Comparative sequence analysis of *MONOCULM1*-orthologous regions in 14 *Oryza* genomes

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Comparative genomics is a powerful tool to decipher gene and genome evolution. Placing multiple genome comparisons in a phylogenetic context improves the sensitivity of evolutionary inferences. In the genus *Oryza*, this comparative approach can be used to investigate gene function, genome evolution, domestication, polyploidy, and ecological adaptation. A large genomic region surrounding the *MONOCULM1* (*MOC1*) locus was chosen for study in 14 *Oryza* species, including 10 diploids and 4 allotetraploids. Sequencing and annotation of 18 bacterial artificial chromosome clones for these species revealed highly conserved gene colinearity and structure in the *MOC1* region. Since the *Oryza* radiation about 14 Mya, differences in transposon amplification appear to be responsible for the different current sizes of the *Oryza* genomes. In the *MOC1* region, transposons were only conserved between genomes of the same type (e.g., AA or BB). In addition to the conserved gene content, several apparent genes have been generated de novo or uniquely retained in the AA lineage. Two different 3-gene segments have been inserted into the *MOC1* region of *O. coarctata* (KK) or *O. sativa* by unknown mechanism(s). Large and apparently noncoding sequences flanking the *MOC1* gene were observed to be under strong purifying selection. The allotetraploids *Oryza alta* and *Oryza minuta* were found to be products of recent polyploidization, less than 1.6 and 0.4 Mya, respectively. In allotetraploids, pseudogenization of duplicated genes was common, caused by large deletions, small frame-shifting insertions/deletions, or nonsense mutations.

comparative genomics | genome evolution | microcolinearity | allotetraploid | conserved noncoding sequence

Comparative genomics has emerged as a powerful tool to decipher gene and genome evolution and improve genome annotation. Multiple species comparisons reveal novel insights into genome evolution (1), genome duplication (2), and new gene origination (3), and can also identify previously unknown or poorly characterized genome components, such as novel transposable elements (4) and novel functional elements (5).

Among plants, grasses have been the paradigm for comparative genomics for more than a decade (6). Comparative genetic and physical mapping revealed extensive genome colinearity among closely or distantly related grass species (7–9). Further fine-scale sequence comparisons have illustrated that the genes in grass genomes are generally colinear, with occasional small rearrangements (inversions, duplications, and deletions) that appear to be associated with unequal homologous or illegitimate recombination, and rarer gene movements from unlinked chromosomal sites without a known mechanism for mobility (10–16). Because only a few species were involved in these comparisons, instances of noncolinearity, such as predicted de novo gene origins, gene translocations, or pseudogenizations, could be

identified but were not thoroughly investigated (1). To improve the precision and sensitivity of the evolutionary inferences drawn from the comparisons, the number of species compared had to be increased (5, 17). Because of its large number of species, history of genetic study, and well-characterized phylogeny and domestication, the *Oryza* genus is an ideal model system to study gene function, genome evolution, domestication, polyploidy, and ecological adaptation using a comparative approach (18).

The genus *Oryza* consists of 23 species with diverse ecological adaptations (19), including the Asian cultivated rice. Rice (*Oryza sativa* L.) is both an important food crop and a model plant for biological studies. Wild rice species have proven to be tremendous gene reservoirs to increase domesticated rice yield, quality, and resistance to diseases and insects. Wild rice species have furnished genes for the hybrid rice revolution, exhibit yield-enhancing traits, and have shown tolerance to biotic and abiotic stress (20, 21).

The *Oryza* species have 10 different genome types, including 6 diploid genome types (AA, BB, CC, EE, FF, and GG) and 4 allotetraploid genome types (BBCC, CCDD, HHKK, and HHJJ) (19). For the *Oryza* Map Alignment Project (OMAP) (18), representatives from each of these 10 genome types were selected for bacterial artificial chromosome (BAC) library construction, BAC end sequencing, and physical map construction (22, 23). From analysis of the OMAP data, *Oryza* comparative genomics has given novel insights into *Oryza* genome evolution (24–26) and genome size variation (27, 28). However, no systematic comparative sequence analysis has been performed across the *Oryza*. Moreover, the allotetraploidy in some *Oryza* species allows for study of the evolutionary dynamics of duplicated genes in polyploids.

To better understand *Oryza* genome evolution, *MONOCULM1* (*MOC1*) genomic regions were sequenced and compared across

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Fig. 1. Genomic alignment of the *MOC1* region in 18 *Oryza* genomes or subgenomes. The species are ordered by genome type. Horizontal light blue bars represent genomic sequence in the *MOC1* region. Gene models are shown in black rectangles. Transposons (at least 1 kb) are shown in red and pink for retrotransposons and DNA transposons, respectively. Lines/curves connect orthologous genes with each other and orthologous transposons with each other.

14 *Oryza* genomes. Located on the long arm of chromosome 6, *MOC1* encodes a GRAS family nuclear protein that controls an important agronomic trait, the formation of tillers, in rice (29). This study revealed gene and transposable element (TE) dynamics during *Oryza* evolution.

Results

Sequencing and Annotation of BAC Clones. The 12 species in OMAP, plus *indica* rice (*Oryza sativa* L. ssp. *indica* cultivar Nipponbare), were included in the comparative study. Sixteen BAC clones from 8 diploid and 4 allotetraploid species were isolated from *Oryza* BAC libraries and sequenced (supporting information (SI) Table S1). About 2.4 Mb of data were generated in the *MOC1* region across these 16 clones. Gene and transposable element (TE) annotation are shown in Fig. 1 and Table S2.

In the preexisting *japonica* sequence (30), we refined the gene annotation of the *MOC1* region. Of 47 initial gene models, 9 were removed because they were observed to be TE related. An additional 4 were removed because they do not have cDNA or EST support and were not found in all AA *Oryza* species, although some of these may represent de novo genes created in a specific AA lineage. Of the remaining 34 gene models that were further analyzed, 30 have cDNA or EST support. The remaining 4 gene models were found to be homologous to known proteins or were conserved in all *Oryza* species studied. Addi-

tionally, RT-PCR of gene 23 redefined its exon/intron structure (data not shown and Fig. S1).

To annotate the remaining non-*japonica* genomes, the FGENESH program (31) was used for gene prediction. From the 397 predicted gene models, 175 (44%) that are TE related or have no significant hits to cDNAs, ESTs, or known proteins and are not conserved in other studied *Oryza* genomes were removed. The remaining 256 gene models were annotated in the 14 *Oryza* genomes (Table S2). The exon/intron structures of 122 non-*japonica* genes were manually refined to match orthologous genes in *japonica*. In addition, the exon/intron structures of 18 genes were verified by RT-PCR (data not shown).

TEs were discovered and annotated using RepeatMasker and Repbase with subsequent manual validation (Table S2). Long terminal repeat (LTR) retrotransposons were the predominant class I TEs, and major class II TEs included *Mutator*, *hAT*, and CACTA elements. Although the extensive previous annotation of miniature inverted repeat transposable elements (MITEs) in *O. sativa* means that the use of Repbase should create a bias toward discovery of MITEs in AA genomes, these tiny elements were observed to have a higher density in the only studied FF genome, that of *O. brachyantha* (one MITE per 3.3 kb), than in AA genomes (averaging one MITE per 4.4 kb). All other genomes exhibited known MITE densities of less than one per 7 kb (Table S2).

In the tetraploid species, each subgenome identity was verified by phylogenetic analyses of gene models 22 (*MOC1*) and 23 (data

Fig. 2. Gene evolution in the *MOC1* region in *Oryza*. Numbers on the top represent genes in *japonica*. Each row represents an *Oryza* genome or subgenome. Black rectangles indicate that the genome has an ortholog with identical exon/intron structure to *japonica*. Blue rectangles indicate that the genome has an ortholog with different exon/intron structure from the *japonica* gene. Red rectangles indicate pseudogenes. Light blue rectangles indicate genes with ambiguous structure or functionality. Short lines indicate the presence of partial homology to candidate genes (18, 19, 21, and 28) that are predicted to have been created de novo in the AA lineage leading to *japonica*. Candidate genes 20, 31, 32, and 33 are not shown because their predicted exon/intron structures have not been confirmed experimentally in *japonica* rice. The *Oryza* phylogenetic tree is shown on the left.

not shown). Both of these genes have sequence information available for all *Oryza* species studied.

TEs Have Shaped Genome Architecture in the Genus *Oryza*. The genome sizes of *Oryza* species range from ≈ 340 Mb (*O. brachyantha*) to $\approx 1,280$ Mb (*O. ridleyi*) (22). In the *MOC1* region, the TE content in diploid species was found to positively correlate with genome size (correlation coefficient = 0.89; $P < 0.005$). This correlation suggests that, in addition to polyploidization, TEs are the driving force behind *Oryza* genome expansion. In the *MOC1* regions, detected DNA transposons and retrotransposons comprised an average of 29.5% of the sequence.

Using the Artemis Comparison Tool (32), clear divisions between conserved genic and variable intergenic regions were found to be primarily the result of different TE insertions in intergenic regions (Fig. S2). TEs in genic regions were composed mainly of short MITEs, such as *Tourist*, *Stowaway*, *Explorer*, *Crackle*, and *Gaijin* elements. In contrast, TEs in intergenic regions were often long transposons, including LTR retrotransposons, plus LINE, *hAT*, CACTA, and *Mutator* elements, which can extend up to 10 kb. In some cases, these intergenic TEs were nested within clusters. In intergenic regions, besides intact *gypsy* and *copia* LTR retrotransposons, many solo LTRs were observed (Table S2). However, no *Helitron* was detected. In genomes of the same type, many TEs were located in orthologous positions. In contrast, few orthologous TEs were identified between different genome types (Fig. S2). For example, only 8 of 107 (7.5%) TEs were orthologous between *japonica* (AA) and *O. punctata* (BB). In contrast, $\approx 95\%$ of the TEs were found to be orthologous between 2 AA genomes (*japonica* and *O. glaberrima*). Similarly, $\approx 98\%$ of the TEs were observed to be orthologous between 2 BB genomes (*O. punctata* and the BB subgenome of *O. minuta*).

High Gene Colinearity and Rare Exceptions in the *MOC1* Region. Although TEs resulted in highly variable intergenic regions, gene content, gene order, and transcriptional orientation are highly conserved in the *MOC1* regions (Fig. 1). Of 222 genes, 217 (97.7%) in non-*japonica* species have *japonica* orthologs located in colinear positions.

Three exceptions to strict colinearity were identified. First, in the *O. coarctata* KK subgenome, a unique 60-kb fragment with 3 genes was detected (Fig. 1). Based on a BLAST search, this

fragment was homologous to a *japonica* region 400 kb upstream of *MOC1*, indicating a DNA rearrangement in that lineage that gave rise to this part of the *O. coarctata* genome. Second, a 3-gene segment was found in the *japonica* and *indica* *MOC1* regions but absent in the other genome types studied (genes 31–33; Fig. 1). These 3 genes are homologous to 3 adjacent genes on *japonica* chromosome 1 (Fig. S3). The segment was not introduced by retrotransposition because the genes retain introns. Additionally, although fragments of *Mutator* elements were found in the intergenic regions of the 3 genes, no terminal inverted repeat or target site duplication was identified. Third, in *O. granulata*, a tandem duplication of gene 23 was observed (Fig. S3). Both duplicated genes are separated by a 50-kb repeat region, comprised of *Mutator* elements and LTR retrotransposons. One copy appears to be a pseudogene caused by a 28-bp deletion in the ninth exon that led to a frameshift mutation.

Conserved Exon/Intron Structure of Orthologous Genes. Besides extensive gene colinearity across the genus *Oryza*, exon/intron structures of orthologous genes are also highly conserved. Of 217 non-*japonica* genes, 192 (88.5%) had identical exon/intron structures to their *japonica* orthologs (Fig. 2). Most introns had the canonical GT/AG splice site. One exception was that the second intron splicing site of gene 12 was changed to GC/AG in *O. officinalis* and the *O. alta* CC subgenome. Despite a 4-kb retrotransposon insertion in the third intron of gene 14 in the *O. alta* DD subgenome, the exon/intron structure remained unchanged. The FGENESH program predicted that the TE-related domains would fuse with gene 14 exons to form a new gene. However, RT-PCR experiments revealed that the retrotransposon was spliced out of the transcript (data not shown).

Possible de Novo Gene Creation in the *MOC1* Region. Some lineages contained apparent novel genes in the *MOC1* region. To determine if these genes represented gene gain or gene loss, we examined their distribution in a phylogenetic context. Gene 18 was identified only in AA genomes. In *O. punctata* (BB), partial homology to gene 18 was observed in the first exon and first intron that contains a fragmented LINE (Fig. S4), but no homology to gene 18 was detected outside of genome type BB. To distinguish whether this gene originated in the AA lineage de novo or as a duplicated locus that was later deleted from other genomes, gel blot hybridization analysis was performed on

japonica, *O. rufipogon*, *O. punctata*, *O. brachyantha*, and 3 additional AA species not included in OMAP (*O. glumaepatula*, *O. longistaminata*, and *O. meridionalis*). The hybridized blot showed that gene 18 was present as a single copy in *japonica*, *O. rufipogon*, and *O. glumaepatula*, but no bands were observed for the other *Oryza* species (data not shown). In *O. glaberrima*, a 7-bp insertion in the first exon of gene 18 led to a new exon (Fig. S4), suggesting that the structure of gene 18 is unstable in AA genomes.

Not all candidate de novo genes originated by such major changes. For example, gene 21 has a single exon of 180 bp. The ORF of gene 21 was identified only in AA and BB genomes (Fig. 2). However, DNA sequence with more than 90% identity was found in the syntenic regions of *japonica* (AA) and *O. granulata* (GG) (Fig. S4). Thus, gene 21 may have originated de novo by cumulative point mutations and small indels in an existing sequence. Alternatively, gene 21 might actually be a conserved noncoding sequence (CNS). Similar cases were observed for gene 19 and gene 28 (Fig. 2). In contrast to gene 21, these genes show weak sequence homology to orthologous regions of distant species (data not shown).

Timing the Radiation of the Genus *Oryza* and the Origins of Allotetraploids. Although a robust phylogeny of the *Oryza* genus has been reconstructed (19, 33), the dates of the ancestral divergences of *Oryza* lineages remain controversial. The divergence time of *Oryza* species was estimated with 4 genes that were identified in all diploid species (Table S3). To limit the estimates to genes that evolve at similar rates, *O. brachyantha*, which evolves faster than other *Oryza* species (33), and allotetraploids, which have duplicated genes that may have relaxed selection constraints, were excluded from this analysis. The earliest split within *Oryza* was estimated at about 14 Mya; the AA and BB lineages are predicted to have diverged from each other about 7.5 Mya.

Estimation of when the tetraploid species originated required estimates for the divergence times of both “progenitor” lineages. Of the 4 tetraploid species in our study, only *O. minuta* had both putative maternal (*O. punctata*) and paternal (*O. officinalis*) diploid progenitors identified (19). Thus, the tetraploid origin for *O. minuta* (BBCC) could be calculated on the basis of the divergence times of the diploid genome and the corresponding subgenome in the allotetraploid. Six gene pairs in *O. punctata* (BB) and the *O. minuta* BB subgenome and 3 gene pairs in the *O. minuta* CC subgenome and *O. officinalis* (CC) were combined to estimate that the *O. minuta* parental lineages diverged ≈ 0.4 Mya, and thus the polyploidy event must have occurred within the last 400,000 years.

Because *O. officinalis* (CC) is proposed to be a close relative of the maternal progenitor of *O. alta* (CCDD) (19), when the tetraploid genome formed in *O. alta* could also be calculated. Based on the substitution patterns in 9 orthologous gene pairs in *O. officinalis* and the CC subgenome of *O. alta*, the tetraploid formation event of CCDD was estimated as less than 1.6 Mya.

Pseudogenization of Duplicated Genes in Allotetraploids. In the allotetraploid *Oryza* genomes, pseudogenization of duplicated genes resulted from nonsense mutations, frameshift mutations, and sequence deletions (Fig. 2). In the *O. minuta* CC subgenome, gene 23 was pseudogenized by a nonsense mutation. In the *O. alta* CC subgenome, gene 14 was pseudogenized by 3 frameshift mutations, leading to a premature stop codon. In *O. coarctata* and *O. ridleyi*, 6 of 18 genes were pseudogenized. In the *O. ridleyi* JJ subgenome, 3 kb was deleted between gene 25 and gene 26, which eliminated exons in both genes and led to a double pseudogenization. In all cases, a maximum of one copy of each pair of duplicated genes was pseudogenized.

Evolutionary Dynamics of Duplicated Genes in *O. minuta* (BBCC). To reveal the evolutionary dynamics of duplicated genes in recently formed allotetraploids, we calculated nonsynonymous substitution rates (K_A) and synonymous substitution rates (K_S) between the tetraploid genes and their orthologs in the diploid “progenitor” lineage. In *O. minuta*, 4 of 8 genes have $K_A/K_S > 1$.

To identify the substitutions that took place in each lineage, we compared the sequence in the allotetraploids and the parental diploid progenitors with *japonica* as the outgroup (Table S4). Taking all of the genes together, both nonsynonymous ($P < 0.05$) and synonymous ($P < 0.01$) substitutions were in excess in *O. minuta*, indicating that the duplicated genes in this allotetraploid are evolving under relaxed constraint.

Conserved Noncoding Sequences in the Vicinity of *MOC1*. Intergenic regions were highly variable and nonhomologous between distant *Oryza* genomes due to TE insertions and deletions (Fig. S2). However, 3 CNS regions flanking *MOC1* with a sequence identity of up to 96% were identified by comparing *japonica* and *O. granulata* (Fig. S5). These 3 CNS regions were 3.8, 3, and 2 kb long. They were conserved in all *Oryza* genomes studied. To investigate whether these CNS regions evolve neutrally or under selective constraints, we analyzed the substitutions of TE and intron sequences between *japonica* and *O. granulata* to get a distribution of neutral substitutions (Fig. S6). The substitution rates of orthologous genes between *japonica* and *O. granulata* were compared with those of the CNS regions. The 3 CNS regions evolved similarly to coding sequences and had significantly fewer substitutions than expected under the neutral model (Fig. S5), suggesting that purifying selection plays an important role in these CNS regions. These CNS regions were also found to be conserved in orthologous positions in sorghum and maize genomes (Fig. S5).

Discussion

With the completion of the rice genome sequence (30), the *Oryza* genus is becoming an ideal system for comparative genomics (18, 22, 23, 27). Though previous comparative studies in grasses revealed some genome evolution patterns (1), these comparisons involved only a few species (often distantly related) and thus were unlikely to uncover mechanisms involved in recent rearrangements. Instead, to uncover these mechanisms, we compared 14 species/subspecies and 18 genomes/subgenomes across the *Oryza* genus. This study provides insight into plant genome evolution, new gene origination, conserved noncoding regions, and the evolution of genes and noncoding sequences in polyploids.

Comparative Genomics Improves Genome Annotation. Gene annotation is an imperfect process, especially in complex genomes rich in transposable and retrotransposable elements (34). Comparative genomics can dramatically improve gene and genome annotation (14, 17). In this study, more than 40% of the gene models predicted by FGENESH were found to be TE related. If these gene models remained in the annotation, many lineage-specific genes would have been erroneously predicted in *Oryza*. Despite extensive studies of rice, nearly 30% of gene models in the *MOC1* region appear to have been misannotated, including gene models with “full-length” cDNA support (Fig. S1).

Except candidate novel genes, orthologs of most rice genes can be recognized easily in other *Oryza* species, including distant *Oryza* species such as *O. brachyantha* and *O. granulata*. In all *Oryza* species, most genes have identical gene structure to their *japonica* ortholog; the exon sequences are highly conserved, whereas introns have limited sequence identity. Potentially functional CNS elements embedded in variable intergenic regions were identified as well. Thus, full genome sequencing of a distant *Oryza* species could dramatically improve gene annota-

substitution rate of 6.5×10^{-9} synonymous base substitutions per site per year (56) was used to date lineage separation events.

Simulations to Detect Purifying Selection in CNS Regions. TE and intron sequences were used to estimate neutral substitution (d) in these genomes. Because orthologous TEs and introns were difficult to identify between *japonica* and *O. granulata*, orthologous TEs and introns between *japonica* and *O. glaberrima* were combined to estimate d using the Jukes-Cantor model (57). Sequences of 6 orthologous genes (gene 14, 15, 16, 17, 22, and 24) were merged to estimate the K_S value between *japonica*, *O. glaberrima*, and *O. granulata*. Based on the assumption that d is positively correlated with K_S , we estimated d^{TE} and d^{intron} between *japonica* and *O. granulata* as 0.39 and 0.19, respectively.

Second, the theoretical distribution of observed neutral substitutions was

simulated. Employing the Jukes-Cantor model (57), $p = 3 \times (1 - e^{-4d/3})/4$, where p is the ratio of different base pairs of 2 aligned sequences, p^{TE} and p^{intron} were estimated as 0.31 and 0.17, respectively. To generate the theoretical distribution of observed neutral substitutions, 20,000 replicates of 50-bp-long sequences under either p^{TE} or p^{intron} were generated (Fig. S6). Based on these distributions, 50-bp windows for TEs with ≤ 10 substitutions or for introns with ≤ 5 substitutions between *japonica* and *O. granulata* were judged as evolving significantly more slowly than TEs or introns.

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