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Reduction of Sugar Lactones to Hemiacetals with Lithium Triethylborohydride

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Abstract

Reduction of ribono-1,4-lactones and gulono-1,4-lactone as well as ribono-1,5-lactone and glucono-1,5-lactones with LTBH (1.2 equiv.) in CH_2Cl_2 at 0 °C for 30 min. provided the corresponding pentose or hexose hemiacetals in high yields. Commonly used in carbohydrate chemistry protecting groups such as trityl, benzyl, silyl, acetals and to some extent acyls are compatible with this reduction.

Graphical abstract

Keywords

Reduction; Lithium triethylborohydride; Super-Hydride; Sugar lactones; Sugar lactols; hemiacetals

The reduction of sugar lactones to hemiacetals (lactols) plays an important role in the synthesis of modified carbohydrates and nucleosides.^{1, 2} The commonly used reagents for this transformation include NaBH_4 ,^{3, 4} and organic-soluble metal hydrides.^{2, 5, 6} LiAlH_4 under controlled reaction conditions has also been used for this purpose.⁷ NaBH_4 is effective in the reduction of sugar lactones to aldoses. However, it requires aqueous acid conditions to prevent over reduction, which is problematic for nonpolar and/or acid labile compounds.^{7, 8} Although DIBAL-H is an efficient reagent for this reduction, it often requires low temperature and large excess of the reagent, which is a disadvantage for large scale work.⁹ Catalytic reduction of lactones to lactols with generated in situ titanocene(III) hydride, in the presence of silanes as a hydride source, has also been developed.^{10, 11} This approach was utilized for the large scale preparation of sugar hemiacetals.¹²

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Although borane-based reagents have been used for the reduction of lactones to lactols and diols,¹³ reports of reductions of sugar lactones to hemiacetals using borane reagents are sparse. For example, disiamylborane was used for the conversion of 2,3-di-*O*-acetyl-5-*S*-acetyl-5-thio-D-arabinono-1,4-lactone to the corresponding arabinofuranose,¹⁴ and Selectride was employed for the partial reduction of acetyl protected D-galactono-1,4-lactone.¹⁵

Reduction of the pre-constructed sugar lactones to their corresponding hemiacetals and their further coupling with nucleobases are often key steps in the synthesis of important drugs (e.g., anticancer gemcitabine², anti-HIV 3TC and dideoxynucleosides^{5, 16} and others). In our program on developing novel inhibitors of *S*-ribosylhomocysteine (SRH) hydrolase (LuxS; EC 4.4.1.21), which mediates the interspecies quorum sensing among bacteria,^{17, 18} we recently applied lithium triethylborohydride (LTBH, Super-Hydride®)^{19, 20} for the reduction of lactam and lactone analogues of SRH to the corresponding azahemiacetals (*N,O*-acetals)²¹ or lactols (*O,O*-acetals).²² Although application of LTBH for the reduction of lactams to cyclic hemiaminals (azahemiacetals) is documented,^{23, 24} the reduction of lactones to the hemiacetals with LTBH is underdeveloped. In his landmark paper from 1980,²⁵ Brown reported that LTBH, when used in excess (2 equiv.), efficiently reduced esters to alcohols and lactones to diols and this protocol has been used in organic synthesis.^{19, 26} Herein, we report application of lithium triethylborohydride for the efficient reduction of sugar lactones to hemiacetals.

Initially, we tested reduction of lactones to hemiacetals with LTBH with readily available 5-*O*-benzyl-2,3-*O*-isopropylidene-D-ribo-1,4-lactone¹² **1** (Scheme 1). Thus, treatment of **1** with 1.0 equiv. or 1.1 equiv. of LTBH (CH₂Cl₂/0 °C/30 min) showed about 90-95% conversion to the ribofuranose **2** with ~5-10% of substrate **1** remaining unchanged (¹H NMR; Table 1, entries 1 and 2). However, treatment of **1** with 1.2 equiv. of LTBH gave a complete conversion to hemiacetals **2** (α/β, 1:4) without noticeable detection of the peaks for the lactone **1** and diol **3** on the ¹H NMR spectra of the crude reaction mixture (entry 3, see SI section). Effect of different ratios of LTBH to lactone **1** as well as temperature, reaction time and solvent are summarized in Table 1. Briefly, increasing the ratio of LTBH to 1.5 equiv. still gives **2** as a sole product (entry 4). However, reduction with 2.5 equiv. of LTBH led to the substantial formation of diol **3** (entry 5). Yet, even when the reduction was carried out for longer time (up to 22 h) hemiacetals **2** was still isolated although in lower yields. Interestingly, temperature did not have a significant effect on the reduction of lactone **1** to hemiacetals **2** and similar results were obtained at -78 °C, 0 °C, or r.t. (entries 4, 6 and 7). Additionally, reduction of **1** in other solvents (toluene or chloroform) did not affect the reduction (entries 8 and 9) with the exception of THF which gave substantially lower yield (entry 10).

In order to investigate the reaction profile for the conversion of lactone **1** to lactol **2** and diol **3**, reduction of **1** was performed using 1.2 equiv. (see Figure S1 in SI section) and 2.5 equiv. of LTBH (see Figure S2 in SI section). In both cases complete conversion of lactone **1** to lactol **2** was observed in less than 5 min. In the reaction with 1.2 equiv. of LTBH no diol **3** was detected even after 1 h. Reduction of **1** with 2.5 equiv. of LTBH was completed within 1 min showing exclusive formation of lactol **2**. Longer reaction time showed a slow

disappearance of lactol **2** with increasing formation of diol byproduct **3** [0.5 h, **2** (72%)/**3** (28%); 2 h, **2** (65%)/**3** (35%)].

To probe the generality of the reduction of sugar lactones to the corresponding hemiacetals with LTBH, several γ - and δ -lactones were tested (Table 2). Thus, reduction of 2,3-*O*-isopropylidene-ribo-1,4-lactones with trityl (**4**), benzoyl (**6**) or acetyl (**8**) protection at 5-hydroxyl provided the corresponding hemiacetals **5**, **7**, and **9** (entries 2-4). The trityl and benzoyl protection groups were found to be stable under these reducing conditions. Reduction of the 5'-*O*-acetyl lactone **8** yielded also substantial amount of 2,3-*O*-isopropylidene- α/β -D-ribofuranose as a result of the reduction of an acetyl ester. However, reduction with 1.1 equiv. of LTBH and shorter reaction time (10 min) provided hemiacetals **9** in 70% yield (entry 4). Reduction of 2,3,5-tri-*O*-acetyl lactone **10** gave hemiacetals **11** but in low yield (30%, entry 5). Conversion of 2,3-*O*-isopropylideneribono-1,4-lactone **12** to ribose **13** required an increased amount of LTBH (1.6 equiv.; entry 6). Reduction of the 3,5-*O*-TBDMS-2-deoxy-D-ribo-1,4-lactone **14** also proceeded efficiently to give the 2-deoxyribose product **15** when 1.5 equiv. of LTBH was used (entry 7). However, reduction of 5-*O*-TBDMS-2,3-dideoxy-D-ribo-1,4-lactone **16** yielded both the 2,3-dideoxyribose **17** and the corresponding diol byproduct (entry 8). Moreover, reduction of D-ribo-1,5-lactone **18** efficiently produced the corresponding ribopyranose derivative **19** in 88% yield (entry 9).

The lactones derived from hexoses were also efficiently reduced with LTBH to the corresponding hemiacetals. Thus, reduction of 2,3:5,6-di-*O*-isopropylidene-D-gulono-1,4-lactone **20** gave gulonofuranose **21** (91%, entry 10). Treatment of trimethylsilyl protected glucono-1,5-lactones **22** with LTBH yielded glucopyranose **23** (α/β , 2:1; entry 11). Analogous reduction of the fully acetylated D-glucono-1,5-lactone **24** provided glucose **25** in low yield due to concomitant reduction of the ester protecting group (entry 12). Attempted reduction of the fully benzoylated glucono-1,5-lactone gave similar results (entry 12, footnote *f*). However, reduction of glucono-1,5-lactone **26** bearing benzylidene and *tert*-butyldimethylsilyl protection groups proceeded efficiently to give hemiacetals **27** in 80% yield (entry 13). Hence, reduction of sugar lactones with LTBH to lactols appears to have a general character and is clearly compatible with acid-, base- and fluoride-labile protecting groups commonly used in carbohydrate chemistry.

We also performed reduction of several sugar lactones with NaBH₄ and DIBAL-H, in order to compare LTBH protocol with these commonly used reducing agents. Thus, reduction of **4** or **14** with NaBH₄ (1.1 equiv.) in EtOH (0 °C) after 30 min. showed only a small conversion to the lactol products **5** and **15** (~10%) with the unchanged lactones (~80%) and the corresponding diols (~10%) present (TLC, ¹H NMR). Increasing the amount of NaBH₄ (5 equiv.) resulted in the formation of the corresponding diols as the major product (~70%). Reduction of **14** with DIBAL-H in CH₂Cl₂ (−78 °C, 30 min.) yielded lactol **15** (90%). However, analogous treatment of 5-*O*-acetyl lactone **8** with DIBAL-H yielded mixture (~2:3) of desired lactol **9** and the lactol **13** as a result of the concomitant reduction of the acetyl ester.

Reduction of γ -butyrolactone **28** with LTBH (1.8 equiv./CH₂Cl₂/0 °C; Method A, Scheme 2] gave 1,4-butanediol **29** as the sole product. Various modifications of the reduction protocol

[LTBH (0.5-1.2 equiv.)/CH₂Cl₂/-78 °C or 0 °C or r.t./30 min to 2 h] produced diol **29** in addition to different quantities of the unchanged lactone **28**, but the corresponding lactol was not observed. This is in agreement with the results reported by Brown that reduction of **28** with LTBH (2.0 equiv.; THF/-78 °C; Method B) gave **29** in 94%.²⁵

Typically, the reduction of the sugar lactones with LTBH in CH₂Cl₂ is higher yielding when the lactone has a larger number of hydroxyl groups (e.g., ribonolactone > 2-deoxyribonolactone >> 2,3-dideoxyribonolactone). The fact that reduction of 2,3-dideoxyribonolactone with LTBH can be controlled to give the hemiacetals product, while under similar conditions γ -butyrolactone is converted to the diol provides support for the assumption that chelation of the borane reagent to the exocyclic sugar hydroxyl group (oxygen) is critical in this reduction process. Buchwald invoked coordination of the titanium center to the lactone's oxygen atoms during reduction of lactones to lactols with titanocene(III) hydrides.¹⁰ The fact that reduction with LTBH gave better yields in CH₂Cl₂ than in THF (Table 1) may be attributed to the additional coordination of LTBH reagent to the more polar THF solvent which can result in weakening of the LTBH chelation to the lactone oxygens. Our studies also showed that lactones containing an ester protection group (acetyl or benzoyl) can be chemoselectively reduced to the hemiacetals under certain reduction conditions with LTBH/CH₂Cl₂ combination while the ester moiety remains intact.

In summary, we have developed an efficient protocol for the reduction of sugar γ - and δ -lactones with LTBH (1.2 equiv.) in CH₂Cl₂ (0°C, 30 min.) to the corresponding hemiacetals. Several ribono- and gulono-1,4-lactone as well as glucono-1,5-lactones were reduced to the corresponding pentose or hexose hemiacetals in high yields. The reduction with LTBH can be carried out in the presence of protecting groups such as trityl, benzyl, silyl (TMS or TBDMS), isopropylidene/benzylidene and to some extent acyl (Bz, or Ac) that are commonly used in the synthetic carbohydrate chemistry.

1. Experimental Section

1.1. General procedure

The ¹H (400 MHz) and ¹³C (100 MHz) NMR spectra were determined with solutions in CDCl₃ unless otherwise noted. TLC was performed with Merck Kieselgel 60-F₂₅₄ sheets and products were detected with 254 nm light or by visualization with Ce(SO₄)₂/(NH₄)₆Mo₇O₂₄ 4H₂O/H₂SO₄/H₂O reagent. Merck kieselgel 60 (230-400 mesh) was used for column chromatography. The ratio of the products for reduction of **28** to **29** were determined using a Hewlett-Packard (HP) GC/MS (EI) system with a HP 5973 mass selective detector [capillary column HP-5MS (30 m × 0.25 mm × 25 m)] using calibrated standards. All glassware used was dried thoroughly in an oven, and cooled under nitrogen prior to use. Reagent grade chemicals were used.

1.2. Typical procedure for reduction of the sugar lactones to hemiacetals with LTBH

LTBH (1 M/THF; 0.24 mL, 0.24 mmol) was added to a solution of the appropriate sugar lactone (0.2 mmol) in anhydrous CH₂Cl₂ (3 mL) at 0 °C. After 30 min, the reaction mixture was quenched with MeOH and the volatiles were evaporated. The resulting residue was

dissolved in CH_2Cl_2 (10 mL) and washed with $\text{NaHCO}_3/\text{H}_2\text{O}$. The organic layer was then dried (Mg_2SO_4), evaporated and the residue was column chromatographed (7:3→1:1, hexane/EtOAc, unless stated otherwise) to afford the corresponding sugar hemiacetals **2**, **5**, **7**, **9**, **11**, **13**, **15**, **17**, **19**, **21**, **23**, **25** & **27** (see SI section for synthetic details and spectral characterization for all compounds). Yields and different reaction conditions are described in Table 2.

1.2.1. 5-O-Benzyl-2,3-O-isopropylidene- α/β -D-ribofuranose (2**)^{12, 28}**—Reduction of **1**¹² (275 mg, 1.0 mmol) according to the general procedure gave **2** (α/β , 1:4; 250 mg, 90%). Major anomer had: ^1H NMR δ 1.31 and 1.48 ($2 \times \text{s}$, $2 \times 3\text{H}$, $2 \times \text{CH}_3$), 3.58 (dd, $J = 2.5, 10.2$ Hz, 1H, H5), 3.66 (dd, $J = 2.5, 10.2$ Hz, 1H, H5'), 4.38 (t, $J = 2.2$ Hz, 1H, H4), 4.52 (d, $J = 5.9$ Hz, 1H, H2), 4.57 (d, $J = 11.7$ Hz, 1H, Bn), 4.65 (d, $J = 11.7$ Hz, 1H, Bn), 4.74 (d, $J = 5.9$ Hz, 1H, H3), 5.28 (d, $J = 6.0$ Hz, 1H, H1), 7.29–7.30 (m, 5H, Ph); ^{13}C NMR δ 24.9 (CH_3), 26.5 (CH_3), 71.2 (C5), 74.1 (Bn), 82.0 (C3), 85.6 (C2), 87.5 (C4), 103.8 (C1), 112.1 (CMe_2), 127.5 (Ar), 128.5 (Ar), 136.2 (Ar); HRMS (TOF-ESI) m/z calcd for $\text{C}_{15}\text{H}_{20}\text{O}_5\text{Na}^+$ [$\text{M}+\text{Na}$] $^+$ 303.1197; found 303.1188.

Minor anomer had: ^1H NMR δ 1.38 and 1.55 ($2 \times \text{s}$, $2 \times 3\text{H}$, $2 \times \text{CH}_3$), 3.54 (dd, $J = 2.5, 10.2$ Hz, 1H, H5), 3.61 (dd, $J = 2.5, 10.2$ Hz, 1H, H5'), 4.22 (t, $J = 2.2$ Hz, 1H, H4), 4.41 (d, $J = 11.7$ Hz, 1H, Bn), 4.48 (d, $J = 11.7$ Hz, 1H, Bn), 4.57 (dd, $J = 4.4, 6.5$ Hz, 1H, H2), 4.71 (dd, $J = 4.4, 6.5$ Hz, 1H, H3), 5.47 (dd, $J = 3.8, 11.9$ Hz, 1H, H1), 7.29–7.30 (m, 5H, Ph). ^{13}C NMR peaks for the ribose moiety: δ 72.0 (C5), 73.7 (Bn), 79.4 (C3), 79.7 (C2), 81.8 (C4), 97.8 (C1)

1.2.2. 5-O-Benzyl-2,3-O-isopropylidene-D-ribitol (3**)²⁸**—Treatment of **1** (55 mg, 0.20 mmol) with 2.5 equiv. of LTBH according to the general procedure gave **2** (19 mg, 34%) followed by **3** (31 mg, 55%). Diol **3** had: ^1H NMR δ 1.33 and 1.38 ($2 \times \text{s}$, $2 \times 3\text{H}$, $2 \times \text{CH}_3$), 2.86 (m, 2H, $2 \times \text{OH}$), 3.55 (dd, $J = 6.7, 9.6$ Hz, 1H, H5), 3.74 (dd, $J = 9.6, 3.0$ Hz, 1H, H5'), 3.78 (m, 1H, H1), 3.86 (dd, $J = 7.8, 11.6$ Hz, 1H, H1'), 3.96 (m, 1H, H4), 4.10 (dd, $J = 5.8, 9.6$ Hz, 1H, H3), 4.35 (dt, $J = 5.2, 8.1$ Hz, 1H, H2), 4.59 (s, 2H, Bn), 7.34 (m, 5H, Ph).

1.2.3. 4,6-O-Benzylidene-2,3-bis-O-(tert-butyldimethylsilyl)- α/β -D-glucopyranose (27**)**—Reduction of **26** (50 mg, 0.1 mmol; prepared by standard silylation 4,6-O-benzylidene-D-glucopyranose²⁹ with TBDMS/imidazole/DMF) according to the general procedure gave **27** (α/β , 1:1, 39 mg, 80%): ^1H NMR δ 0.010–0.17 ($6 \times \text{s}$, 12H, MeSi), 0.75–0.98 ($2 \times \text{s}$, 18H, $t\text{-BuSi}$), 3.92–4.00 (m, 1.5H), 4.13–4.20 (m, 2H), 4.25 (m, 1H), 4.35 (m, 0.5H), 4.44 (m, 1H), 5.07 (m, 0.5H, H1), 5.65 (m, 0.5H, H1), 6.12 (s, 0.5H, CHPh), 6.16 (s, 0.5H, CHPh), 7.35–7.48 (m, 5H, Ph); ^{13}C NMR δ –5.49, –5.46, –5.44, –5.17, –4.84, –4.77, –4.74, –4.71 (MeSi), 18.1 (CMe_3), 18.2 (CMe_3), 18.3 (CMe_3), 25.7 (Me), 25.8 (Me), 26.0 (Me), 26.1 (Me), 66.3 (C6), 66.4 (C6), 72.6 (C5), 74.7 (C5), 75.2 (C4), 75.3 (C4), 76.2 (C3), 79.8 (C3), 80.0 (C2), 80.7 (C2), 96.0 (CH-Ph), 97.5 (CH-Ph), 100.1 (C1), 103.8 (C1), 126.1 (Ar), 126.4 (Ar), 128.5 (Ar), 128.6 (Ar), 129.3 (Ar), 129.9 (Ar), 138.2 (Ar), 138.5 (Ar); HRMS (TOF-ESI) m/z calcd for $\text{C}_{25}\text{H}_{44}\text{O}_6\text{Si}_2\text{Na}^+$ [$\text{M}+\text{Na}$] $^+$ 519.2569; found 519.2587

Supplementary Material

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HIGHLIGHTS

- Lithium triethylborohydride reduces lactones to pentose or hexose hemiacetals
- Reduction is higher yielding when lactone has a larger number of hydroxyl groups
- Reduction is more efficient in CH₂Cl₂ solution
- Reduction is compatible with protecting groups commonly used in sugar chemistry

Scheme 1.

Reduction of the protected ribono-1,4-lactone **1** with LTBH

Scheme 2. Reduction of γ -butyrolactone with LTBH to 1,4-butanediol

Table 1Effect of various reaction parameters on reduction of **1** with LTBH^a

Entry	Solvent	LTBH (equiv.)	Temperature (°C)	Yield ^b 2 (%)	Yield ^b 3	Ratio ^b 1:2:3
1	CH ₂ Cl ₂	1.0	0	90	-	1:9:0
2	CH ₂ Cl ₂	1.1	0	95	-	1:19:0
3	CH ₂ Cl ₂	1.2	0	99 (95) ^c	-	0:1:0
4	CH ₂ Cl ₂	1.5	0	99	-	0:1:0
5	CH ₂ Cl ₂	2.5	0	40 (34) ^c	60 (55) ^c	0:4:6
6	CH ₂ Cl ₂	1.5	20	94	-	0:1:0
7	CH ₂ Cl ₂	1.5	-78	95	-	0:1:0
8	Toluene	1.5	0	93	-	0:1:0
9	CHCl ₃	1.5	0	95	-	1:19:0
10	THF	1.5	0	30	-	7:3:0

^aReduction was performed on 0.15 mmol scale of **1** with 1 M solution of LTBH in THF.^bDetermined by ¹H NMR of the crude reaction mixture.^cIsolated yield.

Table 2Reduction of various sugar lactones with LTBH to lactols^a

Entry	Substrate	Product	LTBH (equiv.)	Yield (%) ^b
1			1.2	90 ^c
2			1.2	89
3			1.2	85
4			1.1	70 ^d
5			1.1	30
6			1.6	90
7			1.5	72

Entry	Substrate	Product	LTBH (equiv.)	Yield ^b (%)
8	16	17	1.2	37 ^e
9	18	19	1.2	88
10	20	21	1.2	91
11	22	23	1.2	84
12	24	25	1.1	20 ^f
13	26	27	1.2	80

^aReduction was performed on 0.1-1.0 mmol scale of lactones with 1 M solution of LTBH/THF.

^bIsolated yield as a mixture of α/β anomers.

^cReduction on 1.0 mmol scale.

^dWith 1.2 equiv. of LTBH the 2,3-*O*-isopropylidene- α/β -D-ribofuranose was isolated in 37% yield.

^e(*R*)-5-(benzyloxy)pentane-1,4-diol (42%) and the residual amount of unchanged **16** (~5%) was also isolated.

^fAnalogous reduction of 2,3,4,6-tetra-*O*-benzoylgluco-1,5-lactone²⁷ yielded 2,3,4,6-tetra-*O*-benzoylglucopyranose (~15-20%; TLC, ¹H NMR).