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Formation of aminyl radicals on electron attachment to AZT: Abstraction from the sugar phosphate backbone vs. one-electron oxidation of Guanine

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Abstract

Employing electron spin resonance (ESR) spectroscopy, we have characterized the radicals formed in 3'-Azido-3'-deoxythymidine (3'-AZT) and in its 5'-analog 5'-azido-5'-deoxythymidine (5'-AZT) after electron attachment in γ -irradiated aqueous (H_2O or D_2O) glassy (7.5 M LiCl) systems. ESR spectral studies and theoretical calculations show that the predominant site of electron capture in 3'-AZT and in 5'-AZT is at the azide group and not at the thymine moiety. The azide group in AZT is therefore more electron affinic than the most electron affinic DNA base, thymine. Electron attachment to 3'-AZT and 5'-AZT results in an unstable azide anion radical intermediate ($RN_3^{\bullet-}$) that is too short lived to be observed in our work even at 77 K. At 77 K we observe the neutral aminyl radical ($RNH^{\bullet}$) after loss of N_2 from $RN_3^{\bullet-}$ followed by protonation of nitrene anion radical ($RN^{\bullet-}$) to give $RNH^{\bullet}$. The expected $RN^{\bullet-}$ intermediate is not observed as protonation from water is complete at 77 K even in under highly basic conditions. Formation of $RND^{\bullet}$ in D_2O solutions confirms water as the source of the NH proton in the $RNH^{\bullet}$. Our assignments to these radicals are aided by DFT calculations for hyperfine coupling constants which closely match the experimental values. On annealing to higher temperatures (*ca.* 160–170 K), $RNH^{\bullet}$ undergoes bimolecular hydrogen abstraction reactions from the thymine methyl group and the sugar moiety resulting in the formation of the thymine allyl radical ($UCH_2^{\bullet}$) and two sugar radicals - $C3^{\bullet}$, $C5^{\bullet}$. $RNH^{\bullet}$ also results in one-electron oxidation of the guanine base in 3'-AZG. This work provides a potential mechanism for the reported radiosensitization effects of AZT.

Introduction

3'-AZT (3'-Azido-3'-deoxythymidine, Scheme 1), an analog of thymidine, also called Zidovudine, is well-known for its anti-human telomerase reverse transcriptase (anti-hTERT) activity.¹ As a result, 3'-AZT is being widely used for treatment of HIV positive patients¹ and it also has been employed as a radiation sensitizer in radiotherapy of tumors for HIV positive patients².

Recently, 3'-AZT has been shown to act as a radiosensitizer in irradiated tumor cells; for example, the studies employing HeLa cells exposed to different doses of γ -radiation in the presence of an aqueous solution (0.1 μM) of 3'-AZT show a direct correlation between cell survival and micronuclei-induction.³ Also, in irradiated human colon cancer cells,⁴ in irradiated human larynx squamous carcinoma cells,⁵ and in irradiated human malignant glioma cells⁶, 3'-AZT has been shown to be a significant radiosensitizer. Furthermore, it has

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been reported that 3'-AZT substantially enhances γ -irradiation killing of EBV (Epstein-Barr virus)-transformed lymphoblastoid cells *in vitro*.⁷

The chemical pathways involved in the radiosensitization provided by 3'-AZT are still unknown. However, from the literature, we have found clues regarding likely chemical pathways based on studies carried out on other azides. For example, phenyl azide (PhN_3), upon gas phase electron attachment, leads to the production of the phenylnitrene anion radical ($\text{PhN}_3^{\bullet-}$) via loss of nitrogen.⁸ The nitrene anion radicals have been found to be highly reactive and have a very high proton affinity (PA).^{8,9} For example, the proton affinity of $\text{PhN}_3^{\bullet-}$ was found to be 372 ± 2 kcal/mol.⁸ These nitrene anion radicals protonate rapidly to form the aminyl radical ($\text{PhNH}^\bullet$) with proton donors.^{8,9} Zhu and Schuster¹⁰ observed that absorption of light by the biphenyl chromophore in the N-biphenylpiperidiny substituted benzoyl azides (BPA) in aqueous solutions led to the formation the amine in the site of the azide. From these results, they had proposed that the azide anion radical ($\text{RN}_3^{\bullet-}$) produced via long range intramolecular electron transfer underwent a rapid loss of N_2 to form the nitrene anion radical ($\text{RN}^{\bullet-}$)¹⁰; $\text{RN}^{\bullet-}$ likely formed the $\text{RNH}^\bullet$ species via protonation, and finally, the $\text{RNH}^\bullet$ species led to the amine (RNH_2) product via hydrogen abstraction.¹⁰ Investigations of light-catalyzed reduction of aromatic azides to amines employing CdS and CdSe nanoparticles had also suggested that reduction of aromatic azides to amines proceeded via formation of a similar nitrene anion radical, $\text{RN}^{\bullet-}$ and the corresponding protonated species $\text{RNH}^\bullet$, led to formation of amine by hydrogen abstraction from the solvent.¹¹

Thus the previous literature suggests the following mechanism for the formation of amines from organic azides initiated by one-electron reduction:

To date, no ESR observation of the proposed radical intermediates shown in reactions (1) to (5) has been reported for AZT or any other molecules containing azide.

In this report, the radicals formed after one-electron attachment at 77 K to 3'-AZT, its 5'-analog, 5'-azido-5'-deoxythymidine (5'-AZT), and 3'-azido-2',3'-dideoxyguanosine (3'-AZG) (Scheme 1) have been characterized and identified by ESR spectroscopy. Our work shows that one-electron addition to the azide group of AZT results in a highly reactive aminyl radical ($\text{RNH}^\bullet$). In accordance with the highly reactive nature of the neutral aminyl radicals¹², $\text{RNH}^\bullet$ has been found to be a potent H-atom abstracting agent that leads to base damage and sugar radicals which are known DNA-strand break precursor radicals.¹³ It is

well known that aqueous electrons do not produce DNA strand breaks.¹³ Thus, the conversion of “near harmless” aqueous electrons to the highly reactive $\text{RNH}\cdot$ in 3'-AZT suggests that 3'-AZT and its analogs could be used as effective radiosensitizers in low oxygen (bioreductive) environments.¹⁴

Materials and Methods

Compounds

3'-Azido-3'-deoxythymidine (3'-AZT), 5'-Azido-5'-deoxythymidine (5'-AZT), and 3'-azido-2',3'-dideoxyguanosine (3'-AZG) were purchased from Berry & Associates, Inc. (Dexter, MI). Lithium chloride (99% anhydrous, SigmaUltra) was procured from Sigma Chemical Company (St Louis, MO). Deuterium oxide (D_2O , 99.9 atom% D) was purchased from Aldrich Chemical Company, Inc. (Milwaukee, WI). All the compounds were used without any further purification.

Preparation of solutions of 3'-AZT, 5'-AZT, and 3'-AZG

Following the previous works regarding electron attachment reactions and transfer in DNA from our laboratory,^{15–17} homogeneous solutions of 3'-AZT, 5'-AZT, and 3'-AZG were prepared by dissolving *ca.* 0.5 mg of each compound in 1 mL of 7.5 M LiCl in H_2O or in D_2O .

Preparation of glassy sample and their storage

Following our previous work^{18–21}, the transparent glassy samples of 3'-AZT, 5'-AZT, and 3'-AZG were prepared in 4 mm Suprasil quartz tubes (cat. no 734-PQ-8, WILMAD Glass co., Inc. Buena, NJ). These glassy samples were stored in the dark at 77 K.

pD adjustments

Following our work with nucleosides and oligomers,^{18–21} pD of homogeneous solutions of 3'-AZT were adjusted to *ca.* 12 by adding incremental amounts of μL volumes of 1 M NaOH under ice-cooled condition.

γ -Irradiation and storage of irradiated samples

As per our earlier work,^{18–21} these glassy samples were γ -irradiated with the aid of a 109-GR 9 irradiator containing a shielded ^{60}Co source with an absorbed dose of 525–700 Gy (45 min to 1h) at 77 K and were stored at 77 K in Teflon containers in the dark before recording the ESR spectra of these irradiated samples at 77 K in the dark.

Owing to the irradiation of the 7.5 M LiCl glass (H_2O or D_2O) with only 0.5 mg sample per ml, nearly all of the initial ionization takes place in the solution thereby creating matrix electrons and holes. The electrons are scavenged by the solute (for example, 3'-AZT) and the holes remain in the glass as $\text{Cl}_2\cdot^-$.

Photo-excitation

By employing a photoflood lamp (250 W), the γ -irradiated glassy samples of 3'-AZT and 5'-AZT were photo-excited in the suprasil quartz dewar (cat. no WG-816(-Q), WILMAD Glass co., Inc. Buena, NJ) at 77 K for 15 min which photoejects the electron from the thymine anion radical. The electron subsequently reacts with the azide group and forms the aminyl radical ($\text{R-NH}\cdot$). After photo-excitation, these samples were stored at 77 K in the dark before carrying out the ESR spectral studies of these samples.

Thermal annealing and storage of these samples

Following our previous works,^{18–21} the γ -irradiated and subsequently photo-excited samples were annealed in the dark in a variable temperature assembly (Air Products) in the temperature range 140–175 K employing cooled nitrogen gas. These transparent glassy samples are not crystalline solids. Rather, they are supercooled homogeneous solutions. These supercooled solutions soften on warming via progressive thermal annealing at higher temperatures; this softening facilitates molecular migration in these solutions thereby allowing solution phase chemistry. After annealing, these samples were immediately immersed in liquid nitrogen (77 K) for ESR analysis.

Electron Spin Resonance

The ESR spectra of samples were recorded in the suprasil quartz dewar (cat. no WG-850(-Q), WILMAD Glass co., Inc. Buena, NJ) at 77 K and at 45 dB (6.3 μ W) using a Varian Century Series ESR spectrometer operating at 9.2 GHz with an E-4531 dual cavity, 9-inch magnet and with a 200 mW klystron. For field calibration, Fremy's salt (g (center of the spectrum) = 2.0056, A_N = 13.09 G) has been employed following our earlier works.^{18–21}

Analyses of ESR spectra

As per our earlier works,^{18–21} each ESR spectrum was stored in a 1000-point array along with field calibration marks from the three ESR lines of the Fremy's salt. The fractional contribution of a radical species in an experimentally obtained ESR spectrum has been estimated by fitting the benchmark spectrum of that particular radical to the experimentally obtained ESR spectrum of interest using least squares analyses by employing programs (ESRADSUB, ESRPLAY) developed in our laboratory.^{18–21} The error limit of these above-mentioned analyses has been estimated as $\pm 10\%$ with good quality signals.¹⁸ We have subtracted a small singlet "spike" from irradiated quartz at $g = 2.0006$ from the recorded spectra for our analyses.

Theoretical calculations

The geometries of the azide anion radical, nitrene anion radical, and aminyl radical shown in reactions (1) to (5) involving 3'-AZT and 5'-AZT were fully optimized by employing the B3LYP functional and the 6-31G* basis set. The B3LYP functional is a Becke's three parameter exchange functional (B3)²² with Lee, Yang and Parr's correlational functional (LYP)²³. We have performed all calculations by employing the Gaussian 03 suites of programs.²⁴ The applicability of the B3LYP functional for the DFT calculations of HFCC values of radical species is well established in the literature.^{25–27} The structures were drawn using JMOL molecular modeling program.²⁸ The atom numbering scheme of AZT used in this work and also indicates the rotation of (i) the N1'-H' bond around the C3'-N1' bond in T(C3')-NH•, and (ii) the N5'-H'' bond around the C5'-N5' bond in T(C5')-NH• are shown in scheme 2.

Results and Discussion

1. Experimental studies

(A) Reactions due to electron attachment in 3'-AZT

(A). 1. Studies in D₂O

(A). 1.1 *The experimental spectra*: Figure 1A shows the radicals formed after γ -irradiation of a 7.5 M LiCl (D₂O) glass containing 3'-AZT (Figure 1A). Irradiation of 7.5 M LiCl glasses produces $\text{Cl}_2^{\bullet-}$ and a small amount of deuterium atom ($\text{D}^\bullet$) in addition to electrons. The isolated spectrum of $\text{Cl}_2^{\bullet-}$ has been superimposed on the experimentally recorded

spectrum (black) for comparison. In Figure 2A to 2C we show the spectra from those already presented in Figure 1A to 1C after subtraction of the $\text{Cl}_2\bullet^-$ and $\text{D}\bullet$ which isolates the radicals formed via the one-electron reduction process.

The central doublet in Figure 1A and 2A is assigned to the thymine anion radical ($\text{T}\bullet^-$) as it is identical to the spectrum of $\text{T}\bullet^-$ reported in the literature²⁹. Furthermore, the black colored spectrum shown in Figure 1A (and also in 2A) has the line components due to the neutral aminyl radical ($\text{T}(\text{C3}')\text{-ND}\bullet$) (see Figure 2A and its discussion below).

Photoejection of the electron from the $\text{T}\bullet^-$ via visible photo-excitation at 77 K results in the spectra shown in Figure 1B and in Figure 2B (after subtraction of $\text{Cl}_2\bullet^-$). Due to the absence of the central doublet owing to $\text{T}\bullet^-$ in the black colored spectrum in 1B (or in 2B), we conclude that the electron is completely photo-ejected from T and reacts to produce additional $\text{T}(\text{C3}')\text{-ND}\bullet$. An analysis of the spectrum in 2A taking *ca.* 80% of the spectrum (black) in Figure 2B assigned to the aminyl radical ($\text{T}(\text{C3}')\text{-ND}\bullet$) and 20% of $\text{T}\bullet^-$ ²⁹, results in the simulation shown in blue under the spectrum (black) shown in Figure 2A. The simulated spectrum (blue) matches the spectrum (black) very well. Added support for this analysis comes from the pink colored spectra shown in Figure 2A and 2B. These result from the single integration of the 1st derivative ESR spectra in black and the area under these curves is proportional to the radical intensity. A comparison shows that the spectrum of $\text{T}(\text{C3}')\text{-ND}\bullet$ (black, Figure 2B) accounts for the predominant share of the radical intensity of $\text{T}(\text{C3}')\text{-ND}\bullet$ in Figure 2A in agreement with the analysis described above.

These results establish the following:

- i. The preponderance (*ca.* 80%) of the aminyl radical ($\text{T}(\text{C3}')\text{-ND}\bullet$) over that of the $\text{T}\bullet^-$ (*ca.* 20%) shows that the site of electron capture in 3'-AZT is predominantly at the azide group and not at the thymine moiety. This suggests that the azide group in 3'-AZT is more electron affinic than thymine, the most electron affinic DNA base.
- ii. Electron attachment to 3'-AZT at 77K results in only **the neutral aminyl radical ($\text{T}(\text{C3}')\text{-ND}\bullet$)** not the azide anion radical ($\text{T}(\text{C3}')\text{-N}_3\bullet^-$) or the nitrene anion radical ($\text{T}(\text{C3}')\text{-N}\bullet^-$). This indicates that the azide anion radical ($\text{T}(\text{C3}')\text{-N}_3\bullet^-$) is unstable and losses N_2 immediately to form the corresponding nitrene anion radical ($\text{T}(\text{C3}')\text{-N}\bullet^-$) which undergoes protonation to form the aminyl radical, ($\text{T}(\text{C3}')\text{-ND}\bullet$). Thus, reactions 1 through 3 are complete even at 77 K.

Subsequent annealing of this sample at 150 K for 15 min leads to the spectrum in Figure 1C. Comparison of this spectrum with that shown in Figure 1B shows that there is almost no loss of intensity upon annealing from 77 K to *ca.* 150 K. Further, spectrum in Figure 1C shows that, at this temperature, the $\text{Cl}_2\bullet^-$ produced initially has largely diminished and the line components due to ($\text{T}(\text{C3}')\text{-ND}\bullet$) remain (see comparison between Figures 2B and 2C).

In Figure 2C, we present the spectrum found after subtracting 35% of black colored spectrum in Figure 1E along with appropriate amount of $\text{Cl}_2\bullet^-$ spectrum from the spectrum 1C. The total hyperfine splitting of *ca.* 116 G, the individual hyperfine couplings, and the *g*-values of spectrum (black) shown in Figure 2C are nearly identical to those found for spectrum shown in Figure 2B and is also assigned to $\text{T}(\text{C3}')\text{-ND}\bullet$. The central doublet (*ca.* 41 G) found in Figure 2B and 2C arises from an isotropic β -proton coupling assigned to the 3' proton. The wings of this spectrum show the line components of the anisotropic nitrogen hyperfine coupling, $A_{\parallel}$, of *ca.* 37.5 G, $A_{\perp} = 0$ due to a single N-atom assigned to the aminyl nitrogen with $g_{\parallel} = 2.0020$ and $g_{\perp} = 2.0043$. The hyperfine coupling of the aminyl N-atom and the β -proton coupling from H3' are illustrated by stick diagrams (see Figure 2C). The stick diagram shows the major splittings roughly; however, a full anisotropic simulation given in Figure 3A provides strong evidence for our analysis.

Further annealing of this sample at 160 K for 20 min and subsequently at 170 K for 15 min lead to the spectra (black) shown in Figures 1D and E respectively. Each spectrum was recorded at 77 K. Comparison of spectrum 1C (annealing at 150 K) with that of 1D (annealing at 160 K) shows the decrease of the line components due to T(C3')-ND• along with the concomitant development of the line components at the center due to other radicals (see below). In the spectrum shown in Figure 1E, T(C3')-ND• has fully reacted by bimolecular hydrogen abstraction from the methyl group and sugar of a nearby 3'-AZT molecule resulting in the thymine allyl radical (UCH₂•)³⁰, and sugar radical, C5'• (doublet ca. 19 G)^{21a}. On this basis, we have simulated a spectrum (red) by adding ca. 55% of the UCH₂•, and 35% C5'• spectrum. This simulated spectrum matches the experimentally recorded spectrum (black) in Figure 1E well except for the outermost weak lines. These outermost weak line components (outermost lines at ca. 94 G) which may be due to C3'•. These small components (ca. 10%) do not contribute significantly to the spectral intensity and are not included in the simulation. We, therefore, find evidence for H-abstraction by T(C3')-ND• from the thymine methyl group as well as possibly two sugar sites (C5', C3').

(A).2. Studies in D₂O vs. H₂O: Identical ESR spectral studies of electron attachment to 3'-AZT in H₂O glasses (7.5 M LiCl/H₂O) were also performed and are compared with the results found in D₂O glasses (7.5 M LiCl/D₂O) and are shown in Figure 3 below.

Figure 3A presents the first derivative ESR spectrum of the aminyl radical (T(C3')-ND•) (green color) shown in Figure 2C.

The first derivative ESR spectrum of the aminyl radical (T(C3')-NH•) (red color) obtained under identical conditions (annealed at 150 K in the dark for 15 min and recorded at 77 K) from 3'-AZT in H₂O (7.5 M LiCl) is shown in Figure 3B.

Comparison of widths of these two spectra clearly shows that an additional 28 G proton hyperfine coupling in the aminyl radical in H₂O (T(C3')-NH•) (red color) is present in the H₂O glasses and is missing in the D₂O glasses (green color). These results show that the source of the proton in the formation of the aminyl radical (T(C3')-NH•) via protonation (reaction (3) of the nitrene anion radical (T(C3')-N^{•-})), is the solvent (H₂O). This additional hyperfine coupling of 28 G is the A_{||} (i.e., the A_{zz}) component of the N-H (α-H) coupling that contributes to the outer line components and this component adds to the A_{||} component of the anisotropic hyperfine coupling of the N-atom of T(C3')-NH• (see Table 1). Thus, from the experimentally recorded spectrum (red) of T(C3')-NH• (Figure 3B), only the A_{||} (i.e., the A_{zz}) component of the N-H (α-H) hyperfine coupling could be determined.

The spectrum of T(C3')-ND• in Figure 3A and that of the T(C3')-NH• in Figure 3B have been simulated (blue color) by employing the DFT calculated A_⊥ along with the experimentally obtained A_{||} values for the N-H hyperfine coupling i.e., (−39.5, 0.4, −28) G for T(C3')-NH• (see Table 1 for couplings employed) and the simulated spectra match quite well with that of the experimentally observed spectra (More detailed ESR parameters employed such as linewidths and lineshapes for simulations of the experimentally found spectra in Figure 3 are mentioned in Supporting Information S1).

The hyperfine couplings of T(C3')-NH• have been theoretically calculated (DFT/B3LYP/6-31G*) (see section 2.2 and Table 1). The theoretically calculated values of the hyperfine couplings match very well (see Table 1) with experimental values.

Further annealing of this sample at 170 K for 15 min leads to the spectrum (green) recorded at 77 K is shown in Figure 3C. For comparison, the ESR spectrum obtained via annealing of T(C3')-ND• at 170 K for 15 min in the dark in D₂O (7.5 M LiCl) (red) from Figure 1E, has been superimposed on it. The very close match of these two spectra clearly establish that (i)

the radical cohort ($\text{UCH}_2\bullet$, $\text{C3}'\bullet$, $\text{C5}'\bullet$) formed in 3'-AZT due to bimolecular hydrogen abstraction by the aminyl radical ($\text{T}(\text{C3}')\text{-NH}\bullet$) in homogenous H_2O glasses (7.5 M LiCl/ H_2O) has very similar population of radicals to that for the corresponding reaction by the aminyl radical ($\text{T}(\text{C3}')\text{-ND}\bullet$) in homogenous D_2O glasses (7.5 M LiCl/ D_2O), and (ii) as expected, there is no observable solvent isotope effect (H_2O vs. D_2O) for the bimolecular hydrogen abstraction from 3'-AZT by the aminyl radical ($\text{T}(\text{C3}')\text{-ND}\bullet$ or $\text{T}(\text{C3}')\text{-NH}\bullet$).

(A).3. Studies in D_2O at high pH: Electron attachment reactions to 3-AZT were carried out in 7.5 M LiCl in D_2O at higher pD (ca. 12) in order to observe the ESR spectral signatures of the parent nitrene radical ($\text{T}(\text{C3}')\text{-N}\bullet^-$) as shown in reaction (3). Contrary to our expectation, the ESR spectrum of **the neutral aminyl radical ($\text{T}(\text{C3}')\text{-ND}\bullet$)** was observed in 3'-AZT at the pD (ca. 12) of the 7.5 M LiCl/ D_2O (see Supporting Information Figure S2). Thus, even at pD ca. 12, the protonation of the nitrene anion radical ($\text{T}(\text{C3}')\text{-N}\bullet^-$) leads to the complete formation of the neutral aminyl radical ($\text{T}(\text{C3}')\text{-ND}\bullet$) (reaction 3) from the solvent (D_2O) at 77 K. These results are in accord with the known high proton affinity of the parent nitrene radical which has been reported for phenyl nitrene anion radical, $\text{PhN}\bullet^-$ to be 372 ± 2 kcal/mol.⁸

(B) Reactions due to electron attachment in 5'-AZT—The ESR spectra of the radicals formed in 5'-AZT (see Scheme 1) via electron attachment, followed by the photo-detachment of the electron from the incipient thymine anion radicals at 77 K and by annealing at higher temperatures are shown in the Figure 4.

Following Figure 2, all the black colored spectra shown in Figures 4A to 4C are found after subtracting the $\text{Cl}_2\bullet^-$ and/or $\text{D}\bullet$ spectra. Supporting Information Figure S3 shows the original spectra of all the radicals formed by γ -irradiation of 5'-AZT in a 7.5 M LiCl glass in D_2O and subsequent annealing before subtraction.

The ESR spectrum of the radicals produced via electron attachment in 5'-AZT is shown in Figure 4A. Similar to the results found for the spectrum for 3'-AZT shown in Figure 2A, spectrum in Figure 4A also consists of thymine anion radical ($\text{T}\bullet^-$) and the neutral aminyl radical ($\text{T}(\text{C5}')\text{-ND}\bullet$). The spectrum shown in Figure 4B is assigned to the neutral aminyl radical ($\text{T}(\text{C5}')\text{-ND}\bullet$) (*vide infra*), after the ejection of the electron from the thymine moiety via photo-excitation at 77 K.

The experimentally obtained spectrum (black) in Figure 4A was simulated by taking *ca.* 55% of the spectrum (black) assigned to the neutral aminyl radical ($\text{T}(\text{C5}')\text{-ND}\bullet$) shown in Figure 4B and the remaining 45% of reported spectrum²⁹ of $\text{T}\bullet^-$. This simulated (blue) ESR spectrum is presented just under the experimentally recorded spectrum (black) in Figure 4A. The close match between simulated and experimental spectra in 4A allows us to conclude that the radical cohort found in the experimentally recorded spectrum (black) consists of *ca.* 55% of aminyl radical ($\text{T}(\text{C5}')\text{-ND}\bullet$) and of *ca.* 45% of $\text{T}\bullet^-$.

Moreover, similar to the analyses of spectrum in Figure 2A, the detection of only the neutral aminyl radical ($\text{T}(\text{C5}')\text{-ND}\bullet$) and not the azide anion radical ($\text{T}(\text{C5}')\text{-N}_3\bullet^-$) or the nitrene anion radical ($\text{T}(\text{C5}')\text{-N}\bullet^-$) after electron attachment in 5'-AZT in the experimentally recorded spectrum (black color) shown in the Figure 4A at 77 K shows that the azide anion radical ($\text{T}(\text{C5}')\text{-N}_3\bullet^-$) formation represented in reaction (1), the nitrene anion radical ($\text{T}(\text{C5}')\text{-N}\bullet^-$) via loss of N_2 shown in reaction (2), and the protonation of the nitrene radical leading to the formation of the aminyl radical, ($\text{T}(\text{C5}')\text{-ND}\bullet$) (reaction 3) are all complete at 77 K.

In Figure 4B, the first derivative ESR spectrum (black) recorded at 77 K after visible illumination of this sample at 77 K for 15 min to photoeject the electron from thymine is presented. The total hyperfine splitting of this spectrum (black) is ca. 177 G. The wings of this spectrum shows the line components of the anisotropic nitrogen hyperfine coupling, $A_{||}$, of ca. 43 G, $A_{\perp} = 0$ due to a single N-atom with $g_{||} = 2.0020$ and $g_{\perp} = 2.0043$. Moreover, this spectrum also shows a prominent doublet like feature with a total width of ca. 91 G. In Figure 4B, the single integration of the recorded first derivative ESR spectrum (black) is shown by the pink colored spectrum which is superimposed on the top of the experimentally obtained first derivative spectrum (black) for comparison. The area under the pink spectrum in Figure 4B shows that the doublet like feature (ca. 91 G) is a sum of two β proton hyperfine couplings (ca. 60 G and ca. 31 G). Therefore, the black colored spectrum shown in Figure 4B is assigned to $T(C5')\text{-ND}\bullet$, where the radical site is on the one N-atom from the azide group and the two β -proton hyperfine couplings are due to the two H-atoms attached to the $C5'$ -carbon atom in the sugar moiety. A full anisotropic simulation of this spectrum is provided in Figure 5A using the parameters above (see supporting information S4 for complete details. The spectrum of $T(C5')\text{-ND}\bullet$ shown in Figure 4B has been used as a benchmark spectrum for analyses of the spectrum (black) shown in Figure 4A (*vide supra*).

A comparison of the experimentally recorded (black) spectrum in Figure 4B with that (black) of Figure 4A shows visible excitation at 77 K for 15 min photoejects the electron completely from $T\bullet^-$. The ejected electron subsequently reacts with the azide group in $5'$ -AZT thereby increasing the amount of neutral aminyl radical ($T(C5')\text{-ND}\bullet$).

In Figure 4C, the spectrum (black) recorded at 77 K after subsequent annealing of this sample in the dark at 150 K for 15 min is shown. No new radicals are found and the total hyperfine splitting of $T(C5')\text{-ND}\bullet$ increases slightly to (ca. 178 G). The parameters of the anisotropic hyperfine coupling due to a single N-atom ($A_{||}$, of ca. 42 G, $A_{\perp} = 0$ with $g_{||} = 2.0020$ and $g_{\perp} = 2.0043$) remains unchanged but the sum of the two β -H hyperfine couplings increases to ca. 94 G as shown from the apparent doublet (black) in Figure 4C. Therefore, this spectrum is also assigned to the neutral aminyl radical ($T(C5')\text{-ND}\bullet$). The hyperfine coupling of the N-atom and the two β -H couplings in $T(C5')\text{-ND}\bullet$ due to $H5'$ and $H5''$ atoms are illustrated by stick diagrams (see Figure 4C).

In Figure 4D, the spectrum (black) recorded at 77 K after further annealing of this sample in the dark at 170 K for 15 min is shown. Unlike Figure 2D for $3'$ -AZT, spectrum 4D appears to be predominantly from one radical having a 1:1:2:2:1:1 pattern expected from three beta proton couplings. The three beta protons and the total width of ca. 81 G is in accord with couplings found for $C3'\bullet$ found in various systems^{21a, 21b, 31} which have total widths (range 83–91 G). Thus, we assign this radical to the $C3'\bullet$. We have simulated this $C3'\bullet$ spectrum by employing the following parameters (33 G ($2\beta H$), 15 G ($1\beta H$), 5 G Line-width) and the simulated spectrum matches with the major components of spectrum 4D (see Supporting Information Figure S3). The hyperfine couplings used in this simulation of $C3'\bullet$ are very near to those found for the same radical in (i) a glassy (7 M LiCl/D₂O) solution of $5'$ -dGMP [35.2 G ($2\beta H$), 20.5 G ($1\beta H$), 7.6 G line-width]³² (ii) a single crystal of $5'$ -dGMP [16.7 G ($1\beta H$), 27.5 G ($1\beta H$), and 38.2 G ($1\beta H$)]³¹. Also, the spectrum 4D suggests the formation of $UCH_2\bullet$ in lower abundance.

A significant aspect of these experiments shown in Figures 4 and 1 is that the substitution of the azido (N_3) moiety at either the $C5'$ -site ($5'$ -AZT, Scheme 1) or at the $C3'$ -site ($3'$ -AZT, Scheme 1) results in reduced radical formation at that site by hydrogen abstraction. In $3'$ -AZT, the ESR spectrum in Figure 1E is dominated by $UCH_2\bullet$ (ca. 55%), and $C5'\bullet$ (ca. 35%). On the other hand, for $5'$ -AZT, as evident from Figure 4D, the predominant radical in the cohort is found to be $C3'\bullet$ (see Supporting Information Figure S3). These observed decreases

in radical formation by substitution of the azido group at that site suggest bimolecular H-atom abstraction by aminyl radicals are governed by the C-H bond energies at the site of radical formation and are thus thermodynamically controlled.

(B).2. Studies in D₂O vs. H₂O: ESR spectral studies of electron attachment to 5'-AZT in homogenous H₂O glasses (7.5 M LiCl/H₂O) were carried out as done for 5'-AZT samples in D₂O glasses (7.5 M LiCl/D₂O) and the results in H₂O glasses were compared with those found in D₂O glasses in Figure 5 below.

In Figure 5A, the first derivative ESR spectrum of the neutral aminyl radical (T(C5')-ND•) (green color) shown in Figure 4B is presented. The spectrum of T(C5')-ND• in Figure 5A has been simulated (blue color) and the simulated spectrum is superimposed on the experimentally observed spectrum (green) for comparison. We note here that a variety of conformations for the T(C5')-ND• exist (see section 2.2) which give a variety of couplings for the two β -protons on the C5' carbon. However the sum of the two β -proton couplings is relatively constant at 91 G (see Table 1) and this give the appearance of the sharp outer peaks and the broadened inner two components. For details of the simulation, see Supporting Information S4.

In Figure 5B the first derivative ESR spectrum of the aminyl radical (T(C5')-NH•) from 5'-AZT (red color) obtained under identical conditions to that in 5A except that it is in an H₂O glass. The total width of experimentally recorded spectrum of T(C5')-NH• (red) is ca. 207 G. Comparison of the widths of experimentally recorded spectrum of T(C5')-NH• (red) in B with that of T(C5')-ND• (blue) clearly shows an additional coupling due to the α H N-H (ca. 30 G). This additional proton hyperfine coupling is the $A_{||}$ (i.e., the A_{zz}) component of the proton coupling. This 30 G increase in the total width establishes that the source of the proton in the formation of the aminyl radical (T(C5')-NH•), is the solvent (H₂O). We also mention here that a similar additional coupling due to the α -H N-H (ca. 28 G) was found for (T(C3')-NH•) (see Figure 3).

Further annealing of this sample in the dark at 155 K for 30 min leads to the spectrum (red) recorded at 77 K (Figure 5C). For comparison, the ESR spectrum obtained via annealing of T(C5')-N-D• at 170 K for 15 min in the dark in D₂O (7.5 M LiCl) (green color) from Figure 4D, has been superimposed on it. The similarities of these two spectra establish that the radical cohort (UCH₂•, C3'•) formed in 5'-AZT due to bimolecular hydrogen abstraction by the aminyl radical (T(C5')-NH•) in homogenous H₂O glasses (7.5 M LiCl/H₂O) has very similar population of radicals to that for the corresponding reaction by the aminyl radical (T(C5')-ND•) in homogenous D₂O glasses suggesting no large solvent isotope effect.

(C) Reactions due to electron attachment in 3'-AZG—ESR spectral studies of electron attachment to 3'-AZG (see Scheme 1) were also carried out in homogenous D₂O glasses (7.5 M LiCl/D₂O) and these results are presented in Figure 6 below.

The spectra shown in Figures 6A and 6B are those found after subtracting the Cl₂•⁻ and/or D• spectra. A small residual of the Cl₂•⁻ subtraction is found on the low field end of the spectrum. The original spectra before subtraction are shown in supporting information, Figure S5. Thus Figure 6A shows the first derivative ESR spectrum (black) recorded at 77 K in 3'-AZG after electron attachment. Superimposition of the authentic spectrum (green) of T(C3')-ND• (spectrum 2B) on the black colored spectrum in Figure 6A shows that spectrum 6A predominantly consists of dG(C3')-ND• and this radical has similar hyperfine couplings to T(C3')-ND• (see Table 1).

Further annealing at 150 K for 15 min leads to the spectrum 6B (black) recorded at 77 K. The singlet at the center of this spectrum assigned to one electron oxidized guanine, results from one-electron oxidation of 3'-AZG by $\text{Cl}_2^{\bullet-}$ and $\text{dG}(\text{C3}')\text{-ND}^\bullet$. From our previous work it is expected that $\text{Cl}_2^{\bullet-}$ would oxidize guanine.^{18, 21a, 25} The oxidation by $\text{dG}(\text{C3}')\text{-ND}^\bullet$ is also expected from work with alkyl and aryl aminyl radicals act as one-electron oxidants (reaction 5) with the predicted midpoint potential at pH 7.0 of ca. 1.3–1.4 V³³. A simulation (red) obtained by employing 80% of the $(\text{T}(\text{C3}')\text{-ND}^\bullet)$ spectrum shown in Figure 2B, and 20% of reported spectrum^{21a} of one electron oxidized G, denoted as $\text{G}^{\bullet+}$ for simplicity as it is a mixture of the cation radical the deprotonated species. The simulation matches the experiment spectrum 6B quite well.

In Figure 6C, the spectrum (black) recorded at 77 K due to further annealing of this sample in the dark at 160 K for 10 min is shown. No observable line components due to sugar radicals are found in spectrum shown in Figure 6C. A simulation (red) obtained by employing 50% of the $(\text{T}(\text{C3}')\text{-ND}^\bullet)$ spectrum, and 50% of $\text{G}^{\bullet+}$ matches experiment quite well. This is consistent with additional one-electron oxidation of the guanine moiety in 3'-AZG by $\text{dG}(\text{C3}')\text{-ND}^\bullet$ instead of abstraction from the sugar as was found with our 3'-AZT results. However, the fact that $\text{Cl}_2^{\bullet-}$ was present at 150K (supporting information Figure S5) complicates this analyses and a definitive answer awaits further work. While, one electron oxidation is the likely mechanism, we note that H-abstraction reactions from the guanine base³⁴ would not be distinguishable from one-electron oxidation followed by deprotonation.

2. Theoretical studies

2.1 Initial excess electron attachment site—The molecular geometries of 3'-AZT and 5'-AZT were fully optimized in their neutral and the anion radical states. The lowest unoccupied molecular orbital (LUMO) of the neutral and singly occupied molecular orbital (SOMO) of the anion radical of 3'-AZT and 5'-AZT are shown in the Figure 7. From the orbital plot shown in Figure 7, it is evident that on anion radical formation, the excess electron is attached to the azido (N3) group of 3'-AZT or of 5'-AZT. For 3'-AZT, the electron affinity of the azido group is calculated to be ca. 0.31 eV which is more than that of the thymine moiety. This theoretical conclusion is well supported by the predominant formation ca. 80% of the neutral aminyl radical $(\text{T}(\text{C3}')\text{-ND}^\bullet)$ from 3'-AZT via electron attachment at 77 K as found by the analysis of the ESR spectrum in Figure 2A.

2.2 HFCCs of the neutral aminyl radical of 3'-AZT ($\text{T}(\text{C3}')\text{-NH}^\bullet$) and of 5'-AZT ($\text{T}(\text{C5}')\text{-NH}^\bullet$)—We have calculated the HFCC values from the optimized geometries of $(\text{T}(\text{C3}')\text{-NH}^\bullet)$ and of $(\text{T}(\text{C5}')\text{-NH}^\bullet)$, see scheme 2. We find that there are small barriers to rotation in the the aminyl radicals for rotation of the NH bond with respect to sugar moiety and they have a profound effect on the ESR spectra observed. In scheme 2, we depict the rotation of the $\text{N1}'\text{-H}'$ bond around the $\text{C3}'\text{-N1}'$ bond in $(\text{T}(\text{C3}')\text{-NH}^\bullet)$. We carried out calculations of this rotation by a variation of the dihedral angle ($\text{H3}'\text{-C3}'\text{-N1}'\text{-H}'$) in 20 degree (20°) steps. Similarly, for $(\text{T}(\text{C5}')\text{-NH}^\bullet)$, the rotation of the $\text{N5}'\text{-H}''$ bond around the $\text{C5}'\text{-N5}'$ bond was modeled by 20° steps in the dihedral angle ($\text{H5}''\text{-C5}'\text{-N5}'\text{-H}''$). Using the DFT(B3LYP/6-31G*), we have scanned the potential energy surfaces (PES) by varying the dihedral angle ($\text{H3}'\text{-C3}'\text{-N1}'\text{-H}'$) in $(\text{T}(\text{C3}')\text{-NH}^\bullet)$ and the dihedral angle ($\text{H5}''\text{-C5}'\text{-N5}'\text{-H}''$) in $(\text{T}(\text{C5}')\text{-NH}^\bullet)$ as shown in Figures 8 and 9. The DFT calculated β -proton isotropic hyperfine couplings are presented as well in each of the Figures 8 and 9 and are shown to vary as typical β -protons with dihedral angle.

Our calculations show that the $\text{N1}'\text{-H}'$ bond in $\text{T}(\text{C3}')\text{-NH}^\bullet$ is very flexible and involves ca. 2 kcal/mol energy for a complete 360° rotation of the dihedral angle ($\text{H3}'\text{-C3}'\text{-N1}'\text{-H}'$). The minimum of the PES lies between 300 and 320° (see Figure 8) and the theoretically

calculated isotropic β -HFCC value of the H3'-atom of the C3'-H3' bond in T(C3')-NH• is found to be 40 G at 300° and 21 G at 320°. The value at 300° matches the experimental value (ca. 41 G) quite closely and is within 0.1 kcal of the bottom of the calculated PES. This conformational study suggests that the 300° conformation is the one which is experimentally found at 77 K (see Table 1).

The value of the A_{zz} component of anisotropic hyperfine coupling of the α -hydrogen atom attached to the N1' in T(C3')-NH• (see scheme 2) is calculated to be -26.4 G (see Table 1) which matches well with the experimentally determined value of -28 G (Figure 3).

The calculated PES generated by rotation of the N5'-H'' bond around the N5'-C5' bond in T(C5')-NH• by varying the dihedral angle H5-N5'-C5'-H5 in 20° steps is shown in Figure 9. The global minimum is found to be at 60°. Changing the conformation by $\pm 20^\circ$ from the global minimum of 60° raises the energy by less than 0.5 kcal/mol but, the β -proton HFCC values of H5' and H5'' vary significantly. Thus, at 60°, H5' and H5'' have β -proton HFCCs of ca. 50 and 42 G, respectively. At 40°, the corresponding values of β HFCCs for H5' and H5'' are 30 and 57 G. However, at 80°, the corresponding values of β HFCCs are ca. 62 and 23 G, respectively (see Table 1). Thus, the sum total of β HFCCs of H5' and H5'' in T(C5')-NH• varies from 85 to 92 G and matches closely with the experimental total width of the doublet (the sum of these two β HFCC values of ca. 91 G) shown in Figures 4B and 4C. Similar β HFCCs of H5' and H5'' were used ((50, 41) G, (30, 60) G, (70, 21) G to simulate (blue) the experimental spectrum (green) of T(C5')-ND• shown in Figure 5A (see also Supporting Information S6).

In Table 1 below, we summarize our results for experimental hyperfine couplings and g-values of the neutral aminyl radical found in 3'-AZT and in 5'-AZT obtained from our analyses of the obtained spectra shown in Figures 2B and 3 (for 3'-AZT) and in Figures 4B and 5 (for 5'-AZT). The DFT calculated hyperfine couplings for these radicals are also presented and are found to match closely with the experimental values.

Conclusions

The experimental and theoretical studies reported in this work lead to the following salient conclusions:

- 1. Azide and not the thymine moiety is the predominant site of electron attachment:**

We find that the predominant site of electron capture in 3'-AZT and in its analogs is at the azide group. This experimental finding is supported by the theoretical calculations ((B3LYP/6-31G*, fully optimized) - which show that, in both 3'- and 5'-AZT, the gas phase electron affinity of the azide group is higher than that of the thymine moiety.

- 2. The neutral aminyl radical is found after one-electron attachment at 77 K:**

At 77 K, the azide anion radical ($R-N_3^{\bullet-}$) produced via one-electron attachment on to the azide group in 3'-AZT, 5'-AZT, and 3'-AZG, spontaneously reacts to form the neutral aminyl radical ($RNH^\bullet$). The intermediates, $R-N_3^{\bullet-}$ and $RN^{\bullet-}$ expected from loss of N_2 are not observed. This shows that reactions 1 through 3 are complete even at 77 K for each of the azido compounds studied in this work.

- 3. H₂O is the source for protonation of the nitrene anion radical at 77 K:**

Our results in H₂O clearly show the spectral signature of the N-H α -proton hyperfine coupling (28 to 30 G) for the neutral aminyl radical which is lost in D₂O.

The disappearance of this coupling in D₂O establishes that the source of the proton in the formation of the neutral aminyl radical is the solvent (H₂O).

Even under highly basic conditions (pD ca. 12, see Supporting Information Figure S4), only the neutral aminyl radical, R-NH•, is observed – which is in accord with the known high proton affinity of the parent nitrene radical (R-N•⁻).⁸

4. Conformational flexibility of the aminyl radicals:

Our DFT calculations show that rotation of the N-H group around the C3'-NH or C5'-NH bond in aminyl radicals have low barriers to rotation (ca. 3 kcal/mol for T(C3')-NH•, and 5 kcal/mol for T(C5')-NH•). This results in a range of conformations and corresponding β -proton couplings that have a range of values that broaden the ESR spectra.

5. Reactions of the neutral aminyl radicals - bimolecular H-atom abstraction and one-electron oxidation of guanine:

We find that abstraction reactions by the aminyl radicals are at the thymine methyl group and at the sugar sites at C5' and C3'. The bond dissociation energy of the C-H bond in the methyl group of the thymine moiety is relatively low, ca. 86 kcal/mol (at 298 K),³⁵ whereas the sugar moiety sites are calculated to be higher.³⁶ The lower energy for abstraction from the methyl group in thymine forming UCH₂• explains why this radical is found to be the dominant species from the attack of T(C3')-ND• on 3'-AZT. However, for T(C5')-ND• attack on 5'-AZT, we find the predominant formation of C3'•, with a smaller extent of formation of C5'• and UCH₂•. We find decreases in radical formation at sites with substitution of the azido group. Thus for 5'-AZT the 3' site and methyl group undergoes attack and for 3'-AZT the methyl group and 5' site are attacked. This is consistent with an expected increase in C-H bond energies at a site with azide substitution.

For 3'-AZG samples, we find results that indicate the neutral aminyl radical 3'-dG-(C3')-ND• is able to one-electron oxidize guanine. This finding suggests that sugar radicals produced by the attack of aminyl radicals on the sugar-phosphate backbone of DNA would be at the T and C sites since the lower redox potential of guanine and perhaps that of adenine would protect the sugar group attached to it from the H-atom abstraction.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1.

First derivative ESR spectrum (A) (black) for 3'-AZT after one-electron addition at 77 K at the native pD (*ca.* 5) of the homogeneous glassy solution of 7.5 M LiCl in D₂O. For comparison, the ESR spectrum of Cl₂•⁻ in D₂O (7.5 M LiCl) (blue) has been superimposed on it. (B) ESR spectrum (black) after visible illumination of the sample by using a photoflood lamp at 77 K for 15 minutes to remove the thymine anion radical by photoejection of the excess electron. ESR spectrum (black) of this sample after subsequent annealing in the dark at (C) 150 K for 15 min, (D) 160 K for 20 min, and (E) 170 K for 15 min. The spectrum shown in red in Figure E is a simulated spectrum employing *ca.* 55% of the UCH₂• spectrum³⁰, and 35% C5'• spectrum (doublet *ca.* 19 G)^{21a}. The remaining 10% is accounted for by another radical (probably, at the C3' site which is not included in the simulation). This is evidenced by the outermost line components in low intensity. All ESR spectra shown in Figures A to E were recorded at 77 K. The three reference markers in this figure and in subsequent figures are Fremy's salt resonances with central marker is at *g*=2.0056 and each of three markers is separated from one another by 13.09 G.

Figure 2.

(A) ESR spectrum (black) from Figure 1A after subtraction of the appropriate amounts of the $D\bullet$ and $Cl_2\bullet^-$ spectra. The spectrum shown in Blue is a simulated spectrum employing *ca.* 80% of the spectrum (black) assigned to the aminyl radical ($T(C3')\text{-}ND\bullet$) shown in Figure 2B, and 20% of the $T\bullet^-$ spectrum.²⁹ (B) ESR spectrum (black) obtained by subtracting the appropriate amount of the $D\bullet$ and $Cl_2\bullet^-$ spectra from spectrum 1B and is assigned to $T(C3')\text{-}ND\bullet$. The spectra in Pink in A and B are the single integration of the black spectra and show the dominance of the $T(C3')\text{-}ND\bullet$ species in figure A. (C) The ESR spectrum (black) found after subtracting 35% of black colored spectrum in Figure 1E along with subtraction of appropriate amount of $Cl_2\bullet^-$ spectrum from the spectrum 1C. This spectrum is nearly identical of that shown in B and is also assigned to $T(C3')\text{-}ND\bullet$. The extent of β -hyperfine coupling by $H3'$ -atom and the anisotropic nitrogen hyperfine coupling, $A_{||}$ in $T(C3')\text{-}ND\bullet$ are indicated by stick diagrams. They indicate that the central doublet in both (B) and (C) arises from the $H3'$ β -hyperfine coupling and the line components of the anisotropic nitrogen hyperfine coupling (see text and Table 1).

Figure 3.

First derivative ESR spectrum of the aminyl radical formed via one-electron attachment by γ -irradiation of 3'-AZT (A) (T(C3')-ND•) (green color) in 7.5 M LiCl (D₂O), (B) (T(C3')-NH•) (red color) in 7.5 M LiCl (H₂O). The green color spectrum in (A) is the same one shown in Figure 2C. The simulated spectra (blue) (for simulation ESR parameters, see Supporting Information S1) are superimposed on the top of the experimentally recorded spectrum. Comparison of these two spectra clearly shows the $A_{||}$ (i.e., the A_{zz}) component ca. 28 G due to the α -N-H proton of the aminyl radical in H₂O (T(C3')-NH•) (red color) is lost in the D₂O glasses (green color). (C) Further annealing of this sample in 7.5 M LiCl (H₂O) in the dark at 170 K for 15 min leads to the spectrum (green) recorded at 77 K. For comparison, the ESR spectrum obtained via annealing of T(C3')-N-D• at 170 K for 15 min in the dark in D₂O (7.5 M LiCl) (red) from Figure 2D, has been superimposed on it.

Figure 4.

All the black colored spectra shown in Figures 4A to 4C are found after subtracting spectra of $\text{Cl}_2\text{•}^-$ and/or D• from the experimental spectra. (A) ESR spectrum (black) for 5'-AZT after one-electron addition at 77 K in 7.5 M LiCl in D₂O. The spectrum shown in Blue is the simulated spectrum by employing *ca.* 55% T(C5')-ND• shown in Figure 4B, and 45% of reported spectrum²⁹ of T••. (B) ESR spectrum (black) recorded at 77 K after visible illumination of the sample by using a photoflood lamp at 77 K for 15 minutes to remove the T•• by photoejection of the excess electron. The central doublet (*ca.* 91 G) found in this spectrum is due to the sum of two isotropic β -proton couplings and, the wings of this spectrum show the line components of the anisotropic nitrogen hyperfine coupling (see Text and Table 1). This spectrum shown is assigned to T(C5')-ND•. The spectra in Pink in A and B are the single integration of the experimentally recorded first derivative ESR spectra (black). (C) ESR spectrum of this sample after subsequent annealing of this sample in the dark at 150 K for 15 min. This spectrum shows only minor coupling changes from that shown in Figure (B) and is assigned to T(C5')-ND•. The stick diagram shows the β -proton couplings and parallel component of nitrogen coupling. (D) After subsequent annealing in the dark at 170 K for 15 min. This spectrum is assigned to C3' and UCH2 radicals. All ESR spectra A-D were recorded at 77K.

Figure 5.

First derivative ESR spectrum of the aminyl radical formed via one-electron attachment by γ -irradiation of 5'-AZT (A) (T(C5')-ND•) (green color) in 7.5 M LiCl (D₂O), (B) (T(C5')-NH•) (red color) in 7.5 M LiCl (H₂O). The green color spectrum in (A) is the same one shown in Figure 5B. The simulated spectrum (blue) (for ESR simulation parameters, see Supporting Information S4) is superimposed. Comparison of spectra in D₂O and H₂O clearly shows that the $A_{||}$ (i.e., the A_{zz}) component ca. 30 G due to the α -N-H proton of the aminyl radical is found in H₂O (T(C5')-NH•) (red color) but not in D₂O glasses (green color). (C) Further annealing of this sample in 7.5 M LiCl (H₂O) in the dark at 155 K for 30 min leads to the spectrum (red) recorded at 77 K. For comparison, the ESR spectrum obtained via annealing of T(C5')-N-D• at 170 K for 15 min in the dark in D₂O (7.5 M LiCl) (green color) from Figure 4D, has been superimposed on it.

Figure 6.

(A) ESR spectrum (black) recorded at 77 K after one-electron attachment in 3'-AZG at the native pD (*ca.* 5) in the homogeneous glassy solution of 7.5 M LiCl in D₂O. Cl₂•⁻ has been subtracted from this spectrum. The spectrum (green) of T(C3')-ND• (spectrum 1B) is superimposed on the experimentally recorded (black) spectrum for comparison. (B) ESR spectrum recorded at 77 K on annealing at 150 K for 15 min which allows for attack of Cl₂•⁻ on 3'-AZG producing one-electron oxidized guanine (G(-H)•). (C) Spectrum of one-electron oxidized G (black) and residual dG(C3')-ND• recorded at 77 K after further annealing at 160 K for 10 min which allows for possible one-electron oxidation of G by dG(C3')-ND•. The spectra (red) superimposed on the spectra (black) in (B) and (C) are simulations employing the spectra of T(C3')-ND• (Figure 2B) and of G•⁺ ^{21a} as benchmark spectra.

Figure 7. B3LYP/6-31G* optimized structures of 3'-AZT and 5'-AZT in the neutral state (showing the LUMO) and in the anion radical state (showing the SOMO) along with the total energies in atomic units. For 3-AZT, the anion radical is ca. 0.31 eV more stable than the neutral state showing a substantial gas phase EA of the azide group which is obviously greater than the thymine base.

Figure 8. B3LYP/6-31G* calculated PES (Pink) of (T(C3')-NH•). The surface (Pink) is calculated by rotating the N1'-H' bond with respect to N1'-C3'-H3' plane (i.e., the dihedral angle H3'-C3'-N1'-H') in 20° steps. The variation of the DFT calculated HFCC values of H3' with respect to the rotation of the dihedral angle H3'-C3'-N1'-H' is also shown in blue color. The shaded area shows that very near (300°) DFT calculated minimum energy, the calculated isotropic β -proton coupling of H3' (40 G) matches its experimental (ca. 41 G, see Figure 2B).

Figure 9.

B3LYP/6-31G* calculated PES (Blue color) of T(C5')-NH•. The surface (Blue color) is calculated by rotating the N5'-H'' bond with respect to N5'-C5'-H5'' plane (i.e., by rotating the H5''-C5'-N5'-H'' dihedral angle) in 20° steps. The variation of the DFT calculated HFCC values of H5' (pink) and of H5'' (black) with the H5''-C5'-N5'-H'' dihedral angle is also shown. The shaded region from 40° to 80° shows a near constant sum of β-proton couplings (85–92 G) which matches the experimental sum (ca. 91 G, see Figure 4B) and corresponds to the minimum in energy ± 0.5 kcal/mol.

Scheme 1.

Structural formulae of 3'-azido-3'-deoxythymidine (3'-AZT), 5'-azido-5'-deoxythymidine (5'-AZT), and 3'-azido-2',3'-dideoxyguanosine (3'-AZG).

Scheme 2.

B3LYP/6-31G* optimized structure of the azide anion radical (T(C3')-N₃•⁻) and the aminyl radical (T(C3')-NH•) of 3'-AZT, and the aminyl radical T(C5')-NH• of 5'-AZT. The atom numbering scheme shown in this scheme is used in this work.

In T(C3')-NH•, the N1'-H' bond can rotate around the C3'-N1' bond (as shown by the arrow). Similarly, in the corresponding aminyl radical (T(C5')-NH•) of 5'-AZT, rotation of the N5'-H'' bond is possible around the C5'-N5' bond (as indicated by the arrow).

Hyperfine couplings and g-values for the neutral aminyl radicals found in 3'-AZT and in 5'-AZT at 77 K

Table 1

Radical	Hyperfine couplings (G)			g-value (exp)
		Exp	Theory	
3'-AZT T(C3')-NH•	H3' (β-proton, isotropic)	41	40	$g_{ } = 2.0020, g_{\perp} = 2.0043$
	N1'-H' (α-proton)	(*, *, -28) ^{a,b}	(-39.5, 0.4, -26.4)	
	N1'-coupling	(0, 0, 37.5)	(0, 0, 41.4)	
	β-protons, (H5', H5'')	Sum 91 ^d	Angle A(H5', H5'')	
5'-AZT T(C5')-NH•			40°	$g_{ } = 2.0020, g_{\perp} = 2.0043$
			60°	
			80°	
	N5'-H'' (α-proton)	(*, *, -30) ^{a, b}	(-39.8, 0.4, -26.7)	
	N5'-coupling	(0, 0, 43)	(0, 0, 41.8) G	

^a From the width of the experimentally recorded spectra (see Figures 3 and 5), only A_{||} (i.e., the A_{zz}) component could be determined.

^b The simulation of the experimental spectrum for T(C3')-NH• (see Figures 3 and supporting Information S1) was carried out by employing the DFT calculated A_{xx} and A_{yy} values along with the experimentally obtained A_{zz} value i.e., (-39.5, 0.4, -28) G.

^c Angle = H5''-C5'-N5'-H'' dihedral angle; A(H5', H5'') are the isotropic hyperfine couplings of H5', H5'' at those dihedral angles; Sum = the sum of these isotropic hyperfine couplings.

^d see Figure 5