Asynchronous marine-terrestrial signals of the last deglacial warming in East Asia associated with low- and high-latitude climate changes

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A high-resolution multiproxy record, including pollen, foraminifera, and alkenone paleothermometry, obtained from a single core (DG9603) from the Okinawa Trough, East China Sea (ECS), provided unambiguous evidence for asynchronous climate change between the land and ocean over the past 40 ka. On land, the deglacial stage was characterized by rapid warming, as reflected by paleovegetation, and it began ca. 15 kaBP, consistent with the timing of the last deglacial warming in Greenland. However, sea surface temperature estimates from foraminifera and alkenone paleothermometry increased around 20–19 kaBP, as in the Western Pacific Warm Pool (WPWP). Sea surface temperatures in the Okinawa Trough were influenced mainly by heat transport from the tropical western Pacific Ocean by the Kuroshio Current, but the epicontinental vegetation of the ECS was influenced by atmospheric circulation linked to the northern high-latitude climate. Asynchronous terrestrial and marine signals of the last deglacial warming in East Asia were thus clearly related to ocean currents and atmospheric circulation. We argue that (i) early warming seawater of the WPWP, driven by low-latitude insolation and trade winds, moved northward via the Kuroshio Current and triggered marine warming along the ECS around 20–19 kaBP similar to that in the WPWP, and (ii) an almost complete shut-down of the Atlantic Meridional Overturning Circulation ca. 18–15 kaBP was associated with cold Heinrich stadial-1 and delayed terrestrial warming during the last deglacial warming until ca. 15 kaBP at northern high latitudes, and hence in East Asia. Terrestrial deglacial warming therefore lagged behind marine changes by ca. 3–4 ka.

asynchrony | East Asian monsoon | land-sea correlation | low- and high-latitude interplay | thermohaline circulation

Detailed knowledge of the phase relationships between climate changes in terrestrial and marine equatorial regions that link the Northern and Southern Hemispheres is critical to understanding the climate dynamics underlying orbital-scale and abrupt climate change (1–3). Previous research has focused on determining the relative timing of climate change during the last deglaciation between terrestrial and marine regions and/or between low- and high-latitude regions, with different conclusions:

- i) Climate change in the continental Northern Hemisphere (4) was synchronous with that in the North Pacific Ocean (5), South China Sea (SCS) (6), Mediterranean Sea (7), and North Atlantic Ocean (8) during the last deglaciation. It was characterized by a rapid cooling at ca. 18–15 kaBP [Heinrich stadial-1 (HS1)] (9) and then an abrupt warming at ca. 15 kaBP (Bølling warm period) (10).
- ii) Synchronous climate changes with a continuous warming from ca. 18 ± 1 to 10 kaBP occurred in Antarctica and high-latitude southern oceans, but this warming was interrupted by a minor pause or a slight cooling, called the Antarctic Cold Reversal (10–12). Temperature histories show that when a gradual cooling began in Antarctica, Greenland warmed abruptly. This

trading of heat between the hemispheres has been called the bipolar seesaw. It acts to redistribute heat depending on the state of the Atlantic Meridional Overturning Circulation (AMOC) (13).

- iii) Climate change in tropical and middle-low latitudes of the Pacific Ocean, Indian Ocean, and South Atlantic Ocean was characterized by a continuous deglacial warming. This warming started at ca. 20–19 kaBP (3, 5, 9, 14), which is earlier than that in high latitudes of the Southern Hemisphere during the last deglacial period (9, 13, 14). It was not interrupted by any marked cooling event, such as the HS1 observed in high-latitude records of the Northern Hemisphere.

However, the vast majority of these results were acquired through comparisons of different proxy records from different materials with different resolution and dating uncertainties. Detailed knowledge concerning the leads and lags of climate changes between marine and terrestrial areas linking low and high latitudes is still controversial (15, 16).

Here, we present three sets of independent climate proxy data from a single core (DG9603) from the Okinawa Trough, East China Sea (ECS): one of terrestrial origin (pollen) and two of marine origin [foraminifera (17, 18) and alkenone paleothermometry (U^k_{37}) (19, 20)]. The results provide robust evidence for oceanic and terrestrial environmental changes in East Asia during the past 40 ka. This study addresses the clear link between low-latitude oceanic and high-latitude terrestrial climate changes during the last deglacial warming.

Site Descriptions and Materials

Located in the eastern part of the ECS between the Pacific Ocean and the Eurasian continent, the Okinawa Trough is the only area that has continuously recorded environmental changes of both oceans and adjacent landmasses simultaneously. It thus provides direct and unambiguous evidence of the links between marine and terrestrial environments (21, 22).

The marine system in this region mainly consists of the Kuroshio Current (21, 22), which flows north on the western side of the North Pacific Ocean, analogous to the Gulf Stream in the North Atlantic Ocean. It transports about 3×10^7 m³ of warm water per second from the tropical Pacific Ocean to the ECS

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region (21, 23). The epicontinental climate system is characterized by seasonal alterations of the East Asian summer and winter monsoons (24).

The ECS receives massive amounts of terrestrial sediments [about 2×10^9 tons/y (a)] transported by the Yangtze River and other rivers from the surrounding regions (25). During the Last Glacial Maximum (LGM), the ECS sea level was at least 125 m lower than at present; thus, most of the present shelf was exposed (22). The Okinawa Trough, close to the ECS shelf edge, has a water depth of more than 2,700 m in places and was continuously submerged during the glacial/interglacial cycles; thus, it continuously accumulated deposits of mixed terrestrial and marine sources.

Core DG9603 was taken from the mid-Okinawa Trough (28° 08.869'N, 127°16.238'E, water depth of 1,100 m) (Fig. 1). This 5.85-m core has continuous accumulation of semipelagic abyssal ooze with abundant microfossils, and the age model was established using accelerator mass spectrometry (AMS) ^{14}C dates (17, 18). Twelve samples for AMS ^{14}C dating were obtained from monospecific samples of the planktonic foraminifera *Globorotalia menardii*, *Globigerinoides sacculifer*, and *Neogloboquadrina dutertrei* (Table S1). The ^{14}C ages were converted to calendar ages using software CALIB 6.1 (26) and Marine09 datasets (27). The ages of samples from bottom to top were estimated by linear interpolation of these age control points. The top 4.5 m covers the past 42 ka, with an average resolution of ca. 208 a per sample.

Results

Vegetation Changes in Epicontinental Areas of the ECS. The relative abundance of subtropical evergreen arboreal taxa [*Quercus E* (evergreen) and *Castanopsis-Lithocarpus*], temperate arboreal taxa [*Quercus D* (deciduous)], and herbaceous species (Cyperaceae and *Artemisia*) revealed large (ca. 20–40%) and abrupt changes ca. 26.5 kaBP and ca. 15 kaBP (Fig. 2 and Figs. S1 and S2). Their absolute concentrations display similar changes (Fig. S3).

From ca. 26.5–15 kaBP, *Quercus D* rapidly increased, whereas *Quercus E* and *Castanopsis-Lithocarpus* significantly decreased. The maximum values of steppe and wetland taxa (*Artemisia* and Cyperaceae) and minimum values of the first principal component

(F1) of principal components analysis (PCA) (Methods) indicate that the coldest climate occurred in this period during the past 40 ka. After ca. 15 kaBP, the sharp increase of tropical/subtropical evergreen arboreal taxa (i.e., *Quercus E*, *Castanopsis-Lithocarpus*), with a simultaneous decrease of *Quercus D* and herbaceous taxa, reflected a dramatic rise in temperature. Its timing coincided with an abrupt cold/warm and dry/wet transition observed in the Chinese cave stalagmites (29, 30) and in a Greenland ice core (31). A small increase in *Quercus D* and a slight decrease in *Quercus E* and *Castanopsis-Lithocarpus* during the interval from ca. 12.9–11.5 kaBP indicated a minor temperature reversal. The timing of this reversal corresponded with the cold Younger Dryas (YD) event. Notably, this temporal pattern of climate changes is similar to the last deglacial climate oscillation in the Greenland ice core (31) (Fig. 2).

Pollen in modern marine sediments of the ECS is derived from epicontinental vegetation along the adjacent eastern margin of China (32), from which location it is transported by rivers, winds, and long-shore currents and deposited in the ECS (33). Moreover, the exposed ECS shelf was also a major pollen source for the Okinawa Trough during the LGM and deglacial periods (32–34). Previous pollen records from the middle Okinawa Trough indicated that abrupt vegetation changes and land temperature increases were synchronous with the Bølling warm period warming observed in the Greenland ice core ca. 14.6 kaBP (34). Our evidence also corroborates this synchronous deglacial warming in the epicontinental climate of the ECS and northern high latitudes.

Asynchronous Marine and Terrestrial Signals During the Last Deglaciation. The high-resolution alkenone-based sea surface temperature (SST) (19, 20) record shows that a continual deglacial warming began around 20–19 kaBP, starting from the coldest estimated SST of 21 °C (i.e., about 5–6 °C lower than the modern SST; Fig. 3F). Similarly, the winter sea surface temperature (SSTw) reconstructed from fossil foraminiferal data of the same site using foraminiferal transfer functions (17, 18) yielded a comparable pattern for the last deglacial warming that started ca. 20–19 kaBP (Fig. 3G).

In both records, SSTw and $U_{37}^{K'}$ SST estimates had similar trends during the transition from the late glacial to the Holocene. However, the SSTw curve showed a relatively larger amplitude of SSTw variation than $U_{37}^{K'}$ SST, because the foraminiferal transfer function has a higher sensitivity than alkenone methodologies (5). Notably, the pattern of this transition was similar to that of the last deglacial warming recorded in marine core from the Western Pacific Warm Pool (WPWP) (35) (Fig. 3H), suggesting a direct link in SST changes between the Okinawa Trough and the tropical Pacific Ocean. In addition, temperature records from the southern Pacific Ocean (36, 37) (Fig. 3I and J) and the Antarctic region (12) (Fig. 3K) showed that a continual deglacial warming began at ca. 19–18 kaBP, slightly lagging behind that of the WPWP on the Greenland Ice Core Chronology 2005 (GICC05) time scale (11, 12).

In contrast to marine signals of climate change with an early onset of the last deglacial warming ca. 20–19 kaBP, the terrestrial signals of the last deglacial climate changes, as evidenced by the paleovegetation changes reconstructed from the pollen record of core DG9603, clearly indicated that abrupt warming began ca. 15 kaBP. This late onset of warming in the terrestrial record is consistent with the last deglacial warming pattern reflected in the $\delta^{18}\text{O}$ records from the Hulu Cave and Dongge Cave stalagmites in eastern China (29, 30), as well as in the Greenland ice core (31).

Our study therefore revealed the occurrence of asynchronous marine and terrestrial signals of climate change in East Asia. Our findings are based on three independent lines of evidence (terrestrial and marine records) obtained from the same core; thus,

Fig. 1. Overview maps of the Antarctic Ocean, Pacific Ocean, and Arctic Ocean, as well as the adjacent East Asia, showing locations of core DG9603 and selected paleoclimate sites in relation to oceanographic features. (A) Shown are the following: Greenland ice core North Greenland Ice Core Project (NGRIP) (31), Hulu cave (29), Dongge cave (30), marine core MD97-2138 (35), marine core MD03-2611 (36), marine core ODP 1233 (37), Antarctic ice core Taldice (12). (B, Inset) Map of the ECS and Yellow Sea shows a sketch of regional oceanic and atmospheric circulation. The location of core DG9603 is shown in the Okinawa Trough. All positions of paleoclimate sites related to this study are shown in red stars.

Fig. 2. Diagram of selected pollen types from core DG9603, together with $\delta^{18}\text{O}$ records from the Greenland ice core (31) [on a GICC05 time scale (28)] and cave stalagmites (29, 30) of East Asia. Calibrated ages are shown by black dots with 1σ uncertainty on the left axis of the pollen diagram. Two blue-shaded bars show the YD and the cold period from ca. 26.5–15 kaBP, respectively. VPDB, Vienna Pee Dee Belemnite; VSMOW, Vienna Standard Mean Ocean Water.

they avoid the pitfall of age uncertainties arising from correlations of proxy records obtained from different cores with different radiocarbon dating controls. The timing of oceanographic changes in the ECS significantly led the corresponding variations in its epicontinental vegetation and climate by about 3–4 ka on glacial/interglacial time scales (Fig. 3).

Discussion and Conclusions

Vegetation Changes and the East Asian Monsoon. The East Asian monsoon is a dynamic, interactive climate system driven by two seasonally reversing mechanisms. In summer, the differential heating produces an atmospheric pressure gradient between an ocean and continent that forces a steady flow of warm and moist maritime air onto the continent (i.e., the East Asian summer monsoon). In winter, the atmospheric pressure gradient is reversed, resulting in the flow of cold, dry air out from north-central Asia (i.e., the winter monsoon). Vegetation changes on the East Asian continent are mainly controlled by these two monsoon seasons (33, 34). Because the plant community response to climate changes is very rapid, probably within a few decades (38, 39), a high-resolution pollen record derived from core DG9603 revealed not only vegetation but Asian monsoon changes in epicontinental areas of the ECS.

From ca. 40–26.5 kaBP, these areas were covered by warm temperate forest-steppe and wetlands, indicating a relatively strong summer monsoon. During the period of ~26.5–15 kaBP, wetland and temperate steppe (or a mosaic of forest and grassland) developed, reflecting a stronger winter monsoon. In this period, a gradual reduction of *Artemisia* occurred from ca. 18–15 kaBP. As shown in Fig. 2 and Fig. S2, *Artemisia* pollen was mainly replaced during this period by Cyperaceae and *Quercus D*, which have ecological and climatic implications relative to *Artemisia* in the pollen record, suggesting no major change in epicontinental vegetation types and climate before and after 18 kaBP. This gradual

reduction of *Artemisia* might be ascribed to the shrinking of the grass-covered ECS continental shelf due to the rise of the deglacial sea level (33, 34) rather than to climate change. The exposed continental shelf was composed mainly of saline sandy soil favorable to the growth of *Artemisia* (40); thus, the gradual decrease of *Artemisia* in deglacial sediments likely reflected the process of marine transgression on the previously exposed shelf (34, 40). It is evident that the sudden change of vegetation and climate occurred around 15 kaBP, as indicated by clear changes in the PCA F1 scores and the abrupt shift in zonal vegetation types (Fig. 2). Northern subtropical forest quickly developed from *ca.* 15 kaBP to the early-middle Holocene, corresponding to the rapid strengthening of the Asian summer monsoon, as reflected in the $\delta^{18}\text{O}$ records of stalagmites in eastern China (29, 30). Furthermore, this rapid transition was nearly synchronous with the last deglacial warming in the Greenland ice core. Previous studies indicated that fluctuations in the East Asian monsoon were almost synchronous with the fast and drastic temperature changes in the Northern Hemisphere (29). Therefore, our results further demonstrate this relationship between the East Asian monsoon dynamics and northern high-latitude climate change (29, 41).

Tropical Pacific Ocean Plays a Key Role in Regulating SST Variations in the ECS. Based on a compilation of currently available records of past SST, the regional-scale deglacial SST development in the Pacific Ocean was tentatively classified into four end-member types (5). Among them, the subtropical and tropical Pacific Ocean type (including WPWP) was characterized by a continuous deglacial warming without any marked interruption during the time intervals of the YD and HS1. All tropical and subtropical Pacific Ocean SST records show an onset of the last deglacial warming at *ca.* 20–19 kaBP (5, 9). In the ECS, the other existing $U^{k'}_{37}$ SST record from the northern region of the

Understanding the cause of the continuous deglacial SST warming of the Kuroshio region that started *ca.* 20–19 kaBP offers important insights into the mechanisms for a linkage between the ECS and the tropical Pacific Ocean. The $\delta^{13}\text{C}$ record of planktonic foraminifera from the Kuroshio region showed a broad minimum during the last deglaciation in the Okinawa Trough (43). This event was consistent with the last deglacial $\delta^{13}\text{C}$ minimum in records from the western equatorial Pacific Ocean, which suggests that the source water may have come from the tropical Pacific Ocean during the last deglaciation (43). Because the last deglacial $\delta^{13}\text{C}$ minimum in records from the western equatorial Pacific Ocean was an important regional paleoceanographic event (43), the consistency in the timing of this event between two regions implies that signals from the tropical zone of the ocean can be rapidly delivered to the northern middle latitudes along the path of the Kuroshio region. It also suggests that warming

Fig. 3. Comparison of deglacial climate proxy records from core DG9603 with other proxy records for temperature and circulation in the Antarctic Ocean, Pacific Ocean, Greenland, and East Asia. $\delta^{18}\text{O}$ record from the NGRIP (31) [on a GICC05 time scale (28)] (A), $\delta^{18}\text{O}$ records from Hulu and Dongge caves (29, 30) (B), multiproxy records of core DG9603 in the dashed blue box [*Castanopsis-Lithocarpus* (C), *Quercus* E (D), PCA F1 (E), U^{37}_{37} SST (19, 20) (F), and FP-12E SSTw (18) (G)], Mg/Ca SST in MD97-2138 core (35) (H), U^{37}_{37} SST in MD03-2611 core (36) (I), U^{37}_{37} SST in ODP1233 core (37) (J), and $\delta^{18}\text{O}$ record from Taldice (on a GICC05 time scale) (12) (K). Black dots at the bottom indicate dating control points with 1σ uncertainty for the DG9603 core. The yellow-shaded bar (arrow) and pink-shaded bar (arrow) show the timing of the last deglacial warming in SST records from the WPWP (H) and ECS (F and G), and in terrestrial climate records from East Asia (B–D) and Greenland (A), respectively; the blue-shaded bar shows the YD cold period. Red lines in F and G are 25-point running averages.

water evolution in the tropical Pacific Ocean played a key role in regulating SST variations of the ECS (43, 44).

A comparison of our SST records with those in the SCS showed that SST in the Okinawa Trough was influenced more significantly by SST changes in the tropical Pacific Ocean during the last deglaciation. The SCS, consisting of semiencloded marginal basins, was unlikely to be affected by Pacific Ocean open circulation, especially in the glacial period with a low sea level (6). It was more vulnerable to being influenced by the East Asian monsoon associated with high-latitude atmospheric circulation (45, 46).

Asynchronous Marine and Terrestrial Signals Associated with Low- and High-Latitude Climate Changes.

(*ca.* 23°N–23°S) receive about half of the solar energy on the Earth's surface (47, 48); the tropical ocean is therefore considered as the “heat engine” driving the global climate system on seasonal to geological time scales (49). However, what mechanisms caused the last deglacial warming that started at *ca.* 20–19

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