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Future projections of seasonal patterns in temperature-related deaths for Manhattan

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Abstract

Global average temperatures have been rising for the past half-century, and the warming trend has accelerated in recent decades¹. Further warming is expected over the next few decades, with significant regional variations. These warming trends will likely result in more frequent, intense and persistent periods of hot temperatures in summer, and generally higher temperatures in winter. Daily death counts in cities increase markedly when temperatures reach levels that are very high relative to what is normal in a given location^{2–4}. Relatively cold temperatures also appear to carry risk^{2,4}. Rising temperatures may result in more heat-related mortality but may also reduce cold-related mortality, and the net impact on annual mortality remains uncertain. Here we use 16 downscaled global climate models and two emissions scenarios to estimate current and future seasonal patterns in temperature-related mortality in Manhattan, New York. All 32 projections yielded warm season increases and cold season decreases in temperature-related mortality, with positive net annual temperature-related deaths in all cases. Monthly analyses showed that the largest percentage increases may occur in May and September. These results suggest that, over a range of models and scenarios of future greenhouse gas emissions, increases in heat-related mortality could outweigh reductions in cold-related mortality, with shifting seasonal patterns.

The impact of warming temperatures on population health is of increasing concern to health practitioners and policy makers. There is an urgent need for studies that assess temperature-related mortality risks over the full year under current and projected future climates. Such studies can lead to improved understanding of weather and climate vulnerability in the health sector, and more informed risk management and adaptation decisions. Urban areas such as New York City are especially vulnerable to temperature extremes due to the high

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Author Contribution

T. L. and P. K. contributed to the research design, data analysis and paper writing, R. H. designed and led the temperature downscaling work.

Competing Financial Interests

The authors declare no competing financial interests.

concentration of susceptible populations⁵, as well as enhancement of temperatures due to the urban heat island effects⁶. Temperatures in the New York City region increased by 2 °C between 1901 and 2000⁵, substantially exceeding global and U.S. national trends⁷. Preparing for and preventing temperature-related health problems has been identified as a high priority topic by New York City's government⁸.

Several studies have projected future heat-related mortality resulting from climate change^{9,10,11,12,13,14}. These studies follow a health impact assessment (HIA) approach, integrating temperature projections from global-scale climate models with empirical exposure-response relationships from the epidemiologic literature. While most HIAs for climate change have focused on heat-related mortality, decreasing cold-related mortality may also be important¹⁵. A growing number of studies have examined seasonal mortality tradeoffs in a changing climate^{16,17,18,19,20,21,22}. However, results have been difficult to compare due to differences in health and climate modeling methods. The objective of the present study was to project future temperature-related mortality impacts over the full year for the NYC borough of Manhattan (New York County) across a broad range of statistically downscaled climate models and greenhouse gas emissions scenarios in the 2020s, 2050s and 2080s.

Fitted spline functions relating percent increase in daily mortality to daily $T_{\max}$ in degrees F using historical data are displayed graphically in Figure 1. The warm and cold portions of the function were fitted separately at lag 0 and 2, respectively (see Equation 1 and Figures 1, 2, 3 and 4 in Supplementary Materials). Both cold and hot deviations from a central range were associated with excess mortality. A healthy temperatures range, i.e., temperatures for which there was no statistically significant temperature effect, extended from 63°F to 71°F (Figure 1 and Supplemental Figure 4). Results were not affected substantially in sensitivity models that included ozone, PM_{10} , dewpoint temperature, or an indicator for influenza epidemics (Supplemental Tables 4 to 7). We linked the exposure-response function displayed in Figure 1 to 32 modeled projections of daily temperatures (See Methods below and Supplemental Table 1) to estimate the numbers of temperature-related deaths in both the baseline and future time periods as described below.

Table 1 reports the percent changes in estimated temperature-related deaths for the 2020s, 2050s and 2080s as compared with estimated deaths in the 1980s. Results are presented separately for the A2 and B1 scenarios, and for each of the 16 climate models. More detailed results are provided in supplemental Table 2. For all sixteen models and both emissions scenarios, increasing heat-related deaths, and decreasing cold-related deaths, were projected for future decades. In all cases however, net annual deaths increased in future decades. Under the B1 scenario, net annual temperature-related deaths increased on average by 5.3 percent (range across models: 0.4 to 12.0 percent) in the 2020s, 10.9 (3.5 to 20.3) percent in the 2050s, and 15.5 (6.3 to 23.8) percent in the 2080s, all compared to a climate baseline in the 1980s. Larger increases were seen for the A2 scenario, especially in the 2050s and 2080s. Net temperature-related additional mortality projections from the GFDL-CM2.0 model increased most dramatically from the 1980s to 2080s among all sixteen models under both scenarios. Increases projected by the CSIRO model were lowest.

Figure 2 graphically summarizes the annual net temperature-, heat-, and cold- related deaths from the 1980s to 2080s. Net temperature- and heat- related deaths under the A2 scenario increased more rapidly from 1980s to 2080s compared with the B1 scenario.

Impacts on mortality of future warming varied substantially across months (Figure 3, Supplemental Figure 5–6). Monthly analyses showed that the largest absolute changes occurred in summer and winter (Supplemental Figure 5). However, percent increases in temperature-related deaths in the 2080s were largest in the months of May and September, with about a 100% increase for the A2 scenario. Similar patterns across months were observed for the other decades and for the B1 scenario (Supplemental Figure 5).

This study is the first to apply downscaled climate projections from a full suite of currently-available models to investigate how climate change may affect future annual temperature-related deaths, accounting for both heat and cold effects on mortality. Across this broad range of models, we projected increasing annual temperature-related deaths for Manhattan County in the 2020s, 2050s and 2080s.

Several previous studies have projected increases in future heat-related deaths in a warming climate^{9,10,11,12,13,14}; others have assessed the extent to which decreases in cold-related deaths may offset heat-related deaths^{16,17,18,19,20,21,22}. It is difficult to draw general conclusions from the body of work to-date because each study used one or a small number of different climate models/scenarios. Here, we estimated mortality effects across a full range of available downscaled climate models as well as both high and low greenhouse gas scenarios. One consistent finding from previous work is that estimated mortality benefits due to warming winters are substantially smaller in studies that controlled thoroughly for seasonal effects when analyzing observed exposure-responses^{17,18,20}, than in those which did not^{19,21,22}. We too avoided winter season confounding by controlling for seasonality in our analysis of observed temperature-mortality associations. Finally, ours is the first study to report climate change projections of monthly mortality.

We developed an empirical exposure-response relationship for temperature-related mortality in Manhattan using observed data from the baseline period. We explored lags from 0 to 5 days, and determined that heat-related mortality was best predicted using same day temperature, whereas cold-related mortality was better predicted by lag 2 temperature. This is consistent with prior epidemiology studies, which have typically applied lags of 0 to 3 days for heat effects, and lags of 2 to 5 days for cold effects^{2,23,24}. Recently Anderson and Bell fit cold-related mortality with a 25-day moving average of previous temperatures in a multi-city analysis⁴. However, this long distributed lag likely captured seasonal elevations in mortality, and thus is difficult to interpret as a direct effect of cold temperatures. In sensitivity analyses, we examined single day and cumulative lags of 5 and 10 days. The heat effect was slightly higher using a 2-day moving average. Neither the 5 nor 10 day moving average had a statistically significant effect on cold-season mortality, and the effect estimates were not dissimilar to that at lag 2 in our core analysis.

We used Poisson GLM regression analysis with natural splines to characterize the nonlinear relationships between daily maximum temperature and daily death counts using

observations from 1982–1999. This captured the non-linear nature of temperature effects on mortality. Recent studies have utilized a more flexible non-linear modeling approach based on the distributed lag non-linear (DLNM) package in R^{14,25,26}. To verify our approach, in sensitivity analyses we used the DLNM model to fit non-linear temperature effects up to a maximum lag of 30 days (Supplemental Figure 7). Findings confirmed that the heat effect was maximal at lag zero and diminished rapidly beyond lag two. The cold effect was distributed over lags one through four, and maximal at lag two. We defined a healthy temperature range from 63°F to 71°F within which no excess mortality due to temperature was assumed to occur. Since the healthy range was defined based on statistical significance, it is important to note that this range is sensitive to sample size and can not be directly compared across locations with different population sizes.

This study used downscaled projections from sixteen different global climate models and two greenhouse gas emissions scenarios to project future-year temperature-related deaths, providing an ensemble of future mortality estimates. Estimated annual mortality impacts from the different models/scenarios were similar in the 2020s, but began to diverge in the 2050s and differed substantially by the 2080s. The pattern of divergence in mortality mirrors a similar pattern of divergence in projected warming; greenhouse gas concentrations associated with B1 and A2 diverge as the century progresses (The greenhouse gas trajectories diverge quite rapidly, but the signature in temperatures is delayed due both to the long residence time of many greenhouse gases and the large inertia of the climate system), and the uncertainty associated with estimates of climate sensitivity has an increasing effect on temperature projections as greenhouse gas concentrations rise. Generating results from a broad range of models and multiple emissions scenarios provides uncertainty information for policy makers concerned with adaptation planning for climate change and health.

Our full-year analysis made it possible to examine changes in temperature-related mortality by calendar month. Large percent increases in mortality occurred in the “shoulder” months surrounding summer, i.e., May and September (Figure 3), when absolute mortality associated with temperature is presently relatively low. This finding suggests that adaptation planning strategies for the public health sector may require promoting awareness among the public and practitioners about the need for vigilance outside the traditional high heat risk months of June-August.

Several sources of uncertainty are encountered in estimating future health impacts, including those related to climate, health impacts, and populations. To address climate uncertainties, we included all sixteen climate models available for two greenhouse gas scenarios under a statistically downscaled product. We chose the 1980s as our modeling baseline since this decade is at the center of the conventional climatological baseline period of 1970 to 1999. To reduce health impact uncertainties, the exposure-response curves used to project future impacts were developed using observations from the same city in which projections were modeled. However, we assumed a constant population from year 2000 Census data for our calculations of temperature-related mortality. Increasing total population or proportion of vulnerable subpopulations (e.g., older adults) would increase the absolute number of temperature-related deaths in the future. In this sense, our method may give conservative projections of future mortality effects as NYCs population is expected to rise and age for

several decades. Changes in other factors that influence population vulnerability, such as general health, access to health care, socio-economic status, and exposure to public health messaging are more uncertain.

We did not take into account the possible effects of future adaptation to warmer temperatures by the at-risk population. The use of air-conditioning, heat alerts and cooling shelters as well as gradual physiological adaption could ameliorate significantly the exposure to heat stress¹¹. However, not all vulnerable persons have access to air-conditioning. Adaptation would be expected to diminish the magnitude of future mortality response to summer temperatures. On the other hand, there is evidence that mortality related to cold temperatures is enhanced in cities with warm climates, which would tend to reduce the cold-related mortality benefits we projected here^{3,4}. By ignoring these adaptation phenomena, we may have overestimated both heat-related increases and cold-related decreases under future climates, with uncertain net effects. Other factors not considered here because they are considered more uncertain than temperature projections include possible changes in humidity (which together with temperature determines heat stress), and how air quality may be affected by climatic factors including warming and changes in atmospheric circulation.

Methods

As described in detail below, we first estimated the exposure-response relationship between observed daily mortality and temperature data in Manhattan. We then obtained downscaled future temperature projections from sixteen climate models and two greenhouse gas emissions scenarios for Manhattan. These two inputs were combined to estimate future mortality related to future temperatures, which were compared to temperature-related mortality in a climatological baseline period. We separately accounted for cold-season and warm-season mortality, and also computed net annual changes. Finally, we examined monthly projections of future mortality.

Historical data on daily deaths covering the 1982 – 1999 period for Manhattan were obtained from the US National Center for Health Statistics (NCHS). Daily death counts for all internal causes (ICD-9 codes 0–799.9 for 1982–1998 and ICD-10 codes A00–R99 for 1999) were pooled, excluding accidental causes. We chose this definition for consistency with previous studies; however this would tend to underestimate heat-related mortality since heat stroke is an “accidental” cause. Daily $T_{\max}$ data were obtained from the National Climatic Data Center for 1982 to 1999 at the Central Park station.

A statistical model (see Supplemental equation 1) was developed using Poisson general linear regression with log daily non-accidental death counts as the outcome variable and the following predictors: a spline function of daily $T_{\max}$ with 3 degrees of freedom, a natural spline of time with 7 degrees of freedom per year, and a day of week indicator variable. This approach was similar to that used to study temperature for 11 eastern US communities². Sensitivity analyses investigated potential confounding by ozone, PM_{10} , dewpoint temperature and influenza epidemics. We also tested the robustness of results to the different lag structures. Details are given in the supplementary material (Supplemental Tables 3 to 7).

Future temperature projections were developed using downscaled outputs from 16 global scale general circulation models (GCMs) used in the Intergovernmental Panel on Climate Change Fourth Assessment report¹, in conjunction with two future greenhouse gas emissions scenarios²⁷. The approach uses monthly bias-corrected and spatially disaggregated (BCSD) climate projections at 1/8 degree resolution derived from the WCRP CMIP3 multi-model dataset. The BCSD projections were obtained online²⁸. The output from the land-based grid box corresponding to Central Park, NY was used to create change factors for each calendar month based on the difference between each 30-year future time slice and the same GCMs 30-year baseline time slice⁵. These change factors are then applied to the daily Central Park weather data to create a future projection with the same statistical characteristics and sequence as the observations.

The approach described here does not explore how changes in intra-annual and inter-annual temperature variability may change, for several reasons. First, such variability changes are generally considered more uncertain than mean changes²⁹. Additionally, the New York City weather station used in this study does not show a significant trend in the variance of either daily summer maximum temperatures or winter minimum temperatures. Further, in an analysis of daily projections for the NYC gridbox from three GCMs, Horton et al. showed that neither summer $T_{\max}$ nor winter $T_{\min}$ showed significant changes in variance through 2080⁶. By not considering sub-monthly changes in variability, we were able to use fine spatial resolution projections (as the 1/8 degree BCSD product is monthly, not daily) and analyze the entire 21st century (whereas using daily data would have constrained the analysis to the 2046–2065 and 2081–2100 timeslices for which only a subset (9) of the GCMs are available from the PCMDI data portal). Note that by applying the delta method separately for each calendar month, we do capture one component of possible changes in intra-annual variance, changes in the annual temperature cycle. Prior studies have found changes in the annual cycle to be important³⁰.

This methodology yielded a set of 32 synthetic future temperature projections for daily $T_{\max}$ from 2010 to 2100 based on the three 30 year time slices, and for a baseline period 1970–1999. The 16 GCMs for which BCSD was applied are described in Supplemental Table 1.

Greenhouse gas emissions scenarios represent specific blends of demographic, social, economic, technological and environmental assumptions²⁷. We selected 2 scenarios, A2 and B1, which represent relatively high and low greenhouse gas growth projections, respectively. The A2 scenario assumes relatively rapid population growth and limited sharing of technological change, which combine to produce high greenhouse gas levels by the end of this century, with emissions growing throughout the entire century. The B1 scenario assumes a high level of environmental and social consciousness, which leads to sustainable development, low population growth, high economic and technological advancement, and low energy use. Area devoted to crops and grasslands decreases, while reforestation efforts expand forests.

Projected mortality impacts were estimated using modeled daily $T_{\max}$. For any day with $T_{\max}$ greater than 71°F, the change in mortality was calculated relative to the minimum mortality temperature for the heat effect, i.e. 59 °F. For any day with $T_{\max}$ less than 63°F,

the change in mortality was calculated relative to the minimum mortality temperature for the cold effect, i.e. 72 °F. For days with $T_{\max}$ from 63°F to 71°F, we assumed no temperature effect. Daily additional deaths were computed as

$$\Delta Mortality = Y_0 \times ERC \times POP \quad (1)$$

where:

Mortality is daily temperature-related additional deaths

Y_0 is baseline daily mortality rate (per 100,000 population)

POP is county population

ERC is percentage change in mortality for a specified change in temperature, derived from the statistical analysis of observed data as described above.

We computed temperature-related daily deaths in this way for each time period (1980s, 2020s, 2050s, 2080s), and then computed the average number of temperature-related deaths per year (Figure 2). We also computed percent changes in annual average deaths from the 1980s to future time periods (Table 1).

The population of Manhattan was based on data obtained from the US census 2000 survey, and was held constant throughout the projection period. Baseline mortality rates for all ages, which excluded deaths attributable to external causes, were obtained from the U.S. Centers for Disease Control and Prevention. We held baseline mortality rates constant in our projection.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.

Exposure-response curve for temperature-related mortality. The solid line shows the central estimates. The dashed line shows the 95% confidence intervals.

Figure 2.

Distribution of heat-related, cold-related, and net annual temperature-related deaths in the 1980s, 2020s, 2050s and 2080s for 16 climate models and the A2 and B1 greenhouse gas scenarios. The box symbols represent, from bottom to top, the minimum, 25th percentile, 50th percentile, 75th percentile, and maximum across 16 models.

Figure 3. Percentage change (average over 16 models) in monthly temperature-related deaths in the 2080s versus the 1980s for the A2 scenario. The largest percent changes are seen for the “shoulder” months of May and September.

Table 1

Percent change in annual heat-related, cold-related, and net deaths in the 2020s, 2050s, 2080s as compared to the 1980s

Scenario	GCMs	2020s			2050s			2080s		
		Net	Heat ^a	Cold ^b	Net	Heat ^a	Cold ^b	Net	Heat ^a	Cold ^b
B1	BCCR	0.9	11.3	-10.2	3.5	20.8	-15.3	10.4	37.8	-19.3
	CCSM	4.8	24.5	-16.7	6.7	33.7	-22.6	9.4	35.6	-19.0
	CGCM	7.7	23.9	-9.9	10.5	38.1	-19.5	16.5	52.2	-22.2
	CNRM	3.9	17.7	-11.1	8.8	30.6	-14.9	12.4	40.8	-18.4
	CSIRO	0.4	14.0	-14.3	4.7	23.5	-15.8	6.3	30.9	-20.5
	ECHAM5	4.7	17.7	-9.5	10.9	36.7	-17.1	21.2	61.9	-22.9
	ECHO-G	10.9	30.8	-10.7	16.5	49.0	-18.9	23.8	69.7	-26.0
	GFDL-CM2.0	12.0	32.4	-10.1	20.3	52.8	-15.0	20.5	59.1	-21.5
	GFDL-CM2.1	6.8	24.8	-12.7	15.3	44.7	-16.5	18.8	55.4	-20.9
	GISS	4.4	17.6	-10.0	5.2	20.3	-11.2	8.0	27.3	-12.9
	INMCM	9.4	29.0	-11.8	15.8	44.0	-14.8	15.9	50.0	-21.2
	IPSL	3.9	23.1	-16.9	11.9	45.5	-24.5	19.8	67.1	-31.7
	MIROC	6.0	25.4	-15.1	14.2	46.8	-21.3	19.5	63.9	-28.6
	MRI	1.6	14.0	-11.8	7.8	27.3	-13.4	9.9	37.8	-20.3
A2	PCM	4.4	19.7	-12.3	7.7	28.4	-14.8	12.1	39.3	-17.4
	UKMO-HadCM3	3.6	18.2	-12.3	15.2	48.7	-21.2	22.7	71.5	-30.4
	MEAN	5.3	21.5	-12.2	10.9	36.9	-17.3	15.5	50.0	-22.1
	BCCR	0.9	10.1	-9.1	7.7	34.0	-21.0	19.8	65.0	-29.3
	CCSM	5.7	23.7	-13.8	15.7	54.6	-26.5	29.5	90.8	-37.0
	CGCM	7.8	26.0	-11.9	16.2	53.1	-23.9	33.7	99.9	-38.3
	CNRM	5.7	19.4	-9.3	13.3	42.4	-18.2	28.4	81.9	-29.6
	CSIRO	2.2	16.5	-13.4	6.2	34.0	-23.9	16.0	64.0	-36.0
	ECHAM5	2.8	12.7	-7.9	14.6	45.5	-19.0	31.8	89.6	-30.9
	ECHO-G	9.3	29.7	-12.7	19.4	60.2	-24.8	38.1	107.0	-36.7
	GFDL-CM2.0	12.3	32.0	-9.0	24.2	67.6	-22.9	48.2	125.2	-35.4
	GFDL-CM2.1	8.6	26.0	-10.2	17.1	51.1	-19.9	34.6	96.0	-32.2

Scenario	GCMs	2020s			2050s			2080s		
		Net	Heat ^a	Cold ^b	Net	Heat ^a	Cold ^b	Net	Heat ^a	Cold ^b
	GISS	4.0	14.1	-7.0	8.0	30.8	-16.7	16.4	58.2	-28.8
	INMCM	10.3	32.7	-14.0	19.5	57.5	-21.8	37.0	100.7	-32.2
	IPSL	4.5	24.1	-16.7	15.5	57.7	-30.3	32.6	104.8	-45.9
	MIROC	7.1	26.0	-13.5	17.2	57.0	-26.0	35.2	109.0	-44.9
	MRI	2.4	12.7	-8.8	16.2	45.5	-15.5	32.3	89.6	-30.0
	PCM	6.4	22.3	-10.9	11.0	34.5	-14.5	16.8	55.0	-24.7
	UKMO-HadCM3	9.7	26.9	-8.9	24.2	64.4	-19.6	45.8	119.5	-34.2
	MEAN	6.2	22.2	-11.1	15.4	49.4	-21.5	31.0	91.0	-34.1

^a, ^b Percent changes relative to 1980s annual heat-related and cold-related deaths of 369 and 340, respectively