et al., 2000) and included the provision of 14 one-hour sessions on a weekly basis. Although traditionally provided in clinic settings, flexibility of delivery site (home versus clinic) was offered to reduce the possible stigma associated with receiving mental health services for low income, racially and ethnically diverse participants and to increase receptivity to services. Depression was explained to participants as common feelings that can be associated with the many challenges parents face with childrearing. At times, language focused more on “feeling overwhelmed, stressed and down” because it was difficult for some clients to acknowledge feeling “depressed.” Therefore, psychoeducation around depression that therapists typically provide in the initial phase sometimes was provided later in treatment once therapeutic rapport was established. Therapists included master’s- or doctoral-level practitioners who were trained in IPT in accord with credentialing recommendations. Therapists had a minimum of 10 years of experience with the provision of psychotherapy to low-income populations and at least two years of supervised experience in the provision of IPT. Weekly individual and group supervision was provided by supervisors who also met credentialing requirements for the supervisory level. Fidelity was closely monitored using a number of methods including questionnaires completed by the therapist and reviewed by supervisors, and the review of audiotaped sessions by an expert IPT clinician and supervisor (see Toth et al., 2013 for details).

Enhanced Community Standard (ECS)—Because it is not ethical to withhold treatment from women who have been identified as depressed, all women in the ECS arm were actively offered referral to services typically available in the community ($n=28$). However, these women were not required to be in treatment unless they chose to do so. Overall, 66.2% elected to be involved in treatment for depression, and all of these women received individual counseling or psychotherapy. All women in the ECS group also had access to a project staff member who provided periodic informational newsletters, basic education about MDD, support, and referrals to community mental health centers to assist them with accessing treatment, as requested. Thus, treatment received in the ECS group varied from no active intervention to psychotherapy plus additional services. Please see Toth et al. (2013) for details on the specific types of treatment women in the ECS group received.

Measures

The Center for Epidemiologic Studies-Depression (CES-D; Radloff, 1977)—The CES-D is a frequently used, well-validated 20-item scale to screen for depression. Scores > 16 predict a high likelihood of MDD.

Diagnostic Interview Schedule for DSM-IV (DIS-IV; Robins et al., 1995)—The DIS-IV is a structured interview designed to assess diagnostic criteria for Axis I disorders, as well as for antisocial personality disorder, as outlined in the Diagnostic and Statistical Manual of Mental Disorders (4th edition; American Psychiatric Association, 1994). The DIS-IV ascertains diagnoses present in the past year, the past six months, and those that are current or remitted. The DIS has been shown to be reliable and valid for use in psychiatric epidemiological field studies (Robins, Helzer, Croughan, & Ratcliff, 1981; Robins, Helzer, Ratcliff, & Seyfried, 1982). Robins et al. (1981) compared DSM diagnoses made using the DIS to those made by psychiatrists and reported mean $\kappa = .69$, sensitivity of 75%, and specificity of 94%. Given the forced choice structured format of the DIS, interviewers do not need to be trained clinicians. All interviewers were trained to criterion reliability in the administration of the DIS and computer-generated diagnoses were utilized.

Beck Depression Inventory-II (BDI-II; Beck, Steer, & Brown, 1996)—The BDI-II is the most widely used self-report instrument for measuring the severity of depression. It includes 21 questions in a multiple-choice format and scores of 19 or above indicate levels of depression with clinical significance. Previous studies report that the BDI-II demonstrates good internal consistency (coefficient alpha = .91) and validity (Dozois, Dobson & Ahnberg, 1998; Storch, Roberti, & Roth, 2004). In the current study, the average internal consistency of the BDI-II was $\alpha = .94$.

Offspring Difficult Temperament—The Infant Behavior Questionnaire (IBQ; Rothbart, 1981) was used to assess infant difficult temperament. Mothers reported the frequency of specific infant behaviors in the past week on a scale of 1=never to 7=always. Specifically, two subscales were utilized: distress to limitations and activity level subscales. The distress to limitations subscale was comprised of 20 items regarding the infant's fussing, crying, or showing distress while engaging in activities (e.g., waiting for food, being dressed and undressed, and confined to a place or position) and demonstrated good internal consistency (coefficient alpha = .85). The activity level subscale was comprised of 17 items regarding the infant's gross motor activity, squirming, and locomotor activity and evidenced adequate internal consistency (coefficient alpha = .73). Distress to limitations and activity level subscales were significantly correlated ($r = .54$, $p < .001$) and employed as indicators of the latent construct "infant difficult temperament."

Given marked developmental changes between infancy and toddlerhood, the same exact measure of temperament at both time points was not possible. Therefore, we employed the IBQ in infancy and measured difficult temperament with the distress to limitations and activity level subscales. We employed the Toddler Behavior Assessment Questionnaire (TBAQ; Goldsmith, 1996) in toddlerhood and measured difficult temperament with the anger proneness and activity levels subscales. These subscales were chosen because they

measure aspects of temperament that may be difficult for parents and because empirical evidence provides these developmentally analogous subscales from infancy on the IBQ to toddlerhood on the TBAQ.

For the TBAQ, mothers reported on the frequency of specific child behaviors in the past month using a scale of 1=never to 7=always. The anger proneness subscale is comprised of 28 items related to crying, protesting or other signs of anger in situations involving conflict with another child or caregiver and has demonstrated good internal consistency (coefficient $\alpha = .85$). The activity level subscale is comprised of 20 items designed to assess locomotor movement during a variety of daily situations and has evidenced adequate internal consistency (coefficient $\alpha = .61$). Anger proneness and activity level subscales were significantly correlated ($r = .64, p < .001$) and employed as indicators of the latent construct “toddler difficult temperament.”

Maternal Efficacy Questionnaire (MEQ; Teti & Gelfand, 1991)—The MEQ is a 10-item questionnaire that assesses a mother’s feelings of self-efficacy in relation to specific demands of the parenting role. The items are designed to tap the mother’s sense of her ability to understand her child’s wants and needs, get her child’s attention, engage in positive interaction with her child, appreciate and respond to the child’s preferences, and take care of the child’s basic needs. Internal consistency for the MEQ is 0.79-0.86 (Teti & Gelfand, 1991) and good construct validity has been demonstrated with the competence subscale of the Parenting Stress Inventory (0.75). The MEQ evidenced good reliability in this study ($\alpha = .78$).

Disorganized Attachment Characteristics (DAC)—The Disorganized Attachment Characteristics (DAC) questionnaire was adapted from John Kirkland (personal communication) and consists of 21 items designed to address characteristics of disorganized attachment among interactions between infants/toddlers and mothers (see Appendix). Sample items include “Child is confused, e.g., unsure about what to do next when with mother,” “There is little apparent organization in the child’s interactions with its mother,” “Child seems afraid of mother.” Following a 3 hour home observation including a feeding and a free play session between mother and child, independent observers rated the 21 items using a Likert scale of ‘1’ = extremely uncharacteristic to ‘9’ = extremely characteristic. The mean of the 21 items was calculated to index the level of disorganized attachment characteristics observed during the mother-child interaction. Reliability (alpha) ranged from .95-.96 across waves using our complete sample of depressed mothers who underwent IPT or ECS and non-depressed comparison mothers.

To validate this novel measure, the Strange Situation (Ainsworth, Blehar, Waters, & Wall, 1978) was coded for 10% of the sample by two independent raters (FR & ST). The standard Strange Situation was conducted with mothers and infants at baseline to assess infant’s attachment organization. Ainsworth et al.’s (1978) criteria for the insecure-avoidant (A), secure (B), and insecure-resistant (C) classifications were used. D classifications were based on the Main and Solomon (1990) criteria. Each rater coded tapes of the Strange Situation sessions, and raters were unaware of the diagnostic and group status of the mother-child dyads. The coders were trained by an expert coder who himself was trained and obtained

reliability in Ainsworth Strange Situation coding by Alan Sroufe and Everett Waters and in coding of the disorganized attachment style (D) by Mary Main. Reliability (ICC) on the Strange Situation classifications was .93.

Because the Disorganized Attachment Characteristics (DAC) questionnaire results in a continuous mean score of disorganized behaviors, and the Strange Situation results in a classification, scores on the DAC were dichotomized for validity analyses only. This allowed for an examination of the convergence of the DAC in characterizing disorganized behaviors with the Strange Situation D classification. Among the sample of infants of non-depressed mothers, the mean DAC score was 1.62 ($SD=.80$; range=1.00-5.57). Scores above 1 standard deviation above the mean were considered to index disorganized attachment. The association between the binary DAC classification and the binary Strange Situation D classification indicated convergence between the two measures ($ICC = .85$). Because the DAC only assesses disorganized behaviors, we were unable to determine agreement of this novel scale with other attachment group classifications.

Analytic Plan

Our data analytic plan consisted of first examining differences between IPT and ECS groups on baseline maternal and infant demographic and study-related variables. Intent-to-treat (ITT) analyses were then conducted to examine the effect of randomization to IPT on maternal depression post-treatment, as well as to test the direct effect of randomization to IPT on toddler attachment, toddler temperament, and maternal parenting efficacy at 8 months post-treatment. Complier average causal effect (CACE) modeling was used to examine treatment effects while incorporating treatment engagement within Mplus Version 7.11 (Muthén & Muthén, 1998-2013). We used the robust maximum likelihood (MLR; Yuan & Bentler, 2000) estimator in analyses involving a structural equation mixture modeling (SEM) framework (e.g., CACE modeling) under the assumption that missingness was related to variables that were observed, but unrelated to the missing values themselves (i.e., missing-at-random; MAR; Schafer & Graham, 2002); no variable had a rate of missingness greater than 3.20%.

Complier Average Causal Effect Modeling (CACE)—We employed CACE modeling because of the proportion of non-treatment seeking mothers who were randomly assigned to the IPT condition but who failed to reach a sufficient level of treatment engagement (i.e., less than 3 sessions), as is common in Randomized Encouragement Trial designs (Connell, 2009). Although other analytic approaches and frameworks exist for addressing non-compliance (e.g., per protocol analysis, as-treated analysis, intent-to-treat analysis), each may lead to bias in treatment effect parameter estimates relative to what the true causal effect of *receiving* treatment would have been in the population (Jo, 2002). ITT analyses, the more commonly used framework amongst these options, evaluate the effect of the treatment by comparing individuals assigned to the treatment group to individuals assigned to the control group, regardless of their actual compliance, or participation in the treatment. Ignoring compliance with the treatment can lead to an underestimate of treatment effects. Accordingly, the treatment effect estimate in ITT analyses is referred to as the “average

causal effect of treatment as treatment was *assigned* but not as treatment was actually *received* (Jo, 2002, p.180).

Complier average causal effect (CACE) estimation is an analytic approach for randomized trials that considers treatment engagement in the analysis of the treatment effect. Specifically, CACE analysis jointly models the impact of treatment assignment and treatment receipt and focuses on the treatment effect *when treatment is actually received* (Connell, 2009; Jo, 2002, 2008a, 2008b; Little & Yau, 1998). CACE analysis allows for an unbiased estimate of the difference in outcomes for treatment ‘compliers’ compared to those who would have complied with treatment in the control group. Compliance with the treatment can *never* be observed in the control condition, because control participants never have the opportunity to comply with the active treatment. ‘Compliers’ in the control group are estimated based on the probability of compliance in the treatment group, as well as on information from covariates in the analyses (e.g., demographics). Treatment effects can then be estimated using the treatment ‘compliers’ and control ‘compliers’ (Jo, 2002).

In CACE modeling, engagement is considered a moderator of the effect of random assignment on the outcomes (see Figure 1). Model fit is assessed using entropy, which represents the probability of membership in the most-likely class for each individual (complier or noncomplier). Entropy values range from 0 to 1.0 and higher values indicate better classification (Muthen & Muthen, 1998-2013).

Results

Table 1 presents the results of the comparison between the IPT and ECS groups at baseline on demographic and study variables. Results indicated that groups did not differ on demographic characteristics including maternal marital status, age, education, race/ethnicity, family income, and number of children in the home. Moreover, groups did not differ on baseline depression scores, dimensions of infant difficult temperament, and infant disorganized attachment characteristics. Results did suggest that mothers in the ECS condition had significantly higher baseline maternal self-efficacy compared to mothers in the IPT condition ($t = 2.89$ (123), $p = .01$, $d = .65$). We included baseline levels of the three outcome variables (i.e., toddler difficult temperament, maternal self-efficacy, and toddler disorganized attachment at follow-up) as statistical controls; therefore, we modeled IPT effects on change in outcomes over and above baseline pre-treatment levels of the constructs.

Table 2 provides an ITT comparison of post-treatment maternal depression, 8 month follow-up toddler disorganized attachment characteristics, maternal parenting efficacy, and maternal perception of toddler difficult temperament. It is important to note that these ITT analyses compared those randomly assigned to IPT with those randomly assigned to ECS. ITT analyses do not take into consideration engagement, or compliance, with randomization. Thus, 39 mothers who did not engage in treatment were included in the IPT group for these analyses ($n = 97$). Results indicated a marginally significant post-treatment difference in maternal depression between those randomly assigned to IPT ($M = 14.56$, $SD = 11.53$) and those randomly assigned to ECS ($M = 18.85$, $SD = 12.86$) $t(123) = 1.70$, $p = .09$, $d = .35$). No

significant differences between groups were found for toddler disorganized attachment characteristics, toddler difficult temperament, and maternal parenting efficacy at follow-up.

Complier Average Causal Effect (CACE) Modeling

ITT analyses can underestimate treatment effects due to the lack of consideration of compliance with treatment (Jo, 2002). Because the current RCT was a Randomized Encouragement Trial of non-treatment seeking mothers, taking into account compliance or engagement with treatment was critical. Therefore, CACE modeling was conducted which allowed for an examination of treatment *as received* (rather than *as assigned* which is the case with ITT). An initial recommended step in CACE analysis is to define participant “engagement” in treatment (Connell, 2009). We adopted a stringent definition of engagement whereby attending at least 12 sessions was defined as “compliance,” whereas attending 0-3 sessions was defined as “non-compliance” because of minimal clinical engagement. If participants could not be engaged through active outreach efforts or declined all participation, they were not pursued further. As a result of these treatment compliance definitional criteria, participants attended 12 or more sessions (compliers, $n = 58$) or 3 or fewer sessions (non-compliers, $n = 39$; $M = .67$, mode = 0), thereby providing the strongest CACE estimates (Connell, 2009). The compliance rate (adjusted for the covariates shown in Table 3) was 61.6% based on the estimated posterior probabilities. Table 3 presents covariates predicting the complier group. Overall, none of the examined covariates was significantly related to the estimated complier status groups (class membership). The compliance rate in the control group is expected to be equal to that in the IPT group (by virtue of randomization). Thus, given that the observed probability for compliance in the IPT group was 0.616 (62/99), the rate of compliance in the control group was estimated at 62%, resulting in 17 compliers in the ECS group. With this compliance definition, entropy, a measure of model fit for CACE modeling, was very good (0.84).

Results of the CACE model are presented in Figure 1. Consistent with a prior study with the same sample (Toth et al., 2013), we found that adjusted for non-compliance and baseline levels of maternal depressive symptoms, mothers in the IPT condition experienced significantly fewer depressive symptoms at post-intervention compared to mothers in the ECS condition, $b = -.33$ (.13), $p = .01$. A standardized beta of this magnitude can be interpreted as a medium effect size of IPT on maternal depression (Cohen, 1988). Importantly, fewer maternal depressive symptoms at post-treatment predicted greater maternal self-efficacy at follow-up, $b = -.25$ (.10), $p = .008$, over and above baseline levels of maternal self-efficacy. Results also indicated that lower levels of maternal depressive symptoms at post-treatment predicted fewer disorganized attachment characteristics among toddlers at follow-up, $b = .23$ (.09), $p = .01$, controlling for baseline difficult temperament during infancy. Finally, fewer maternal depressive symptoms also predicted lower levels of toddler disorganized attachment characteristics at follow-up, $b = .20$ (.11), $p = .05$, controlling for baseline infant disorganized attachment characteristics. The standardized betas for the paths from post-treatment maternal depression to the 8 month follow-up outcomes are all indicative of small/medium size effects (Cohen, 1988).

Discussion

The aim of the current study was to investigate how reducing maternal depression as a result of IPT may be the first step in a progression of positive outcomes for young offspring and their mothers. Our results did not show an effect of maternal receipt of IPT on child outcomes 8 months post-treatment, rather our results indicated associations between the reduction of maternal depression for those receiving IPT and subsequent benefits to children and their mothers. More specifically, improvements in maternal depression following IPT were related to less toddler disorganized attachment characteristics and less toddler difficult temperament 8 months after the completion of IPT for depressed mothers. Moreover, lower levels of maternal depression at post-intervention were associated with improved maternal parenting self-efficacy at the 8 month follow-up. These results add to the emerging literature documenting that the reduction of maternal depressive symptoms is beneficial not only to the mother, but to her offspring as well.

Importantly, the present study advances the current knowledge base by being the first to show that improvements in maternal depression are related to observer perceptions of reductions in disorganized attachment characteristics among toddlers. Reducing disorganized attachment behaviors among young offspring is critical given the well-documented association between disorganized attachment and the development of externalizing behavior in general, and aggression more specifically (Fearon et al., 2010). Moreover, that improvements in maternal depression contributed to less disorganized attachment in toddlerhood specifically has important developmental implications. Because of the cognitive, social, and emotional development that marks toddlerhood, it has been identified as a particularly sensitive period for the onset of depressotypic organization (Cicchetti & Toth, 1997; Cicchetti & Toth, 1998). Fostering more secure attachment during this period may alter this trajectory. Our results suggest that although offspring of depressed mothers are vulnerable to the development of disorganized attachment, which may in turn heighten susceptibility for the subsequent development of psychopathology, this risk may be mitigated by the successful treatment of maternal depression.

In addition to fewer disorganized attachment characteristics among toddlers as a result of reductions in maternal depression, we also found that reductions in maternal depression were associated with improvements in mothers' perceptions of their toddlers' difficult temperament. As described earlier, mothers' evaluations of their children's temperament have implications for offspring development. For instance, Pauli-Pott and colleagues (2003) showed that 8-month-old infants who were viewed as high in negative emotionality by their mothers were more likely to be judged as high in negative emotionality by observers at 12 months, suggesting that mothers' negative perception of their offspring may actually shape offspring behavior. Given the associations between early temperament characteristics and the development of subsequent psychopathology (Bijttebier et al., 2009; De Pauw & Mervielde, 2010; Muris & Ollendick, 2005; Nigg, 2006; Rothbart & Posner, 2006), reducing mothers' perceptions of their offspring's difficult temperament qualities may reduce risk for children's future maladaptation. Thus, successfully treating maternal depression may deter offspring early in development from traversing a developmental pathway marked by a difficult temperament and future psychopathology.

It is important to note that our findings differ from those of Forman and colleagues (2007) who did not find significant effects of IPT for maternal depression on mothers' perception of child attachment quality and mother and observer ratings of child temperament.

Methodological differences in measurement may account for the inconsistencies. For instance, Forman et al. (2007) relied on maternal reports of attachment security broadly using the Attachment Q-Set (AQS). In contrast, we focused on disorganized attachment characteristics specifically, using observer ratings following a 3-hour home observation. It is plausible that independent observers may more readily perceive improvements in attachment compared to mothers themselves. Moreover, it may be that the effect of IPT on offspring attachment is more specifically related to disorganized attachment, rather than more broadly defined attachment security. Clearly, more research is necessary to continue to uncover the ways in which successfully treating maternal depression may improve attachment quality and temperament characteristics among young children.

Regarding parenting self-efficacy, consistent with previous research (Jones & Prinz, 2005; Kohlhoff & Barnett, 2013; Michl et al., 2015), we found an association between maternal depressive symptoms and mothers' beliefs regarding their efficacy as parents such that lower levels of maternal depression were related to more positive parenting self-efficacy beliefs. Parenting self-efficacy is a critical construct for child development given its well-documented association with parenting competence and child adjustment (Jones & Prinz, 2005). Our findings are striking for two reasons. First, from a developmental perspective, it is noteworthy that we found this association during toddlerhood, a developmental period marked by changing and increasing parental demands. In fact, it has been shown that parents' pleasure in the parenting role decreases from the first to the second year (Fagot & Kavanagh, 1993). That reductions in maternal depression was associated with improvements in mothers' beliefs about their parenting efficacy during a time of increased parenting challenge, is important. Moreover, our sample of mothers was racially and ethnically diverse and socioeconomically disadvantaged, and the vast majority of the women in our study were single mothers. Thus, mothers in this study were faced with numerous stressors and parenting challenges. Our findings suggest that even within the context of the myriad risks associated with socioeconomic disadvantage, alleviating symptoms of maternal depression may function to bolster mothers' sense of parenting competence, therefore potentially initiating a cascade of positive parenting practices and offspring wellbeing.

Interpersonal psychotherapy and child outcomes

Results of complier average causal effect (CACE) modeling, which allowed for a consideration of compliance with treatment, revealed a cascading model of events such that mothers who engaged in IPT reported significant reductions in depressive symptoms at post-treatment and this symptom reduction was subsequently associated with family-wide benefits 8 months after the completion of IPT. Thus, our results are consistent with the notion of "collateral change" (Patterson et al., 2010) and a developmental cascade framework in which changes to one system (i.e. maternal depressive symptoms) is related to subsequent changes in other systems (i.e. attachment, temperament, parenting efficacy). In other words, maternal engagement in IPT initiated a progression of positive adaption for

mothers and their children by reducing maternal depressive symptoms, which in turn was associated with a spreading of positive adaptation for the family system.

These findings are also consistent with those of a recent meta-analysis of nine studies examining the effects of treating maternal depression on offspring. Specifically, Cuijpers and colleagues (2015) found a small to moderate effect of maternal depression treatment on offspring outcomes. We advance this emerging body of work in a number of important ways. First, to our knowledge, the current study is the first RCT with low-income, racially and ethnically diverse mothers to examine the cascading effects of IPT for maternal depression on young offspring. Verdelli and colleagues (2004) showed promising results with a small-scaled uncontrolled pilot study of ethnically diverse mothers of children undergoing treatment for depression. Our rigorous RCT design with CACE modeling to handle treatment non-compliance bolsters and extends these preliminary findings. Moreover, prior studies examining maternal IPT effects on offspring have relied on very specific samples of families, limiting generalizability. For instance, prior studies on the topic have either employed a sample of mothers with postpartum depression or a sample of mothers whose children are currently undergoing psychiatric care. For approximately 94% of the mothers in our sample, the onset of depression occurred prior to the birth of their child. Thus, our sample was not limited to women with postpartum depression, but rather represents a sample of mothers for whom depression was more chronic.

It is worth noting that intent-to-treat (ITT) analyses with this sample of non-treatment seeking mothers resulted in an underrepresentation of treatment effects. It was not until we considered compliance with treatment did the effect of IPT on maternal depression become evident. Thus, our results also highlight how crucial it is to statistically modeling noncompliance with randomization with RCT designs, especially among a sample of non-treatment seeking participants.

Limitations and Conclusions

The current investigation contributes to the literature by demonstrating that improvements in maternal depression via IPT are associated with fewer disorganized attachment characteristics among offspring, less maternal perception of offspring difficult temperamental qualities, and increased maternal parenting self-efficacy. Together, our results highlight that IPT, an intervention designed to alleviate adult depression through its focus on the interpersonal context, does more than successfully treat maternal depression. Rather, reducing maternal depressive symptoms appears to begin a cascade of positive adaptation for both mothers and offspring. By alleviating maternal depression, mothers may become more confident in their parenting, which will likely result in more adaptive parenting practices and less risk for offspring. Moreover, improvement in maternal depression contributes to mothers' viewing their offspring's temperament more favorably, a perception that will likely benefit their children. And finally, successfully treating maternal depression also appears to allow mothers the ability to foster a more healthy attachment relationship with their offspring, a change that may also positively benefit the child over the course of development.

Despite these contributions and implications, there are limitations worth noting. First, although we assessed families eight months after the completion of the intervention, we did

not have a school age or adolescent follow-up of the sample. Because of this, we were unable to examine whether the results found would be sustained later in development and how these benefits might spread to affect other domains of development. How does a decrease in disorganized attachment characteristics in toddlerhood affect the developmental trajectory of these offspring throughout the school years and beyond, for example? Future research investigating the long-term impact of successful treatment of maternal depression on offspring will be vital to attaining a more complete understanding of the potential benefits to children. Next, our measure of disorganized attachment is novel and has not been validated with other samples. Our preliminary work validating the DAC with the Strange Situation (Ainsworth et al., 1978) among an available subsample of mother-child dyads indicated much promise as a naturalistic observational method for assessing disorganized attachment characteristics. However, more psychometric work is needed to continue to substantiate this measure.

In summary, results of the current study suggest that successfully treating maternal depression functions to improve broader child and maternal outcomes, including attachment quality and temperament, and mothers' parenting efficacy. Our findings contribute to the growing body of literature documenting the potential benefits to children of successfully treating maternal depression. Importantly, our findings highlight the utility of a relationship-based intervention for the treatment of maternal depression, by showing benefits not only to the mother post-treatment, but also a spreading of benefits to her child as well. Alleviating maternal depression appears to initiate a progression of positive adaptive outcomes among both mothers and offspring, which are likely to alter the at-risk developmental course often associated with maternal depression.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.
Results of CACE model

Table 1

Between group differences in baseline demographic, maternal depression and infant difficult temperament

	Assigned to IPT (n = 97)		ECS (n=28)		Statistic test (df)		Effect size	
	N	%	N	%	χ^2		Cramer's V	
Married	11	11.3	5	17.9	.83 (1), $p = n.s.$		.08	
Maternal Education (% High School)	55	56.7	11	39.9	2.64 (1), $p = n.s.$		.15	
Race/ethnicity					3.34 (3), $p = n.s.$		.16	
African-American	56	57.7	12	42.9				
Caucasian	22	22.7	6	21.4				
Hispanic	17	17.5	9	32.1				
Other	2	2.1	1	3.6				
Co-morbid anxiety disorder	47	48.5	16	57.1	.66 (1), $p = n.s.$		.07	
Co-morbid PTSD	28	28.9	13	46.4	3.04 (1), $p = n.s.$		.16	
Co-morbid ASPD	15	15.5	6	21.4	.55 (1), $p = n.s.$		.07	
	<i>M</i>	<i>SD</i>	<i>M</i>	<i>SD</i>	t-test		Cohen's d	
Family Income	18.63K	9.91	18.63K	7.4	-.003 (123), $p = n.s.$		0	
Maternal Age	25.33	4.78	25.79	5.83	.42 (123), $p = n.s.$		.09	
Number of Children at Home	2.61	1.60	2.61	1.50	-.003 (123), $p = n.s.$		0	
Baseline								
BDI-II	29.88	8.11	30.43	11.12	.29 (123), $p = n.s.$		.06	
Infant Difficult Temperament								
Distress to Limitations	4.00	.88	3.78	.93	-1.12 (119), $p = n.s.$		.24	
Activity Level	4.23	.82	4.21	.89	-.11 (119), $p = n.s.$		.01	
Maternal Self-Efficacy	3.27	.35	3.48	.29	2.89 (123), $p = .01$		.65	
Disorganized Attachment	2.02	1.08	2.42	1.28	1.65 (123), $p = n.s.$		.34	

Note. IPT = Interpersonal Psychotherapy; ECS = Enhanced Community Standard; PTSD = post-traumatic stress disorder; ASPD = antisocial personal disorder; BDI-II = Beck Depression Inventory-II

Table 2

Intent-to-treat analyses

	Assigned to IPT Treatment (n = 97)		ECS (n=28)		t-test	Cohen's d
	M	SD	M	SD		
Post-Treatment BDI-II	14.56	11.53	18.86	12.86	1.70 (123), $p = .09$	.35
Follow-up Toddler Difficult Temperament						
Anger	4.40	0.91	4.45	0.76	.21 (114), $p = \text{n.s.}$	.06
Activity Level	4.54	0.67	4.60	0.76	.37 (114), $p = \text{n.s.}$	.08
Follow-up Maternal Self-Efficacy	3.32	0.37	3.38	0.39	.83 (119), $p = \text{n.s.}$	.19
Follow-up Disorganized Attachment	2.02	1.25	2.15	1.20	.45 (110), $p = \text{n.s.}$	.11

Table 3

Characteristics predicting the complier class

Characteristics	β	SE	p value
Maternal Age	−0.12	0.07	.10
Maternal Ethnicity	−0.30	0.58	.61
Maternal Race	0.42	0.41	.31
Number of Children	0.24	0.18	.20
Total Trauma Symptoms	0.06	0.11	.59
Education	−0.72	0.54	.19
Total Income	−0.02	0.03	.50
Medication	−0.91	0.85	.28
Anxiety Comorbidity	−0.20	0.49	.67