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Gold-Catalyzed Cycloisomerization of 1,5-Allenynes via Dual Activation of an Ene Reaction

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Abstract

A *tris*-triphenylphosphinegold oxonium tetrafluoroborate, [(Ph₃PAu)₃O]BF₄, catalyzes the rearrangement of 1,5-allenynes to produce cross-conjugated trienes. Experimental and computational evidence shows that the ene reaction proceeds through a unique nucleophilic addition of an allene double bond to a cationic phosphinegold(I) complexed phosphinegold(I) acetylide, followed by a 1,5-hydrogen shift.

Keywords

gold; catalysis; allenynes; ene; cycloisomerization; 1, 5-hydrogen shift

Introduction

Transition metal-catalyzed allenyne cycloisomerization reactions provide an atom economical entry into polyunsaturated carbo- and heterocycles.^{1,2,3} These reactions involving Rh, Pd, or Pt are generally postulated to proceed via metallacyclopentene intermediates, inherently involving an increase in the formal oxidation state of the metal.^{1,4} On the other hand, gold(I)-catalyzed enyne⁵ and some related 1,6-allenyne cycloisomerization reactions⁶ are proposed to proceed without a change in the formal oxidation state of the catalyst.⁷ We report a combined experimental and computational investigation of gold(I) catalysis of an allenyne cyclization that proceeds via a unique mechanism involving cationic phosphinegold(I) activation of an *in situ* generated phosphinegold(I) acetylide.^{2,8,9,10}

Substrate Scope

Reaction of 1,5-allenyne **2a** under typical conditions for the cycloisomerization of 1,5-enynes (1 mol% Ph₃PAuCl, 1% AgSbF₆, CH₂Cl₂, rt)^{5c} resulted in rapid formation of a complex mixture. Switching the catalyst to the less reactive *tris*-gold phosphine oxonium complex [(Ph₃PAu)₃O]BF₄ **1** furnished triene **3a** in 31% yield. The yield was further improved to 88% when the reaction was conducted at 60 °C in chloroform (Table 1, entry 1). Under these optimal conditions, a variety of 1,5-allenynes participate in the gold-

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Supporting Information Available: Experimental procedures and compound characterization data. Cartesian coordinates, energies, and thermodynamic corrections for all reported structures. This material is available free of charge via the Internet at <http://pubs.acs.org>.

catalyzed cycloisomerization. Substitutions on the tether (entries 1–6) and on the allene moiety (entries 7, 8) are well tolerated.¹¹ Both diastereomers of bicyclo[4.3.0]nonanes **5a-b** could be prepared with excellent complete retention of stereochemistry. In addition to cyclopentenenes, cyclohexene **11** was produced from the gold-catalyzed cycloisomerization of 1,6-allenynes **10**, albeit with diminished yield (entry 9). Of mechanistic importance, non-terminal alkyne substrates were inert under these conditions.^{12,13}

Deuterium Labeling Experiments

Deuterium labeling experiments reveal that the hydrogen-transfer is stereoselective; the allenic hydrogen is always incorporated *syn* to the newly formed C-C bond (eq 1). A double-labeled crossover experiment showed no exchange of the deuterium label, suggesting the reaction proceeds through an intramolecular hydrogen transfer (eq 2). The proton at the alkyne terminus, however, does exchange (eq 3). In deuterated methanol, nearly complete *anti* incorporation of deuterium is observed in the product (eq 4).

(1)

(2)

(3)

(4)

Kinetic Isotope Effect

Two kinetic isotope experiments were performed (eq 5 and 6). The $k_{\text{CD}_3}/k_{\text{CH}_3}$ isotope effect (1.89 ± 0.02) and the $k_{\text{H}}/k_{\text{D}}$ isotope effect (1.84 ± 0.15) were essentially identical, suggesting that the formal 1,5-sigmatropic shift is responsible for the measured isotope effects.

Additionally, these measured kinetic isotope effects are much smaller than the typical values of 3–5 for 1,5-sigmatropic shifts.^{14,15,16}

(5)

(6)

Proposed Mechanisms

Numerous mechanistic possibilities exist for catalysis of this formal ene reaction (top, Scheme 1). In fact, no less than nine possibilities emerged during our investigation.

The mechanism through **B** involves an oxidative cyclization of the allenyne to form gold(III)-metallacycle, followed by β -hydride elimination and a reductive elimination. Mechanisms involving **A** and **C** are ene reactions of phosphinegold vinylidene and phosphinegold acetylide, respectively. Mechanisms through **D** and **E** are ene reactions catalyzed by the coordination of a phosphinegold to either the acetylene or allene¹⁷ in the substrate. Mechanisms **F–I** are analogous transformations to **B–E** involving a phosphinegold acetylide.

A mechanism involving double activation by phosphinegold(I) is plausible. This is supported by three experimental observations: i) inertness of non-terminal alkyne substrates under reaction conditions;¹² ii) deuterium exchange at the terminal alkyne position (eq 4); iii) observation of the transient formation of phosphinegold acetylide **2a'** from **2a** under the reactions conditions.¹⁸ We believe this reaction to undergo catalysis via species **I**.¹⁹

Computational Method

The geometry optimizations and thermodynamic corrections were performed using hybrid density functional theory (B3LYP) with the 6-31+G* and LANL2DZ+ECP basis sets. Solvation corrections for chloroform were computed using the PCM method with single points at the HF level with 6-31+G(d,p) and LANL2DZ basis sets. All calculations were performed using the Gaussian series of programs.²⁰

The computationally investigated reaction was the conversion of the unsubstituted substrate **2b** to triene product **3b**. Phosphine was used as a model for the triphenylphosphine. Species involving the triphenylphosphine were also optimized to compare experimental and computed KIEs. Computed KIEs between transition structures involving simple phosphines and triphenyl phosphines were indistinguishable in all computed cases.

All relative energies presented in the current manuscript are free energies in kcal/mol, with respect to separated substrate and *tris*-phosphinegold oxonium catalyst complex.

Possible Substrate-Gold Complexes

The *tris*-phosphinegold(I) oxonium catalyst **1** can transfer a phosphinegold cation to the alkyne, a process with an unfavorable enthalpy and entropy ($\Delta G = 37.4$ and $\Delta G_{\text{solv}} = 21.8$ kcal/mol, **E**), although this is likely to be much more favorable with sterically hindered phosphines. The formation of phosphine gold acetylide is very favorable, and subsequent transfer of a second phosphinegold cation is also favorable (Scheme 2).

Uncatalyzed Reaction – Ene Reaction of a 1,5-Allenyne

The parent uncatalyzed ene reaction involves a concerted C-C bond formation and asynchronous hydrogen transfer (Figure 1). The free energy barrier of this process ($\Delta G^\ddagger = 33.0$ and $\Delta G_{\text{solv}}^\ddagger = 32.3$ kcal/mol, **TS-15**) is typical for pericyclic reactions.¹⁷ The reaction exergonicity is computed to be large ($\Delta G_{\text{rxn}} = \sim -38$ kcal/mol, **16**).

Mechanism via A – Ene Reaction of the Gold Phosphine Acetylide

The ene reaction of phosphinegold acetylide, **A**, was postulated based on analogy to copper catalyzed pericyclic reactions.²² The coordination of copper(I) to a terminal alkyne reportedly lowers the pK_a of the proton by ~ 8 pK_a units, allowing for facile formation of copper-acetylides.²² A similar pathway is proposed in the current reaction for the formation of phosphinegold acetylide (see Scheme 2).

Computationally, the ene reaction via **A** is found to be extremely similar to the uncatalyzed reaction; it features a concerted C-C bond formation and highly asynchronous hydrogen transfer (**A-TS-1** in Figure 2). The computed free energy barrier ($\Delta G^\ddagger = 35.2$ and $\Delta G_{\text{solv}}^\ddagger = 34.0$ kcal/mol) is approximately equal to that of the uncatalyzed process ($\Delta G_{\text{solv}}^\ddagger = 32.3$ kcal/mol, **TS-15** in Figure 1). These computed barriers suggest that this mechanism is unlikely. Moreover, the computed KIEs for this process (1.25 and 1.32, respectively) did not match the experimentally observed KIEs of ~ 1.85 (eq 5 and 6).

While the deuterium exchange experiments are consistent with *in situ* generation of phosphinegold acetylide (eq 3), independent evidence for acetylide participation in the catalytic cycle was sought. To this end, gold phosphine acetylide **2a'** was prepared by formation of the lithium acetylide of substrate **2a** and subsequent transmetalation with triphenylphosphinegold(I) chloride (eq 7).²³ However, as predicted from computations, the exposure of the acetylide **2a'** to reaction conditions without added catalyst failed to furnish the desired products (eq 8).

Mechanism via B or F –Metallacycles

Despite extensive computational searching, no metallacycle intermediates similar to **B** or **F** could be found. Any attempts to locate these metallacycle intermediates computationally lead to substrate phosphinegold complexes with a preferential mono-ligation to either the alkyne or the allene (**17** and **18**, Figure 3). Indeed, this is not surprising, considering that, relative to other late transition metals, oxidative additions involving cationic phosphinegold(I) complexes are known to be difficult.²⁴

A mechanism involving a metallacycle intermediate necessitates a β -hydride elimination step to generate product (Scheme 3). Such a reaction should be very sensitive to the electronic bias of the hydrogen being transferred. An experimental intramolecular Hammett study was designed to probe the nature of the hydrogen transfer. Cyclization of unsymmetric substrate **19** yielded a regioisomeric product distribution of 1.3:1.0, slightly favoring transfer of the hydrogen benzylic to the *para*-trifluoromethyl group (eq 9). This near equal distribution of isomers is suggestive of electronic neutrality in the hydrogen transfer transition state, and is inconsistent with a mechanism involving β -hydride elimination. Finally, the measured KIEs of ~ 1.85 (eq 5 and 6) are inconsistent with transition metal catalyzed reactions that are proposed to proceed *via* metallacycle intermediates.^{25,26}

(9)

Mechanism via C or G – Gold Vinylidenes

The gold vinylidene intermediate, **C**, was found to be extremely unstable ($\Delta G = 52.9$, $\Delta G_{\text{solv}} = 36.7$ kcal/mol, Figure 4). Since this intermediate is higher in energy than the transition structure of the uncatalyzed reaction (Figure 1), a mechanism involving **C** is not operative. The gold vinylidene of the gold acetylide, **G**, is not a minimum and calculations lead to spontaneously rearrangement to a structure corresponding to intermediate **I**.

Mechanism via D or H – catalysis by gold phosphine coordination to the allene π -bond

The computed structures corresponding to the transformations arising from the phosphinegold coordination to the allene of the substrate (**D**, Scheme 1) are shown in Figure 5.¹⁷ This coordination mode leads to a concerted C-C bond formation and asynchronous hydrogen transfer, similar to the uncatalyzed process. The free energy of activation for this process is prohibitively high ($\Delta G^\ddagger = 63.7$, $\Delta G_{\text{solv}}^\ddagger = 50.1$ kcal/mol, **D-TS-1**), due to the development of an unstabilized vinyl cation in the transition state. In fact, the computed barrier is much greater ($\Delta G_{\text{solv}}^\ddagger > 50$ kcal/mol) than the uncatalyzed reaction ($\Delta G_{\text{solv}}^\ddagger = 32.3$ kcal/mol, **TS-15** in Figure 1).

The analogous computed structures corresponding to mechanism *via* **H** (Figure 6), which involves phosphinegold coordination to the allene of a phosphinegold acetylide, closely resemble those involving **D** (Figure 5); it also features a concerted C-C bond formation and asynchronous hydrogen transfer (**H-TS-1**). However, this process is much more facile because it does not incur the energetic penalty of transferring a single phosphinegold cation (**D** versus **H**, Scheme 1). This mechanism is still unlikely to occur because the free energy of

activation ($\Delta G_{\text{solv}}^{\ddagger} = 31.4$ kcal/mol, **H-TS-1**) is very similar to the uncatalyzed process ($\Delta G_{\text{solv}}^{\ddagger} = 32.3$ kcal/mol, **TS-15** in Figure 1).

Mechanism via E or I – Catalysis by gold phosphine coordination to the alkyne π -bond

The cyclization involving phosphinegold alkyne complex **E** occurs *via* a stepwise process. The C-C bond formation (**E-TS-1-syn** and **E-TS-1-anti**) following the phosphinegold coordination leads to a relatively stable vinyl cation intermediate in which the gold is either *syn* or *anti* to the forming C-C bond (**E-2-syn** and **E-2-anti**, respectively). The subsequent hydrogen transfer (**E-TS-3-syn** and **E-TS-3-anti**) from an adjacent allenyl methyl group to the nascent allylgold furnishes the product phosphinegold complex **E-4**.²⁷

The *anti* coordination mode is favored over the *syn* for the C-C bond formation by ~ 7 kcal/mol. Steric repulsions will cause the *anti* isomer to be even more strongly favored with larger alkylphosphine ligands. While subsequent hydrogen transfer is more favored for the *syn* than the *anti* isomer, the isomerization of the vinyl gold intermediate **E-2** from the *anti* arrangement to the *syn* is extremely difficult ($\Delta G^{\ddagger} = 44.4$ kcal/mol). The *anti* C-C bond formation (**E-TS-1-anti**) and subsequent hydrogen transfer process (**E-TS-3-anti**) exhibit free energy barriers of ~ 28 kcal/mol. It is interesting to note that most of barrier for the C-C bond formation arises from the energetic penalty of transferring a single phosphinegold cation (**E** versus **I**, Scheme 1) – **E-TS-1-anti** is essentially barrierless from **E**.

The greater stability of the alkyne coordination pathway (**E** in Scheme 1) as compared to the allene coordination (**D** in Scheme 1 and **D-TS-1** in Figure 5) stems primarily from the stabilization of the developing allylic substituted cation versus a vinyl cation.

A mechanism involving intermediate **E** appears viable, although still relatively slow. We believe it does not actually occur because; i) this mechanism fails to account for the inertness of non-terminal alkyne substrates;¹² ii) the formation of the C-C bond is predicted to result in the formation of a relatively stable vinyl gold intermediate (**E-2-anti**) that should be observable. Despite extensive trials to trap this intermediate *via* addition of methanol to the reaction mixture, products corresponding to this intermediate could not be isolated;^{6b} iii) the computed KIEs for this mechanism (1.02 and 4.04, for eq 5 and 6, respectively) did not fit the experimentally observed KIEs of ~ 1.85 .

Although the acetylide **2a'** was bench top stable in both solution and solid state, addition of trimer **1** afforded triene **3a** (eq 10). Use of PPh_3AuOTf also proved successful when applied toward the pre-formed acetylide **2a'**. Even simple Lewis acids such as ZnCl_2 or Brønsted acid elicited product formation.²⁸

(10)

As previously stated, the use of $\text{PPh}_3\text{AuCl}/\text{AgOTf}$ leads to the formation of a complex mixture. In light of this, the cyclization of **2a'** by Brønsted acid catalysis shown in equation 10 is curious because this should amount to an equivalent process. However, complex **1** contains a basic oxo ligand that promotes deprotonation to form the gold acetylide (Scheme 2). Additionally, in the absence of formation of the phosphinegold acetylide, the

phosphinegold cation may lead to an unselective reaction, perhaps due to pathways involving activation of the allene.^{29,30} It is conceivable that the phosphinegold could also catalyze the degradation of the allene in phosphinegold acetylide intermediate **D**, but we observe selective formation of the desired triene products. Additionally, while there is little difference in the preference for phosphinegold to coordinate to an alkyne or allene,³⁰ there is a strong preference (~22 kcal/mol) for coordination to an acetylide over an allene.³¹

The computed transformations following phosphinegold coordination to the alkyne of a phosphinegold acetylide (**I**, Scheme 1) are shown in Figure 8. This process is stepwise, similar to mechanism via **D** (Figure 7). The C-C bond formation (**I-TS-1**) has a reasonable free energy barrier (~21 kcal/mol), leading to a *gem*-diauraalkene intermediate (**I-2**). The free energy barrier for the subsequent 1,5-sigmatropic hydrogen transfer (**I-TS-3**) is slightly lower (~20 kcal/mol). The reaction is exergonic by -45.5 kcal/mol.

The structure of intermediate **I-4** requires some elaboration. The computed structure of this intermediate features a three-centered two electron bond (Figure 9). The structure is best regarded as a vinyl anion coordinated by two phosphinegold cations.

The experimentally observed diastereoselective incorporation of hydrogen (eq 1) and *anti* incorporation of solvent deuterium (eq 4) are explained by the following: i) the 1,5-sigmatropic shift (**ITS-3**) is stereospecific; ii) subsequent isomerizations around the double bond of intermediate **I-4** is hindered by the steric bulk of the gold phosphines; iii) protodemetalations are known to occur stereospecifically.^{6c} Additionally, the computed KIE profile of mechanism **I** are 1.8 and 2.0, respectively for eq 5 and 6, which are in excellent agreement with experimentally observed KIE of ~1.85 for both cases.³²

The steady state catalytic cycle of this reaction is summarized in Scheme 4. The coordination of a phosphinegold cation to the *in situ* generated phosphinegold acetylide lowers the alkyne LUMO toward 5-*endo*-dig cyclization, generating a *gem*-diauraalkene and tertiary allylic carbocation. A subsequent 1,5-hydrogen shift followed by protodemetalation and transfers of gold phosphines to another substrate closes the catalytic cycle (Scheme 4).

The free energy profile for the steady state catalytic process via **I** is shown in Figure 10. The transfer of two phosphinegold cations from **I-4** to form species **I** and the release of product is exergonic by 10 kcal/mol. Efforts to trap the *gem*-diauraalkene **I-2** have failed, presumably because the subsequent hydrogen transfer is extremely fast ($\Delta G_{\text{solv}}^{\ddagger} = 12.6$ kcal/mol) from this intermediate. The most difficult barrier of the catalytic cycle shown in Figure 10 is the C-C bond formation step (**I-TS-1**) with an activation free energy of 21.6 kcal/mol. Unfortunately, the overall free energy barrier for the catalytic cycle cannot be computed because the transition structures of the phosphinegold acetylide formation could not be located in the gas phase and the actual barrier heights are dependent on the unknown instantaneous concentrations of every species in the reaction mixture. Regardless, the observation that the deuterium labels at the alkyne terminus tend to wash out in the product, while the starting material showed no comparable decrease suggests that the formation of the phosphinegold acetylide is an irreversible process and possibly rate-limiting (eq 11).

(11)

Conclusions

In summary, we have presented a Au(I)-catalyzed rearrangement of allenynes to cross-conjugated trienes. Combined experimental and computational evidences reveal that a mechanism involving nucleophilic addition of an allene double bond to a phosphinegold-complexed phosphinegold acetylide is more likely than oxidative cyclization or the simple nucleophilic addition to phosphinegold-complexed substrate.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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31.

32. This was the only case presented in the current manuscript where the KIE was computed using a simple phosphine.

Figure 1. Uncatalyzed reaction – computed reactant, transition structure, and product.²¹

Figure 2. Computed reactant, transition structure, and product corresponding to the ene reaction of a gold acetylide **A**²¹

Figure 3. Computed intermediates, **17** and **18**, resulting from computational efforts to locate metallacycle intermediates **B** and **F**, respectively.²¹

Figure 4. Computed vinylidene intermediate **C**, and the intermediate **I** arising from computational efforts to locate the gold acetylide vinylidene intermediate **G**.²¹

Figure 5. Computed intermediates and transition structures corresponding to the ene reaction of Au(I) phosphine allene coordination complex **D**.²¹

Figure 6. Computed intermediates and transition structures corresponding to the ene reaction of gold phosphine coordination to the allene of a gold acetylide, **H**.²¹

Figure 7. Computed intermediates and transition structures corresponding to the ene reaction of Au(I) phosphine alkyne coordination complex **E**.²¹

Figure 8. Computed intermediates and transition structures corresponding to the ene reaction of phosphinegold cation coordination to the alkyne of a phosphinegold acetylide, **I**²¹

Figure 9.
Side view of intermediate **I-4**²¹

Figure 10.

Free energy progress profile for two cycles in the mechanism via alkyne coordination to phosphinegold acetylide (**I**, Scheme 1). Free energies computed using gas phase standard state; Dashed lines signify transfer of phosphinegold cations from products to reactants.

Scheme 1. Potential Intermediates Involved in the Trimer (**1**)-Catalyzed Allenyne Cycloisomerization.

Scheme 2.
Possible substrate-gold complexes.

Scheme 3.
Hypothetical Au(III) metallacycle mechanism

Scheme 4.
Mechanism involving **I** (Scheme 1)

Table 1

Scope of Gold(I)-Catalyzed Allenyne Cycloisomerization.

entry	substrate	mol% 1	product(s)	yield ^d
1		5		3a 88%
2		2		b 84%
3 ^b		2		c 89%
4 ^b		2		d 99%
5		1		4a cis 78%
6		1		b trans 70%

entry	substrate	mol% 1	product(s)	yield ^a
7		6		70%
8 ^c		3		64% 2.4:1

entry	substrate	mol % 1	product(s)	yield ^a
9		10		11.40%

^a Isolated yields after flash column chromatography. For reaction times, see Supporting Information.

^b Reaction run in CH₂Cl₂ at 40 °C.

^c Regio- and stereoselectivities measured by ¹H-NMR.