Evaluation of an Intervention to Increase Screening Colonoscopy in an Urban Public Hospital Setting

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ABSTRACT Only 50% of New Yorkers aged 50 and over reported ever being screened for colorectal cancer by any modality according to a recent household survey. The objective of this investigation was to assess the impact of a hospital-based intervention aimed at eliminating health care system barriers to timely colorectal cancer screening at Lincoln Medical Center, a large, urban public hospital in one of the nation's poorest census tracts. We conducted a retrospective analysis of all colonoscopies performed over an 11-month period, during which a multi-pronged intervention to increase the number of screening colonoscopies took place. Two "patient navigators" were hired during the study period to provide continuity for colonoscopy patients. A Direct Endoscopic Referral System (DERS) was also implemented. Enhancements to the gastrointestinal (GI) suite were also made to improve operational efficiency. Immediately following the introduction of the patient navigators, there was a dramatic and sustained decline in the broken appointment rates for both screening and diagnostic colonoscopy (from 67% in May of 2003 to 5% in June of 2003). The likelihood of keeping the appointment for colonoscopy after the patient navigator intervention increased by nearly 3-fold (relative risk = 2.6, 95% CI 2.2–3.0). The rate of screening colonoscopies increased from 56.8 per month to 119 per month. The screening colonoscopy coverage provided by this facility among persons aged 50 and over in surrounding Zip codes increased from 5.2 to 15.6% (RR 3.0, 95% CI 1.9–4.7). Efforts to increase the number of screening colonoscopies were highly successful, due in large part to the influence of patient navigators, a streamlined referral system, and GI suite enhancements. These findings suggest that there are significant health-care system barriers to colonoscopy that, when addressed, could have a significant impact on screening colonoscopy rates in the general population.

KEYWORDS Colorectal cancer, Colonoscopy, Patient navigators, Direct endoscopic referral system, Screening

INTRODUCTION

Colorectal cancer prevention poses a significant challenge to the public health community. Each year, approximately 140,000 new cases of colorectal cancer are diagnosed in the United States, and approximately 50,000 people die as a result.¹

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The five boroughs of New York City (NYC) have a large number of acute-care hospitals and outpatient endoscopy clinics.² Nonetheless, more than 1,500 New Yorkers die from colorectal cancer each year.³ Although screening tests for colorectal cancer are highly effective at detecting cancer early, improving survival, and even preventing the initial development of cancer by removal of precancerous polyps,⁴ colorectal cancer screening uptake is low. National data suggest that only 53% of U.S. adults age 50 and older are screened for colorectal cancer by fecal occult blood testing (FOBT) or sigmoidoscopy according to recommended schedules.⁵ Recent estimates of colorectal screening by modality suggest that only 33% of U.S. adults receive FOBT every 2 years, and only 35% have ever had a sigmoidoscopy. Colorectal cancer screening rates are significantly lower than those for cervical (83%) and breast (70%) cancer, both of which have improved substantially over the past few decades.⁵ Increasing colorectal cancer screening rates to the same level as cervical and breast cancer screening rates would result in significant reductions in colorectal cancer incidence and mortality.

Increasing colorectal cancer screening rates may be more challenging in some populations than others. Factors that differentiate the likelihood of screening include insurance coverage, source of care, lower income, and age after accounting for sex, racial/ethnic group and educational level,⁶ each of which are also important determinants. Other factors that should influence an individual decision or provider referral for screening include personal risk factors for colorectal cancer such as family history, obesity and exercise, and smoking.⁷ However, even among persons who access the health care system and are referred for colorectal screening, the actual proportion screened can be quite low, suggesting that there are also health care system related barriers to timely colorectal screening, such as a long wait time for appointments.^{8,9}

In 2003, the New York City Department of Health and Mental Hygiene (NYC DOHMH), together with a coalition of academic medical centers, community-based organizations and social service agencies in NYC, issued specific guidelines for colorectal cancer screening in NYC.¹⁰ Most leading national organizations recommend a variety of screening options for colorectal cancer for asymptomatic persons 50 years of age and older. These include a choice of either annual FOBT, flexible sigmoidoscopy every 5 years, or colonoscopy every 10 years.^{11–13} The NYC coalition recommended colonoscopy every 10 years as the *preferred* colorectal cancer screening test, with annual FOBT as an acceptable, although not optimal, alternative for those unwilling or unable to undergo colonoscopy. The rationale for the focused recommendation was based on the reported higher sensitivity of colonoscopy compared with FOBT and sigmoidoscopy¹¹ and on NYC's high number of gastroenterologists per capita.

This report provides an assessment of a multi-faceted intervention implemented in 2003 to increase the number of screening colonoscopies performed at Lincoln Medical Center in the Highbridge and Morrisania section of the Bronx.

MATERIALS AND METHODS

Setting

Lincoln Medical Center is situated in the Highbridge/Morrisania section of the Bronx and is one of 11 acute care public hospitals in NYC that comprise the New York City Health and Hospital Corporation (HHC). The Lincoln Medical Center

patient population is drawn from the nation’s poorest census tract and is predominantly Latino (57%) and black (38%), with approximately 30% being foreign born. Lincoln Medical Center houses one of the busiest emergency rooms in the nation, with over 150,000 visits per year.

Intervention to Increase Screening Colonoscopy at Lincoln

Prior to August of 2003, patients were referred for screening colonoscopy through either the GI or colorectal clinic where they would first receive medical clearance for the procedure. The clinic patients were referred to either the GI or colorectal clinic for evaluation by a medical gastroenterologist or surgical endoscopist, depending on which clinic had the shorter wait time for appointments. The typical minimum wait time for appointments was 8–10 weeks in the GI clinic and 3 months in the colorectal clinic, plus an additional 2–4 weeks for medical and financial clearance through pre-admission testing (PAT). In addition to the long wait time at the GI and colorectal clinics, patients were expected to navigate through a fairly complex medical system.

Patient Navigators Beginning in May 2003, two “patient navigators” were hired to assist patients in obtaining a colonoscopy (both screening and diagnostic). The purpose of the patient navigators was to increase efficiency and provide continuity for the patients at various points from the time of referral to the completion of the colonoscopy procedure (Fig. 1). Specifically, patient navigators assisted patients in completing paperwork for PAT, scheduling appointments, and providing appointment reminders immediately prior to the scheduled appointments. The patient navigators were available to facilitate referrals either through the GI or colorectal clinic. The patient navigators were expected to improve the uptake of screening colonoscopy by reducing the broken appointment rate, which was more than 50% prior to May of 2003.

Direct Endoscopic Referral System (DERS) To reduce the wait time between referral for screening colonoscopy and receiving an appointment for the procedure,

¹ DERS=Direct Endoscopic Referral System

FIGURE 1. Schematic of colonoscopy referrals at Lincoln Hospital.

Lincoln Medical Center introduced the Direct Endoscopic Referral System (DERS) in August of 2003, which allows the primary care physician to use standard guidelines for screening and diagnostic colonoscopies and to refer patients directly to PAT for the necessary clearance and scheduling for the colonoscopy appointment (Fig. 1). The DERS program bypasses medical clearance appointments at either the GI or colorectal clinics, reducing the wait time between scheduling and receipt of colonoscopy.

GI Suite Enhancements In September of 2003, several enhancements were made to the GI suite to improve operational efficiency. These included the addition of more equipment such as colonoscopes and video processors; colonoscopy cleaning was moved from the basement to the GI suite, resulting in faster turnaround time and less potential for damage to the colonoscopes; the GI suite recovery areas were redesigned such that one registered nurse (RN) could now monitor at least four patients (allowing more nurses to be assigned to the procedure rooms); finally, an anesthesiologist performed the required moderate sedation and completed all paperwork (reducing the procedure time by an estimated 10 to 15 min per case).

Evaluation Methods

Data presented here are based on all colonoscopies performed at Lincoln Medical Center ($N = 1,767$, 707 diagnostic and 1,060 screening) during the 11-month time period April 2003–February 2004, which bracketed the implementation of the Patient Navigator/DERS intervention. Patients referred from the General Medicine Clinic had information on the broken appointment rates.

Analysis and Definitions

In order to compare the volume of screening colonoscopies before and after the Patient Navigator/DERS intervention, the number of colonoscopies and the average colonoscopy rate per month were tabulated by demographic characteristics and indication for colonoscopy. The pre and post time period cutoff point was set at August 2003 to allow for an analysis of the combined impact of the patient navigators (implemented in May 2003) and DERS (implemented in August 2003). Choosing an earlier cutoff (e.g., May 2003) would have resulted in a small sample size for the baseline period (i.e., March and April 2003 only). Because the number of months with available data pre and post intervention are different (4 and 7 months, respectively), the average monthly *rate* of colonoscopies was analyzed to facilitate comparison of the pre and post intervention period. During the course of the study, data collection procedures were modified to allow recording of an “other” category of race/ethnicity, in addition to non-Hispanic black, Hispanic, and non-Hispanic white, making it necessary to interpret race/ethnicity associations with caution.

The broken appointment rate was defined as the proportion of patients who were medically and financially cleared through the PAT process and who were scheduled for colonoscopy but who did not show up for their colonoscopy appointment. The broken appointment rate was calculated for each month in 2003 among those patients referred from the general medicine clinic ($n = 898$).

Estimating the Coverage of Screening Colonoscopy in Surrounding Zip Codes To estimate the amount of screening colonoscopy coverage provided by Lincoln Medical Center to the surrounding community, the number of screening colonoscopies per month among persons from Zip codes adjacent to Lincoln Medical Center (i.e., 10451, 10452, 10454, 10455, and 10456) was divided by the number of persons over age 50 who would be expected to receive a screening colonoscopy if the entire population of persons aged 50 and over in these Zip codes were screened

TABLE 1. Characteristics of persons receiving diagnostic and screening colonoscopy, Lincoln Hospital, 2003–2004

	April–July 2003			August 2003–Feb 2004		
	N	Percent	Average number per month	N	Percent	Average number per month
Total	470	100	117.5	1297	100	185.3
Sex						
F	278	59	69.5	795	61	113.6
M	192	41	48.0	502	39	71.7
Unknown				4	0	0.6
Race						
Non-Hispanic black	78	17	19.5	78	6	11.1
Hispanic	369	79	92.3	902	69	128.9
Other/unknown	23	5	5.8	321	25	45.9
Age						
0–40	25	5	6.3	48	4	6.9
40–44	21	4	5.3	45	3	6.4
45–49	29	6	7.3	59	5	8.4
50–54	77	16	19.3	225	17	32.1
55–59	79	17	19.8	270	21	38.6
60–64	72	15	18.0	260	20	37.1
65–69	73	16	18.3	197	15	28.1
70–74	51	11	12.8	115	9	16.4
75+	43	9	10.8	80	6	11.4
Unknown				2	0	0.3
Insurance						
Medicaid	149	32	37.3	495	38	70.7
Medicaid/medicare	115	24	28.8	263	20	37.6
Medicare	80	17	20.0	87	7	12.4
Uninsured	49	10	12.3	218	17	31.1
MetroPlus	48	10	12.0	118	9	16.9
Other	29	6	7.3	116	8	16.6
Indication for colonoscopy						
Screening	227	48	56.8	833	64	119.0
Anemia	46	10	11.5	118	9	16.9
Rectal bleeding	36	8	9.0	111	9	15.9
+FOBT	34	7	8.5	36	3	5.1
GI bleeding	33	7	8.3	38	3	5.4
Other	94	21	23.5	165	11	23.6
Navigator						
No	419	89	104.8	755	58	107.9
Yes	51	11	12.8	546	42	78.0

every 10 years. For example, there are 9,887 persons aged 50 and over in Zip code 10451, each of whom would be targeted for screening by colonoscopy every 10 years. If the screening of this target population is distributed equally over 10 year's time with 100% coverage, we would expect that 989 persons would be screened each year (i.e., 9,887 persons divided by 10), or approximately 83 persons per month (i.e., 989 persons divided by 12 months). The actual number of monthly screening colonoscopies performed at Lincoln Medical Center was compared with the target number of screening colonoscopies for Zip code 10451 (Zip code of Lincoln Medical Center) as well as all adjacent Zip codes to estimate the proportion of the colonoscopy screening target in the community covered by Lincoln Medical Center both before and after the Patient Navigator/DERS intervention.

RESULTS

All Colonoscopies

Table 1 shows the number and characteristics of persons receiving colonoscopy (screening or diagnostic) at Lincoln Medical Center over an 11-month period before and after the Patient Navigator/DERS intervention. The proportion of males receiving colonoscopies did not change appreciably $p_{\chi^2} = 0.4143$. The proportion of uninsured persons who received colonoscopy increased from 10 to 17% before and after the intervention, respectively, $p_{\chi^2} < 0.001$. The proportion of colonoscopies that had a patient navigator associated with them increased from 11 to 42% $p_{\chi^2} < 0.001$. While both screening and diagnostic colonoscopies increased as the

FIGURE 2. Number of diagnostic and screening colonoscopies by month and indication for colonoscopy, Lincoln Hospital, 2002–2004.

intervention was phased in, the number of screening colonoscopies increased more with time (Fig. 2). Persons receiving screening colonoscopy at Lincoln Hospital during March 2003–February 2004 came from 48 Zip codes in four NYC boroughs, with the majority coming from nearby Zip codes in the Bronx.

Screening Colonoscopies

Among those persons receiving screening colonoscopies ($n = 1,060$, Table 2), the average number of persons screened per month increased from 75.7 to 119.0 before and after the intervention, respectively. There was no difference in the proportion of males screened by colonoscopy between the two periods. The age distribution of those screened after the intervention began appeared to be slightly younger than that prior. The proportion of persons screened who were on Medicaid was higher

TABLE 2. Characteristics of persons receiving screening colonoscopy, Lincoln Hospital, 2003–2004

	April–July 2003			August 2003–Feb 2004		
	N	Percent	Average number per month	N	Percent	Average number per month
Total	227	100	56.8	833	100	119.0
Sex						
F	150	66	37.5	532	64	76.0
M	77	34	19.3	299	36	42.7
Unknown	0	0	0.0	2	0	0.3
Race						
Non-Hispanic black	26	11	6.5	42	5	6.0
Hispanic	187	82	46.8	616	74	88.0
Other/unknown	14	6	3.5	175	21	25.0
Age						
0–40	1	0	0.3	2	0	0.3
40–44	2	1	0.5	5	1	0.7
45–49	4	2	1.0	17	2	2.4
50–54	43	19	10.8	159	19	22.7
55–59	48	21	12.0	198	24	28.3
60–64	42	19	10.5	201	24	28.7
65–69	47	21	11.8	137	16	19.6
70–74	23	10	5.8	81	10	11.6
75+	17	7	4.3	32	4	4.6
Unknown	0	0	0.0	1	0	0.1
Insurance						
Medicaid	68	30	17.0	339	41	48.4
Medicaid/medicare	48	21	12.0	170	20	24.3
Medicare	36	16	9.0	50	6	7.1
Uninsured	31	14	7.8	124	15	17.7
MetroPlus	31	14	7.8	84	10	12.0
Other	13	6	3.3	66	7	9.4
Navigator						
No	204	90	51.0	458	55	65.4
Yes	23	10	5.8	375	45	53.6

TABLE 3. Findings among persons receiving screening colonoscopy, Lincoln Hospital, 2003–2004

	April–July 2003		August 2003–Feb 2004	
	N	Percent	N	Percent
Total	227	100	833	100
No polyps	181	80	622	75
Adenoma/tubular adenoma	25	11	119	14
Other	10	4	49	6
Hyperplasia	11	5	35	4
Cancer	0	0	8	1

after the intervention $p_{\chi^2} < 0.001$. A patient navigator was associated with 45% of all screening colonoscopies during the 7-month period following the intervention (Table 2). Table 3 shows the distribution of screening colonoscopy findings by time period. Eight cancers were detected in the post intervention period compared with zero in pre-intervention period.

Broken Appointment Rates for Colonoscopy Suite

Using data on those patients cleared for colonoscopy by pre-admission testing, the broken colonoscopy appointment rate declined from 67.2% in May 2003 to 5.3% in June 2003 and was sustained (Fig. 3). The likelihood of keeping the appointment for colonoscopy after the patient navigators were hired compared with before increased by nearly three-fold (relative risk = 2.6, 95% CI 2.2–3.0).

FIGURE 3. Broken appointment rate for colonoscopy versus time among patients completing pre-admission testing at Lincoln Hospital, Jan–Oct, 2003.

Screening Colonoscopy Coverage in Adjacent Zip Codes

Table 4 shows the number of residents in Zip code 10451 and each of the adjacent Zip codes by sex, race/ethnicity, and age group. Of the 262,196 persons in all the Zip codes combined, there are 50,056 persons who are aged 50 years or over and were considered eligible for screening by colonoscopy every 10 years. If the target is to screen 100% of these residents every 10 years, then approximately 5,006 residents should be screened each year, or about 417 per month. Because of differences in the population sizes and age distribution by Zip code, the estimated monthly target varies by Zip code from 59 to 120 per month.

To compare how much colorectal screening coverage was being provided by Lincoln Hospital in the surrounding area of the hospital before and after the Patient Navigator/DERS/Efficiency intervention, the number of persons screened per month at Lincoln was divided by the monthly target for each Zip code (Table 5). Overall, 7% of the target number of persons in the area surrounding Lincoln Medical Center received screening colonoscopy each month prior to the intervention. The coverage more than doubled to 15.6% after the intervention was fully implemented. An increase in the proportion of the monthly target screened was observed in all adjacent Zip codes and nearly all demographic subgroups and age groups (Fig. 4).

DISCUSSION

Efforts to increase the number of screening colonoscopies performed and enhancements to improve operational efficiency of the GI Suite at Lincoln Medical Center appear to have been highly successful, due in large part to the influence of patient navigators and a streamlined referral system. It’s difficult to tease out the individual

TABLE 4. Number of residents eligible for screening colonoscopy in 2000, and the annual and monthly targets for colonoscopy screening if 100% of residents aged 50 and over are screened every 10 years in Zip codes including and adjacent to Lincoln Hospital

	<i>N</i>	All adjacent Zip codes	
		Number to screen	
		<i>Annually</i>	<i>Monthly</i>
Total population	262,196	n/a	n/a
Population 50+	50,056	5,006	417
Sex (among population 50+)			
Female	30,002	3,000	250
Male	20,054	2,005	167
Race/ethnicity (among population 50+)			
Black	19,365	1,937	161
Hispanic	28,100	2,810	234
White/other	1,624	162	14
Age			
50–54	12,662	1,266	106
55–59	10,244	1,024	85
60–64	8,564	856	71
65–69	6,414	641	53
70–74	4,781	478	40
75+	7,391	739	62

TABLE 5. Estimate coverage for screening colonoscopy provided by Lincoln Hospital before and after a direct referral and patient navigator intervention

	April–July 2003					August 2003–Feb 2004				
	N	Percent	Average number per month	Monthly target	Coverage by Lincoln (%)	N	Percent	Average number per month	Monthly target	Coverage by Lincoln (%)
Total	87	100	21.8	417	5.2	456	100	65.1	417	15.6
Sex										
F	57	66	14.3	250	5.7	295	65	42.1	250	16.9
M	30	34	7.5	167	4.5	161	35	23.0	167	13.8
Race										
Non-Hispanic black	11	13	2.8	161	1.7	27	6	3.9	161	2.4
Hispanic	70	80	17.5	234	7.5	330	72	47.1	234	20.1
Other/unknown	6	7	1.5	14	11.1	99	22	14.1	14	104.5
Age										
0–40	1	1	0.3			1	0	0.1		
40–44	1	1	0.3			3	1	0.4		
45–49	1	1	0.3			9	2	1.3		
50–54	13	15	3.3	106	3.1	80	18	11.4	106	10.8
55–59	14	16	3.5	85	4.1	112	25	16.0	85	18.7
60–64	19	22	4.8	71	6.7	103	23	14.7	71	20.6
65–69	21	24	5.3	53	9.8	78	17	11.1	53	20.8
70–74	10	11	2.5	40	6.3	48	11	6.9	40	17.2
75+	7	8	1.8	62	2.8	22	5	3.1	62	5.1

contributions of each, as well as other contributing factors, but it seems reasonable to conclude that all, in one way or another, made an impact. By comparing the difference in the monthly coverage rates before and after the implementation of the combined Patient Navigator/DERS intervention, it was possible to evaluate the impact of the entire intervention. The broken appointment rate declined immediately after the patient navigators started at Lincoln Hospital and before the implementation of the DERS. It was therefore possible to attribute the reduction in the broken appointment rate and the associated increase in screening colonoscopy to the patient navigation component of the intervention. Though it was not possible to discern the relative contribution of each component of the intervention but only their combined impact, it is clear that both of these factors increased the number of screening colonoscopies delivered at Lincoln Hospital.

Other contributing factors to the success of the intervention appear to include the hiring of a new Chief of GI who has a commitment to increasing screening colonoscopies and a willingness to try the direct endoscopic referral system (DERS). Additionally, the surgical endoscopists at Lincoln Medical Center agreed to expand their coverage to include screening colonoscopy. Finally, the GI suite was expanded and enhanced to streamline colonoscopy procedures. Since most of these enhancements anteceded the increase in colonoscopies and reduction in the broken appointment rates, it is difficult to assess their impact. However, they may have contributed to the sustainability of the intervention.

FIGURE 4. Estimated coverage of screening colonoscopy provided by Lincoln Hospital in surrounding zip codes before and after a Patient Navigator/DERS intervention.

Cancer ranks second in the causes of death among residents of Highbridge and Morrisania, and the death rate due to all cancers is 50% higher there compared with the rest of NYC.¹⁴ In a recent telephone survey conducted by the New York City Department of Health and Mental Hygiene, only 44% of adults aged 50 or over in Highbridge and Morrisania reported ever being screened for colorectal cancer by any modality (colonoscopy, sigmoidoscopy, or FOBT);¹⁴ the citywide average was 50%. Also, 41.7% of persons reported having received a colonoscopy in the preceding 10 years, 9.5% had a sigmoidoscopy in the last 5 years, and 31.9% reported an FOBT in the preceding 2 years.^{8,15} The efforts at Lincoln Medical Center appear to have resulted in a sustained increase in the coverage of colonoscopy in the surrounding neighborhoods.

There are several limitations of this analysis worth noting. First, the evaluation utilized a before and after comparison. Since there was no concurrent internal comparison group, we cannot rule out the possibility that some other factor that changed during the course of the intervention is responsible for the observed increase. Additionally, due to limited staff and resources, we had very little data on patients who received colonoscopies and almost no data on those referred who didn't receive colonoscopies. Ideally we would have had interviewed all or a sample of patients in each group to assess perceived barriers and enabling factors for the receipt of screening colonoscopy. We could not assess the degree to which patients who missed appointments were subsequently rescheduled and received colonoscopy. As such, we may have overestimated the broken appointment rate in our study. Finally, because of changes in the way that race/ethnicity data were collected

during the study period, we could not reliably assess changes in colonoscopy rates and coverage by race/ethnicity.

The results of our investigation suggest that there are health care system barriers to patients receiving screening colonoscopy that, when addressed, can result in substantial improvements in the coverage of screening colonoscopy in the surrounding communities. Other types of barriers outside the health care system exist at the individual (e.g., knowledge of the need for screening and employment status) and community levels (e.g., lack of a nearby medical facility or access to public transportation) and should also be addressed. Individual-level barriers to receiving colorectal cancer screening and colonoscopy appear to include age, race/ethnicity, income, and insurance.^{9,15} Education and a lack of awareness of the need to be screened has been shown to be associated with the likelihood of screening for colorectal and other cancers.^{6,16}

Characteristics of the physical and social environment in neighborhoods, peer and social networks, public policies and interventions, and access to quality health care may also affect the likelihood of practicing preventive behaviors such as cancer screening and the subsequent risk of disease.¹⁷⁻¹⁹ For example, "neighborhood social environment" has been associated with hypertension,²⁰ low birth weight²¹ and high-risk sexual behaviors among women.²² As Diez Roux has argued, "[n]eighborhood differences are not 'naturally' determined but rather result from social and economic processes influenced by specific policies. As such, they are eminently modifiable and susceptible to intervention."¹⁸ The role of such factors as barriers to receiving timely colorectal cancer screening has not been systematically studied and may yield important information for future interventions to improve colorectal cancer screening rates.

CONCLUSION

In an urban public hospital setting, a multi-faceted intervention led to marked increases in screening colonoscopy rates and thereby improved potential for earlier detection of malignant and pre-malignant disease in the surrounding community, which ultimately should lead to a decrease in colorectal cancer deaths. Future research and interventions should seek to assess and address individual and neighborhood level barriers to timely colorectal cancer screening.

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