Published in final edited form as:

Health Aff (Millwood). 2013 July ; 32(7): 1236–1243. doi:10.1377/hlthaff.2013.0072.

States With The Least Restrictive Regulations Experienced The Largest Increase In Patients Seen By Nurse Practitioners

Yong-Fang Kuo,

Professor of preventive medicine and community health at the University of Texas Medical Branch, in Galveston

Figaro L. Loresto Jr.,

Graduate student in preventive medicine and community health at the University of Texas Medical Branch

Linda R. Rounds, and

Professor of nursing at the University of Texas Medical Branch

James S. Goodwin

Professor of internal medicine at the University of Texas Medical Branch

Yong-Fang Kuo: yokuo@utmb.edu

Abstract

The use of nurse practitioners (NPs) is one way to address the shortage of physician primary care providers. NP training programs and the number of practicing NPs have increased in the past two decades. However, regulations limiting their scope of practice vary greatly by state. We assessed the impact of state regulations on the increase in care provided by NPs in the United States, using a 5 percent national sample of Medicare beneficiaries. We found that between 1998 and 2010 the number of Medicare patients receiving care from NPs increased fifteenfold. By 2010 states with the least restrictive regulations of NP practice had a 2.5-fold greater likelihood of patients' receiving their primary care from NPs than did the most restrictive states. Relaxing state restrictions on NP practice should increase the use of NPs as primary care providers, which in turn would reduce the current national shortage of primary care providers.

Increasing access to primary care is a key focus of health reform in the United States. Primary care helps patients in decision making, provides opportunities for disease prevention and health promotion, and can engage patients' family and community to help meet the health needs of the patients.¹ States with a higher ratio of primary care physicians to patients have lower Medicare expenditures and lower total and disease-specific mortality.² However, since 1998 the proportion of US medical students choosing careers in primary care has dropped from around 60 percent to 20–27 percent.^{3,4}

One way to address the shortage of physician primary care providers is with nurse practitioners (NPs).^{5,6} The past two decades have witnessed an increase in NP training programs and the number of practicing NPs.^{7–10} However, states vary greatly in regulating the scope of NPs' practice and in the precision and detail of those regulations.⁵ In some states NPs have essentially the same authority to practice that physicians have. Other states require physician supervision and limit NPs' hospital privileges as well as their authority to

order tests, make referrals, and prescribe medications.^{6,11–14} The online Appendix contains (in Exhibits 1a and 2a)¹⁵ a summary of regulations for each state and how they have changed over time.^{16–30} These restrictions may inhibit the spread of care provided by NPs.^{31,32}

Previous studies have found an increase over time in both the number of nurse practitioners and the number of patients receiving care from them.^{9,10,33} These studies were based on national health care surveys and included small numbers of NPs. In this article we assess the growth in care provided by nurse practitioners from 1998 through 2010 and how this varies by practice setting, using national Medicare data as well as the number of licensed NPs as reported by state authorities. We were particularly interested in the variation among states in NPs serving as primary care providers. We hypothesized that states with fewer restrictions on NP practice and areas with a lower supply of primary care physicians and physician assistants would have the highest percentage of NPs as primary care providers.

Study Data And Methods

DATA

We estimated NP care from the 5 percent national sample of Medicare beneficiaries for 1998–2010. We started in 1998, the year Medicare liberalized reimbursement to NPs. Data on the number of NPs by state were based on license records from state boards of nursing.^{16–30}

STUDY COHORT

We selected Medicare beneficiaries age sixty-five or older with Parts A and B coverage and not in a health maintenance organization (HMO) for the entire twelve months of each year during 1998–2010. Beneficiaries whose original entitlement to Medicare was based on disability or end-stage renal disease were excluded, as were patients with any nursing home stay in a given year.

PROVIDERS

We identified individual providers by their Unique Provider Identification Number for 1998–2007 and National Provider Identifier for 2007–10. Provider specialty was based on the Part B claims in the Medicare Carrier files or Outpatient Statistical Analysis files in a given year. We identified the clinical setting—inpatient, outpatient, or emergency department—based on the type of evaluation and management billing code in the data sets.

To identify the primary care provider, we included only beneficiaries with billing records for two or more outpatient evaluation and management services by a generalist physician (general practitioner, family physician, general internist, or geriatrician) or NP in a given year. Patients were identified as having an NP as the primary care provider if the NP billed for most of patients' evaluation and management services. If a physician and an NP billed the same number of evaluation and management charges in a given year for a given patient, the physician was designated as the primary care provider. For some analyses, we identified patients for whom NPs provided all generalist evaluation and management services during that year.

STATE REGULATIONS

We initially classified state regulations into three levels: allowing independent practice and prescription authority; allowing independent practice but requiring supervision for prescriptions; and requiring physician supervision for practice and prescriptions. We

reviewed any changes in these categories obtained from annual legislative updates^{16–30} from 1998 to 2012 (Exhibit 2a in the online Appendix).¹⁵

In some analyses we further classified state regulations of NP practice into five categories related to patients' ability to choose an NP: exemplary, partially support, confine, restrict, and severely restrict.^{11–13,34} The categories were produced by an expert panel, whose members evaluated three dimensions of patients' access to NPs: in governance and education of the NP profession, in providing health care services, and in ability to prescribe medications. We used the 2006 and 2010 ratings^{11,13} in our analyses (Exhibit 3a in the Appendix).¹⁵

COVARIATES

Patient age (65–74, 75–84, or 85 years), sex, and race or ethnicity (white, black, or other) were obtained from Medicare enrollment files. Income for ZIP code areas was obtained from the 2000 and 2010 census data and categorized by quartile. The number of primary care physicians per 100,000 residents in each Hospital Service Area was obtained from the *Dartmouth Atlas of Health Care* for 2006—the latest year available—and categorized by quartiles.³⁵ The numbers of physician assistants per 100,000 residents in each county (the smallest unit of data collection for physician assistants) in 2007 and 2010 were obtained from 2011–12 Area Resource Files, maintained by the federal Health Resources and Services Administration.

Sizes of residential areas were categorized using Rural-Urban Continuum Codes that distinguish metropolitan counties by size and non-metropolitan counties by degree of urbanization and proximity to metropolitan areas.³⁶ A comorbidity index for each enrollee, indicating the number of his or her diagnosed conditions, was generated from all claims in the twelve months before each study year and categorized as 0, 1, 2, and 3.³⁷ The percentages of state residents older than age seventy-five, male, non-white, with fewer than twelve years of education, or uninsured were obtained from the 2000 and 2010 census data, and primary care physician availability was obtained from the 2006 and 2010 Area Resource Files.

STATISTICAL ANALYSES

For the years 2006 and 2010, we evaluated the association of patient characteristics with the odds of having an NP as the primary care provider, using hierarchical generalized linear mixed models. The associations between having an NP as the primary care provider and either state regulations or the availability of primary care physicians and physician assistants were also assessed. We treated the variable of states as a random effect to assess how much of the variation in having an NP as the primary care provider was explained by state regulations. We also evaluated the change over time in the percentage of patients with an NP as the primary care provider as a function of state regulations by adding the interaction between year and level of regulation in the model.

In addition, we assessed the association of state regulations with the estimated number of NPs per 100,000 state residents in 2006 and 2010,^{24,28} using a least squares regression model adjusted for population age, sex, race or ethnicity, education, insurance, and primary care physician availability in each state. All tests of statistical significance were two-sided ($p < 0.05$). Analyses were performed with the statistical software SAS, version 9.2. Maps were constructed using ArcGIS 9.3.

LIMITATIONS

Our main analyses assessed NP Medicare charges, not activity. Medicare allows physicians to submit evaluation and management charges for a split or shared visit in which both the physician and the NP perform and document a substantive portion of a face-to-face encounter with the patient.³⁸ In such situations, the physician rather than the NP would normally submit the charge, because the reimbursement rate for physicians is 15 percent higher than that for nurse practitioners.³⁹ Thus, measuring only NP charges would tend to underestimate total NP activity. However, we also examined associations between state regulations and the number of NPs licensed in a state, as previously done by others.⁴⁰ Finally, some institutions may have policies against NPs' billing independently for their services.

Our method for identifying patients with an NP as the primary care provider assumed that all evaluation and management charges by NPs and generalist physicians were for primary care services. Approximately 5 percent of NPs in adult outpatient settings are not in primary care, so our method overestimated primary care by NPs.⁴¹ The increasing trend for NPs to receive training and certification in a subspecialty⁴² will decrease the availability of NPs as primary care providers. This is analogous to the negative impact that increasing specialization by physicians has had on the numbers of primary care physicians.^{3,4}

We studied community-dwelling elders with fee-for-service Medicare coverage. Nurse practitioner care may differ for beneficiaries under age sixty-five, those who reside in nursing homes, or those with HMO coverage. Last, we analyzed the number of licensed NPs as a proxy for the number of practicing NPs. This could tend to underestimate the impact of state regulations.

Study Results

GROWTH IN NURSE PRACTITIONER CARE

From 1998 to 2010 the proportion of Medicare patients billed by NPs grew 6.2 percentage points for hospitalized patients, 3.3 percentage points for emergency department patients, and 9.5 percentage points for patients seen in an out-patient setting (Exhibit 1). The overall number of NPs reimbursed for evaluation and management services in the 5 percent Medicare claims data rose from 3,114 in 1998 to 37,638 in 2010. Of the 37,638 NPs who billed Medicare in 2010, 59.0 percent provided services in an outpatient setting, 10.0 percent in nursing facilities, 8.6 percent in hospitals, 6.8 percent in emergency departments, and 15.5 percent in multiple settings.

NURSE PRACTITIONERS AS PRIMARY CARE PROVIDERS

The percentage of Medicare beneficiaries having an NP as the primary care provider increased from 0.2 percent in 1998 to 2.9 percent in 2010, representing more than 450,000 Medicare beneficiaries in 2010. In 2010 the percentage of Medicare beneficiaries with NPs as primary care providers varied from 0.8 percent in Hawaii to 14.8 percent in Alaska (Exhibit 2). Exhibit 3 shows that the growth in NPs as primary care providers was greatest (from 0.6 percent in 1998 to 5.3 percent in 2010) in states that allowed NPs to practice and prescribe independently.

Exhibit 4 presents the results of analyses examining the association between the percentage of Medicare recipients with NPs as primary care providers and the degree of state regulations restricting NPs' practice. Data are shown for 2006 and 2010 from unadjusted analyses and from multilevel multivariable analyses adjusting for patient characteristics and the area supply of primary care physicians and physician assistants.

The unadjusted and adjusted results show a strong association between the percentage of patients with NPs as primary care providers and the degree of state restrictions. In both years there was more than 2.5-fold difference in the odds of having an NP as a primary care provider between the most and least restrictive states.

Several aspects of Exhibit 4 are worth noting. First, the percentage of patients with NPs as primary care providers increased significantly from 2006 to 2010, for every category of state regulation and every level of physician supply. Second, the supply of primary care physicians in a Hospital Service Area was inversely related to the odds of having an NP as a primary care provider. Third, patients living in counties with the lowest quartile of physician assistants were more likely than patients in other counties to have NPs as their primary care provider. Fourth, the amount of variation in having an NP as a primary care provider (measured as residual intraclass correlation coefficient) attributed to states was 9.5 percent after adjustment for patient and geographical characteristics in 2010; in other words, 9.5 percent of the variation in having an NP as a primary care provider was explained by the state in which patients lived. State regulations mediated 16.8 percent of this variation. The full model for Exhibit 4 is in Exhibit 4a in the online Appendix,¹⁵ including patient characteristics. Patient characteristics associated with an increased likelihood of having an NP as a primary care provider included younger age, female, non-Hispanic white ethnicity, higher level of comorbidity, and living in a lower-income and rural location.

The analyses presented in Exhibit 4 show a significant interaction between the degree of state regulation and the year of analysis ($p < 0.0001$). States with the least restrictive regulations had larger increases from 2006 to 2010 in the percentage of patients with NPs as primary care providers.

We repeated the analyses in Exhibit 4 using a more stringent definition of having an NP as a primary care provider: receipt of all generalist care from NPs, with no claims from generalist physicians during that year. Using this definition, 0.9 percent and 1.5 percent of patients received all of their primary care from NPs in 2006 and 2010, respectively. The association of degree of state regulations with having an NP as a primary care provider using this definition was slightly stronger than that shown in Exhibit 4 (odds ratio for category 5 of state regulation equaled 0.33 [95% confidence interval: 0.19, 0.59] in 2006 and 0.35 [95% confidence interval: 0.20, 0.61] in 2010).

We also assessed the association of state regulations with the number of nurse practitioners licensed to practice in each state in 2006 and 2010 (Exhibit 5a in the Appendix).¹⁵ The increase in the number of NPs per 100,000 residents between 2006 and 2010 was greatest in states with the least restrictive regulations.

Discussion

Several factors contribute to the current and projected shortage of primary care providers in the United States. These include overall population growth, population aging, an expected large increase in the number of people with health insurance, and a decade-long decrease in the number of medical school graduates choosing primary care as a career.^{3,4} Increasing the role of NPs as primary care providers can be an important approach to increasing primary care capacity.⁵⁻⁸

The Institute of Medicine, building on a recommendation in its landmark 2001 report *Crossing the Quality Chasm*,³² recommended liberalizing state laws regulating the practice of NPs in its 2010 report *The Future of Nursing*.⁵ That report cited resistance by physician professional organizations, particularly state medical boards. It discussed a number of

possible steps to make regulations more uniform across states, including attention by the Federal Trade Commission to anticompetitive practices by state medical boards and changing reimbursement policies by Medicare and Medicaid that promote subscribers' choice of provider types.

The past decade has seen a slow but steady trend toward liberalization of state regulations of NP practice.^{16–30} One factor driving those changes is that increased availability of primary care providers may reduce overall health care costs.² For example, a 2009 RAND Corporation report commissioned by the State of Massachusetts estimated savings over ten years of \$4–\$8 billion if the state were to liberalize regulations to allow NPs and physician assistants to independently treat six common primary care conditions.⁴³

THE AFFORDABLE CARE ACT

The expanded Medicaid coverage in the Affordable Care Act will affect states differently, depending on their current eligibility requirements for Medicaid and their current supply of primary care providers.⁴⁴ States projected to experience the largest need for additional primary care providers include Oklahoma, Georgia, Texas, and Louisiana. In 2010 all of these states, except Oklahoma, were in the two most restrictive categories of regulations on NP practice.¹³

GROWTH AND STATE REGULATIONS

The proportion of patients with an NP as the primary care provider and the number of licensed NPs increased most in states with the least restrictive regulations. In additional analyses not presented here, we further divided states into four categories based on whether or not they allowed independent practice and independent prescription authority. States with independent prescription authority had the greatest growth, regardless of the independence of other aspects of NP practice.

OTHER FACTORS

A number of other factors partially explain the variation among states in the proportion of Medicare patients with NPs as primary care providers. These include the availability of primary care physicians and physician assistants; the size of urban areas and rural populations; and the race, ethnicity, and sex of the population. However, when those factors were controlled for, states' degree of regulation explained 16.8 percent of the variation in NP care at state level.

Physician assistants also serve as primary care providers, under physician supervision. However, fewer than half of them practice in primary care settings.⁴⁵ The association between state regulations and having an NP as the primary care provider changed minimally after adjustment for physician assistant supply, which is consistent with other studies.⁴⁰

PROCESSES AND OUTCOMES

Nurse practitioners undergo different training from general internal medicine or family medicine physicians. Previous trials have shown that NPs in community care settings have comparable outcomes to physicians.^{46–51} However, those trials were conducted in controlled settings with limited patient populations. The comparative effectiveness of NPs versus physicians in primary care must be rigorously assessed in national population-based data.

Conclusion

The number of Medicare patients receiving care from nurse practitioners rose fifteenfold between 1998 and 2010. State regulations of the scope of NPs' practice, especially

prescription authority, have a strong influence on the growth in numbers of NPs and patients receiving primary care from them. Modifying state regulations of NPs' practice is one path to expanding access to primary care.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

Acknowledgments

This research was supported by Grant No. R01-HS020642 from the Agency for Healthcare Research and Quality, Grant Nos. R01-AG033134 and P30-AG024832 from the National Institute on Aging, Grant No. K05-CA134923 from the National Cancer Institute, and Grant No. UL1-RR029876 from the National Center for Research Resources, National Institutes of Health. The funders had no role in the design and conduct of study; the collection, management, analysis, and interpretation of the data; or the preparation, review, or approval of the manuscript. The authors thank Sarah Toombs Smith, science editor and assistant professor in the Sealy Center on Aging, University of Texas Medical Branch at Galveston, for her editorial assistance.

NOTES

1. Institute of Medicine. Primary care: America's health in a new era. Washington (DC): National Academies Press; 1996.
2. Starfield B, Shi L, Macinko J. Contribution of primary care to health system and health. *Milbank Q*. 2005; 83(3):457–502. [PubMed: 16202000]
3. Jeffe DB, Whelan AJ, Andriole DA. Primary care specialty choice of United States medical graduates, 1997–2006. *Acad Med*. 2010; 85:947–58. [PubMed: 20505392]
4. Schwartz MD. The US primary care workforce and graduate medical education policy. *JAMA*. 2012; 308(21):2252–3. [PubMed: 23212505]
5. Institute of Medicine. The future of nursing: leading change, advancing health. Washington (DC): National Academies Press; 2010.
6. Fairman JA, Rowe JW, Hassmiller S, Shalala DE. Broadening the scope of nursing practice. *N Engl J Med*. 2011; 364(3):193–6. [PubMed: 21158652]
7. Cooper RA. Health care workforce for the twenty-first century: the impact of nonphysician clinicians. *Annu Rev Med*. 2001; 52:51–61. [PubMed: 11160767]
8. Cooper RA, Henderson T, Dietrich CL. Roles of nonphysician clinicians as autonomous providers of patient care. *JAMA*. 1998; 280(9):795–802. [PubMed: 9729991]
9. Druss BG, Marcus SC, Olfson M, Tanielian T, Pincus HA. Trends in care by nonphysician clinicians in the United States. *N Engl J Med*. 2003; 348:130–7. [PubMed: 12519924]
10. Auerbach DI. Will the NP workforce grow in the future? New forecasts and implications for healthcare delivery. *Med Care*. 2012; 50:606–10. [PubMed: 22354213]
11. Lugo NR, O'Grady ET, Hodnicki DR, Hanson CM. Ranking state NP regulation: practice environment and consumer healthcare choice. *Am J Nurse Pract*. 2007; 11(4):8–24.
12. Pearson, LJ. The Pearson report 2009. *AJNP* [Internet]. 2009 Feb. [cited 2013 Jun 5];13(2):8–82. Available from: <http://www.npwh.org/files/public/PEARSON.pdf?>
13. Pearson LJ. The Pearson report 2011. *AJNP*. 2011; 15(1–2) Editor's note: The 2011 edition of this report is available online to subscribers only.
14. Mezey M, Burger SG, Bloom HG, Bonner A, Bourbonniere M, Bowers B, et al. Experts recommend strategies for strengthening the use of advanced practice nurses in nursing homes. *J Am Geriatr Soc*. 2005; 53:1790–7. [PubMed: 16181181]
15. To access the Appendix, click on the Appendix link in the box to the right of the article online.
16. Pearson L. Annual update of how each state stands on legislative issues affecting advanced nursing practice. *Nurse Pract*. 1999; 24:16–83.
17. Pearson L. Annual legislative update: how each state stands on legislative issues affecting advanced nursing practice. *Nurse Pract*. 2000; 25:16–68.

18. Pearson L. Annual legislative update: how each state stands on legislative issues affecting advanced nursing practice. *Nurse Pract.* 2001; 26:7, 11–54.
19. Pearson L. Fourteenth annual legislative update: how each state stands on legislative issues affecting advanced nursing practice. *Nurse Pract.* 2002; 27:10–52.
20. Pearson L. Fifteenth annual legislative update: how each state stands on legislative issues affecting advanced nursing practice. *Nurse Pract.* 2003; 28:26–58.
21. Pearson L. Sixteenth annual legislative update: how each state stands on legislative issues affecting advanced nursing practice. *Nurse Pract.* 2004; 29:26–51. [PubMed: 14726787]
22. Phillips SJ. Seventeenth annual legislative update: a comprehensive look at the legislative issues affecting advanced nursing practice. *Nurse Pract.* 2005; 30:14–47. [PubMed: 15644726]
23. Phillips SJ. Eighteenth annual legislative update: a comprehensive look at the legislative issues affecting advanced nursing practice. *Nurse Pract.* 2006; 31:6–38. [PubMed: 16395056]
24. Phillips SJ. Nineteenth annual legislative update: a comprehensive look at the legislative issues affecting advanced nursing practice. *Nurse Pract.* 2007; 32:19–42.
25. Phillips SJ. Twentieth annual legislative update. *Nurse Pract.* 2008; 33:14–34.
26. Phillips SJ. Legislative update 2009: despite legal issues, ANPs are still standing strong. *Nurse Pract.* 2009; 34:19–41. [PubMed: 19114847]
27. Phillips SJ. Twenty-second annual legislative update: regulatory and legislative successes for ANPs. *Nurse Pract.* 2010; 35:24–47. [PubMed: 20027021]
28. Phillips SJ. Twenty-third annual legislative update: as healthcare reforms, NPs continue to evolve. *Nurse Pract.* 2011; 36:30–52.
29. Phillips SJ. Twenty-fourth annual legislative update: APRN consensus model implementation and planning. *Nurse Pract.* 2012; 37:22–45. [PubMed: 22217662]
30. Phillips SJ. Twenty-fifth annual legislative update: evidence-based practice reforms improve access to APRN care. *Nurse Pract.* 2013; 38:18–42. [PubMed: 23262650]
31. Taskforce on Health Care Workforce Regulation. Reforming health care workforce regulation: policy considerations for the 21st century [Internet]. San Francisco (CA): Pew Health Professions Commission; 1995 Dec. [cited 2013 Jun 5]. Available from: http://www.futurehealth.ucsf.edu/Content/29/1995-12_Reforming_Health_Care_Workforce_Regulation_Policy_Considerations_for_the_21st_Century.pdf
32. Institute of Medicine. Crossing the quality chasm: a new health system for the 21st century. Washington (DC): National Academies Press; 2001.
33. Morgan PA, Strand J, Østbye T, Albanese MA. Missing in action: care by physician assistants and nurse practitioners in national health surveys. *Health Serv Res.* 2007; 42:2022–37. [PubMed: 17850531]
34. Christian, S.; Dower, C.; O'Neil, E. Chart overview of nurse practitioner scopes of practice in the United States [Internet]. San Francisco (CA): University of California, San Francisco, Center for the Health Professions; 2007. [cited 2013 Jun 5]. Available from: http://futurehealth.ucsf.edu/Content/29/2007-12_Overview_of_Nurse_Practitioner_Scopes_of_Practice_In_the_United_States_Chart.pdf
35. Dartmouth Atlas of Health Care. Selected hospital and physician capacity measures [Internet]. Hanover (NH): Dartmouth Institute for Health Policy and Clinical Practice; 2013. [cited 2013 Jun 5]. Available for download from: <http://www.dartmouthatlas.org/tools/downloads.aspx#resources>
36. Department of Agriculture, Economic Research Service. Rural-Urban Continuum Codes [Internet]. Washington (DC): USDA; [last updated 2013 May 10; cited 2013 Jun 18]. Available from: <http://www.ers.usda.gov/data-products/rural-urban-continuum-codes.aspx>
37. Charlson ME, Pompei P, Ales KL, MacKenzie R. A new method of classifying prognostic comorbidity in longitudinal studies: development and validation. *J Chron Dis.* 1987; 40(5):373–83. [PubMed: 3558716]
38. CMS.gov. Medicare claims processing manual [Internet]. Baltimore (MD): Centers for Medicare and Medicaid Services; [revised 2013 Mar 29; cited 2013 Jun 5]. Chapter 12, Physicians/

nonphysician practitioners. Available from: <http://www.cms.gov/manuals/downloads/clm104c12.pdf>

39. Kleinpell RM, Frech KD, Diamond EJ. Billing for nurse practitioner provider services: updates on coding regulations. *Nurse Pract.* 2007; 32(6):16–7. [PubMed: 17557017]
40. Sekscenski ES, Sansom S, Bazell C, Salmon ME, Mullan F. State practice environments and the supply of physician assistants, nurse practitioners, and certified nurse-midwives. *N Engl J Med.* 1994; 331(19):1266–71. [PubMed: 7935685]
41. American Academy of Nurse Practitioners. NP factsheet [Internet]. Austin (TX): AANP; 2013. [cited 2013 Jun 5]. Available from: <http://www.aanp.org/all-about-nps/np-fact-sheet>
42. American Medical Association. AMA scope of practice data series—nurse practitioners [Internet]. Chicago (IL): AMA; 2009. [cited 2013 Jun 5]. Available from: <http://www.tnaonline.org/Media/pdf/apn-ama-sop-1109.pdf>
43. Eibner, C.; Hussey, P.; Ridgely, MS.; McGlynn, EA. Controlling health care spending in Massachusetts: an analysis of options [Internet]. Santa Monica (CA): RAND Corporation; 2009. [cited 2013 Jun 8]. (Pub. No. TR-733-COMMASS). Available from: http://www.rand.org/pubs/technical_reports/TR733.html
44. Ku L, Jones K, Shin P, Bruen B, Hayes K. The states' next challenge—securing primary care for expanded Medicaid population. *N Engl J Med.* 2011; 364:493–5. [PubMed: 21268720]
45. Morgan PA, Hook RS. Choice of specialists among physician assistants in the United States. *Health Aff (Millwood).* 2010; 29(5):887–92. [PubMed: 20439876]
46. Kinnersley P, Anderson E, Parry K, Clement J, Archard L, Turton P, et al. Randomized controlled trial of nurse practitioner versus general practitioner care for patients requesting “same day” consultations in primary care. *BMJ.* 2000; 320:1043–8. [PubMed: 10764366]
47. Venning P, Durie A, Roland R, Roberts C, Leese B. Randomized controlled trial comparing cost effectiveness of general practitioners and nurse practitioners in primary care. *BMJ.* 2000; 320:1048–53. [PubMed: 10764367]
48. Shum C, Humphreys A, Wheeler D, Cochrane MA, Skoda S, Clement S. Nurse management of patients with minor illnesses in general practice: multicenter, randomized controlled trial. *BMJ.* 2000; 320:1038–43. [PubMed: 10764365]
49. Mundinger MO, Kane RL, Lenz ER, Totten AM, Tsai WY, Cleary PD, et al. Primary care outcomes in patients treated by nurse practitioners or physicians: a randomized trial. *JAMA.* 2000; 283:59–68. [PubMed: 10632281]
50. Dierick-van Daele ATM, Metsemakers JFM, Derckx EWCC, Spreeuwenberg C, Vrijhoef HJM. Nurse practitioners substituting for general practitioners: randomized controlled trial. *J Adv Nurs.* 2009; 65:391–401. [PubMed: 19191937]
51. Laurent M, Reeves D, Hermens R, Braspenning J, Grol R, Sibbald B. Substitution of doctors by nurses in primary care. *Cochrane Database Syst Rev.* 2005; (2):CD001271. [PubMed: 15846614]

EXHIBIT 1. Percentage Of Community-Dwelling Medicare Patients Billed For Evaluation And Management Services By Nurse Practitioners, By Practice Setting, 1998–2010

SOURCE Authors' analysis of claims and enrollment data from a 5 percent national sample of Medicare beneficiaries.

EXHIBIT 2. Percentage Of Community-Dwelling Medicare Patients With Nurse Practitioners As Their Primary Care Providers, By State, 2010

SOURCE Authors' analysis of claims and enrollment data from a 5 percent national sample of Medicare beneficiaries. **NOTE** Categories were based on quintiles; see details in text.

EXHIBIT 3. Percentage Of Community-Dwelling Medicare Patients With Nurse Practitioner As Their Primary Care Providers, By State Practice And Prescription Authority, 1998–2010

SOURCE Authors' analysis of claims and enrollment data from a 5 percent national sample of Medicare beneficiaries. **NOTE** Categorizations of state practice and prescription authority by years were based on annual legislative updates published in *The Nurse Practitioner* and summarized in Exhibit 2a in the online Appendix (see Note 15 in text).

EXHIBIT 4

Percentage Of Medicare Beneficiaries With Nurse Practitioners (NPs) As Their Primary Care Providers, As A Function Of State Laws Regulating NP Practice And Supply Of Primary Care Physicians And Physician Assistants

Characteristic	2006		2010		Odds ratio	Percent of patients	Percent of patients	Odds ratio
	No. of patients	Percent of patients	No. of patients	Percent of patients				
Total population	678,946	1.8	—	709,868	2.9	—	—	—
STATE REGULATIONS ON NP PRACTICE								
1 (least restrictive)	40,915	3.7	1.00	76,074	4.9	1.00	1.00	1.00
2	102,476	2.2	0.84	104,501	3.1	0.63	0.63	0.63
3	180,650	1.6	0.55 ^a	192,785	3.0	0.64 ^a	0.64 ^a	0.64 ^a
4	130,540	1.8	0.47 ^a	167,897	2.8	0.60 ^a	0.60 ^a	0.60 ^a
5 (most restrictive)	224,365	1.4	0.36 ^a	168,431	2.1	0.40 ^a	0.40 ^a	0.40 ^a
PRIMARY CARE PHYSICIANS PER 100,000 RESIDENTS IN HEALTH SERVICE AREA								
Quartile 1 (<48.6)	165,922	1.8	1.00	179,374	2.9	1.00	1.00	1.00
Quartile 2 (48.6 to <55.8)	167,678	1.6	0.93 ^a	176,589	2.6	0.90 ^a	0.90 ^a	0.90 ^a
Quartile 3 (55.8 to <64.8)	170,523	1.8	0.88 ^a	174,834	2.9	0.86 ^a	0.86 ^a	0.86 ^a
Quartile 4 (64.8)	173,558	2.0	0.76 ^a	175,004	3.4	0.79 ^a	0.79 ^a	0.79 ^a
PHYSICIAN ASSISTANTS PER 100,000 RESIDENTS IN COUNTY^b								
Quartile 1	166,080	2.1	1.00	173,629	3.8	1.00	1.00	1.00
Quartile 2	167,417	1.4	0.83 ^a	174,185	2.1	0.79 ^a	0.79 ^a	0.79 ^a
Quartile 3	167,349	1.9	0.94	176,780	2.8	0.86 ^a	0.86 ^a	0.86 ^a
Quartile 4	166,720	1.8	0.88 ^a	172,906	3.0	0.83 ^a	0.83 ^a	0.83 ^a

SOURCE Authors' analysis of claims and enrollment data from a 5 percent national sample of Medicare beneficiaries. **NOTES** Odds ratios were estimated by multilevel analyses adjusted for clustering within states. All percentages of Medicare recipients with NPs as their primary care providers were greater in 2010 than 2006 ($p < 0.05$). In 2006 the amount of variation (residual intraclass correlation coefficient, or residual ICC) in having an NP as the primary care provider attributed to states was 12.6 percent and 10.2 percent, without and with adjustment for state regulations, respectively. These models included all variables listed in Exhibit 4a in the Appendix (see Note 15 in text). In 2010 the amount of variation (residual ICC) attributed to states was 9.5 percent and 7.9 percent, respectively. See Exhibit 3a in the Appendix for a description of regulations. The variables of primary care physicians per 100,000 Health Service Area residents and physician assistants per 100,000 county residents had missing data ranging from 0.6 percent to 2.0 percent. The results for the unknown categories are not shown.

^a Odds ratio is significantly different from 1 at the 0.05 level.

^b Quartile designations were not the same in 2006 and 2010. They are provided in Exhibit 4a in the Appendix.