Published in final edited form as:

Ann Thorac Surg. 2009 September ; 88(3): 823–829. doi:10.1016/j.athoracsurg.2009.03.030.

Perioperative Stroke in Infants Undergoing Open Heart Operations for Congenital Heart Disease

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INVITED COMMENTARY

I read with interest the article by Chen and colleagues [1].

A 10% incidence of perioperative stroke is a possible partial explanation for less than ideal neurodevelopmental outcomes in congenital heart disease (CHD) [2,3]. Additional explanations are genetic causes [4], fetal development with CHD, and injury that is not measured by imaging. If we are to improve neurologic outcomes, there are four areas in which practice improvement needs to become uniform or further explored: (1) prenatal diagnosis and maternal care, (2) postnatal preoperative care, (3) operative interventional strategies, and (4) postoperative care. Specifics of these are briefly discussed.

1. Can fetal diagnosis and maternal care for all having CHD impact birth weight and thereby mitigate this as a risk?
2. Can fetal triage to a congenital cardiac liaised high-risk obstetrics and neonatal team preclude cardiorespiratory instability in more babies and thus lessen preoperative stroke?
3. Risk was shown to be modifiable in the operating room. A higher hematocrit (28% vs 26%) on cardiopulmonary bypass (CPB) was associated with a lower incidence of stroke. This corroborates previous findings that hematocrit on CPB is important [5]. Not all factors believed to be a risk in the operating room were actually a risk. Circulatory arrest, as performed and compared, did not impact neurodevelopment. Fruitful areas for further study will include hybrid stage I versus conventional stage I palliation for single ventricle and arch obstruction, further human studies on pCO₂ management strategy for CPB, rate of CPB flow, and others.
4. Postoperative measurements, such as systemic oxygen delivery [6], length of stay in the intensive care unit, and other factors are also important and potentially modifiable.

Survival with CHD has become an expectation. It is our duty to ensure that those unfortunate enough to have CHD not only survive, but live. Continued critical data analysis in the areas outlined, trials, and modification of practice will allow us to help our patients live—in the fullest sense.

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Abstract

Background—The prevalence of perioperative stroke in infants undergoing operations for congenital heart disease has not been well described. The objectives of this study were to determine the prevalence of stroke as assessed by postoperative brain magnetic resonance imaging (MRI), characterize the neuroanatomic features of focal ischemic injury, and identify risk factors for its development.

Methods—Brain MRI was performed in 122 infants 3 to 14 days after cardiac operation with cardiopulmonary bypass, with or without deep hypothermic circulatory arrest. Preoperative, intraoperative, and postoperative data were collected. Risk factors were tested by logistic regression for univariate and multivariate associations with stroke.

Results—Stroke was identified in 12 of 122 patients (10%). Strokes were preoperative in 6 patients and possibly intraoperative or postoperative in the other 6 patients, and were clinically silent except in 1 patient who had clinical seizures. Arterial-occlusive and watershed infarcts were identified with equal distribution in both hemispheres. Multivariate analysis identified lower birth weight, preoperative intubation, lower intraoperative hematocrit, and higher blood pressure at admission to the cardiac intensive care unit postoperatively as significant factors associated with stroke. Prematurity, younger age at operation, duration of cardiopulmonary bypass, and use of deep hypothermic circulatory arrest were not significantly associated with stroke.

Conclusions—The prevalence of stroke in infants undergoing operations for congenital heart disease was 10%, half of which occurred preoperatively. Most were clinically silent and undetected without neuroimaging. Mechanisms included thromboembolism and hypoperfusion, with patient-specific, procedure-specific, and postoperative contributions to increased risk.

Advances in perioperative and operative management have markedly decreased the mortality of congenital heart disease (CHD) and facilitated anatomic repair at earlier ages. Neurodevelopmental disability, however, affects as many as 50% of infants undergoing interventions for congenital heart lesions. Early postoperative seizures, cognitive impairment, delays in speech, language, visual-motor, and visual-spatial skills, attention deficit/hyperactivity disorders, and learning disabilities have all been described [1,2]. The mechanisms leading to these sequelae have not been fully elucidated.

The immature brain in infants with CHD is vulnerable to diffuse ischemic injury. The heart disease itself, as well as the open heart procedure used for repair or palliation, may expose these infants to global ischemia-reperfusion injury and the risk for localized injury from air embolism or thromboembolism [3,4]. Neuropathologic studies have shown ischemic lesions and infarcts along arterial border zones, encephalomalacia, hemorrhage, and microscopic changes in gray and white matter [5]. The incidence of preoperative cranial ultrasound abnormalities in infants with CHD is as high as 59% and consists of cerebral atrophy and linear echo densities in the basal ganglia and thalamus [6]. Prospective MRI studies have identified periventricular leukomalacia (PVL) in up to 20% of neonates preoperatively, with additional injury occurring in the perioperative period [7,8]. Postoperative hypoxemia and hypotension have been identified as risk factors for PVL [8].

The mechanisms of focal ischemic brain injury after infant cardiac procedures have not been well described. The objective of this study was to determine the prevalence and to characterize the neuroanatomic features of perioperative focal arterial ischemic injury as measured by postoperative brain magnetic resonance imaging (MRI) and to identify risk factors for its development.

Patients and Methods

Inclusion Criteria

Patients from two ongoing studies were prospectively enrolled to undergo brain MRI in the early postoperative period. Both studies were approved by the Institutional Review Board at The Children's Hospital of Philadelphia. The first study occurred between June 21, 2000, and November 5, 2001, and evaluated apolipoprotein E (APOE) genotype as a risk factor for neurodevelopmental dysfunction [8,9]. The APOE study enrolled 169 of 206 eligible patients. The second study occurred between April 30 and August 4, 2004, and assessed the role of cytokine gene polymorphisms in perioperative brain injury. The cytokine study enrolled 20 of 42 eligible patients.

Patients 6 months or younger undergoing cardiopulmonary bypass (CPB), with or without deep hypothermic circulatory arrest (DHCA), for repair of CHD were eligible. Exclusion criteria were multiple congenital anomalies, recognizable genetic or phenotypic syndromes other than 22q11 deletion, and non-English-speaking caregivers, as previously reported [9]. All patients enrolled in the APOE and cytokine studies were eligible for enrollment in the supplementary MRI study. Early postoperative MRIs were performed in 105 of the 169 patients enrolled in the APOE genotype study. For the cytokine study, 17 of 20 patients underwent a postoperative MRI. Reasons for not undergoing MRI included death or discharge before the MRI, instability precluding MRI in the 14 days after the operation, and caregiver nonconsent. Thus, 122 patients comprised the study cohort. Informed consent was obtained from the parent or the guardian.

Operative Management

Before CPB, patients underwent surface cooling with topical hypothermia to the head. Alpha-stat blood gas management was used, and DHCA was used at the surgeon's discretion. Before DHCA, patients underwent core cooling on CPB to a nasopharyngeal temperature of 18°C. After rewarming, modified ultrafiltration was performed in all patients. Operative and anesthetic techniques did not differ throughout the study period, other than a trend to maintaining a higher hematocrit during the latter part of the APOE and cytokine study. Postoperatively, all patients returned to the cardiac intensive care unit (CICU) with transthoracic right atrial catheters.

Data Collection

Gestational age, head circumference, birth weight, and Apgar scores were obtained from birth records. Weight, age at operation, and type of procedure were recorded along with the duration of CPB, aortic cross-clamping, and DHCA, if used. Total support time was calculated as CPB duration plus DHCA. Patients were grouped according to a previously described classification model incorporating cardiac anatomy and perioperative physiology [10]. Hemodynamic data including heart rate, right atrial pressure, and systolic (SBP) and diastolic blood pressure (DBP) were retrospectively collected from the CICU data records at 4-hour intervals for the first 48 hours postoperatively. Arterial blood gas data including pH, po_2 , and pcO_2 were recorded at similar intervals. The data from intracardiac catheters separate from the right atrial catheters were not analyzed because additional monitoring catheters were rarely used.

Postoperative MRI

MRI of the brain was performed between 3 and 14 days postoperatively with a 1.5 Tesla Magnetom magnet (Siemens, Erlangen, Germany). T1- and T2-weighted images were performed in the axial plane, with T1 imaging also acquired in the sagittal and coronal planes. Axial gradient-echos were done for the susceptibility effects of blood products, and axial diffusion was used to detect early pathophysiologic processes in cerebral infarction.

Two types of stroke were identified: focal arterial ischemic and vascular watershed. Focal arterial ischemic stroke was defined as a discrete demarcated lesion, with or without hemorrhagic transformation, conforming to the distribution of a focal arterial occlusion. Vascular watershed zones arise between end-arterial territories of two major branches of the circle of Willis. Arterial watershed infarcts were defined as discrete demarcated lesions within vascular watershed zones (Fig 1). Vascular territories were determined according to a standard published atlas of cerebral arterial territories [11].

Independent analysis by a neuroradiologist blinded to the patient's clinical course and genetic data confirmed the occurrence, type, and vascular distribution of focal ischemic lesions. Infarcts were classified as acute if associated with restricted diffusion (≤ 7 to 10 days after onset), subacute if not associated with restricted diffusion (> 7 to 10 days after onset), and chronic if associated with cystic encephalomalacia (> 2 weeks after onset).

In each case, the timing of the infarct in relationship to the operation was determined from the date of operation relative to the date of the MRI and estimated radiologic maturity of the infarct as acute, subacute, or chronic. An infarct was judged to be preoperative if the radiologic appearance was subacute or chronic and the MRI was obtained within 7 days after the operation. An infarct was judged to be possibly intraoperative or postoperative if the radiologic appearance was acute and the MRI was obtained within 7 days after the operation.

APOE Genotype Determination

Genomic DNA was prepared and APOE genotype determined by using a previously published method [12].

Statistical Methods

Data are presented as medians and ranges, where appropriate. Examined risk factors are listed in Table 1. For each of the postoperative hemodynamic variables (po_2 , pco_2 , pH, SBP, DBP, radial artery pressure, and heart rate), only admission (time zero), minimum, and maximum values were considered. APOE genotypes were sorted into three groups: the $\epsilon 2$ group ($\epsilon 2\epsilon 2$ and $\epsilon 2\epsilon 3$), the $\epsilon 3\epsilon 3$ group, and the $\epsilon 4$ group ($\epsilon 3\epsilon 4$ and $\epsilon 4\epsilon 4$). Patients with the $\epsilon 2\epsilon 4$ genotype were excluded because of opposing effects of $\epsilon 2$ and $\epsilon 4$ in Alzheimer disease [13]. In addition to analysis as continuous variables, three quantitative risk factors were also included as dichotomous variables: gestational age was considered as either premature (< 37 weeks) or term; age at operation was classified as neonatal (≤ 30 days old) or infant; and the use of DHCA was grouped as "yes" or "no."

All variables were tested by univariate logistic regression for association with stroke. Values of p for categoric variables were derived by analysis of variance methods, in which the p value indicates a measurement of overall difference between groups. Values of p reported for subcategories within a categoric variable represent the results of regression analysis testing for difference in the outcome variable between the subcategory and the reference subcategory. Any risk factor with a value of $p \leq 0.10$ was considered in a multivariate stepwise logistic regression to determine association with stroke. All analyses used SPSS 10.0 software (SPSS Inc, Chicago, IL) and the R statistical environment.

Results

Patient demographics and intraoperative variables are summarized in Table 2. Perioperative stroke was identified in 12 of 122 patients (10%; Fig 2, Table 3). No identifiable postoperative clinical deficits or neurologic signs referable to the infarction were noted in 11 of 12 patients. Continuous electroencephalographic (EEG) monitoring confirmed seizures in 1 patient who demonstrated clinical events suspicious for seizures beginning 1 day after circulatory arrest and urgent initiation of venoarterial extracorporeal membrane oxygenation. EEG monitoring disclosed multifocal seizure discharges and EEG seizures persisting for several days despite escalating doses of anticonvulsants, maximally involving the region of the infarction in the right hemisphere (Fig 1A). Timing, severity, and localization of EEG seizure activity were consistent with the large size, localization, and age of the infarct demonstrated on MRI.

The type and location of infarctions are summarized in Table 3. The infarcts were all supratentorial, involving the carotid circulation in 10 patients and the vertebrobasilar circulation in 2 patients. Stroke conformed to large or medium vessel arterial occlusion in 5 patients and to arterial watershed zones in the remaining 7 patients, most commonly the middle cerebral artery (MCA)-posterior cerebral artery (PCA) watershed zone. Only one large complete MCA territory infarct was documented. Significant hemorrhagic transformation was seen in two infarcts. Infarcts were acute in 5 patients, subacute in 5, and remote in 2. PVL and extraaxial hemorrhage were also detected but are not included in the present analysis.

Univariate analysis of risk factors for infarcts is summarized in Table 1. There were no significant differences in cardiac anatomy (Table 4), type of operation, intracardiac shunting, or prostaglandin E₁ (PGE₁) use between patients with and without stroke. Multivariate analysis (Table 5) revealed lower birth weight, preoperative intubation, lower intraoperative hematocrit, and higher systolic blood pressure upon CICU admission as variables significantly associated with stroke. Aside from the initial systolic blood pressure upon admission to the CICU, there was no difference in blood pressure for the next 48 hours between patients with and without infarcts.

Comment

We demonstrated a 10% prevalence of stroke in neonates and infants with CHD undergoing cardiac operations using CPB, half of which occurred preoperatively. This large, prospective cohort study evaluating stroke in infants with CHD, in which brain MRI was used for case ascertainment, shows that infarcts in these infants are almost always clinically covert, with a wide spectrum of size, chronicity, and anatomic distributions. In keeping with the clinically silent nature of these lesions acutely, we found that the most reliable assessment of stroke in this population is by prospective systematic imaging with MRI examination. Both arterial-occlusive and watershed infarcts were found, suggesting a multifactorial mechanism of stroke in patients with CHD that may include thromboembolism and hypoperfusion. The varying ages of the infarcts indicate multiple time points from birth, and possibly prenatally, when these children are vulnerable to brain injury.

Variables associated with stroke in our cohort included lower birth weight, preoperative intubation, lower hematocrit level after hemodilution on bypass, and elevated SBP upon CICU admission. Gestational age, PGE₁ use, cardiac anatomy, and DHCA duration were not significantly different between patients with and without stroke. The number of patients with stroke was too small to differentiate between risk factors for focal arterial occlusive and watershed lesions. The association of low birth weight would suggest that fetal blood flow patterns and placental sufficiency might be involved in the pathogenesis of stroke in infants with CHD [14]. Preoperative mechanical ventilation might be a marker of increased

cardiopulmonary instability in the preoperative period and has been identified as a risk factor for both death and CICU morbidity [15]. Hypotension and hypoxemia have previously been shown to be associated with PVL [8]; however, these risk factors were not significantly associated with stroke in our population. A possible explanation may be that cerebral blood flow patterns are different in children with PVL compared with those who with perioperative stroke. Variations in other factors that affect cerebral oxygen delivery, such as pH and $Paco_2$, were not identified as risk factors for stroke.

In the Boston Circulatory Arrest Study (BCAS), limited to patients with transposition of the great arteries, brain MRI imaging at age 1 year revealed definite abnormalities in 15% and possible abnormalities in an additional 8% [16]. Stroke was not specifically reported. The only significant risk factor for MRI abnormalities was preoperative acidosis. Similarly, our data show no significant association of DHCA use or duration or total CPB time with stroke. The sole intraoperative variable associated with stroke was a lower hematocrit after hemodilution on CPB. Hemodilutional anemia during CPB has been shown to be associated with histologic evidence of cerebral ischemic damage in animal models and lower Psychomotor Developmental Index scores in children at 1 year [17,18].

Other studies evaluating brain MRI abnormalities have also demonstrated varying ages of lesions relative to birth and procedures, with preoperative and postoperative periods presenting a continuum of risk in infants with CHD. Dent and colleagues [19] reported focal or diffuse ischemic lesions in 23% and 53% of patients in the preoperative and postoperative periods, respectively, after the Norwood procedure. In their study, a greater base deficit was associated with preoperative ischemia, whereas prolonged low regional cerebral oxygen saturation ($< 45\%$ for > 90 minutes) was associated with postoperative changes. In another study limited to patients with transposition, McQuillen and colleagues [20] found low Apgar scores at 5 minutes and need for balloon atrial septostomy to be associated with preoperative stroke. Risk factors for postoperative injury included lowest flow and largest base deficit during CPB and decreased mean blood pressure on postoperative day 1.

The current study has several limitations. The absence of preoperative MRIs precludes definitive identification of the timing of occurrence of stroke and limits the interpretation of cause and effect among potential risk factors. In addition, only 1 patient underwent a detailed neurologic examination in the immediate postoperative period, potentially limiting the clinical identification of strokes identified on the MRI studies.

This study was also not designed to systematically evaluate all patients for other risk factors for stroke such as thrombophilia or anatomic anomalies in the cervical or cranial circulation.

Finally, our results may have been affected by selection bias, because not all patients from the ongoing studies had postoperative MRIs. Patients who were discharged before undergoing a MRI would overestimate the prevalence of stroke, whereas patients with instability precluding a MRI might underestimate the prevalence.

In conclusion, we found a 10% prevalence of stroke on postoperative MRI imaging in neonates and infants undergoing operations for CHD that used CBP with or without DHCA. Almost all strokes were clinically silent. Focal arterial occlusive and watershed infarcts were present, suggesting thromboembolism and hypoperfusion were both mechanisms of injury. Risk factors for stroke included lower birth weight, preoperative mechanical ventilation, and lower hematocrit during CPB. Importantly, other operative variables, such as duration of CPB and the use of DHCA, were not significantly associated with an increased risk of stroke. In contrast to our study evaluating risk factors for PVL in the same cohort, postoperative hypoxemia and hypotension were not associated with an increased risk of stroke, suggesting that the mechanisms underlying the two forms of cerebral injury are different.

Ongoing neurodevelopmental studies will investigate the effect of stroke on short-term and long-term functional outcomes. More complete evaluation of stroke risk factors, including thrombophilia and vascular anomalies as well as serial neuroimaging, may provide additional insight concerning mechanisms and potential treatment strategies.

Acknowledgments

This study was supported by grants from the Fourjay and Ethel B. Foerderer Foundations, and the American Heart Association.

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Fig 1.

Illustrative magnetic resonance imaging findings of infarcts (arrows). (A) Apparent diffusion coefficient map from axial diffusion-weighted image shows large acute middle cerebral artery territory infarct. (B) Left caudate lacunar infarct on axial T2 image. (C) Remote right middle cerebral-anterior cerebral artery watershed zone infarct on axial T2 image.

Fig 2. Location of infarct (regions of shaded area) obtained by manual outline of lesion on representative axial T2 or diffusion-weighted image. See Table 3 for details.

Table 1

Univariate Logistic Regression of Separate Predictors of Stroke

Risk Factors	β	SE	<i>p</i> Value
Preoperative factors			
Race			
White ^a	...	...	0.007 ^b
Black ^c	-0.111	0.072	0.123
Asian ^c	0.556	0.168	0.001 ^b
Hispanic ^c	-0.111	0.168	0.511
Gestational age	-0.091	0.116	0.433
Gestational age, < 37, $\geq$ 37 w	-0.491	0.833	0.556
Delivery	0.984	0.800	0.219
Apgar 1	-0.117	0.165	0.479
Apgar 5	-0.326	0.429	0.447
Birth head circumference	-0.182	0.122	0.136
Birth weight	-0.001	0.000	0.070 ^b
APOE 22 or 23 ^c	0.140	0.110	0.206
APOE 33 ^a			0.159
APOE 34 or 44 ^c	-0.077	0.064	0.227
Intubation preoperatively	1.253	0.644	0.052 ^b
Prostaglandin E ₁	0.539	0.696	0.438
Operative factors			
Age at operation	0.004	0.007	0.588
Age at operation, <30, $\geq$ 30 d	0.346	0.709	0.626
Weight	-0.254	0.327	0.436
Operative class	-0.031	0.225	0.892
Cooling duration, min	0.014	0.038	0.708
DHCA, yes/no	0.655	0.695	0.346
DHCA duration, min	0.021	0.012	0.098 ^b
CPB duration, min	-0.010	0.009	0.282
Total support, min	-0.001	0.008	0.891
Hematocrit	-0.180	0.091	0.047 ^b
Surgeon ^d	...	...	0.765
Postoperative factors			
Heart rate			
At CICU admission	-0.024	0.014	0.080 ^b
Maximum $\leq$ 48 h post-op	-0.025	0.020	0.218
Minimum $\leq$ 48 h post-op	-0.022	0.017	0.189
Systolic blood pressure			
At CICU admission	0.048	0.017	0.005 ^b

Risk Factors	β	SE	<i>p</i> Value
Maximum $\leq$ 48 h post-op	0.030	0.016	0.050 ^b
Minimum $\leq$ 48 h post-op	0.017	0.028	0.545
Diastolic blood pressure			
At CICU admission	0.030	0.023	0.192
Maximum $\leq$ 48 h post-op	0.012	0.023	0.603
Minimum $\leq$ 48 h post-op	-0.033	0.050	0.519

^aReference group (*p* value represents analysis of variance results for comparison of all categories).

^b*p* < 0.10.

^cComparison with reference group.

APOE = apolipoprotein E; β = regression coefficient; CICU = cardiac intensive care unit; CPB = cardiopulmonary bypass; DHCA = deep hypothermic circulatory arrest; SE = standard error.

Table 2

Patient Demographics and Intraoperative Variables

Patient Data	Stroke (n = 12) Median (Range)	No Stroke (n = 110) Median (Range)
Gestational age w	39 (28–40)	39 (29–42)
Birth weight, g	2755 (739–3818)	3123 (1230–4528)
Head circumference, cm (n = 120)	33 (24–36)	34 (27–38)
Age at operation, d	6 (3–162)	6 (1–177)
Weight at operation, g	2900 (1900–5300)	3300 (1520–6900)
Cooling duration, min	15 (3–30)	15 (0–57)
DHCA duration, min (n = 76)	47 (2–86)	38 (1–78)
CPB duration, min	52 (40–116)	61 (13–242)
Total support time, min	87 (51–156)	61 (13–242)
Hematocrit, ^a %	26 (21–31)	28 (20–38)

^a After hemodilution on CPB.

CPB = cardiopulmonary bypass; DHCA = deep hypothermic circulatory arrest.

Table 3

Type, Location, and Vascular Territory of Ischemic Infarcts

Patient ^a	Type and Location of Infarct	Infarct Age ^b	Vascular Territory
Arterial occlusive (n = 5)			
1	MCA, right	Acute	Proximal MCA
2	Frontal, bilateral & parietal, right	Remote	Bilateral ACA + MCA branch
3	Occipital, hemorrhagic, right	Acute	PCA
4	Thalamic, right	Acute	PCA, thalamic branch
5	Caudate, left	Acute	MCA lenticulostriate branch
Watershed (n = 7)			
6	Parietal-occipital, hemorrhagic, left	Acute	MCA-PCA
7	Parietal-occipital, left	Subacute	MCA-PCA
8	Anterior frontal, right	Remote	ACA-MCA
9	Parietal-occipital, left	Subacute	MCA-PCA
10	Deep frontal white matter, left	Subacute	ACA-MCA
11	Parietal-occipital, left	Subacute	MCA-PCA
12	Parietal white matter, left	Subacute	MCA-PCA

^a As depicted in Figure 2.^b Acute indicates restricted diffusion; subacute, no restricted diffusion; remote, cystic encephalomalacia.

ACA = anterior cerebral artery; MCA = middle cerebral artery; PCA = posterior cerebral artery.

Table 4

Cardiac Anatomy and Association With Stroke

Anatomy	No.	Stroke	No Stroke	<i>p</i> Value
Class I	50	5	45	0.751
Class II	15	2	13	...
Class III	9	0	9	...
Class IV	48	5	43	...
2 ventricles	65	7	58	0.712
1 ventricle	57	5	52	

Class I = 2 ventricles with no arch obstruction; Class II = 2 ventricles with arch obstruction; Class III = single ventricle with no arch obstruction; Class IV = single ventricle with arch obstruction.

Table 5

Statistically Significant Risk Factors for Perioperative Stroke

Variable	Univariate Analysis			Multivariate Analysis		
	β	SE	p Value	β	SE	p Value
Asian race	0.556	0.168	0.001	2.233	2.044	0.274
Birth weight	-0.001	0.000	0.070	-0.002	0.001	0.016
Intubation ^b	1.253	0.644	0.052	2.079	0.982	0.034
Hematocrit ^b	-0.180	0.091	0.047	-0.247	0.126	0.051
DHCA duration	0.021	0.012	0.098	0.032	0.019	0.086
At CICU admission						
Heart rate	-0.024	0.014	0.080	-0.049	0.028	0.074
SBP	0.030	0.016	0.050	0.089	0.036	0.012

^aBefore the operation.^bAfter hemodilution on cardiopulmonary bypass. β = regression coefficient; CICU = cardiac intensive care unit; DHCA = deep hypothermic circulatory arrest; SBP = systolic blood pressure; SE = standard error.