Published in final edited form as:

Brain Res. 2007 September 26; 1171: 122–137.

Cortical Pyramidal Cells as Non-Linear Oscillators: Experiment and Spike-Generation Theory

Joshua C. Brumberg^{1,*} and Boris S. Gutkin^{2,3}

¹ Department of Psychology, Queens College, Flushing, NY 11367, USA

² Group for Neural Theory, DEC-ENS, Paris and College de France

³ Recepteurs et Cognition, URA CNRS Departement de Neurosciences, Institut Pasteur, Paris, France

Abstract

Cortical neurons are capable of generating trains of action potentials in response to current injections. These discharges can take different forms, e.g. repetitive firing that adapts during the period of current injection or bursting behaviors. We have used a combined experimental and computational approach to characterize the dynamics leading to action potential responses in single neurons. Specifically we investigated the origin of complex firing patterns in response to sinusoidal current injections. Using a reduced model, the theta neuron, alongside recordings from cortical pyramidal cells we show that both real and simulated neurons show phase locking to sine wave stimuli up to a critical frequency, above which period skipping and 1-to-x phase locking occurs. The locking behavior follows a complex “devil’s staircase” phenomena, where locked modes are interleaved with irregular firing. We further show that the critical frequency depends on the time scale of spike generation and on the level of spike frequency adaptation. These results suggest that phase locking of neuronal responses to complex input patterns can be explained by basic properties of the spike generating machinery.

Keywords

bifurcation theory; devil’s staircase; endogenous oscillators

1. Introduction

In response to current injections, cortical neurons are capable of generating complex patterns of repetitive discharges. For example, various bursting behaviors (McCormick et al. 1985; Brumberg et al. 2000), sustained constant frequency firing, and adaptive frequency firing (McCormick et al. 1985; Gupta et al. 2000) have all been observed experimentally. Significant experimental and theoretical efforts have been devoted to characterizing the biophysical mechanisms that underlie such repetitive activity (e.g. Llinas 1991; Lampl and Yarom 1997; Rudy and McBain 2000; Smith et al. 2000; Izhikevitch 2000).

The presence of such a variety of repetitive discharge patterns suggests that cortical neurons can be considered as non-linear oscillators. Specifically a class of neurons called regular spiking cells, and identified as pyramidal neurons (see McCormick et al. 1985), respond to

* corresponding author: Joshua C. Brumberg, PhD., Department of Psychology, Queens College of the City University of New York, 65-30 Kissena Boulevard, Flushing, NY 11367, Phone: (718) 997-3541, Fax: (718) 997-3257, Email: joshua.brumberg@qc.cuny.edu

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prolonged current injections with sustained trains of action potentials. These neurons are the focus of the present report.

One way to identify the underlying dynamics leading to complex firing patterns is to probe neurons with stimuli that are more complex than constant current injection. This has been done in a variety of neuronal cell classes (Brumberg 2002, Carrandini et al. 1996; Hutcheon et al. 1996; Nowak et al. 1997; Hunter et al. 1998; Volgushev et al. 1998; Fellous et al. 2001). Results suggest that cortical pyramidal neurons can be viewed as non-linear oscillators. Additionally, subthreshold resonances intrinsic to the membrane have significant impact on the response properties of cortical neurons (Llinas 1991; Hutcheon et al. 1996; Hunter et al. 1998). This in turn could imply a certain degree of nonlinearity in the firing patterns of neurons in response to stimuli of varying frequencies. (Richardson et al. 2002). On the other hand, Carrandini et al. (1996) reported that near-linear behavior was observed for cortical regular firing cells *in vitro*, with little or no subthreshold resonances evident and only low-pass filtering of sinusoid inputs. Similar data have been reported by Nowak et al. (1997).

We ask the question whether the firing properties of cortical neurons are determined by their spike generating mechanisms or the resonance properties of their membranes? Using a computational model that does not possess sub-threshold resonance properties we will attempt to characterize a neuron's firing output as a function of its spike generating machinery.

Our previous results have shown that neocortical pyramidal neurons are capable of following injected sinusoidal inputs up to a critical frequency above which period skipping and 1-to- x phase locking occurs. We showed that the locking behavior follows a "devil's staircase" phenomena, where locked modes are interleaved with irregular firing (Brumberg 2002). Based on this and similar results in other neuronal (Guttman et al. 1980; Hayashi and Ishizuka 1992) and excitable systems (Glass et al. 1980) we believe that this behavior can be explained by a specific theory of membrane excitability and spike generation known as type-I excitability (Hodgkin 1948; Rinzel and Ermentrout 1998). To this end we repeat the experimental protocols on a reduced model, the theta-neuron, which represents a canonical form for type I neural oscillators (for review see Izhikevich 2000). θ -neurons are characterized by the presence of a saddle-node on an invariant circle bifurcation, a specific point of transition in the dynamics of the membrane voltage that leads to the onset of repetitive firing.

θ -neurons have been used to explain the highly irregular interspike interval distributions observed in *in vivo* spike trains (Gutkin and Ermentrout 1998), precise spike timing to time varying stimuli *in vitro* (Gutkin 1999), and an oscillating neuron's response to small pulsatile inputs (Gutkin et al. 2000). Furthermore, the action potential amplitude and width generated by the theta neuron are largely independent of the injected current amplitude. It is important to note that these intrinsic properties to a large extent have been observed in real cortical neurons *in vitro* and *in vivo* (McCormick et al. 1985; Nowak et al. 2003).

In this report we show that the frequency locking behavior of the θ -neuron in response to sinusoidal input can qualitatively match that of real cortical neurons. Computational studies suggest that this behavior does not depend on the presence of subthreshold oscillatory resonance. We focus on the frequency locking behavior of intrinsically firing cortical neurons and present experimental results from *in vitro* recordings alongside the analogous theoretical analysis. We suggest that identifying the dynamical structure of membrane excitability that leads to spike generation in cortical neurons can yield a powerful tool that allows a synthesis of thinking about response properties of neurons.

2. Results

Our central finding is that the theta(θ)-neuron effectively reproduces the behavior of neocortical neurons in response to sine wave current injections. The theta-neuron is a formal mathematical reduction that derives from a wide class of more complicated neural models that we shall briefly review. A more detailed treatment is given in Ermentrout and Kopell (1984) or Hoppensteadt and Izhikevitch (1997). The theta-neuron came originally from an analysis of the dynamics of cortical pyramidal cells. More generally, the basic requirement for the reduction is that the dynamic behavior of the neural model are of type I excitability (see Rinzel and Ermentrout 1999; Hansel et al. 1995). The majority of physiological models for cortical neurons fall into this class and exhibit the following salient characteristics: all-or-none action potentials, repetitive firing that appears with arbitrarily low frequencies, injected current versus firing frequency curves (FI curve) can be readily fitted with a square root (for the instantaneous FI curve) or a linear function (for the steady state FI curve). This analysis allows us to reduce a wide class of biophysical models to a simple canonical form that describes the nonlinear characteristics of spike generation and includes the spike itself. Figure 1 displays the general behavior of the θ -neuron model (see equations 4,5). Figure 1A provides a graphic representation of the model, in figure 1B (top) the response of the θ -neuron to a suprathreshold transient input is plotted; in response to the input the phase θ makes a procession from 0 to 2π (full circle in figure 1A). The action potential can be readily visualized by plotting $v = I - \cos\theta$ (figure 1B). Figures 1C and 1D show the responses of the θ -neuron to prolonged current steps (1C) and sinusoid inputs (1D) both the θ variable (top panels) and v (bottom panels) are plotted. The speed of the action potential can be varied by adding an additional term a_{Na} (equation 9), by controlling this parameter (going from 0 to 1) one can speed up or slow down the spike. Figure 1E gives an example of the two spikes one with $a_{Na}=0$ and another with $a_{Na}=1$. *In vitro* intracellular current clamp recordings were obtained from supragranular pyramidal neurons in response to varying frequencies of sinusoidal input. The pyramidal neurons at low frequencies of sine wave injection, responded with at least one action potential for every cycle of the sine wave (figure 2A; i.e. 1:1 phase locking; see Glass et al. 1980). As the frequency of the injected sine wave is increased, 1:1 phase locking is lost and the neuron begins to skip cycles, we define this as the intermittent regime and the frequency where 1:1 phase locking is lost as the critical frequency. The average critical frequency for cortical neurons was 19.7 ± 9.7 Hz ($n=13$) when the steady state offset was at its minimum (sufficient to just evoke tonic firing). As the frequency is increased further, the neuron enters a 2:1 firing regime (figure 2C). Figure 2 panels A–C (cortical pyramidal neuron) to D–F(θ -neuron) shows qualitatively how the model captures the biological result. The θ -neuron is not intended to accurately capture all the nuances of the biological recordings just the most salient dynamics of the spike generating machinery of the neuron. As a result the model does capture the overall firing rate and behavior following sine wave injections. The finding that the θ -neuron accurately captures the *in vitro* results argues that the “devil’s staircase” behavior is a consequence of the spike generating machinery of the neuron and not other cell intrinsic properties which are absent from the theta model. Below we show that the concordance between the experimental data and the model extend over various parameter ranges.

Figure 1H provides a high resolution (small frequency step size) example of the theta neuron’s response to sinusoidal inputs whereas figure 3 compares directly biological data with results from the θ -neuron over a range of input frequencies. Note that that the results in figure 3 are comparable to the results using smaller step sizes (figure 1H). In figure 3, two different steady state depolarizing currents were injected *in vitro* to illicit either a 14 or 24 Hz basal firing rate in the same neuron before the sine wave was superimposed. At low firing rates (14 Hz) the neuron displays 1:1 phase-locking up to 22 Hz, then enters the intermittent regime, and finally displays 2:1 phase-locking near 27 Hz. The behavior of the neuron resembles a “devil’s staircase” (e.g. 1:1,2:1,3:1... firing regimes interrupted by intermittent firing regimes) which

results when an endogenous oscillator is driven by an oscillating input (see Glass et al. 1980; Coombes and Bressloff 1999). Increasing the basal firing rate (24 Hz) yields similar behavior but shifts the entire curve to the right (dotted line in figure 3A). Note that the neuron remains in 1:1 phase lock with the stimulus over an almost two-fold greater frequency range for the lower frequency. Similar results were observed in the theta neuron (figure 3B); at a low basal firing rate (6 Hz) the neuron remains 1:1 phase locked between 15 and 25 Hz. Not only was the 1:1 regime dependent on the basal firing rate and the frequency of the sine wave it was also dependent on the sine wave amplitude. *In vitro* studies revealed that by increasing the amplitude of the sine wave the neuron's response could be moved from an intermittent regime back to a 1:1 regime ($n=5$ of 5). The theta model displays similar behavior, increasing the amplitude of the sine wave moved the response curve to the right (figure 4) thus increasing 5-fold the range of the 1:1 regime from 3–9 Hz in response to the low amplitude sine wave to 9–24 Hz in response to the high amplitude sine wave.

Previously we found that the range and the critical frequency at which 1:1 locking is lost correlated with the half-width of the neurons' action potential but not with its input resistance (Brumberg 2002). In the θ -neuron κ (equation 4) controls the speed with which the phase variable traverses the firing orbit, and in particular the portion in the spike range (near $\theta=\pi$). The result in varying κ is that the "action potential" width effectively varies; a large κ is akin to a narrow action potential. Figure 5 demonstrates the relationship between κ and the critical frequency. The critical frequency wherein the theta neuron could no longer respond 1:1 was tightly correlated to κ , simulations with larger κ 's had higher critical frequencies than simulations with lower κ 's, this relationship was highly significant ($r^2=0.99$). Taken with the biological data described above, these results strongly suggest that the spike generating mechanism and not the passive cell properties are crucial to the phase-locking behavior of cortical pyramidal cells.

The θ -neuron is a canonical model for type I spike-generators. Results from the θ -neuron, therefore, should match those generated by conductance based models that are also type I. To illustrate this we compared the responses of our θ -neuron to a single compartment conductance based model of a pyramidal neuron used previously by others (Traub et al. 1999; also see Appendix A). Indeed a more "biologically realistic" model displays devil's staircase type behavior (figure 6A) as expected. Looking at a wide range of input frequencies both the conductance based model (figure 6A) and the θ -neuron (figure 6B) show whole number phase locking (1:1, 2:2, 3:1) that is interrupted by intermittent regimes. The θ -neuron shows a much narrower locking regimes and also the action potential duration is a bit more variable for the θ -neuron leading to apparent multiple points in the plot in the 1:1 regime. However it is clear that the canonical model predicts the qualitative behavior of the real neuron as well as of the more complex conductance based model.

In order to further corroborate our proposal that it is the active spike-generating machinery that has the predominant effect on the critical frequency of the neuron we examined how the onset spike-speed changes the locking. For this we used a further extended θ -neuron (see equations 10–12 below). This model includes a Na-like current that allows us to control the speed with which the spikes rise off the floor by controlling the strength of this "current" (see Figure 1F). We further define a spike-onset-speed parameter by using a phase-plane method (see Experimental Procedures). Figure 7A shows an example of ISI/freq plot for two speeds of the spike; slow: $a_{Na}=0$ – the classical θ -neuron and fast: $a_{Na}=1$). Clearly the faster spike generation leads to a right-ward shift in the locking graph with the faster action potential locking at higher frequencies than the slower one. The neuron with the slower initiation of its action potential ends its 1:1 locking regime at approximately 13 Hz, whereas the neuron which initiates its action potential faster can maintain the 1:1 regime up to 35 Hz. As the action potential is initiated quicker the critical frequency wherein the 1:1 regime is lost increases (Figures 7B,C).

A further prominent process that controls the excitability of the neuronal membrane is spike frequency adaptation most often produced by slow voltage-dependent potassium currents. As is the case for pyramidal cells the level of spike frequency adaptation has a significant effect on θ -neuron's phase-locking behavior. In cortical pyramidal neurons the spike frequency adaptation (SPA) is due to slow voltage dependent potassium currents, such as I-AHP and I-M. Here we used the adapting θ -neuron to study the effects of spike frequency adaptation on the locking patterns of tonically firing neurons driven by a sinusoidal inputs. As described above, the basal firing rate of the neuron was determined by a DC current injection then a pre-set amplitude sinusoid was injected and locking patterns examined. In figure 8A we see the devil's stair-case behavior for the θ -neuron biased to fire at a 20 Hz steady state firing rate. Note that the most obvious effect of the spike-frequency adaptation is to shift the curves to the left –decreasing the maximal input frequency at which the neuron is 1:1 locked. However SPA shows another and unexpected effect – the neuron is more likely to lock at higher modes: 2:1 and 3:1 for example when the adaptation variable is set to a low level ($g_{\text{slow}}=0.5$). Analogous results are seen for the conductance based model (figure 6B). In fact if we compare the firing of the θ -neuron without adaptation ($g_{\text{slow}}=0.00001$) and with adaptation ($g_{\text{slow}}=0.5$) to a sinusoid input of 55Hz, we see that the non-adapting cell is *not* locked to the stimulus (figure 9A top) and the firing phase varies, meaning that the overall timing of action potentials are still modulated by the sinusoidal current pulse but they are not phase locked and occur at pseudo-random phases (figure 9A bottom). On the other hand, the adapting neuron locks to the 55 Hz input at 2:1 mode, and the input-firing phase plot shows that the spikes are phase-locked to the stimulus (figure 9B), at higher frequencies the neurons skips input cycles, but when it fires, it does so at a fixed input phase. In fact, spike-frequency adaptation appears to expand the range of frequencies at which the neuron is able to lock to the stimulus.

We can further understand why the spike frequency adaptation expands the locking regime by considering theoretical models of neural oscillators and appealing to phase model arguments. While the formal mathematical treatment is beyond the scope of this report, we shall outline a heuristic argument. Given that the neuron in question is firing periodically, as is the case here, it can be described by a phase of its firing. This phase rotates uniformly in time, hence for the neuron a phase model can be written down.

$$D\phi / dt = \omega + \text{PRC}(\phi)I \quad \text{Equation 1}$$

Here θ is the “phase” of the neural membrane potential along its firing cycle (with the spike occurring when $\theta=\pi$), ω is the frequency of the oscillator (and it can be shown that $\phi=\omega t$), I is the periodic input and PRC is the infinitesimal Phase Response Function (PRC) of the oscillator (see Ermentrout 1996 for further definition). The phase response curve reflects how the phase of the oscillation is perturbed, or affected by an arbitrarily weak and brief stimulus. The PRC tracks the relationship between the relative timing (phase) of the input and the phase shift produced (the response). This is analogous to the standard impulse response function. Given the the inputs are sufficiently weak (meaning they do not produce extra spikes but only shift spike times), inputs that are more complex than the impulse yield phase responses that are a linear superposition of the PRC. The internal biophysics of the spike generating machinery of the neuron is reflected in the phase model above through the phase response curve - $\text{PRC}(\phi)$. Note that the challenge is to find a mathematical transformation that takes the state variable of the neuron (e.g. the voltage) and the phase ϕ into account. In general this is not easy, but for neural oscillators it can be shown that such a transformation exists (see Hoppenstedt and Izhikevich 1997 for proof). Figure 10 gives two examples of PRCs one for a non-adapting theta-neuron (figure 10A) and another PRC for an adapting theta-neuron (figure 10B). Note that the PRC for the non-adapting neuron is purely positive and symmetric which demonstrates that the cell's spike-times are advanced by inputs throughout its firing cycle. For an adapting case, the PRC has strong skew to the right and a negative region as has been shown before

(Gutkin and Ermentrout 2005). This behavior is linked to changes in the underlying spike generation mechanism(s) (Ermentrout et al 2002). Hence, for these types of neural oscillators excitatory inputs at the beginning of the firing cycle actually delay the subsequent spike (see Gutkin et al 2006 for further discussion). The negative region and skew in the PRC means that excitatory inputs delay the spike time (lengthen the interspike interval) at the beginning of the firing cycle and advance the spike times (lengthen the interspike interval) at the end of the firing cycle. If the input is periodic we can define a phase for it (ϕ_I), and rewrite the phase model as a phase difference model between the firing phase and the input phase.

$$\begin{aligned} d\psi/dt &= d(\phi - \phi_I)/dt \\ &= -d\phi_I/dt + \omega + \text{PRC}(\phi)I \end{aligned} \quad \text{Equation 2}$$

Now the phase $\phi_I = \omega_I t$, so the equation becomes

$$D\psi/dt = \omega - \omega_I + \text{PRC}(\phi)I \quad \text{Equation 3}$$

The phase locking will occur when the derivative on the right hand side is zero. Hence the condition is:

$$\omega_I - \omega = \text{PRC}(\phi)I$$

Note that the left hand side is a difference in the frequencies of the input and the output. Previously it has been shown that the neuronal oscillators with purely positive PRCs are likely to lock only to stimuli with frequencies above the natural frequency of the oscillator (e.g. see Glass & Mackey 1988 and Izhikevich 2007). Therefore, with a purely positive PRC the input can only accelerate the firing, hence only increase ω . With a purely positive PRC (e.g. Figure 10A), the right hand side of equation 3 is positive; hence the equality can hold only when the input frequency is larger than the intrinsic frequency of the oscillator. Lower frequency inputs cannot slow down the firing frequency making 1:1 locking unlikely. On the other hand, oscillators with byphasic PRCs can lock to both higher and lower input frequencies (e.g. Figure 10B). The lower frequency stimuli will have a chance to appear at phases when the PRC is negative for (some frequencies). The net result of this is to slow down the firing to fire only a single spike per input cycle and hence drive the difference towards zero inducing 1:1 phase locking to the stimulus. More precisely the right hand side of equation 3 can be negative, hence equality can happen when the input frequency is below the intrinsic one. Note that here we give a heuristic argument only for 1:1 locking, however similar arguments can work for higher locking modes (see Glass & Mackey 1988). Also note that an important caveat of this argument is that the intrinsic firing frequency of the neural oscillator must be sufficiently close to the input frequency.

More formal proofs have been described using Poincare map methods and computing or estimating the borders of the Arnold tongues associated with the various locking modes. While such more formal proofs (e.g. Coombes & Bressloff 1999) are beyond the scope of this report we can use a heuristic approach to link the PRC shape to the relative size of the locking regions. Here we followed the method suggested by Schaus and Moehlis (2006) based on averaging arguments and Fourier expansion of the PRC. Omitting full formal details of the methods (but see Schaus and Moehlis 2006) we use the fact that for type I neurons near the bifurcation leading to the onset of repetitive spiking, the PRC is $(K/\omega)(1 - \cos\theta)$ (Ermentrout 1996, Brown et al 2004). Here K is a constant that depends on the model particulars, and ω is the intrinsic frequency of the oscillation. Following Schaus and Moehlis (2006) we can show that the 1:1 Arnold tongue boundary is given by:

$$\frac{\omega}{\nu} - 1 = \pm \frac{a_{AC} K}{2\omega\nu} \quad \text{Equation 4}$$

If we want to plot this boundary in the firing-rate/amplitude plane we only need to solve it for ω :

$$\omega = \pm \frac{a_{AC} K}{2\omega} + \nu \quad \text{Equation 5}$$

For higher locking n:1 modes this equation becomes:

$$\omega = \pm \frac{a_{AC} K}{2\omega} + \frac{1}{n}\nu \quad \text{Equation 6}$$

For type II neurons, hence strongly adapting neurons (see above and Gutkin et al. 2006) the PRC can be approximated by:

$$PRC(\theta) = \frac{Cb}{\omega - \omega_{SN}} \sin(\theta - \phi_B) \quad \text{Equation 7}$$

Here C_b , ω_{SN} and ϕ_B are constants determined from the models (see Brown et al. 2004): ω_{SN} is the minimal firing rate (frequency of the oscillations at the bifurcation), the other two constants are determined directly from the specific Hodgkin-Huxley type model. Hence for such type of dynamics the 1:1 Arnold tongue boundaries are given by:

$$\frac{\omega}{\nu} - 1 = \pm \frac{a_{AC} |c_B|}{2\nu |w - w_{SN}|} \quad \text{Equation 8}$$

or in the ω - a_{AC} plane:

$$\omega = \pm \frac{a_{AC} |c_B|}{2 |w - w_{SN}|} + \nu \quad \text{Equation 9}$$

and higher order n:1 locking borders are given by:

$$\omega = \pm \frac{a_{AC} |c_B|}{2 |w - w_{SN}|} + \frac{1}{n}\nu. \quad \text{Equation 10}$$

Now we can briefly comment on the different bifurcation types and the width of locking regions. Assuming that the constants C_B and K are on the same order of magnitude (or perhaps even approximately equal), we observe that for a subcritical bifurcation the minimal firing frequency is non-zero, hence the denominator of equation 10 is less than denominator of equation 6, this implies clearly that the area bounded by the 1:1 tongue borders for the type II case is larger than for type I. This gives further support for the arguments above and the numerical simulations.

3. Discussion

In this report we considered spiking patterns in the responses of cortical neurons *in vitro* to an injected periodic stimulus. Such response patterns form a devil's staircase structure, with regular phase locked and irregular intermittent frequency ranges. We further investigated if such patterns can be reproduced by a canonical model of spike generation in type I neural membranes; the θ -neuron. In general our results show that the θ -neuron can reproduce the

devils staircase behavior of the cortical neurons both qualitatively and, quantitatively, dependent on parameter choices. The behavior of the θ -neuron is general for a class of models that exhibit type I membrane dynamics (see Rinzel and Ermentrout 1989), and indeed, a detailed conductance based model of cortical neurons showed behavior nearly identical to that of the θ -neuron. Crucially, the fact that θ -neuron can replicate the biological results, including the dependence of the critical frequency on the spike-width, argues strongly that it is the spike generating machinery that determines the frequency response properties of the neurons.

Furthermore by identifying the parameter in the θ -neuron that determines the “half-width” of the spike, we were able to account for experimental observation that the critical frequencies for onset of the phase-locked and intermittent regimes depend of the spike half width and not on input resistance. Similarly we were able to augment the theta-neuron to include a process that controls the sharpness of the spike onset. This parameter was also positively correlated with the critical frequency: the sharper the spike (faster spike onset) the higher is the critical frequency of 1–1 locking. These two last points imply that the locking properties of neurons depend mostly on the behavior of the active channels that underlies spike generations and not on the passive properties. Note that such dependence cannot be predicted from simple integrate-and-fire neuron models, and is also in agreement with recent theoretical results of Faulcaut et al. (2003), that linked the critical cut-off response frequency to noisy stimuli to the dynamics of spike onset.

We also note that type I dynamics imply that there is no subthreshold resonances and indeed the θ -neuron does not have any subthreshold oscillations. Previously complex spiking responses have been tied to the presence of subthreshold oscillations (e.g. Fellous et al 2001, Desmaisons et al. 1999, Amitai 1994, Llinas et al. 1991). Indeed the Hodgkin-Huxley model can also display devil’s staircase behavior, however it has been argued that it arises due to resonances inherent in the membrane excitability dynamics (e.g Holden 1976, Parmananda et al. 2002). Since the θ -neuron yields regular (locked) and irregular responses dependent on input frequency, the link between subthreshold oscillations and complex firing patterns is not quite as straight-forward as previously suggested. Perhaps the subthreshold resonances become important under specific input regimes, when the inputs drive the cell membrane into the voltage ranges spanned by the oscillation as suggested in Richardson et al. (2002). In any case our results clearly demonstrate that complex spike locking patterns and intermittency is not equal to impedance resonances, band-pass filtering or strong subthreshold oscillations.

Richardson et al. (2002) showed that the effects of subthreshold resonance is apparent in spiking responses when the sinusoid falls into the subthreshold region. The cell fires due to random variations in the noisy synaptic inputs. The sinusoid then modulates the overall probability distribution of spike times and this is combined with the intrinsic membrane resonance. In the present study, we examined a different regime, where the cell is biased to be above its firing threshold and then the sinusoid modulates its firing. In this regime the θ -neuron is valid (since the reduction presupposes that the neuron is near its bifurcation) and the neural membrane is sufficiently depolarized from rest where subthreshold resonance and/or oscillations are present. Arguably this regime is more like the situation *in vivo* where the neurons are strongly depolarized by background synaptic inputs and tend not to show resonant behavior (see Carandini et al. 1996).

Alexander et al. (1990) developed a coherent theoretical approach to study the phase locking behavior in excitable systems with type II excitability. They showed by formal analysis that both locking and intermittency can occur in such neurons when they are in the excitable regime (at rest without the sinusoidal forcing) and, furthermore, that the qualitative picture persists into the oscillatory regime. In the intermittent regions, simulations of the Fitzhugh-Nagumo model suggest chaotic behavior with typical period doubling bifurcations. While a full

comment on the detailed analysis carried out in that work is beyond the scope of this report, we can point out that one clear difference with the case considered here and the previous report is that in type II systems considered by Alexander et al. (1990), the voltage trajectories during spiking may have different amplitudes. Hence the periodic forcing in that system can modify not only the frequency of the firing but also the shape of the spikes. This is clearly absent in type I excitable neural models, and by definition in the theta-neuron considered here. However, the qualitative picture of phase locking and intermittency, hence the devils-staircase is similar in the two cases. We thus would speculate that the structure of bifurcations separating the various regions on the staircase is also similar between the case considered in Alexander et al. (1990) and for type I systems. In principle methods developed by Alexander and colleagues may be applied to the theta-model to identify the saddle-node on an invariant circle bifurcations separating phase-locked solutions from intermittent firing and the period-doubling in the intermittent regions, however such detailed mathematical analysis is beyond the scope of this report.

We believe that this report can be combined with the results in Richardson et al. (2002) to begin to synthesize a coherent picture of when and how neurons respond to their inputs in a network setting. Therefore, when the average membrane potential of the neuron falls within the operating range of the currents that underlie subthreshold oscillations (e.g. slow potassium currents, see Hutcheon 2000 for review), and the neuron fires due to the synaptic input, resonances can be observed in the spiking pattern. On the other hand, when the neuron is depolarized by background synaptic events to fire repetitively, the frequency locking behavior of the neuron is determined by the spike generating properties (for example, the spike half-width and the speed of spike onset).

Why is it important to determine which class of bifurcation underlies spike generation in cortical neurons?

Recent developments in *in vitro* and *in vivo* electrophysiological techniques have led to an increase in the detailed data available on the properties of neural membranes. Modeling literature has followed in the footsteps of this data explosion with biophysically explicit models that focus on the details (currents, morphology, etc.) of individual neurons identified in experimental settings (e.g. Borg-Graham 1998, Destexhe et al. 1996, Mainen et al. 1995). Such models are then used to make specific predictions for response properties of neurons. Another modeling approach is to use extremely simple models of either single neurons or populations of neurons in order to study possible dynamics and behaviors of circuits or networks of neurons. Building on a rich history of reduced conductance based models (e.g. Fitzhugh-Nagumo, Morris-Lecar) general mathematical principles relating biophysical models of cortical neurons to reduced and canonical models of spike generation in cortical neurons are beginning to be elaborated and tested on neuronal data e.g., spike response models (Gerstner and Kistler 2002); the various versions of non-linear integrate and fire models (Richardson et al 2002, Fourcaud-Trocme, et al. 2003), and more general dynamical systems approaches (Izhikevich 2000). We suggest that identifying the dynamical structure of membrane excitability that leads to spike generation in cortical neurons can yield a powerful tool that allows a synthesis of thinking about response properties of neurons.

4. Experimental Procedure

Preparation of acute brain slices

The methods used to prepare brain-slices have been presented in detail elsewhere (Brumberg et al. 2000; Brumberg 2002). Briefly, coronal slices (400 μm thick) of primary visual cortex were obtained from male or female ferrets 4–7 months old (Marshall Farms) using a DSK microslicer (Ted Pella, Inc.). The ferrets were deeply anesthetized with sodium pentobarbital

(30 mg/kg) and decapitated. The brain was quickly removed and the hemispheres separated with a midline incision. During the preparation of the cortical slices the tissue was kept in a solution where NaCl had been replaced with sucrose while the osmolarity was maintained at 307 mOsm. After preparation the slices were maintained in an interface style chamber (Fine Scientific Tools) and allowed to recover for at least 2 hours at 34–36° C. The bathing medium contained (in mM): 124 NaCl, 2.5 KCl, 2 MgSO₄, 1.25 NaH₂PO₄, 1.2 CaCl₂, 26 NaHCO₃, 10 dextrose and was aerated with 95% O₂, 5% CO₂ to a final pH of 7.4. For the first 10 minutes that the slices were in the recording chamber the bathing medium contained an equal mixture of the bathing and slicing solutions with 2.0 mM CaCl₂ which was subsequently reduced to 1.2 mM in the bathing solution, an extracellular Ca²⁺ concentration similar to what has been observed in cats *in vivo* (Hansen 1985).

Electrophysiology, data collection and analysis

All recordings were obtained from the supragranular layers of ferret visual cortex. Once a stable intracellular recording had been obtained (resting V_m of –60 mV or more negative, overshooting action potentials, ability to generate repetitive spikes to a depolarizing current pulse), the cell was classified according to its discharge pattern in response to an injected current pulse (120 ms, +0.5 nA) as intrinsically bursting, regular-spiking, fast-spiking or chattering (McCormick et al. 1985; Brumberg et al. 2000) all neurons in the present analysis were characterized as regular spiking ($n = 13$). To approximate the more depolarized membrane potentials observed *in vivo* a small depolarizing current was injected (0.2–0.7 nA) to bring the neuron to threshold. The enhanced conductances that are observed *in vivo* are not mimicked by this depolarization (Pare et al. 1998, Destexhe and Pare 1999). Superimposed on this steady-state depolarization was a sine wave stimulus (Grass Instruments wave form generator) systematically varied in both frequency (0.2–200 Hz) and amplitude (peak-to-peak, 0.1–0.5 nA). Additionally, the magnitude of the steady-state depolarization was systematically varied to study how the level of activation of an individual neuron affects its frequency following capabilities.

For intracellular recording, sharp microelectrodes were pulled from medium-walled glass (1BF100; WPI) on a Sutter Instruments P-80 micropipette puller and beveled on a Sutter Instruments beveller to a final resistance of 80–120 MΩ. Electrodes were filled with 2 M potassium acetate with 1.5–2% (wt/vol.) biocytin for subsequent histological identification of recorded cells, all neurons were determined to be pyramidal ($n = 13$ of 13). Recordings were made at 34–36° C. The data was collected via an Axoclamp 2B intracellular amplifier (Axon Instruments) and digitized at 44 kHz (Neuro-Corder DR-886, Neuro Data Instruments Corp.) and recorded to VCR tapes for subsequent offline analysis. Analysis was done offline using the Spike2 data collection system (Cambridge Electric Design) or Axoscope 8.0 (Axon Instruments). Statistics were computed using Microsoft Excel or Statview on a PC. Data is reported as the mean $\pm$ one standard deviation.

The Model

The basic equations for the theta-model itself can be written quite simply as:

$$d\theta/dt = \kappa(1 - \cos\theta) + (1 + \cos\theta)(I_{nput}(t)) \quad \text{Equation 11}$$

$$I_{nput}(t) = \beta + a_{DC}I_{DC}(t) + a_{AC}\sin(\nu t) \quad \text{Equation 12}$$

In equation 11 θ is the “phase” of the neural membrane potential along its firing cycle (with the spike occurring when $\theta = \pi$), κ determines the spike width at half-amplitude, and $I_{nput}(t)$ is the injected input. The first term on the right hand side of equation 1 reflects the non-linear dynamics of spike generation, while the second term gives the effect of the inputs on the phase

variable θ . Both of these terms are given by the reduction method. The parameter κ determines the temporal scale of the spike generating mechanism, which can be loosely translated into spike width (width measured at half-amplitude). In equation 12, the constant bias term β determines if the model is in an excitable or oscillatory regime: $\beta < 0$, the neuron is excitable with a rest state and has threshold for spike initiation; $\beta > 0$, the neuron is repetitively firing with frequency proportional to $\beta^{1/2}$. $I_{DC}(t)$ is a constant DC current injection with amplitude a_{DC} , starting at a pre-set time t_I and lasting for a defined duration (usually 1 second); the following term is a sinusoid current injection of a frequency ν and amplitude a_{AC} .

To study spike frequency adaptation observed in our neurophysiological recordings, we adapted the basic theta model to include slower currents that modify neural excitability (eg. AHP currents):

$$d\theta/dt = \kappa(1 - \cos\theta) + (1 + \cos\theta)(I_{input}(t) - g_{slow}z) \quad \text{Equation 13}$$

$$dz/dt = D(\theta)(1 - z) - z/\tau_z \quad \text{Equation 14}$$

$$D(\theta) = \alpha \exp(-b(1 - \cos(\theta - \theta_T))) \quad \text{Equation 15}$$

Here all the variables are defined as above except for; z is a slow negative feedback (eg. AHP current) with strength g_{slow} . In equation 14, τ_z is the slow time constant and the function $D(\theta)$ gives the activation kinetics of z . In equation 15, α and b are parameters set to give the appropriate activation kinetics to mimic AHP currents and θ_T is the threshold for activation of the slow current. Since cortical pyramidal neurons show varying levels of spike frequency adaptation we use the modified version of the theta-model in this work. The level of spike frequency adaptation was determined by adjusting the magnitude of the adaptation current, g_{slow} . This magnitude was adjusted so as to obtain various levels of spike frequency adaptation which results in altered FI curves (see figure 1E).

In order to corroborate our study of how the spike generation time scale affects the phase locking we used a further variant of the θ -neuron that included an additional term that allowed for a precise control of the speed of spike onset. This variant of the theta-neuron was previously used in Naundorf et al. (2005) to study how spike generation speed effects filtering properties of neurons. The model is governed by the following equations:

$$d\theta/dt = \kappa(1 - \cos\theta) + (1 + \cos\theta)(I_{input}(t) - g_{slow}z + a_{Na}Na(\theta)) \quad \text{Equation 16}$$

$$dz/dt = D(\theta)(1 - z) - z/\tau_z \quad \text{Equation 17}$$

$$D(\theta) = \alpha \exp(-b(1 - \cos(\theta - \theta_T))) \quad \text{Equation 18}$$

$$Na(\theta) = 1 + \tanh[b_{Na}*(\tan(\theta/2))] \quad \text{Equation 19}$$

In equation 16 we add a heuristic model of the sodium activation to equation 3. Note that the equations 16, 17 and 18 are identical to the model above, except for the last term on the right hand side of equation 16. This term gives the speed of the activation of the “sodium current”. Equation 19 gives the formula of how this current depends on the state variable θ ; b_{Na} is a parameter that controls the gain of this “activation” function. The stronger this current is, the sharper is the onset of the spike (when θ goes from rest towards π). For all simulations using this version of the model parameters were kept as above, with b_{Na} set at 20.

In order to measure the speed of the spike onset we can follow the method suggested by Naundorff et al. (2006). First we plot a phase plane for the model, meaning we plot the “current” vs the “voltage.” For the theta-neuron we plot the right hand side of the phase equation ($\dot{\theta}_{\text{dot}}(t) = \kappa(1 - \cos \theta) + \cos \theta I_{\text{input}}(t - g_{\text{slow}} z a_{\text{Na}} \text{Na} \theta)$) as a function of $\theta(t)$. Then we can calculate, the slope of the trajectory at the spike onset (near $\theta=0$). This number now quantifies how fast the spike rises off the “floor”. See figure 1G for example.

In the present study the models were run with sinusoid inputs with different amplitudes and frequencies. For all the simulations presented $\tau_z=200$, $\alpha=8$, $\beta=2$, $\theta_i=3$; κ , α , and frequency (ν) were varied as marked in figures. To compute the devil’s staircase, the model was integrated using the fourth order Runge-Kutta method using the differential equation analysis software XPPAUT (Ermentrout 2002).

The infinitesimal phase response curves (PRCs) we computed using the adjoint method with the XPP software (see Ermentrout 1996 for mathematics behind the method).

After an initial transient, the model approached either a locked or intermittent spiking response, to compare with the experimental measurements the number of spikes per stimulus cycle were counted and used to compile the devils staircase. We also plotted the fraction of spikes per stimulus cycle for every interspike interval (ISI): instantaneous frequency ($1/\text{ISI}$) divided by the input frequency or the ISI/input frequency (see figure captions). This allowed us to observe the intermittency of firing in the unlocked regimes. Averages of such data at a given input frequency recovers the ‘devils staircase’ plots.

Acknowledgements

Thanks to David McCormick for providing the experimental setup. Thanks to Drs. G. Bard Ermentrout, David J Pinto, Raddy L. Ramos and Peter Latham for helpful comments on the manuscript. BSG was partially funded by the Gatsby Charitable Foundation and the NSF bioinformatics postdoctoral fellowship. JCB was funded by NIMH-K01 MH01944-01A1 and PSC-CUNY 6637-00-35,36.

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Appendix A. The conductance based neuron

The current conservation equation is:

$$C \frac{dV}{dt} = - \left(g_{Na} h m^3 (V - E_{Na}) + g_K n^4 (V - E_K) + g_I (V - E_I) + I_{Ca} + I_{AHP} + I_M \right) + I_{DC} + I_{per}$$

where the various cross-membrane currents are: DC steady state current I , used to set a basal firing rate of the model, C represents membrane capacitance set at 1 pF/cm².

The periodic input current used to simulate sine wave current injections with amplitude A_{per} :

$$I_{per} = A_{per} \sin (freq * 2\pi / 1000)$$

The basic spike-generating currents and leak are the first 3 currents:

Where E_l represents resting membrane potential set at -67 mV, $E_{Na} = -50$ mV, $E_K = -120$ mV. The maximal conductances are set at $g_l = 0.2$ pS $g_k = 80$ pS $g_{Na} = 100$ pS.

The kinetics of the various activation and inactivation variables are:

$$\begin{aligned}\frac{dm}{dt} &= \alpha_m(V)(1 - m) - \beta_m(V)m \\ \frac{dn}{dt} &= \alpha_n(V)(1 - n) - \beta_n(V)n \\ \frac{dh}{dt} &= \alpha_h(V)(1 - h) - \beta_h(V)h\end{aligned}$$

Where:

$$\begin{aligned}\alpha_m(V) &= 0.35(54 + V)(1 - \exp(-(V + 54)/4)) \\ \beta_m(V) &= 0.28(27 + V)(1 - \exp(-(V + 27)/5) - 1) \\ \alpha_n(V) &= 0.32(52 + V)(1 - \exp(-(V + 52)/5)) \\ \beta_n(V) &= 0.5 \exp(-(57 + V)/40) \\ \alpha_h(V) &= 0.128 \exp(-(50 + V)/18) \\ \beta_h(V) &= 4.0 / (1.0 + \exp(-(V + 27)/5))\end{aligned}$$

The Ca^{2+} current:

$$I_{Ca} = g_{Ca} m_I^\infty (V - E_{Ca})$$

Where

$$m_I^\infty = 1 / (1 + \exp(-(V - V_I^{thr}) / V_{shp}))$$

The Ca^{2+} -dependent K^+ -current which is responsible for the AHP.

$$I_{AHP} = g_{AHP} (Ca / (Ca + K_D) (V - E_K))$$

where:

$$\frac{dCa}{dt} = \alpha I_{Ca} - Ca / \tau_{Ca}$$

The Muscarine sensitive K^+ -current:

$$I_M = g_M w (V - E_K)$$

where:

$$\begin{aligned}\frac{dw}{dt} &= (w_\infty(V) - w) / \tau_w(V) \\ \tau_w(V) &= \tau_w / (3.3 \exp((V - V_w^{thr}) / 20.0) + \exp(-(V - V_w^{thr}) / 20.0)) \\ w_\infty &= 1.0 / (1.0 + \exp(-(V - V_w^{thr}) / 10.0))\end{aligned}$$

The parameters for the simulations are as follows:

$$E_k = -100 \text{ mV} \quad E_{Na} = 50 \text{ mV} \quad E_l = -67 \text{ mV} \quad E_{Ca} = 120 \text{ mV}$$

$$g_l = 0.2 \text{ pS} \quad g_k = 80 \text{ pS} \quad g_{Na} = 100 \text{ pS}$$

$$C = 1 \text{ pF/cm}^2 \quad g_{AHP} = 0 \quad g_{Ca} = 1 \text{ pS}$$

$$K_D = 1 \quad \alpha = 0.002 \quad \tau_{Ca} = 80 \quad \phi = 4$$

$$v_{shp} = 2.5 \text{ mV} \quad v_{lth} = -25 \text{ mV} \quad v_{thr} = -10 \text{ mV}$$

$$v_w^{thr} = -35 \text{ mV} \quad \tau_w = 100 \text{ msec}$$

$$I_{DC} = \text{set as desired (0 to 10)}$$

$$\text{freq} = \text{set as desired (0 to 100 Hz)}$$

$$A_{per} = \text{set as desired (0 to 10)}$$

$$g_m = \text{set as desired. (0 to 1 pS)}$$

Figure 1.

Theta-neuron, a canonical model for type I membrane dynamics. In the graphs we show two quantities: θ , the phase and $v=1-\cos\theta$ which allows to visualize the spikes. (A) A state diagram for the model showing how the phase dynamics is related to firing stages of a neuron. The rest state is a stable fixed point, once an input pushes the membrane potential past threshold it traverses the entire potential trajectory before returning to rest. (B) Responses of the theta-neuron in the excitable regime to a brief input pulse. (C) Response to a current injection -- repetitive firing. (D) Response to injection of sinusoid current (10Hz), input dependent bursting. In all panels the lower traces reflect the input. (E). Influence of the $I_{NA} = a_{NA} N_A$ on the spike onset speed. Example of two spikes one with $a_{NA}=0$ and another with $a_{NA}=1$. (F). F-I curves for the theta-neuron with various levels of spike frequency adaptation (G_m). Note that spike frequency adaptation shifts the plots to the right, decreasing the gain and linearizes the FI curves. (G) Phase plane for spikes in the augmented θ -neuron. Here we plot $\dot{\theta}$ vs θ . Note that the neuron start at rest θ near 0 and rotates through a spike (top of the spike is at $\theta=\pi$). By definition of the phase $\theta=0$ is the same as $\theta=2\pi$. The trajectory is equivalent in this parametric space to a spike in the time domain. Trajectories are plotted for $a_{NA}=0$ (solid); $a_{NA}=0.1$ (dashed) and $a_{NA}=1$ (dotted). Note that at the onset of the spike (near $\theta=0$) higher a_{NA} leads to a clear increase in the onset sharpness (see inset). This sharpness is quantified by reading off the slope of the trajectory near $\theta=0$ (lines in the inset). (H) A detailed phase-locking devil's staircase for the basic theta-neuron. Here the firing rate is 20Hz and $a=0.01$. Frequency resolution is 0.1 Hz per data point. Note that this diagram is qualitatively similar to that in figure 3, computed with resolution of 1 Hz.

Figure 2.

Real and simulated responses to sine wave inputs. Cortical pyramidal neurons show 1:1 phase locking (A) at low frequencies and then intermittent (B) and 2:1 (C) firing patterns in response to higher frequency sine waves. Similarly, the theta-neuron shows 1:1 (D), intermittent (E) and 2:1 (F) firing regimes. Parameters for the theta-neuron were: $\kappa=1$, β was set to yield background firing of approximately 14Hz: $\beta=0.0109$, amplitude of the sinusoid was adjusted to give qualitative match to the experimental data: $\alpha=0.03$. The top traces represent membrane voltage and the lower trace is the injected sine wave current.

A. Experiment **B. θ -neuron** **Figure 3.**

Devil's staircase. Plotting action potentials per sine wave cycle versus the frequency of the injected sine wave reveals multiple whole number phase locking regimes (e.g., 1:1, 2:1) in both cortical neurons (A) and the theta neuron (B). Increasing the basal firing rate in either cortical neurons (A) or the theta neuron (B) shifts the entire curve to the right leading to an almost two-fold increase in frequency range of the 1:1 regime. For the theta-neuron β was adjusted to give firing rates as marked in figure; $\kappa=1$ and amplitude $\alpha=0.04$.

Figure 4.

Increasing the amplitude of the sine wave expands the 1:1 regime. Plotting action potentials per sine wave cycle versus the frequency of the injected sine wave reveals multiple whole number phase locking regimes that are dependent upon the amplitude of the injected sine wave. Here the parameters were: β adjusted to yield a firing rate of 14Hz, low amplitude condition: $\alpha=0.01$, high amplitude condition: $\alpha=0.06$; $\kappa=1$.

Figure 5.

Spike width is correlated with the loss of 1:1 phase locking. Increasing the velocity in which the theta neuron traverses its orbit decreases the width of the spike and this enables the theta neuron to respond in a 1:1 fashion to a greater range of frequencies. Plotted is the critical frequency, the frequency where 1:1 firing is lost versus κ as k increases the critical frequency increases, Note that the x-axis is inverted. Here β was set to give background firing rate of approx. 10 Hz, amplitude of the sinusoid; $\alpha=0.05$.

A. Conductance Based Model

B. θ -neuron

Figure 6.

Type I neuronal oscillators show devil's staircase phenomena. Both a "realistic" type I neuronal oscillator (A) and the theta-neuron (B) respond similarly to sine wave inputs. Here we plot a periodogram for both models: the inter-spike intervals collected for a simulation of 1000 ms are plotted for each value of the sinusoid input frequency. Parameters for the Traub model are as in Appendix, except that the amplitude of the DC-current was adjusted to yield 10 Hz firing rate. For the theta-neuron: $\kappa=1$; β adjusted to give a firing rate of 10 Hz; $\alpha=0.01$. The choice of α was motivated purely to give a qualitative match to the conductance-based model graph, other values of α yield similar devil's-stair case results with shifted critical frequency (simulations not shown).

Figure 7.

Spike onset speed influences the phase locking. We use the augmented theta-neuron to examine how the speed of the spike onset influences the locking to sinusoidal inputs. (A) Shows the raw locking diagrams for two spike speeds. Fast is when $a_{Na}=1$ and slow is when $a_{Na}=0$. Note a clear shift to higher frequencies for the faster spike onset speed. (B) Shows the devil's staircases (see Methods) for several spike speeds (marked on the graph). Arrows indicate the critical frequency at which the 1:1 locking is lost. (C) Shows that the critical frequency is correlated with the spike onset speed (dashed line showing the trend). Here the neuronal firing rate with no sinusoidal input is at 15Hz.

Figure 8.

Spike Frequency Adaptation sculpts the devil's staircase, carving higher mode locking regimes. Panel A shows the devil's staircase for three levels of adaptation in the θ -neuron biased to fire at 20 Hz. Note that with adaptation the frequency range of 1:1 locking moves to lower input frequencies. However higher locking regimes (2:1, 3:1) tend to expand in the stronger adapting cases. Results for a conductance based model (B). Here we use the type I neural oscillator model as in figure 6. We change g_{slow} to control the level of spike frequency adaptation and re-adjust the DC current to re-equilibrate the firing rate to 20 Hz. We show two examples of devil's-stair case behavior, on with $g_{slow} = 0$ and second $g_{slow} = 1$. Note that the results of the conductance based model follow qualitatively the theta-neuron.

A. θ -neuron without adaptation

B. θ -neuron with adaptation

Figure 9.

Spikes and Phases with various levels of adaptation in the theta-neuron biased to fire at 20 Hz basal rate. The input frequency (55 HZ) was chosen to exhibit the locked (with adaptation) and unlocked (without adaptation) firing regimes. Amplitude of the sinusoid was 0.03. In each panel the upper graph shows the spikes with the sinusoid inputs (lower trace). The lower panel shows the v (pseudo-voltage) sinusoid phase plane. Note that a single limit cycle in this space means a phase-locked solution. Panel A, $g_{slow}=0$. Note that at this input frequency for this sinusoid amplitude the firing is not phase-locked and is irregular. The phase-plot show that the spikes come at a wide range of input phases. Panel B, $g_{slow}=0.5$ Here the spikes are

2:1 locked to the stimulus and the phases are the same for all the spikes. The adapting theta-neuron is phase-locked to this stimulus.

Figure 10.

Phase response curves for non-adapting and adapting theta-neuron models. Neuron is firing at 20 Hz. Here we plot a normalized infinitesimal PRC (see Ermentrout et al 2002 for precise methods). The y-axis gives the change in the firing phase, positive is phase advance and negative is phase delay. A. PRC with no adaptation ($g_{\text{slow}}=0$), it is symmetric and purely positive. B. PRC with adaptation. Note the skew and the negative region ($g_{\text{slow}}=4$).