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Neuropsychological patterns in magnetic resonance imaging-defined subgroups of patients with degenerative dementia

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Abstract

We hypothesized that specific neuropsychological deficits were associated with specific patterns of atrophy. A magnetic resonance imaging volumetric study and a neuropsychological protocol were obtained for patients with several frontotemporal lobar dementia phenotypes including a social/dysexecutive (SOC/EXEC, $n = 17$), progressive nonfluent aphasia ($n = 9$), semantic dementia ($n = 7$), corticobasal syndrome ($n = 9$), and Alzheimer's disease ($n = 21$). Blinded to testing results, patients were partitioned according to pattern of predominant cortical atrophy; our partitioning algorithm had been derived using seriation, a hierarchical classification technique. Neuropsychological test scores were regressed *versus* these atrophy patterns as fixed effects using the covariate total atrophy as marker for disease severity. The results showed the model accounted for substantial variance. Furthermore, the “large-scale networks” associated with each neuropsychological test conformed well to the known literature. For example, bilateral prefrontal cortical atrophy was exclusively associated with SOC/EXEC dysfunction. The neuropsychological principle of “double dissociation” was supported not just by such active associations but also by the “silence” of locations not previously implicated by the literature. We conclude that classifying patients with degenerative dementia by specific pattern of cortical atrophy has the potential to predict individual patterns of cognitive deficits.

Keywords

Frontotemporal lobar dementia; MRI; Cortical atrophy; Neuropsychological functioning; Semantic dementia; Progressive nonfluent aphasia

INTRODUCTION

Frontotemporal lobar dementia (FTLD) is a progressive neurodegenerative disorder that is almost as common as Alzheimer's disease (AD) in individuals younger than 65 years (Knopman, Petersen et al., 2004; Mesulam, 1982; Ratnavalli et al., 2002; Rosso et al., 2003). Diagnostic refinements such as progressive aphasia or disorder of personality and social comportment promise new insights into disease mechanisms (Grossman, 2002; Neary et al.,

1998). Regrettably, clinical diagnostic accuracy for FTLN remains challenging, with significant overlap being demonstrated with other degenerative dementias on postmortem assessment, suggesting that this promise remains elusive (Kertesz et al., 2005; Knopman et al., 2005; Litvan et al., 1997). This has motivated the search for biomarkers from modalities such as clinical neuroradiology, which have regrettably yet to yield definitive diagnostic criteria (Clark et al., 2005; Frisoni et al., 1999; Galton et al., 2001; Talbot et al., 1998).

Nevertheless, the potential of neuroimaging has been supported in part by reports correlating atrophy in each FTLN subgroup with distinct performance profiles on measures of cognition and behavior (Grossman et al., 2004; Mummery et al., 2000; Williams et al., 2005) and by anatomic distribution of disease burden determined by autopsy (Grossman et al., 2007). Given the limitations of clinical diagnosis as a principle of organization, we propose to depart from it, resorting in its stead to principles from lesion analysis. As opposed to *a priori* subgrouping of participants by clinical diagnosis, we have assigned a heterogeneous patient population with degenerative dementia to subgroups according to the participant's quantitatively determined pattern of cortical atrophy (Listerud et al., 2007).

The object of this manuscript was to validate these neuroanatomically defined subgroups. We hypothesize that the participant's particular pattern of cortical atrophy, determined *a priori* and by automated procedure, will account for a specific pattern of deficits on neuropsychological testing. Furthermore, we hypothesize that these deficit patterns will comport with known brain-behavior relationships from the literatures on focal brain lesions and functional imaging.

Patient Subgroups Defined by Predominant Location of Cortical Atrophy

A complete description of the development of our automated assignment procedure is beyond the scope of this manuscript, but a brief description is included here (Listerud et al., 2007). To begin, voxel-based morphometry (VBM) and a standard Brodmann Area (BA) atlas were used to measure cortical atrophy per subject per BA. We have made use of the fact that the severity of cortical loss in degenerative dementia guarantees that adequate statistical significance is a matter of course with a target group as small as a single individual. This is a novel circumstance, and although VBM has previously been used on groups of dementia patients (Grossman et al., 2004; Mummery et al., 2000), to our knowledge, this fact has not previously been exploited.

The second step in our procedure is to use "seriation" to obtain a hierarchical classification within BAs and within our patient population. The clustering of BAs is described in Figure 1, and Table 1 lists the nomenclature for the BA clusters to which we will refer throughout the remainder of the manuscript.

In its most intuitive form, as in Piaget's (1998) description of cognitive development of numerical skills in childhood, seriation is simply the careful sorting of items into an ordered series by placing like with like, in hopes that a pattern will emerge. As a technique for "preliminary" or "exploratory" data analysis, it was being used in archaeology over 100 years ago in the preliminary analysis of very large tabulations of artifacts and their features (Petrie, 1899). Though seriation has not, to our knowledge, found previous application in medical imaging literature, it is a fully developed methodology with well-established theoretical underpinnings (Climmer & Weixiong, 2006; Gluck, 2001; Kendall, 1975).

This section will provide a very brief introduction by examining the initial triage point in our patient-sorting algorithm. Our starting point is the "low-resolution" table (Figure 2) of cortical atrophy per BA cluster (14 columns; Table 1) per subject (75 rows). Coloration of data cells according to their contents suggests our "Before" table is random. Our first task is

to identify “similar” columns, aided by the corresponding correlation matrix. In a well-sorted table, two strongly correlated (i.e., similar) columns should be listed close to each. Consequently, the cell corresponding to this pair of columns must appear close to the diagonal of the correlation matrix; coloration reveals these relationships at a glance.

Successful seriation not only groups “like” columns but also exposes the boundaries between dissimilar groups; the top hierarchy of our algorithm has emerged in the sorted raw data table (i.e., “After,” Figure 2): dorsolateral/orbito-medial (DOM), anterior and medial temporal (AMT), and fronto-parieto-occipital (FPO). A similar sorting of the rows (i.e., subjects) shows each BA supercluster to be associated with a participant subgroup in a simple way, leading us to the observation that there is very little overlap between these three patterns of cortical atrophy. Figure 3 portrays this same information in another way. Here, subjects are plotted according to their total cortical atrophy on three dimensions: cubic centimeters (cc) of atrophy in DOM supercluster, AMT, and FPO. Virtually, all subjects lie along one axis; each axis defines a separate subgroup.

This sorting process suggests a simple algorithm: partition all patients with degenerative dementia according to the cluster containing the maximum cortical atrophy. This rule appeared to hold throughout our population, with the exception of the corticobasal syndrome (CBS) subjects. Their handling was motivated by the observation of a distributed, bilaterally asymmetric “multicluster” (MCL) pattern of cortical atrophy confined to the parieto-occipital supercluster but involving contralateral clusters {e.g., MCL_Left ~ [temporooccipital (TO)_Left, temporoparietal (TP)_Left, and frontoparietal (FP)_Right]}. Based on this observation, a specific MCL pattern of cortical loss was defined that, with two exceptions, captured all CBS patients. Figure 4 describes the algorithm derived from the seriation process by which participants were partitioned according to each participant’s pattern of atrophy.

Seriation as a Preliminary Data Analysis Technique

Kendall (1975) has warned that the results of a seriation must be taken as provisional, which the expert is then obligated “to refine with the aid both of his own professional judgement and of external information.” As a preliminary data analysis technique, it is by definition not a method for testing a hypothesis but, rather, a way to generate one. Thus, a proper seriation of cortical atrophy data would best be informed by a careful reading of texts such as Mesulam’s (2000b) *Principles of Behavioral and Cognitive Neurology*.

Mindful of Kendall’s (1975) admonition, our seriation procedure was blinded to the results of neuropsychological testing. We then formulated the following “stand-alone” hypotheses for the degenerative dementias: (1) based on the patterns of cortical atrophy specified in Table 1, our partitioning algorithm will account for substantial variability in neuropsychological testing, and furthermore (2) the pattern of deficit for each pattern of atrophy will be compatible with known brain–behavior relationships. As such, we broadly intend to test our hypotheses against the “large-scale network” model derived from the lesion analysis and functional activation literatures.

METHODS

Participants

A total of 42 patients with FTLT were studied and diagnosed using standard criteria (McKhann et al., 2001; Neary et al., 1994) including 17 with a social/dysexecutive (SOC/EXEC), 9 with progressive nonfluent aphasia (PNFA), 7 with semantic dementia (SD), and 9 patients with corticobasal syndrome (CBS). Twenty-one patients with AD were also included. These participants and their legal representatives participated in an informed

consent procedure approved by the Institutional Review Board at the University of Pennsylvania. There was no between-group difference (Table 2) with respect to age at time of scan ($p = .671$) and education ($p = .866$) or (excluding elderly controls) duration of illness ($p = .472$) and severity of dementia (Mini-Mental Status Exam, (MMSE), $p = .426$). We used a consensus mechanism to establish subgroup clinical diagnosis based on a review of a semistructured history, detailed mental status exam, and complete neurologic exam by at least two independent trained reviewers using published criteria (Neary et al., 1998) that have been modified to improve reliability (Grossman & Ash, 2004). If the reviewers disagreed in their diagnosis, consensus was established through discussion. The reviewers were blinded to the neuropsychological testing and imaging studies reported here.

All participants were right-handed by self-report, except for one left-handed SOC/EXEC patient, one ambidextrous SOC/EXEC patient, two left-handed AD patients, and one left-handed CBS patient. No discernable pattern of distribution of left-handed participants was observed neither in the subgroups defined clinically nor in those defined by pattern of cortical atrophy.

Neuropsychological and Behavioral Assessment

Tasks were administered in a single 45-min session among other measures in a fixed order, typically obtained on the same day as the magnetic resonance imaging (MRI). Elderly controls for this battery have been previously described (Grossman et al., 2004).

Visual Confrontation Naming was assessed with the modified 15-item version of the Boston Naming Test (Kaplan et al., 1983).

Semantic Memory was assessed with an “Animal” Fluency Test (Mickanin et al., 1994) where participants were given 60 s to name as many animals. Semantic Memory was also assessed with a Semantic Category Judgment Test (Grossman et al., 1997). In this test, participants were asked to judge the semantic category membership of 48 individually presented stimuli in response to a simple probe (“Is it an X?”). One target category was natural (VEGETABLES) and one manufactured (TOOLS); the stimuli were balanced, half targets *versus* half foils, and half printed words *versus* half color photos (matched for frequency, familiarity, and visual complexity). Participants were given as much time as they needed to complete the task.

Episodic Memory was assessed with a list of 10 words (Welsh et al., 1991) administered over three immediate free recall test trials. After 20-min filled delay, Delayed Recall was assessed, followed by a Recognition Test where participants were presented with a list of 20 words, half of which were on the original learning list and half of which were foils, and were asked to identify the original target items.

Figure Copy was assessed by asking participants to copy four geometric designs that differed in their perceptual-spatial complexity (circle, rectangle, diamond, and cube). Performance was graded on an 11-point scale (Morris et al., 1989; Welsh et al., 1992).

Social Disorder (Chart Review and Scoring): We scored each clinical chart according to a checklist of features based on published characterizations of the disorder of social and personality functioning seen in participants with FTLD (Cummings et al., 1994; Kertesz et al., 2000). Two independent reviewers evaluated each chart based on the checklist for each item on the checklist; a participant was simply marked 1 for the presence of any relevant comments in the chart and 0 otherwise, and the marks tallied for the final score.

Imaging Acquisition and Processing

All participants were imaged with a GE Horizon Echospeed 1.5-T MRI Scanner (GE Medical Systems, Milwaukee, WI). High-resolution T1-weighted three-dimensional spoiled gradient echo images were acquired with standard parameters: axial, repetition time (TR) = 35 ms, echo time (TE) = 6 ms, slice thickness = 1.3 mm, flip angle = 30°, in-plane resolution of 0.9 × 0.9 mm and dimension of 128 × 256. The individual patient's brain volumes were transformed into a common anatomical space by registration to the Montreal Neurological Institute brain template bundled with the Statistical Parametric Mapping image analysis package (SPM99) (Evans et al., 1993). The anatomic transformation we employed consists of a 12-parameter affine registration and a nonlinear registration using 12 nonlinear iterations and 7 × 8 × 7 basis functions. Brain volumes then were segmented into four tissue types (gray matter, white matter, cerebrospinal fluid, and other). The gray matter volume then was smoothed with a 12-mm full width at half-max Gaussian filter to minimize individual gyral variations.

In order to identify gray matter loss, we next performed a VBM two-sample *t* test comparing the gray matter volume of each participant to that of a control group of 12 healthy seniors. Standard largely default parameter settings were employed: proportional threshold at 40% of grand mean value, implicit masking, global mean, and cluster size 40 (Forman et al., 1995). A statistical threshold of $T = 2.5$, uncorrected (i.e., sans Bonferroni correction), was selected; the choice of this threshold guaranteed that only two participants failed to survive this threshold procedure; these participants were excluded from further analysis.

Seriation of the Tabulation of Cortical Loss per BA

Absolute cortical loss was then determined by computing the intersection of a set of predefined regions of interest (ROI) with the postthreshold T-map for each subject, resulting in a table of burden of loss indexed by participant and by predefined ROIs. These predefined ROIs consisted of the BAs and subcortical structures derived from the open source Wake Forest application brain atlas (WFU_PickAtlas; www.ansir.wfubmc.edu/maldjian.htm) (Maldjian et al., 2003). The relative atrophy (i.e., the ratio of BA cortical loss vs. total atrophy for a participant) was then computed from this calculation of absolute loss and submitted to seriation analysis. All cortical atrophy computations were automated (thus blinded to clinical and neuropsychological characteristics) using the SPM99 (Wellcome Department of Imaging Neuroscience; <http://www.fil.ion.ucl.ac.uk/spm>) and augmented with scripts either written locally or obtained from the SPM99 user base.

A semiautomated seriation application was locally developed (Visual Basic, Excel—Microsoft Office) along the lines of Gluck (2001; Gluck et al., 1999) partly in order to take into account unique aspects of this data set, such as the bilateral symmetry inherent in neuroanatomic data. Seriation was performed with knowledge of the participants' clinical diagnoses but blinded to the results of the neuropsychological protocol.

Hypothesis: Patterns of Deficit Can Be Related to Patterns of Cortical Atrophy

The seriation procedure was exclusively used to formulate an *a priori* hypothesis. Its use was blinded to the results of the neuropsychological tests, resulting in a quantitative algorithm for partitioning of the patient population according to pattern of cortical atrophy (Figure 2). This algorithm was applied once and for all prior to any access to the neuropsychological results or performance of any regression analysis.

Individual neuropsychological profiles were regressed against patient subgroups defined by predominant location of cortical atrophy using the covariate total cortical atrophy as an index of disease severity (e.g., univariate General Linear Model (GLM), dependent

variable~Boston Naming Test score, fixed effects~patient subgroups, covariate~total cortical atrophy; interaction term specified between total cortical atrophy and patient subgroups, model specifying a single intercept). All statistical analyses were performed with a standard commercial statistical package (SPSS v12.0; SPSS Inc., Chicago, IL).

RESULTS

The results of the regression analyses are listed in Table 3. The R^2 values for the regression models are listed on the far right; with the exception of the individual Episodic Memory test parameters (i.e., Recognition, Delayed Recall, and Total Correct for the Word List), the regression models fits were significant, typically accounting for over 40% of the observed variance. Completion of all instruments by every participant was not possible; the number completing each inventory is listed on the far right. The ratios of test score units changed per 10 cc of absolute total volume lost (i.e., the fitted slopes or β -values) are reported in boldface when significant ($p < .05$) or in italics when trending toward significance ($.05 < p < .10$). With the exception of the Social Disorder score, in which a higher score indicates increasing deficit, all other indices score performance positively. Thus, the negative ratios represent the decline of performance on a particular neuropsychological index among participants within a subgroup with increasing disease severity.

The DOM-dominant subgroup showed declines in performance with disease severity for most indices within our neuropsychological protocol. Of particular note, only this subgroup showed a significant correlation between the total cortical atrophy and the Social Disorder scale (Table 3). There are some differences between the right- and the left-dominant patient subgroups, perhaps best demonstrated by the Semantic Category Judgment subscores, “Picture—Vegetables” and “Picture—Tools,” respectively. Additionally, Confrontation Naming (i.e., Boston Naming Test) and all the episode memory test indices (i.e., Word List Recognition, Delayed Recall, and Total Correct) saw declines with increasing total cortical atrophy for the DOM_Left subgroup, but decline in performance was overall much weaker for the DOM_Right subgroup. Thus, the more significant language deficits for DOM_Left as contrasted with the more significant visuospatial deficits for DOM_Right would appear to give some modest support to distinguishing between these two subgroups.

The small AMT_Right-dominant patient subgroup showed no degradation in their neuropsychological performance with increasing severity of total cortical atrophy. By comparison, the larger AMT_Left-dominant subgroup’s language-based performance (i.e., Boston Naming Test, Delayed Recall, Semantic Category Judgment, and “Animal” Fluency) is reliably degraded with increasing total cortical atrophy. Of note, the decline of the AMT_Left group’s Delayed Recall with increasingly severe total cortical atrophy specifically suggests the temporal lobe’s role in memory retrieval, in contrast with the DOM involvement across other Episodic Memory measures.

In general, FPO-dominant patient subgroups display a markedly different neuropsychological profile (Table 3). The several single-cluster-dominant patient subgroups do not demonstrate significant correlations of deficit with atrophy for the language components of our neuropsychological protocol virtually across the board. The one exception may be the decline on Figure Copy (Visual Praxis) for the left central sulcus (FP_Left)-dominant subgroup. These results should be interpreted with some care, given the small numbers of participants in these individual subgroups and the more tentative support for the partitioning of the FPO- dominant patients by seriation.

These null results for the FPO single-cluster-dominant subgroups are to be contrasted with the significant correlations found in the “CBS-like” MCL patient subgroups. In particular,

MCL_Left is a relatively large subgroup that shows significant performance degradation with increased disease severity for the Boston Naming Test and trending toward significance on some language measures (Delayed Recall and the Total Correct for Word List indices and “Lexical—Vegetables” score from Semantic Category Judgment), while MCL_Right shows significance for visually guided Semantic Category Judgment (i.e., “Picture—Tools” and “Picture—Vegetables” subscores). Both MCL_Right and MCL_Left are likewise associated with Figure Copy (Visual Praxis) performance decline with increasing disease severity.

DISCUSSION

Subgroups Defined by Patterns of Cortical Atrophy

Given the heterogeneity of clinical deficits and the progressively changing clinical profile over the course of illness (Kertesz et al., 2005), the identification of biomarkers for the degenerative dementias would be highly advantageous. We have proposed grouping participants according to pattern of predominant cortical atrophy, based on the simple observation that cortical atrophy for our heterogeneous study population appears to be largely confined to only one of the clusters defined in Figure 1 and Table 1.

This strategy is consistent with observations, from the earliest autopsy reports on the degenerative dementias, that pronounced atrophy, typically involving heteromodal cortex, is commonly juxtaposed with regions preserved free of pathology, regions that tend to include the unimodal cortex (Braak & Braak, 1991; Mesulam, 2000a; Pick, 1977). In the case of AD, the severity of disease has been described as following a pattern of sequential involvement, from entorhinal cortex to hippocampus and amygdala to finally involving isocortex, and this macroscopic severity is reflected in histological distinctions between regions with early *versus* late involvement (Damasio et al., 1990; Nagy et al., 1999). For AD, it has been further demonstrated that the specific pattern of progression of atrophy in a particular participant is reflected in the evolution of that individual’s neuropsychological profile and that different patterns of progression can be associated with particular patient subgroups (Martin, 1990). Our results extend this previous literature to other neurodegenerative dementia patients in that cognitive functions associated with the subgroup’s defining BA cluster correlate with disease severity, while cognitive functions associated with unaffected BA clusters remain relatively preserved. This “double dissociation,” a fundamental principle of modularity in neuropsychology (Teuber, 1955), is in fact what we observe in Table 3. We may extrapolate that those BA clusters associated with a particular neuropsychological test constitute the nodes of the network recruited by that test.

Brain–Behavior Associations for Subgroups Defined by Cortical Atrophy

Social disorder (chart review and scoring)—Notably, the DOM patient subgroup accounts for a substantial amount of the variance on this score and that for no other patient subgroup did Social Disorder correlate with disease severity. Given that our clinical chart scoring system was based on established prospective instruments measuring social functioning (Cummings et al., 1994; Kertesz et al., 2000), this result is consistent with the fact that virtually all other DOM-dominant participants fell within the SOC/EXEC subgroup.

Confrontation naming (Boston Naming Test)—In addition to activation in the anterior temporal lobe and middle temporal gyrus, functional activations in the left inferior frontal gyrus (BAs 44 and 45) and the heteromodal cortex of the occipital lobe (BAs 18, 19, and 37) have also been consistently observed with confrontational naming (Abrahams et al.,

2003; Farias et al., 2005; Martin et al., 1996; Votaw et al., 1999). This is generally consistent with the surgical literature (Henry et al., 1998) and with lesion analysis (DeLeon et al., 2007). Our observation of decline on the Boston Naming Test in the predominantly DOM_Left, AMT_Left, and MCL_Left subgroups would appear to agree with this body of work.

Episodic memory/learning (Word List)—Memorization exercises such as the Word List paradigm have an established relationship in the theory and in the clinical evaluation of several aspects of memory, including working memory, storage, and retrieval (Huff et al., 1987; Kasniak, 1988). The DOM cortical atrophy, necessarily including the dorsolateral prefrontal cortex (Baddeley, 2003), the putative site of the executive component of working memory, accounts for the decline in Word List performance.

Of note, among the Word List indices, the selective decline on Delayed Recall in the AMT_Left patient subgroup is compatible with the clinical literature. In fact, the selective loss of recall in the face of relatively preserved working memory has been identified as one of the earliest and most accurate tests for AD (Flicker et al., 1991; Troster et al., 1993; Welsh et al., 1991, 1992).

Some reports have suggested that in normal individuals, overburdening of working memory may recruit parietal resources, specifically, BA 40 (Cohen et al., 1997; Honey et al., 2000), the putative location of the phonological loop component of the tripartite model of working memory (Baddeley, 2003; Gathercole et al., 2004). This may account for the trending toward significance in the declining performance of the MCL_Left patient subgroup on the Total Correct and Delayed Recall Word List indices. No other patient subgroup demonstrated declining performance with increasing disease severity for Word List-related indices.

Visual praxis (Figure Copy)—The second component of working memory, the visuospatial sketch pad, is also putatively located in the parietal cortex, and deficits in Figure Copy have been associated with predominantly right-sided parietal hypoperfusion in AD (Eberling et al., 1993; Ober et al., 1991). It has been suggested that the nondominant hemisphere, involving the right-sided BAs 47, 6, 40, and 19, lateralizes visual processing in analogy to the dominant hemisphere's speech-dedicated working memory components (Baddeley, 2003). However, functional imaging reports have suggested that activation of the higher associational parietal cortex (e.g., intraparietal sulcus and parietal operculum) is multimodal (i.e., haptic as well as visual) and sensitive to the participant's "field of attention" and exhibits bilateral activation to lateralized stimuli (Culham & Kanwisher, 2001; Macaluso et al., 2002; Peltier et al., 2007). Our observation of decline on Figure Copy for the MCL_Left-, MCL_Right-, and DOM_Right-dominant patient subgroups would appear to be compatible with this literature. With the exception of the predominantly FP_Left patient subgroup, no other patient subgroup demonstrated involvement.

Semantically guided verbal fluency ("Animals")—Semantically Guided Verbal Fluency is perhaps one of the most thoroughly characterized neuropsychological tasks, prompting theories about the special role of the left inferior frontal gyrus in the execution of this task (Ardila et al., 2006; Costafreda et al., 2006; Thompson-Schill, 2003). Consequently, it is expected that we should find both DOM_Left and DOM_Right cohorts' performance declines. However, accounting for involvement of the AMT_Left patient cohort may involve consideration of the particular category chosen, that of "Animals," a subject of a long-standing controversy (Bright et al., 2007; Caramazza & Shelton, 1998; Gelman, 1990; Gerlach, 2007; Grossman et al., 2002; Martin & Chao, 2001; New et al., 2007; Thompson-Schill, 2003). Having said that, lesion analysis has consistently supported

a role for the anterior temporal lobe in this task (Brambati et al., 2006; Damasio et al., 1996; Mummery et al., 1996); this study may be considered to fall within this well-established literature. With the exception of the clearly spurious positive correlation found for the small TO_Right, and the trend toward significance in the small MCL_Left subgroup, no other subgroup demonstrated involvement.

Semantic category judgment (“Vegetables” vs. “Tools”)—Semantic Category Judgment (“Vegetables vs. Tools”) has been proposed as a task that has the potential to distinguish sensory-related semantic organization from a superordinate-based semantic organization and, as such, a task that can contribute to the elucidation of differences between the degenerative dementias (Grossman et al., 1996, 1998). And in fact, within a cohort of subjects having “probable AD,” using an earlier version of this task (featuring vegetables as the sole-identified category vs. foils with a gradation of increasingly exclusionary features, typically of man-made objects), Grossman has described three subgroups: “Pictures Only,” “Severe,” and “Semantic” (Grossman & Mickanin, 1994).

Subjects in one subgroup, the “Pictures Only” participants, categorized objects presented as words normally but were insensitive to pictorial features indicating relatedness to exemplars of the target category and could be easily confused by target-related pictorial features on foils. This description would appear to be a reasonable match to the MCL_Right-dominant patient subgroup, that showed performance decline on the “Picture—Vegetables” with increasing total cortical atrophy, and for which “Picture—Tools” was trending toward significance, but showed no decline in “Lexical” subscores.

Another small “Severe” subgroup was identified in which category judgment itself was impaired across the board, whether presented as pictures or words, paralleling our AMT_Left subgroup. Of note, this subgroup did not differ in their degree of dementia as per MMSE scores. Finally, a small “Semantic” subgroup was identified in which word and picture category judgment was highly correlated on an item-by-item analysis; specifically, coherent category-related foils (e.g., “apple”—edible plant) were poorly excluded, whether presented as pictures or words, but performance with unrelated coherent foils (e.g., “chair”) or unrelated anomalous foils (“stripped carrot”) was normal, whether presented as pictures or words. This subgroup may be a match for our DOM_Right subgroup that showed decline on “Vegetables,” whether presented as word or picture, but no decline on “Picture—Tools.” Of note, the DOM_Left subgroup appears to have the converse relationship for the “Tools” category judgment.

Silent Cortical Areas and Double Dissociation

Throughout our discussion of the respective cognitive domains, in addition to noting locations associated with declining function, we have flagged the locations for which cortical atrophy did not affect task performance. This is a critical aspect of the double dissociation principle. Of perhaps equal interest, several subgroups appear to have no footprint in the current neuropsychological battery whatsoever: AMT_Right, FP_Right, FP_Left, TO_Right, and TO_Left subgroups. Together they account for 24 subjects, over a third of our patient population. Being able to exclude these subjects can only improve the power of statistical analyses of tests included in our current battery and motivates the development of tests targeting these silent regions and their corresponding patient subgroups.

Several subgroups identified by our quantitative patient-partitioning algorithm have clear precedent: DOM subgroup ~ frontal variant FTLT, AMT_Left ~ temporal variant FTLT and/or AD with hippocampal atrophy, and MCL_Right ~ CBS. It would appear that our

partitioning algorithm may have identified previously unidentified subgroups, the corresponding BA clusters of which all fall within the FPO supercluster.

CONCLUSIONS

This study had some limitations. Because of the relatively large number of patient subgroups, some were necessarily small. Pathological diagnoses were not available to confirm the clinical diagnoses. Patients were not assessed for hemispheric dominance, though no discernable grouping pattern emerged among the few subjects who were not right-handed by self-report. Perhaps the most problematic unaddressed issue is the known variability in degeneration seen in the different cortical regions. We have preliminary evidence, not presented here, that differences in the time rate of degeneration for the different anatomically defined subgroups can be statistically significant. In future manuscripts, we also intend to present evidence that dominant cortical atrophy is accompanied by secondary degeneration in other selected cortical and subcortical regions. This is a difficult issue to address succinctly as our study was not longitudinal, each subject being imaged only once.

However, in this manuscript, our mathematical modeling assumes that cortical degeneration is exclusive to the dominant BA cluster. This overly simplistic model is apparently sufficiently accurate to account for a significant portion of the variance in neuropsychological testing in the different anatomically defined patient subgroups, our main result. Of perhaps equal significance, we observed a double dissociation of the brain–behavior relationship probed by each test within our neuropsychological battery in a clinically heterogeneous population with neurodegenerative disease. This would suggest the reconsideration of the cognitive deficits in the degenerative dementias in light of the “network” analytic perspective from the lesion and functional activation literatures. Our findings thus suggest the potential feasibility of using a quantitative analysis of the pattern of cortical atrophy as a biomarker for the degenerative dementias.

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Fig. 2.

Example of seriation at the top level of hierarchy. In its simplest meaning, seriation refers to a careful sorting, putting like with like. In this example, the raw data on cortical atrophy per BA cluster (column) and per patient (row) are tabulated before and after seriation (see Table 1 and Figure 1 for definition of BA clusters). In the “Before” table, regions are listed alphabetically, and subjects are listed first by clinical diagnosis and then by total cortical atrophy within the diagnostic group. Both the total cortical atrophy and the raw data cells are shaded according to the log scale shown on the far left. The diagnosis columns for each table follow the same key, which is self-explanatory for the “Before” table. Before sorting, a data table may *appear* to be random. Computing a correlation matrix for the corresponding column order can facilitate sorting, as a high correlation value flags similar columns. Thus, for a well-sorted raw data table, cells in the corresponding correlation matrix containing such high values should only appear close to the diagonal. Coloration of the correlation matrix (here following a linear scale) facilitates the observation of three major groupings of columns: the DOM region, the AMT region, and the FPO region (Figure 1; Table 1). If we now sort the rows as well, we discover that patients in this study apparently fall into three major subgroups, one corresponding to each of these three neuroanatomic regions. (A fourth subgroup primarily composed of the control subjects is also tabulated; two patients with no atrophy as measured by our procedure were also assigned to this group and were excluded from further analysis.) The low correlation values for columns belonging to different regions suggest minimal overlap in the distribution of cortical atrophy between these patient

subgroups. In other words, an individual in the AMT subgroup, with cortical atrophy predominantly in the AMT region, has little to no atrophy in either the DOM or the FPO regions. Figure 3 displays this fact in a more direct way.

Fig. 3.

Example of seriation at the top level of hierarchy. Prompted by the results of the seriation from Figure 2, we may plot the position of each subject in three dimensions according to the absolute cortical atrophy (in cc) in the DOM region, the AMT region, and the FPO supercluster (Table 1; Figure 1) (cc of atrophy in DOM, AMT, and FPO). We observe that virtually all subjects exhibit significant atrophy in only one supercluster. Consequently, each plotted point lies along one of these axes, suggesting each axis represents a distinct clinical subgroup. This is a restatement of the observation from the seriation in Figure 2 that there is very little overlap in the patterns of atrophy defining these three patient subgroups.

Fig. 4.

Algorithm for parsing patients based on neuroanatomic features. This figure portrays the simple algorithm suggested by seriation for partitioning patients into subgroups; this algorithm was defined, and this assignment was performed in a fashion blinded to the neuropsychological testing results. First, patients are parsed into the supercluster in which their predominant cortical loss occurs (see Table 1 for definition of acronyms). The rationale for this step is indicated in Figures 2 and 3. The DOM-dominant subgroup is uniformly composed of FTLT patients, and with one exception, all fall within the SOC/EXEC subgroup as shown here and in Figure 2 in the "Dx" column of the raw data table after sorting. The AMT-dominant subgroup is composed of an approximately equal number of FTLT and AD patients who are readily sorted into right and left dominance. The FPO-dominant subgroup contains CBS, FTLT, and AD patients. A MCL rule [e.g., $MCL_Right \sim (TP_Right, TO_Right, \text{ and } FP_Left)$] has been proposed that successfully captures all but two of the CBS patients. Single-cluster dominance, similar to the supercluster partition rule, is observed in the remainder of FPO patients.

Table 1

BA clusters

BA	Color key	BA cluster	Supercluster
10, 11, 25, 32, 24, 33		Orbito- and medial frontal	DOM
46, 47, 45, 44, 8, 9		Dorsolateral	DOM
27, 36, 34, 28, 35		Medial temporal	AMT
20, 38, 21		Anterior temporal	AMT
6, 4, 1, 2, 3, 5, 7, 31		FP	FPO
22, 41, 42, 43, 39, 40		TP	FPO
29, 30, 37, 19, 18, 17, 23		TO	FPO

Note. This table provides the key for the figures in this manuscript and for the definition of acronyms used throughout the manuscript. Dark gray signifies the dorsolateral/orbital/medial frontal (i.e., prefrontal) cortices, abbreviated here as “DOM.” AMT cortices are colored medium gray. Light gray indicates the primary and secondary motor cortex, parietal cortex, and occipital cortex, abbreviated “FPO.”

Table 2

Demographics by diagnostic and anatomically defined patient subgroup

	<i>N</i>	Age (years), <i>M</i> (SD)	Education (years), <i>M</i> (SD)	Duration (months), <i>M</i> (SD)	MMSE (maximum = 30), <i>M</i> (SD)
Diagnostic groups					
All FTLD	33	65 (11)	15.3 (2.7)	3.6 (2.9)	20 (7.7)
All AD	21	69 (10)	15.9 (2.6)	4.1 (2.8)	14 (7.2)
All CBS	9	67 (10)	14.5 (2.8)	3.3 (1.6)	19 (7.4)
Control	12	69 (12)	15.0 (2.1)	—	29 (0.6)
Anatomically defined groups					
DOM_R	4	63 (9.0)	17 (1.2)	3.0 (2.2)	14 (6.5)
DOM_L	7	72 (6.0)	17 (3.2)	3.0 (2.1)	15 (9.2)
AMT_R	4	75 (2.0)	16 (3.1)	8.1 (4.7)	26 (5.1)
AMT_L	12	67 (8.1)	13 (2.7)	3.3 (1.4)	24 (8.3)
FP_R	5	63 (11)	14 (2.2)	3.2 (1.5)	21 (4.8)
FP_L	4	63 (13)	14 (2.3)	4.2 (1.5)	18 (4.4)
TO_R	5	71 (11)	15 (2.0)	4.2 (4.6)	27 (1.6)
TO_L	7	63 (14)	15 (1.3)	4.1 (3.9)	24 (4.1)
MCL_R	9	62 (15)	14 (1.8)	3.1 (1.2)	22 (5.6)
MCL_L	5	67 (14)	16 (3.2)	3.3 (1.2)	17 (7.0)

Note. Column values listed as: mean (standard deviation).

Table 3

Regression of psychosocial indices *versus* total cortical loss for subgroups by dominant cortical loss

	DOM_R	DOM_L	AMT_R	AMT_L	FP_R	FP_L	TO_R	TO_L	MCL_R	MCL_L	R ²	N
Social Disorder (Chart)	0.28	0.22									.493	67
Semantically Guided Verbal Fluency ("Animals")												
Total Word Count	-0.46	-0.52		-0.81			4.17 ^a			-1.52	.494	59
Confrontation Naming												
Boston Naming Test		-0.24	-0.59							-1.62	.403	61
Episodic Memory/Learning (Word List)												
Total Correct	-0.41	-0.52								-1.78		61
Delayed Recall		-0.16	-0.27							-0.69		61
Recognition	-0.26	-0.40									.290	61
Semantic Category Judgment ("Vegetables" vs. "Tools")												
Total	-0.51	-0.37	-0.73						-0.37		.423	56
Picture—Tools		-0.10	-0.26						-0.11			56
Lexical—Tools	-0.14	-0.12	-0.16								.398	56
Lexical—Vegetables	-0.16	-0.08	-0.17							-0.42	.364	56
Picture—Vegetables	-0.14		-0.14						-0.14			56
Visual Praxis												
Figure Copy	-0.02				-0.03				-0.04	-0.12	.450	57

Note. This tabulation lists the " β -values" for each neuropsychological test score regressed against the total volume loss as covariate and, as fixed effects, patient subgroups defined by pattern of cortical atrophy. These β -values represent decline in function and are reported in the following units: (table value) (units on psychosocial instrument/10 cc cortical loss). Not all subjects were able to complete every psychosocial instrument; the number of subjects in each inventory is also listed. All scores indicate ability, with the exception of Social Disorder, which tallied deficit. Thus, decline in performance with increasing total cortical atrophy is generally reflected in a negative slope, with the exception of Social Disorder. Values in boldface are significant ($p < .05$), values in italics are trending ($.05 < p < .10$), and the blank cells indicate not significant ($.10 < p$).

^aThe large positive value for Semantically Guided Verbal Fluency ("Animals") for the right TO-dominant patient subgroup (TO_Right) is almost certainly an artifact; this subgroup exhibited minimal loss of performance on this index and minimal degrees of cortical atrophy.