Re-assessment of 30-, 60- and 90-day mortality rates in non-metastatic prostate cancer patients treated either with radical prostatectomy or radiation therapy

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Abstract

Introduction: It is customary to consider deaths that occur within 90 days of surgery as caused by that surgery. However, such practice may overestimate the true short-term mortality rates after radical prostatectomy (RP). Indeed, treatment-unrelated events might affect short-term mortality rates. We assess RP-specific excess short-term mortality.

Methods: We performed a retrospective analysis of a population-based cohort of 59 010 patients (RP = 28 281 and external beam radiation therapy [EBRT] as reference group, n = 30 729) who were treated between 1998 and 2005 for non-metastatic prostate cancer. Using univariate and multivariate logistic regression analyses, we assessed the rates of 30-, 60- and 90-day mortality after either RP or EBRT.

Results: Within the cohort, 30-, 60- and 90-day mortality rates were 0.2, 0.5 and 0.6%, and 0.1, 0.4 and 0.6% for RP and EBRT patients, respectively. This resulted in overall 30-, 60, and 90-day mortality differences of 0.1, 0.1 and 0%, respectively. After stratification according to age and Charlson comorbidity index (CCI), the magnitude of these differences increased up to 3.2% in favour of EBRT in patients aged >75 years with CCI ≥2. In multivariable analysis, rates of 30-, 60- and 90-day mortality were 5.2-, 1.8- and 1.3-fold higher after RP than EBRT, respectively. Our study is limited by its non-randomized design.

Conclusion: Overall, absolute short-term mortality rates after RP are comparable to those of EBRT. The difference decreases over time: 90 days < 60 days < 30 days. Nonetheless, their magnitude is far from trivial in the elderly and sickest patients.

Introduction

Postoperative short-term mortality after radical prostatectomy (RP) for prostate cancer is the ultimate risk when sur-

gery is chosen instead of other treatments. Reported overall short-term mortality rates after RP range from 0.1 to 1.0%.¹⁻⁷ However, these rates originate from institutional or population-based datasets, where all deaths regardless of cause are recorded.¹⁻⁷ Only one study has accounted for baseline mortality rates.⁸

It is customary to define a death that occurred within 90 days from surgery as caused by that surgery.^{1,2,5,7,9,10} Such practice may overestimate the short-term mortality related to the surgical procedure itself. Some individuals may die of RP-unrelated causes. Medical causes of death may also occur in individuals who were not exposed to a surgical intervention. For example, such events might occur in patients treated with external beam radiation therapy (EBRT), which is not known to predispose to higher mortality. Consequently, short-term mortality after EBRT might be considered as baseline mortality in patients with clinically localized prostate cancer. In this analysis, we examined short-term mortality rates after either RP or EBRT. We proposed that short-term mortality rates recorded after EBRT may represent the all-cause mortality in patients with localized prostate cancer. Conversely, a proportion of deaths after RP is clearly related to the surgery itself. The differences between the two rates may represent the events directly caused by the RP.

Methods

Data source

Patients with histologically confirmed prostate cancer between 1998 and 2005 were identified relying on the Surveillance Epidemiology and End Results (SEER) Medicare insurance program-linked database.¹¹ The SEER regions represent about 14% of the US population before 2000 and 26% thereafter, while the Medicare insurance program cov-

ers about 97% of the US population aged ≥ 65 years, as previously described.^{12,13}

Study population

We identified 108 369 men aged ≥ 65 years with prostate cancer (International Classification of Diseases [ICD]-9: 61.9; histologic code: 8140) who were treated either with RP or EBRT. We excluded patients treated with prostate brachytherapy ($n = 32\,239$), distant metastases ($n = 4271$), missing follow-up ($n = 10\,670$) and/or missing tumour grade ($n = 2179$). In total, we included 59 010 assessable individuals.

Examined risk factors

Age was examined both as a continuous and categorical variable (≥ 65 -70 vs. 71-75 vs. >75 years). Race, socioeconomic status, marital status and year of surgery were provided by the SEER-Medicare database. Charlson comorbidity index (CCI) was derived from Medicare claims during the year prior to prostate cancer diagnosis,¹⁴ and categorized as 0 vs. 1 vs. ≥ 2 . Tumour grade was defined as “well differentiated,” “moderately differentiated” and “poorly differentiated.” To define clinical stage (CS), we used the clinical extension information provided by the SEER database.¹⁵

Treatment type was identified searching outpatient claims and Part A and B Medicare files for the appropriate ICD-9 and Healthcare Common Procedure Coding System codes.¹⁵ RP was identified using the Current Procedural Terminology, 4th edition codes: 55840, 55842, 55845, and 55866.^{12,16} Subsequently, EBRT was identified using procedure codes, as previously described.¹⁷

Definition of 30-day, 60-day and 90-day mortality

Any kind of death within 1 month after RP or within 1 month of starting radiotherapy was defined as 30-day mortality. A similar definition was applied for 60- and 90-day mortality.

Statistical analyses

The Mann-Whitney test and chi-square test were used to compare the statistical significance of differences in medians and proportions, respectively. Multivariable logistic regression analyses were performed to test the statistical significance of the difference in short-term mortality rates between RP and EBRT. Adjustment was made for age, CCI, race, marital status, socioeconomic status (SES) and clinical stage. All statistical analyses were performed using R statistical package system (Vienna, Austria), with a two-sided significance level set at 0.05.

Results

Patient characteristics

Overall, 28 281 men (48%) were treated with RP, whereas 30 729 men (52%) underwent EBRT. Median ages were 68 and 73 years for RP and EBRT, respectively ($p < 0.001$). RP patients were more likely to have lower CCI status, to be Caucasian, to have high SES, to be married, and to have higher clinical stage (all $p < 0.001$), whereas RP patients were less likely to have poorly differentiated tumour grade ($p < 0.001$) (Table 1).

30-day, 60-day and 90-day mortality rates

The overall 30-day mortality rates after RP were 0.2% ($n = 63$) versus 0.1% ($n = 18$) after EBRT ($p < 0.001$). The 60-day mortality rates were respectively 0.5% ($n = 135$) versus 0.4% ($n = 111$) after EBRT ($p = 0.03$), whereas the 90-day mortality rates after RP were 0.6% ($n = 170$) versus 0.6% ($n = 193$) after EBRT ($p = 0.7$) (Table 2).

Stratification according to age

Stratification of short-term mortality at 30 days according to age revealed rates of 0.2 versus 0.1%, 0.3 versus 0%, and 0.7 versus 0% for age categories ≥ 65 -70, 71-75 and >75 years, after RP or EBRT patients, respectively. At 60 days, the rates were 0.3 versus 0.5%, 0.6 versus 0.3%, and 1.9 versus 0.3% for age categories ≥ 65 -70, 71-75, and >75 years, respectively. Finally, at 90 days, the short-term mortality rates were 0.3 versus 0.8%, 0.7 versus 0.5%, and 2.8 versus 0.5% for age categories ≥ 65 -70, 71-75 and >75 years, respectively. Short-term mortality differences between different age categories were statistically significant within RP patients at every time points (all $p < 0.001$) (Table 2).

Stratification according to CCI

Stratification of short-term mortality at 30 days according to CCI revealed short-term mortality rates of 0.2 versus 0.1%, 0.2 vs. 0.1%, and 0.4 versus 0.1% for CCI categories 0, 1 and ≥ 2 , after RP or EBRT, respectively. At 60 days, recorded rates were 0.4 versus 0.4%, 0.7 versus 0.3%, and 0.9 versus 0.5% for CCI categories 0, 1 and ≥ 2 in RP and EBRT patients, respectively. Finally, at 90 days, short-term mortality rates were 0.5 versus 0.6%, 0.9 versus 0.5%, and 1.3 versus 0.8% for CCI categories 0, 1, and ≥ 2 in men treated with RP and EBRT, respectively. Short-term mortality differences between different CCI categories were only statistically significant within RP patients at 60 and 90 days after RP (both $p < 0.001$).

Table 1. Patient characteristics of patients treated for non-metastatic prostate cancer between 1998 and 2005 either with RP (n=28 281) or RT (n=30 729)

	Overall	RP	EBRT	p value
No. (%)	59010 (100)	28281 (48%)	30729 (52%)	—
Age, year				
Mean	71	69	73	<0.001
Median	71	68	73	
Interquartile range	67–75	66–71	68–76	
Age groups				
66–70 yr	45679 (50.1)	19645 (69.5)	10913 (35.5)	<0.001
71–75 yr	26439 (29)	6355 (22.5)	10502 (34.2)	
76–80 yr	14186 (15.5)	1618 (5.7)	7138 (23.2)	
>80 yr	4945 (5.4)	663 (2.3)	2176 (7.1)	
Year of treatment				
2004–2005	27722 (30.4)	8554 (30.2)	9415 (30.6)	<0.001
2002–2003	26164 (28.7)	7878 (27.9)	8843 (28.8)	
2000–2001	24649 (27)	7436 (26.3)	8209 (26.7)	
1998–1999	12714 (13.9)	4413 (15.6)	4262 (13.9)	
CCI				
0	66107 (72.4)	21641 (76.5)	20952 (68.2)	<0.001
1	19070 (20.9)	5240 (18.5)	7192 (23.4)	
≥2	6072 (6.7)	1400 (5)	2585 (8.4)	
Clinical stage				
T1	35653 (39.1)	9349 (33.1)	12485 (40.6)	<0.001
T2	49969 (54.8)	17029 (60.2)	16310 (53.1)	
T3/T4	4093 (4.5)	1484 (5.2)	1586 (5.2)	
Unknown	1534 (1.7)	419 (1.5)	348 (1.1)	
Lymph node status				
N0	79740 (87.4)	26153 (92.5)	26191 (85.2)	<0.001
N1	1198 (1.3)	600 (2.1)	252 (0.8)	
Nx	10311 (11.3)	1528 (5.4)	4286 (13.9)	
Tumour grade				
Well differentiated	2265 (2.5)	596 (2.1)	703 (2.3)	<0.001
Moderately differentiated	59348 (65)	18046 (63.8)	19095 (62.1)	
Poorly differentiated	29636 (32.5)	9639 (34.1)	10931 (35.6)	
Race				
Caucasian	74627 (81.8)	23235 (82.2)	24416 (79.5)	<0.001
African-American	9574 (10.5)	2739 (9.7)	3640 (11.8)	
Others/Unknown	7048 (7.7)	2307 (8.2)	2673 (8.7)	
Socioeconomic status				
High	50558 (55.4)	16090 (56.9)	16626 (54.1)	<0.001
Low	39829 (43.6)	11955 (42.3)	13810 (44.9)	
Marital status				
Married	68075 (74.6)	22145 (78.3)	22197 (72.2)	<0.001
Single	17503 (19.2)	4831 (17.1)	6475 (21.1)	
Unknown	5671 (6.2)	1305 (4.6)	2057 (6.7)	

RP: radical prostatectomy; EBRT: external beam radiation therapy; RT: radiation therapy; CCI: Charlson comorbidity index.

Multivariate logistic regression analyses

In multivariate logistic regression analyses for the entire cohort, at 30, 60 and 90 days after treatment, the short-term mortality risks were 5.2 ($p < 0.001$), 1.8 (<0.001) and 1.3-fold ($p = 0.04$) higher after RP compared to EBRT.

Discussion

The absolute short-term mortality rates after any surgical procedure are usually interpreted as related to that procedure. This assumption may overestimate the rate of events related to the surgery. The causality of events observed after surgery cannot be validly ascertained. Some cardiovascular events may be precipitated by the surgical treatment, others may have occurred regardless of the surgery. Events that occur immediately after surgery are more likely to be related to surgical risks. Conversely, events that occur within longer

Table 2. Descriptive results of mortality rates within 30, 60 and 90 days after treatment start in patients treated either with RP (28 281) or EBRT (30 729), respectively

	RP	EBRT	RP-specific excess mortality rates	<i>p</i> value
30-day mortality, no. (%)	63 (0.2)	18 (0.1)	0.1	<0.001
60-day mortality, no. (%)	135 (0.5)	111 (0.4)	0.1	0.03
90-day mortality, no. (%)	170 (0.6)	193 (0.6)	0	0.7

RP: radical prostatectomy; EBRT: external beam radiation therapy.

periods may more frequently be unrelated to the surgical stress. To the best of our knowledge, only one study has accounted for treatment-unrelated deaths within 30 days after RP, relying on older data.⁸

The distinction between surgery-induced events and surgery-unrelated events could ideally be examined within a randomized trial. However, in the context of localized prostate cancer, such design is virtually impossible to implement. An alternative consists of an observational design, with adjustment for confounders.

In the current analysis, we relied on such a design. Specifically we compared 30-, 60-, and 90-day mortality rates between RP and EBRT patients. We hypothesized that short-term mortality after EBRT may be interpreted as events that occurred independently of treatment delivery. These events may therefore be considered as the background short-term mortality in patients with prostate cancer. Conversely, a proportion of short-term mortality that occurs after RP is directly attributable to the surgery itself. We relied on short-term mortality rates after RP and EBRT and we calculated the difference between these rates after EBRT and RP to estimate baseline- short-term mortality. This difference may indicate the excess short-term mortality related to RP itself. Since EBRT patients may be sicker and older than RP patients, we relied on multivariate analyses to adjust for these differences.

Our results showed that 30-, 60-, and 90-day mortality rates for the entire cohort were 0.2, 0.5 and 0.6% versus 0.1, 0.4 and 0.6% for RP and EBRT patients, respectively. The differences between RP and EBRT rates were 0.1, 0.1 and 0% for the entire cohort at 30, 60, and 90 days. These results indicate that short-term mortality rates after RP are low and that short-term mortality rates after EBRT are even lower. The absolute differences are marginal at 30 and 60 days and virtually disappear within the overall population at 90 days after treatment. In the literature, short-term mortality within 30 days after RP ranges from 0.1% to 1.0%.^{1,2,4-6} However, these studies did not account for background noise. One study previously performed a similar approach like we did and accounted for baseline mortality. The authors of this study reported an overall excess 30-day mortality after RP

of 0.3%.⁸ Even if their approach is similar to ours, the main difference consists of the reference group that was used to account for background noise. They defined mortality within 7 to 12 months after RP as baseline mortality,⁸ whereas we used short-term mortality after EBRT as a reference.

The discrepancy between the unadjusted absolute differences was further explored in stratified univariable analyses. The intent of those was to uncover the patient characteristics that may predispose to the difference of largest magnitude in absolute or relative terms. Since age and comorbidities represent the most established contributors to short-term mortality, we relied on these variables for the bivariate analyses.^{1,2} In bivariate analyses, the magnitude of the difference between RP and EBRT was most pronounced in the oldest and sickest individuals. These data corroborate previous reports of increasing short-term mortality with increasing age and comorbidities.^{1,2} Unfortunately, due to small numbers of events, multivariate stratified analyses were not possible. Conversely, Alibhai and colleagues reported that short-term mortality rates were not substantially higher in older patients compared to their younger counterpart. Particularly, they reported an absolute excess mortality risk within 30 days after RP of 0.51 and 0.59 for patients aged 60 to 69 and 70-79, respectively.⁸ A valid comparison of our results to the results of Alibhai and colleagues is difficult due to the different age categories that were used. However, even if 30-day mortality rates in our cohort were generally low, we recorded higher short-term mortality excess for patients aged >75 relative to those aged 65 to 70.

The discrepancy between absolute rate differences that were marginal and relative differences that were meaningful and statistically significant requires careful consideration. Unadjusted rates may be subjected to biases that distinguish RP patients from their EBRT counterparts. Indeed, within our cohort, EBRT patients were older and sicker. This difference in patient characteristics may have obliterated short-term mortality rates between RP and EBRT. To correct for potential biases and confounding variables, we performed multivariate analyses. The results within the entire cohort indicated a 5.2-, 1.8- and 1.4-fold increase in respectively 30-, 60- and 90-day short-term mortality (all statistically significant).

Taken together, our results imply that RP may be performed very safely in young and fit individuals, whereas older and sicker patients may be at higher risk of short-term mortality. Sub-analyses confirm this hypothesis. Specifically, when age and comorbidities increase, the short-term mortality excess rates increase proportionately. This may be interpreted as an indicator of increasing caution, when RP is contemplated relative to EBRT.

Despite this added perspective, our study is limited by its non-randomized design. We adjusted for available confounders to minimize the effect of biases. Unfortunately,

Fig. 1. 30-, 60-, and 90-day mortality of men treated with either radical prostatectomy or external beam radiation therapy. Stratification was made according to Charlson comorbidity index (CCI) (CCI 0 vs. CCI 1 vs CCI ≥2) and age (65-70 year vs. 71-75 years vs. ≥76 years).

residual confounders and effect of biases have remained. Use of data from randomized trials may obviate this issue. However, to date these trials have had less than 400 patients per arm.^{18,19} In consequence, their statistical power would have been insufficient to validly assess short-term mortality rates after either EBRT or RP. It is of note that, even in our extensive population-based cohort, events were at times infrequent in some age and CCI strata.

Conclusion

Absolute short-term mortality rates after RP are comparable to those of EBRT. The differences decrease over time: 90 days <60 days <30 days. Nonetheless, their magnitude is far from trivial in the elderly and sickest patients. These observations imply that surgery may be safely performed in young and fit individuals, whereas older and sicker patients should be considered at higher risk of short-term mortality.

Competing interests: Dr. Hansen, Dr. Gandaglia, Dr. Bianchi, Dr. Sun, Dr. Rink, Dr. Tian, Dr. Meskowi, Dr. Trinh, Dr. Shariat, Dr. Perrotte, Dr. Chun, Dr. Graefen and Dr. Karakiewicz all declare no competing financial or personal interests.

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