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Cognitive Predictors of Reasoning Through Treatment Decisions in Patients with Newly Diagnosed Brain Metastases

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Abstract

Objective—To examine the association between reasoning through medical treatment decisions and cognition in a sample of patients with brain metastasis.

Methods—The association between reasoning and cognition was examined using data from 41 patients with diagnosed brain metastasis. All diagnoses were made by a board-certified radiation oncologist and were verified histologically. In total, 41 demographically-matched, cognitively healthy controls were also included to aid in classifying patients with brain metastasis according to reasoning status (i.e., intact or impaired).

Results—Results indicate that measures of episodic memory and processing speed were associated with reasoning. Using these two predictors, actuarial equations were constructed that can be used to help screen for impaired reasoning ability in patients' with brain metastasis.

Conclusions—The equations presented in this study have clinical significance as they can be used to help identify patients at risk for possessing a diminished ability to reason through medical treatment decisions and, thus, are in need of a more comprehensive evaluation of their medical decision-making capacity.

Keywords

medical decision-making; cognition; malignant brain tumor; medical ethics; memory; verbal fluency

Introduction

Medical decision-making capacity is also referred to as treatment consent capacity and describes a higher-order functional skill related to a patient's ability to make informed, sound decisions about medical care and treatment. Five core standards of medical decision-making capacity have been identified (Appelbaum & Grisso, 1988; Marson, Ingram, Cody, & Harrell, 1995): expressing a treatment choice (*expressing choice*); appreciating the personal consequences of a treatment choice (*appreciation*); providing rational reasons for a treatment choice (*reasoning*); and understanding the treatment situation, treatment choices, and respective risks/benefits (*understanding*). Of these standards, reasoning appears to be second only to understanding in terms of cognitive demand (Appelbaum & Grisso, 1988; Marson & Ingram, 1996; Martin et al., 2008; Okonkwo et al., 2007). In a medical context, reasoning reflects the ability of a patient to consider information in a logical manner, allowing him/her to form a valid judgment or conclusion about diagnosis, prognosis, and treatment options. Consider, for instance, a patient with brain cancer attempting to choose between whole-brain radiation therapy and stereotactic radiation, each of which has its own advantages and disadvantages. The ability to mentally reason allows the patient to rationally and logically weigh the pros and cons of each treatment, so informed medical decisions can be made.

Little information is available in the research literature regarding the cognitive associates of the ability of patients with serious neurological illness to reason through treatment decisions. Measures of short-term verbal memory and executive functioning have been noted as predictors of reasoning through treatment decisions in patients with mild cognitive impairment, and measures of executive functioning and processing speed were predictors of reasoning through medical decisions in patients with mild Alzheimer's disease (Okonkwo et al., 2008). In patients with moderate-severe traumatic brain injury, short term verbal memory and attention were associated with reasoning through treatment decisions at baseline with short term verbal memory and working memory being associated at 6-month follow-up (Dreer, Devivo, Novack, Krzywanski, & Marson, 2008). Multiple cognitive abilities including short term verbal memory, verbal fluency, and executive functioning have been shown to be associated with reasoning through treatment decisions in patients with malignant glioma (Triebel, Martin, Nabors, & Marson, 2009). As can be seen, the associations between cognition and reasoning through treatment decisions vary across patient groups. Thus, there is a need for further study of the cognitive capabilities underlying reasoning through treatment decisions in other neurologic diseases.

When cancer spreads to the brain from another location in the body, brain metastasis has occurred. Brain metastasis occurs in approximately 25% of the adults with cancer and is associated with considerable morbidity and mortality (Posner, 1995). Multiple factors including tumor volume and location and the presence of paraneoplastic process contribute to varying presentations of cognitive dysfunction in patients with brain metastasis. Moreover, although corticosteroids can sometimes improve cognitive functioning by reducing edema, many treatments of brain metastasis (i.e., corticosteroids, anticonvulsants, and radiotherapy) can cause impairments in executive functioning, memory, sustained attention, and other cognitive abilities (Platta, Khuntia, Mehta, & Suh, 2010).

In a previous study, we found that patients with brain metastasis possess significantly poorer medical decision-making capacity in relation to demographically matched healthy controls (Triebel et al., in press). In this previous study, at least half of the patient group was classified as exhibiting impaired performance on two CCTI standards: reasoning and understanding. In a follow-up study, it was shown that performance on measures of verbal fluency and verbal memory were highly associated with the CCTI consent standard of understanding and that an actuarial equation could be constructed to identify patients at risk of impaired medical decision-making capacity with high accuracy (Gerstenecker et al., in press).

To our knowledge, there are no studies available that evaluate the cognitive abilities underlying the capacity of patients with brain metastasis to apply logical reasoning when making treatment decisions. To address this gap in the research literature, cognition and its effects on reasoning in a medical context in patients with brain metastasis were examined. The following hypotheses were made and based on previous research (Gerstenecker et al., in press): 1) the ability to utilize logical reasoning when making medical decisions will be positively correlated with scores on measures of attention, language, memory, and executive functioning and 2) actuarial models predicting reasoning ability will be able to be constructed using performance on measures of verbal memory and verbal fluency.

Methods

Participants

Following institutional review board approval, 41 patients with brain metastasis were recruited from the University of Alabama at Birmingham (UAB) Radiation Oncology Department. Potential participants were approached about study participation by either faculty or residents from radiation-oncology or neurosurgery. All diagnoses were made by a board-certified radiation oncologist and were verified histologically. Only patients meeting the following criteria were accepted for inclusion: aged 19 or older; Karnofsky Performance Status (KPS) score of 70 or greater; presence of a supratentorial lesion; and absence of a serious psychiatric illness, history of substance abuse, or co-existing medical illness adversely affecting cognition (e.g., traumatic brain injury, multiple sclerosis, cerebral palsy).

The following treatments for brain metastases were used: conventional surgery; single fraction radiosurgery with Gamma Knife or LINAC technology (15 Gy-24 Gy) for tumors ≤ 4 cm; hypofractionated focal radiation with LINAC for tumors >3 –4cm (5–6 Gy x 5 fractions for 25–30 Gy total); and whole brain radiation therapy (WBRT) (with LINAC technology) (30 Gy in 10 fractions to 37.5 Gy in 15 fractions). Tumor size (i.e., cm) refers to greatest diameter. Off-study guidelines for radiosurgical treatment at UAB followed maximum tolerated doses outlined in RTOG 9005 (Shaw et al., 2000).

There were 15 men and 26 women in this sample with a mean age of 59 years (SD 12.4, range 31–84) and a mean education of 13.7 years (SD 2.7, range 9–20). The majority of the sample was Caucasian (85%). All other patients were either African-American (14%) or of Middle-Eastern decent (1%). Primary tumor types were as follows: lung 18 (43.8%) [14 non-small cell, 3 small cell, and 1 mixed small and large cell]; melanoma 8 (19.5%); breast

8 (19.5%); gynecological 2 (4.9%); colon 2 (4.9%); renal 1 (2.4%); head and neck 1 (2.4%); and esophagus 1 (2.4%). In total, 28 (68.3%) patients had undergone chemotherapy treatments in the past, and 3 (7.3%) were receiving chemotherapy at the time of their study assessment. Eight (19.5%) patients underwent surgical resection prior to testing. Thirty-one patients were within a week of starting radiation treatment (9 whole brain, 22 focal treatment) at the time of the study assessment. The remaining 10 patients were assessed prior to receiving cranial radiation treatment. There were 12 (29.3%) patients treated with antiepileptic drugs, and 25 (61.0%) patients treated with corticosteroids. There were 17 patients with 1 tumor (41.5%), 6 with 2 tumors, and 18 (43.9%) with three or more tumors. Tumors were located in the left hemisphere for 12 (29.3%) patients, in the right hemisphere for 9 (22.0%) patients, and in both hemispheres for 20 (48.8%) patients. More than half ($n=26$, 63.4%) had active extracranial disease. Median KPS score for patients with brain metastasis was 90 (range=70–100, mean=82.4, $SD=8.6$).

Controls were 41 healthy adults who were volunteers (not relatives or friends of the patients) individually recruited from the community using advertisements. Controls were selected to match patients on age (± 5 years) and education (± 2 years). Controls met the same eligibility criteria as patient with the exception of not except having cancer or brain metastases. Controls were called over the telephone before study enrollment and asked a series of questions regarding medical and psychiatric health to screen out persons with a history of any medical or psychiatric conditions that could impair cognition. None of the controls reported any cognitive symptoms. Healthy controls were only evaluated for the purpose of classifying the brain metastasis group as exhibiting intact or impaired reasoning (see Data Analyses for details).

Measures

The Capacity to Consent to Treatment Instrument (CCTI) (Marson et al., 1995) was used to evaluate for treatment consent capacity. The CCTI is a conceptually-based, reliable, and valid instrument designed to assess for medical decision-making ability in adults (Dymek, Marson, & Harrell, 1999; Marson et al., 1995) using clinical vignettes. In one vignette, a hypothetical medical problem and symptoms (i.e., cardiovascular disease) and two treatment alternatives with associated risks and benefits are presented. Participants answer standardized questions designed to test four core consent standards (Appelbaum & Grisso, 1988; Marson et al., 1995): expressing a treatment choice (*expressing choice*); appreciating the personal consequences of a treatment choice (*appreciation*); providing rational reasons for a treatment choice (*reasoning*); and understanding the treatment situation, treatment choices, and respective risks/benefits (*understanding*). For reasoning, scores range from 0–6 with higher scores being indicative of better performance. Interrater reliability on the reasoning standard has been reported as 0.83 (Marson et al., 1995).

In a previous study (Triebel et al., in press), we noted that patients with brain metastasis were impaired in relation to healthy controls on the CCTI core consent standard of reasoning. Consequently, these analyses focused on this consent standard. CCTI administration and scoring were performed by trained research assistants according to existing standardized criteria (Marson et al., 1995). The responses of each participant to the

CCTI questions were audio-taped and subsequently transcribed to ensure scoring accuracy. In this study, there were three trained staff who administered and scored the CCTI protocol. The CCTI was the first measure administered and was presented prior to any neuropsychological tests. Order administration was formulated in a manner to prioritize the CCTI because of the fragility of the patient population being studied. Study investigators were not involved in administration or scoring and were blind to CCTI results. Study technicians were not blind to the results of neuropsychological testing.

The Hopkins Verbal Learning Test – Revised (HVLTR) is a measure of verbal learning and memory in which subjects learn 12 words over three learning trials. After a 25-minute delay, free recall of the list is queried as is recognition (Brandt J, 2001).

The Digit Span subtest from the Wechsler Adult Intelligence Scale – Third Edition (WAIS-III) is a measure of attention and concentration in which subjects repeat orally presented digit strings, forwards and backwards (Wechsler, 1997).

The Digit Symbol subtest from the WAIS-III is a measure of processing speed and divided attention in which subjects have 120 seconds to correctly match number and symbol pairs using a key at the top of the page.

To evaluate phonemic verbal fluency, patients were given one minute apiece to name as many words as possible that begin with the letters “C,” “F,” and “L.” To evaluate semantic verbal fluency, patients were given one minute to name as many animals—beginning with any letter—as possible (Ruff, Light, Parker, & Levin, 1996).

Trail Making Test (TMT) Parts A and B from the Halstead-Reitan Neuropsychological Battery are measures of visuomotor processing speed and set-shifting, respectively. For TMTA, the patient was asked to draw a line connecting 25 numbers in numerical order. For TMTB, the patient was asked to draw a line connecting 25 numbers and letters by switching between numbers and letters (i.e., 1 to A, A to 2, 2 to B). For both tasks, raw score is equal to the number of seconds to completion (Reitan, 1993).

The Wide Range Achievement Test - Third Edition (WRAT-III; Wilkinson, 1993) is a test often used as an estimate of premorbid intelligence. For this measure, patients were visually presented with infrequently encountered words and instructed to read them aloud. A point is given for the correct pronunciation of each word.

The KPS (Karnofsky, Abelmann, Craver, & Burchenal, 1948) is a simple measure of disability. The KPS scale consists of 11 categorical ratings in increments of 10 (i.e., 100, 90, 80....) that range from 100 (normal, no complaints; no evidence of disease) to 0 (dead).

Depression symptoms were assessed with the Beck Depression Inventory – Second edition (BDI-II) (Beck, 1996). The BDI-II contains 21 questions scored on a Likert scale of 0–3, with higher scores indicating greater depressive symptoms.

Data Analyses

For all of the cognitive tests raw scores, higher scores indicate better cognitive performance, except for the TMT, in which lower scores indicate better performance.

Patients were classified as exhibiting intact or impaired reasoning based upon psychometric cutoff scores derived from control performance. This method is useful in categorizing level of decisional impairment and has been utilized in earlier capacity studies (Marson & Ingram, 1996; Marson et al., 1995; Okonkwo et al., 2007). In this study, intact reasoning was defined as a score >1.5 SD below the control group mean. Impaired reasoning was defined as a score

1.5 SD below the control group mean. A series of independent t-tests were conducted to determine if neurocognitive performance, age, education, time since diagnosis, and BDI-II scores varied according to reasoning status. Two Pearson's Chi square tests were conducted to determine whether gender or race varied according to reasoning status.

Pearson product moment correlations were calculated to examine the relationship among reasoning scores and neurocognitive performances, age, education, time since diagnosis, and BDI-II scores. An independent t-test was used to determine whether reasoning scores varied by gender, and a one-way ANOVA was conducted to determine whether reasoning scores varied by race (i.e., Black or African American, White or European-American, and other).

Variables that were found to be significantly associated with reasoning scores were then used to construct two predictive models. In other words, variables that were significantly correlated with CCTI reasoning scores were carried forward to construct two subsequent regression models. For the first model, a forced-entry linear regression was conducted to predict CCTI reasoning scores. For the second model, a backwards elimination, binary logistic regression was conducted to identify patients with intact and impaired reasoning. Predictive accuracy of the resulting model was calculated using receiving operating characteristic plots (ROC). Using ROC plots, sensitivity and specificity for each potential cut score was obtained by taking sensitivity against 1-specificity (Altman & Bland, 1994). For both models, relative predictive power was obtained through either R^2 or the coefficient of determination of Nagelkerke or pseudo- R^2 .

An alpha level of .05 was used for all comparisons.

Results

Neurocognitive Performance, Demographics, and CCTI Reasoning Scores

As can be seen in Table 1, education was significantly different between patients with and without impaired reasoning. Those with more education exhibited better reasoning. Performances on the following neurocognitive variables were significantly poorer for patients with impaired reasoning: Animal Naming, Phonemic Fluency, HVLIT Immediate Recall, and HVLIT Delayed Recall. Age, gender, WRAT-3 Reading, Digit Span from the WAIS-III, HVLIT Recognition, TMTA, TMTB, Digit Symbol Coding from the WAIS-III, and BDI-II score were not significantly different for patients with either intact or impaired reasoning.

For patients with intact reasoning, 19 (76%) had undergone radiation therapy prior to assessment. For patients with impaired reasoning, 12 (75%) had undergone radiation therapy prior to assessment. A total of 17 (68%) of the intact group had undergone chemotherapy prior to assessment. A total of 11 (69%) of the impaired group had undergone chemotherapy prior to assessment. Tumor location for the intact group was as follows: 3 (12%) left frontal, 1 (4%) right frontal, 2 (8%) left temporal, 3 (12%) right temporal, 2 (8%) left parietal, 2 (8%) right parietal, 1 (4%) left occipital, 1 (4%) right occipital, 12 (48%) multiple locations. Tumor location for the impaired group was as follows: 2 (13%) left frontal, 0 (0%) right frontal, 2 (13%) left temporal, 2 (13%) right temporal, 1 (4%) left parietal, 0 (0%) right parietal, 1 (4%) left occipital, 0 (0%) right occipital, 8 (50%) multiple locations. Of note, only descriptives of these variables are provided due to low sample/cell size.

Predicting Impaired Reasoning from Neurocognitive Performance

Out of demographic and cognitive variables, only HVLIT Delayed Recall ($r=0.38$, $p=0.017$) and TMTA ($r=-0.38$, $p=0.018$) were significantly associated with CCTI Reasoning scores.

The following variables were not significantly associated with CCTI reasoning scores: age ($r=-.011$, $p=0.482$), education ($r=0.30$, $p=0.058$), Digit Span total ($r=0.19$, $p=0.247$), Animal Naming ($r=0.23$, $p=0.163$), Phonemic Fluency ($r=0.31$, $p=0.052$), HVLIT Total Recall ($r=0.28$, $p=0.081$), HVLIT Recognition ($r=0.26$, $p=0.119$), TMTB ($r=-0.16$, $p=0.343$), Digit Symbol Coding ($r=0.18$, $p=0.319$), KPS ($r=0.28$, $p=0.080$), and BDI-II scores ($r=0.07$, $p=0.692$).

Gender was not associated with reasoning ($t[39]=-1.17$, $p=0.248$).

No differences in reasoning ($F[2, 38]=1.7$, $p=0.198$) occurred among ethnicity groups.

The final models for reasoning can be found in Tables 2 and 3. For the forced-entry linear regression model designed to predict CCTI reasoning scores (Table 2), R^2 was 0.18. Results of the model are presented in Table 4. Results of this model were highly consistent with results obtained after conducting a stepwise method. The resulting model met all assumptions for regression, including normality of residuals ($Shapiro-Wilk[41]=0.97$, $p=0.449$). Pseudo- R^2 was 0.31 and ROC was 0.77 for the backwards elimination, binary logistic regression model designed to predict intact versus impaired reasoning (Table 3). Results of the model are presented in Table 5. Based on sensitivity and specificity, the optimal clinical cutting score for predicted values generated by the equation was -0.88 . This cutoff achieved sensitivity of .79 and specificity of .64. For scores falling at or above this cutoff, impaired reasoning is likely. However, intact reasoning is likely for those scoring below the cutoff. It should be noted that this cutoff score is not a raw CCTI score but rather the cut-score obtained from our model that achieved the best mixture of sensitivity and specificity.

Discussion

In this paper, we investigated the relationship between the ability to logically reason through medical decision and cognition in a sample of patients with newly diagnosed brain

metastasis. Although the ability to reason through treatment decisions has been shown to be impaired in a large number of patients with brain metastasis (Triebel et al., in press), no studies have examined the impact of cognition on this standard of medical decision-making capacity. Our results indicate that reasoning is highly influenced by cognition, with processing speed and delayed verbal memory exerting particular influence. Using these two variables, we then demonstrated that simple equations can be constructed to screen at-risk patients for impaired reasoning. In sum, the ability to reason through treatment decisions involves complex cognitive skills, and impairments in specific cognitive domains may negatively impact a patient's ability to make sound medical decisions.

In a previous study, we noted that this sample of patients with brain metastasis exhibited significantly poorer reasoning than healthy controls and that 50% of the sample group had suboptimal reasoning (Triebel et al., in press). In the current study, we noted that patients with impaired reasoning had significantly less education and performed significantly poorer on measures of verbal fluency and memory recall. In contrast, age, gender, race, scores on a self-report measure of depression, performances on measures of word reading, basic attention, recognition memory, processing speed, and executive functioning were not significantly different between impaired and intact groups.

In a previous study, we showed that reasoning is associated with verbal memory and verbal fluency in patients with brain cancer (Triebel et al., 2009). Although the finding regarding verbal fluency was not replicated in this sample of patients with brain metastasis, we did note a significant relationship between verbal memory and the ability to reason through treatment decisions. In addition, we also found a significant association between reasoning and processing speed. Taken together, these associations indicate that a patient must first process relevant information at a certain level of speed and then be able to recall that same information over a delay in order to reason through that information. The relationship between reasoning and all cognitive variables proceeded in the expected direction—as neurocognitive performance decreased, so did reasoning ability.

Although this information adds to the research literature and is useful in a clinical context, more exploration of these associations is required for clinicians to put this information to use. Thus, two equations were constructed: one to identify patients with impaired reasoning and the other to predict CCTI reasoning scores. As expected, although the variables included in the final models accounted for roughly 20% and 30% of variance, respectively, other variables (e.g., sensory processes, fatigue, anxiety, disease factors, etc.) are contributing. Nevertheless, these models have the potential to help clinicians identify patients in need of a more comprehensive evaluation of their medical decision-making capacity.

Examples may be useful for those not familiar with regression-based models (see Tables 5 and 6). In applying sample averages to the model designed to predict CCTI reasoning scores, this patient sample would have an overall score of 3.39 (Table 5)—well below that of the demographically-matched control group average of 4.76 noted in our earlier study [10]. However, the utility of this model extends beyond simply predicting performance. By dividing the difference of observed CCTI reasoning minus predicted CCTI reasoning by the standard error of the regression model (Table 5), a z-score is calculated for each patient that

shows how many standard deviation units he/she is away from his/her predicted score. For the case example located in Table 5 (i.e., CCTI reasoning predicted score = $3.55 + [5 \times 0.11] - [66 \times 0.02] = 2.78$), the patient scored a 4 on CCTI reasoning but obtained an observed reasoning score of 2.78. Thus, this patient has an observed score that is over three-quarters standard deviation units better than the score predicted. For those interested, an Excel spreadsheet can be obtained from the first author of this study that will calculate both equations.

Case examples for the model designed to predict impaired reasoning are located in Table 6. This sample of patients with brain metastasis was observed to have the following means: 6.32 on HVL Delayed and 42.51 on TMTA. If these averages are applied to the model found in Table 6, this sample would have an overall score of -0.48 . This score lies above the clinical cutoff of -0.88 and indicates that the sample as a whole is likely to demonstrate suboptimal reasoning. However, most applications of this model will occur at the individual level, and this type of example can be found in Table 6. For this example (i.e., $-0.79 - [8 \times 0.22] - [35 \times 0.04] = -1.15$), the obtained score lies below the clinical cutoff, so intact reasoning is indicated by the prediction formula.

There are a number of limitations and future directions to be considered. First, similar to most other studies of rare disorders in a university-based hospital, the current sample may not be representative of the population. Patients could not have other central nervous system disorders and needed to agree to participate in several hours of testing. This likely yields a select group of patients with brain metastasis. Therefore, results might not generalize to all patients with brain metastasis. More diverse samples should be examined in future studies. Second, patients may respond differently to hypothetical vignettes than to actual medical situations. For example, the emotions associated with real-life medical decisions are not triggered by hypothetical vignettes (Marson et al., 1995). Third, clinical validation of the resulting model was beyond the scope of this study, and external validation is needed before clinical decisions can be influenced by these results. Future studies should examine the utility of the model in different samples of brain metastasis patients. Fourth, the current sample was not sufficient in size as to allow for investigation into the effects of disease factors (e.g., tumor size, tumor location, amount of radiation) on the ability to reason through treatment decision. Investigations into these effects should be examined in future studies. Finally, these results were based upon a rather homogenous sample of brain metastasis patients. The generalizability of the resulting models in brain metastasis as well as other patient groups should be examined in future studies. Despite the limitations, these preliminary findings suggest that reasoning and cognition are interconnected when making medical decisions. In addition, it is possible that improved cognition will positively influence the ability of patients to logically reason through treatment decisions. This could be examined in future studies.

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Table 1
Demographics and Neurocognitive Performance of Patients Impaired and Not Impaired in Reasoning

	Intact (n=25)	Impaired (n=16)	t	df	p*
Demographics					
Age	57.8 (11.9, 31–79)	62.4 (13.0, 40–84)	-1.2	39	0.257
Education	14.4 (3.0, 9–20)	12.5 (1.8, 9–16)	2.3	39	0.029
Female	18 (72.0)	8 (50.0)	-1.2	39	0.248
Reasoning	4.6 (1.1, 3–6)	1.3 (0.7, 0–2)	10.8	39	<0.001
Achievement					
WRAT-3 Reading	46.4 (7.4, 31–57)	42.6 (11.4, 13–56)	1.3	37	0.219
Attention					
Digit Span	15.9 (2.9, 10–23)	13.4 (4.8, 8–26)	2.0	37	0.056
Expressive Language					
Animal Naming	17.0 (4.2, 11–25)	13.4 (4.0, 8–23)	2.7	37	0.012
Phonemic Fluency	31.1 (12.6, 16–60)	20.5 (10.9, 8–49)	2.6	37	0.012
Memory					
HVLT Immediate	20.8 (4.4, 15–29)	16.7 (7.1, 5–32)	2.3	38	0.029
HVLT Delayed	7.4 (2.6, 2–11)	4.3 (4.1, 0–12)	3.0	38	0.005
HVLT Recognition	10.2 (1.3, 7–12)	8.9 (3.1, 1–12)	1.5	15.6	0.161
Executive Function					
TMTA	34.5 (14.3, 16–87)	57.8 (40.9, 18–180)	-2.0	14.8	0.068
TMTB	131.2 (86.9, 47–300)	181.7 (109.8, 39–300)	-1.6	37	0.122
Digit Symbol	57.6 (14.9, 38–87)	44.2 (24.6, 14–97)	2.0	33	0.052
Functioning					
KPS	84.4 (8.2, 70–100)	79.4 (8.5, 70–90)	1.9	39	0.067
Depression					
BDI-II	11.0 (9.1, 0–43)	7.5 (3.7, 3–15)	1.3	35	0.209

Note. Scores refer to raw scores. Except for male and female, values for Not Impaired and Impaired are mean (SD, range). For male and female, values are *n* (%). *p** value for *t*-test analyzing group differences (age, education, and cognition) or Pearson's Chi square test (gender). HVLT=Hopkins Verbal Learning Test, BDI=Beck Depression Inventory, WRAT=Wide Range Achievement Test, TMT=Trail Making Test, KPS=Karnofsky Performance Status.

Table 2

Prediction Equation for CCTI Reasoning Score

Prediction equation
$\text{CCTI Reasoning Predicted} = 3.545 + (\text{HVL T Delayed} * 0.11) - (\text{TMTA} * 0.019)$

Note. CCTI=Capacity to Consent to Treatment Instrument, HVL T=Hopkin's Verbal Learning Test, TMT=Trail Making Test.

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Table 3

Prediction Equation for Impaired/Intact Reasoning.

Prediction equation
Impaired/Intact Reasoning Predicted = $-0.785 - (\text{HVL T Delayed} * 0.215) + (\text{TMTA} * 0.036)$

Note. HVL T=Hopkin's Verbal Learning Test, TMT=Trail Making Test.

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Table 4

Results of the linear regression predicting CCTI reasoning score.

Step	F; df; <i>p</i>	R ²	SEE	β, SE
1	3.96; 38; 0.028	0.18	1.70	
HVLT Delayed				0.11, 0.09
TMTA				-0.02, 0.01
Constant				3.55, 0.87

Note. SEE = standard error of the estimate of the regression model, β = unstandardized beta weights, SE = standard error of coefficient, HVLT = Hopkin's Verbal Learning Test, TMT=Trail Making Test, CCTI=Capacity to Consent to Treatment Instrument.

Table 5

Results of the binary logistic regression predicting CCTI reasoning status.

Step	Wald; df; <i>p</i>	R	β , SE
1	10.09; 1; <0.001	0.31	
HVLT Delayed			-0.22, 0.12
TMTA			0.036, 0.02
Constant			-0.79, 1.23

Note. SEE = standard error of the estimate of the regression model, β = unstandardized beta weights, SE = standard error of coefficient, HVLT = Hopkin's Verbal Learning Test, TMT=Trail Making Test, CCTI=Capacity to Consent to Treatment Instrument.

Table 6

Examples for Equation Predicting CCTI Reasoning Scores

<u>Example 1: Sample averages (i.e., CCTI Reasoning of 3.32, HVLТ Delayed of 6.23, and TMTA of 42.51)</u>
CCTI Reasoning Predicted = $3.55 + (\text{HVLТ Delayed} * 0.11) - (\text{TMTA} * 0.02)$
CCTI Reasoning Predicted = $3.55 + (6.23 * 0.11) - (42.51 * 0.02)$
CCTI Reasoning Predicted = 3.39
<u>Example 2: Patient with CCTI Reasoning of 4, HVLТ Delayed of 5, and TMTA of 66</u>
CCTI Reasoning Predicted = $3.55 + (\text{HVLТ Delayed} * 0.11) - (\text{TMTA} * 0.02)$
CCTI Reasoning Predicted = $3.55 + (5 * 0.11) - (66 * 0.02)$
CCTI Predicted Score = 2.78
CCTI Reasoning z-score = $(\text{observed} - \text{predicted}) / \text{SEE}$
CCTI Reasoning z-score = $(4 - 2.78) / 1.70 = +0.72$

Note. CCTI = Capacity to Consent to Treatment Instrument, HVLТ=Hopkin's Verbal Learning Test, TMT=Trail Making Test.

Table 7

Examples for Equation Predicting Impaired/Intact Reasoning

<u>Example 1: Sample averages (i.e., HVLТ Delayed of 6.32 and TMTA of 42.51)</u>
Impaired/Intact Reasoning Predicted = $-0.79 - (\text{HVLТ Delayed} * 0.22) + (\text{TMTA} * 0.04)$
Impaired/Intact Reasoning Predicted = $-0.79 - (6.32 * 0.22) + (42.51 * 0.04)$
Impaired/Intact Reasoning Predicted = -0.48
<u>Example 2: Patient with HVLТ Delayed of 8 and TMTA of 35</u>
Impaired/Intact Reasoning Predicted = $-0.79 - (\text{HVLТ Delayed} * 0.22) + (\text{TMTA} * 0.04)$
Impaired/Intact Reasoning Predicted = $-0.79 - (8 * 0.22) + (35 * 0.04)$
Impaired/Intact Reasoning Predicted = -1.15

Note. CCTI = Capacity to Consent to Treatment Instrument, HVLТ=Hopkin's Verbal Learning Test, TMT=Trail Making Test.