Sleep after spatial learning promotes covert reorganization of brain activity

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Sleep promotes the integration of recently acquired spatial memories into cerebral networks for the long term. In this study, we examined how sleep deprivation hinders this consolidation process. Using functional MRI, we mapped regional cerebral activity during place-finding navigation in a virtual town, immediately after learning and 3 days later, in subjects either allowed regular sleep (RS) or totally sleep-deprived (TSD) on the first posttraining night. At immediate and delayed retrieval, place-finding navigation elicited increased brain activity in an extended hippocamponeocortical network in both RS and TSD subjects. Behavioral performance was equivalent between groups. However, striatal navigation-related activity increased more at delayed retrieval in RS than in TSD subjects. Furthermore, correlations between striatal response and behavioral performance, as well as functional connectivity between the striatum and the hippocampus, were modulated by posttraining sleep. These data suggest that brain activity is restructured during sleep in such a way that navigation in the virtual environment, initially related to a hippocampus-dependent spatial strategy, becomes progressively contingent in part on a response-based strategy mediated by the striatum. Both neural strategies eventually relate to equivalent performance levels, indicating that covert reorganization of brain patterns underlying navigation after sleep is not necessarily accompanied by overt changes in behavior.

functional MRI | hippocampus | sleep deprivation | memory consolidation | striatum

The hypothesis that sleep represents a crucial, albeit not always indispensable, neurophysiological state that actively promotes learning-dependent brain plasticity (1–5) has become a main topic of interest in neuroscience. In this view, an active processing of freshly acquired memories may occur in the sleeping brain, supporting the gradual consolidation process by which labile recent memories are restructured and incorporated into stable memories for the long term (6, 7).

Memories that can be consciously and symbolically expressed belong to the declarative memory system. At the neuroanatomical level, their encoding and initial maintenance rely upon the integrity of the medial temporal lobe, with the hippocampus at its core (8, 9). Episodic memory for personally experienced events set in a spatiotemporal context is defined as a subclass of declarative memory (10). Animal (11) and human (12) studies suggest that the hippocampus is involved in spatial learning, because encoding is based on a flexible knowledge of relationships between environmental cues. Hippocampus-dependent spatial memory in animals is thus phylogenetically viewed as a homologue of human episodic/declarative memory (13, 14). However, spatial navigation in a well learned environment may also be mediated by the striatum through the expression of stimulus–response associations (15, 16). Current research further suggests that rodents (17, 18) and humans (19) tend to initially use a hippocampus-dependent scheme early in training and then a striatum-dependent response strategy after repeated practice.

Several studies showed a favorable effect of sleep on the consolidation of hippocampus-dependent memories in human, especially non-rapid-eye-movement sleep that includes slow-wave sleep (SWS) and stage II sleep. SWS deprivation (20, 21) and administration of the cholinergic agonist physostigmine during SWS (21) impair the sleep-dependent retention of lists of word pairs, whereas transcranial direct current stimulation during SWS, but not wakefulness, positively affects their retention (22). In addition, performance at morning or evening recall of word pairs (23) and overnight retention of face–name associations (24) correlate with spindle density during intervening stage II sleep. Likewise, recognition memory performance for landscapes correlates with amounts of SWS gained during a post-learning nap (25). SWS deprivation impairs the retention of spatial rotation abilities (26), and memory for spatial locations is impaired in proportion to lower amounts of SWS in schizophrenic patients (27). Besides, extensive navigation in a virtual maze increases stage II sleep duration on the first posttraining night (28). Finally, our group reported a positron emission tomography study showing that hippocampal activity associated with place learning in a large-scale virtual town during wakefulness increases during SWS (and stage II sleep) on the first posttraining night and more so than after procedural learning (29). Importantly, overnight improvement in navigation accuracy correlated with hippocampal activity during posttraining SWS. These functional brain imaging data contributed to complement the results of electrophysiological studies in rodents, which demonstrated at the cellular level the reexpression of hippocampal and neocortical patterns of spatial-related activity mainly during non-rapid-eye-movement sleep (30, 31).

In the present study, we tested the hypothesis that sleep deprivation after spatial learning should hinder the plastic changes in cerebral activity that underpin the long-term consolidation of recent memory traces. To do so, we used functional MRI (fMRI) to map the brain activity of subjects engaged in place-oriented navigation within a complex virtual town, both immediately and 3 days after learning (Fig. 1 *a* and *b*). On the first posttraining night, half of the subjects were totally sleep-deprived (TSD), whereas the others benefited from regular sleep (RS). All were then allowed two nights of RS before the delayed retrieval session, to preclude in TSD subjects the confounding aftereffect of extended wakefulness on regional cerebral activity, alertness, and behavioral performance on the next day (32, 33).

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Abbreviations: fMRI, functional MRI; RS, regular sleep; SWS, slow-wave sleep; TSD, totally sleep-deprived.

Data deposition: The neuroimaging data reported in this paper have been deposited with the fMRI Data Center, www.fmridc.org (accession no. 2-2006-121CE).

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Place finding at delayed retrieval elicited increased BOLD activity in the same set of brain structures, including the hippocampus (30, -33, 2; $Z = 4.52$; $p^{\text{svc}(10\text{ mm})} < 0.05$). Correlation analyses indicated a positive relationship between an individual's performance score and navigation-related response in the right hippocampus (Fig. 2c), both at immediate (30, -32, 2; $Z = 3.65$; Pearson correlation coefficient $r = 0.32$) and delayed (24, -32, 6; $Z = 4.25$; $r = 0.42$) retrieval ($p^{\text{svc}(10\text{ mm})} < 0.05$).

Besides these commonalities, variations in brain activity were found between immediate and delayed retrieval sessions, both in TSD and RS subjects. A conjunction analysis using individual between-sessions contrasts from the two groups showed higher activity during place finding at delayed than immediate retrieval in the left (-14, 20, 18; $Z = 3.57$; $p^{\text{svc}(10\text{ mm})} < 0.05$) and right (18, 20, 14; trend $Z = 2.74$; $P < 0.005$, uncorrected) caudate nucleus and in a set of neocortical areas located in the middle cingulate cortex, the precuneus, the superior parietal lobule, and the temporal and frontal cortices. Conversely, decreased activity was found in the right (16, -18, -26; $Z = 4.34$) and left (-20, -28, -18; $Z = 3.57$) hippocampal regions ($p^{\text{svc}(10\text{ mm})} < 0.05$) at delayed (vs. immediate) retrieval (Fig. 2d; see Table 2).

Posttraining Sleep-Dependent Reorganization of Brain Activity. At delayed retrieval, brain activity during place finding was higher in RS than TSD participants in the right (14, 8, 18; $Z = 3.73$) and left (-16, 4, 20; $Z = 3.24$) caudate nucleus ($p^{\text{svc}(10\text{ mm})} < 0.05$; Fig. 3a) and in several neocortical areas (Table 3). The opposite contrast (i.e., testing for higher brain response in TSD than RS subjects) did not yield any significant results. Posterior probability maps (36) indicated a very low probability of hippocampal activation in between-groups differences at locations in which variations in activity were observed between sessions (all P values $< 13\%$; see Table 3). Hence, it is unlikely that a lack of significant difference between patterns of hippocampal activation found at delayed retrieval in the TSD and RS groups could be attributed to a failure to detect between-group differences using classical inference statistics.

A further analysis looked for the brain areas in which activity levels during place finding at delayed retrieval regressed with session mean performance, more (or less) in RS than TSD participants. A significant group by performance interaction was observed in the right caudate nucleus (8, 22, 4; $Z = 3.45$; $p^{\text{svc}(10\text{ mm})} < 0.05$), in which blood-oxygen-level-dependent response was positively coupled with retrieval performance in RS ($r = 0.41$) but negatively coupled in TSD ($r = -0.80$) participants (Fig. 3b). These results indicate that higher involvement of the caudate nucleus during place finding is associated with higher navigation accuracy in the RS group but lower accuracy in the TSD group. Because correlations were computed within each group separately, these correlations are independent from the fact that average activity in the caudate nucleus was higher in RS than TSD volunteers at delayed retrieval.

Finally, a psychophysiological interaction analysis (37) tested the hypothesis that the caudate nucleus would additionally establish distinctive functional connections with other brain regions at delayed retrieval in a posttraining sleep-dependent manner. In RS subjects, coupling between the right caudate nucleus (coordinates, 14, 8, 18 mm) and the left hippocampus (-22, -12, -22) activity was negative, whereas it was positive in the TSD group ($Z = 3.15$, $p^{\text{svc}(10\text{ mm})} < 0.05$; Fig. 3c). Similar interaction effects were found in a set of navigation-related neocortical areas (Fig. 4, which is published as supporting information on the PNAS web site). These findings indicate that functional connectivity between the caudate nucleus and the hippocampus was modulated at delayed retrieval by the sleep status on the first posttraining night.

Fig. 3. Sleep-dependent modulation of brain activity. Contrasts are displayed at $P < 0.001$ (uncorrected) superimposed on the average T1-weighted MRI scan. Color bars code the value of the t statistic associated with each voxel. (a) Higher activity elicited by place finding for the RS compared with the TSD group at delayed retrieval bilaterally in the caudate nucleus (sagittal, coronal, and axial sections). Blue crosshair, right caudate nucleus (14, 8, 18 mm; $Z = 3.73$). (b) Between-group regression analyses of the average session performance on cerebral activity at delayed retrieval (sagittal and coronal sections). Blue crosshair, right caudate nucleus (8, 22, 4 mm; $Z = 3.45$). The scatter plot shows that brain response at this coordinate was correlated positively with performance in the RS group (blue; $r = 0.41$) but negatively in the TSD group (red; $r = -0.80$). (c) Psychophysiological interaction analysis using the right caudate nucleus (14, 8, 18 mm; green crosshair) as seed area. The coupling of activity between the caudate nucleus and the left hippocampus (coronal section) was negative in the RS group (blue) but positive in the TSD group (red). Blue crosshair, left hippocampus (22, 12, 22 mm; $Z = 3.15$). Blue and red plots show the size of effect for each group. Error bars are standard deviations.

Discussion

The present study shows that sleep after spatial learning promotes the covert reorganization of functional brain activity. In subjects allowed to sleep on the first posttraining night as compared with sleep-deprived subjects, striatal activity was significantly increased during delayed navigation in the learned environment. In addition, the linear relationship between striatal response and navigation accuracy, as well as the functional connectivity between the hippocampus and the striatum, was modulated by the sleep status on the first posttraining night. Importantly, sleep-associated changes in brain activity accompanied equivalent levels of behavioral performance.

It is known from rodent studies that efficient place finding, i.e., the ability to locate a goal within an environment, may rely on either spatial- or response-based (habit) memory systems (11, 13, 15, 38). Spatial memory-related navigation mostly relies upon the hippocampus, which supports an allocentric representation of the environment on the basis of stored relationships between multiple environmental cues. Response memory-related navigation depends on egocentric information processed in the caudate nucleus (striatum) under the form of incrementally

navigation, which is initially mostly based on a hippocampus-dependent spatial strategy, progressively relies in part on a response-based strategy mediated by the striatum. These findings help to characterize the sleep-for-memory hypothesis by showing that a lack of effect of sleep on overt behavioral performance does not automatically imply that sleep has no covert effect on the brain networks that subtend memory consolidation.

Methods

Subjects. Twenty-four healthy right-handed volunteers (12 males, 12 females; mean age, 23.5 years; range, 19–29 years) gave their informed written consent to participate in this experiment approved by the Ethics Committee of the University of Liège. They were paid for their participation, were free of neurological or psychiatric disease, and had a normal structural MRI brain scan. In the sleep group (RS; six males, six females), subjects were allowed to sleep as usual at home for the three posttraining nights. In the TSD group (six males, six females), subjects stayed awake in the laboratory the first posttraining night until 7:00 a.m. (Fig. 1*a*). During this night, participants' physical activity was maintained as low as possible under constant supervision by the experimenters. They had to pursue their usual diurnal activities and slept normally at home on the two following posttraining nights. Data from two TSD subjects were excluded from the analyses because of technical problems during fMRI acquisition. Circadian types were similarly sampled across the two groups. As indicated by self reports, sleep duration and quality were similar between groups during the experimental period except on the first recovery night, when sleep duration was longer for the TSD group than for the RS group, as expected by a stronger drive for sleep after sleep deprivation (55). Further details on sleep parameters are reported in *Supporting Text*, which is published as supporting information on the PNAS web site.

Navigation Task. Subjects were trained in a virtual environment adapted from a commercially available computer game (Duke Nukem 3D; 3D Realms Entertainment, Apogee Software, Garland, TX) using the editor provided (Build, Ken Silverman, Realms Entertainment) (29). The environment was a complex town composed of three districts that were made distinct from each other by different visual backgrounds and objects along the streets. Each of these districts contained one target location identified by a rotating medallion. Unknown to the participants during training, the virtual town contained 10 starting points that were each 35 virtual units apart (optimal path) from their associated target location (Fig. 1*b*). Subjects navigated within the environment at the ground level using a four-direction keypad with their right hand at a constant speed of 1.25 virtual unit per second. During training, the virtual environment was presented on a desktop 800-MHz Pentium III (Siemens, Munich) personal computer (screen size, 17 inches). For testing in the scanner, a mirror allowed the participants to see the display of the virtual town projected on a screen.

On day 1, participants were trained outside of the scanner during a 35-min exploration period. They were explicitly instructed to learn the spatial layout of streets, districts, and target locations by moving freely within the environment. During the entire training session, pictures of the three target locations and their associated names were continuously available to the subject. Immediately after the end of learning on day 1 (immediate retrieval) and on day 4 (delayed retrieval), volunteers were scanned by using fMRI while performing a series of navigation tests in the virtual town. To control for potential circadian interferences, sessions took place at the same time of day (between 10:00 a.m. and 5:00 p.m.) at immediate and delayed retrieval. Each session consisted of 10 blocks of tests, each lasting for 28 s, that alternated with 10 blocks of rest, during which a

black screen was displayed for 10–17 s. For each test, participants were assigned a starting point and instructed to reach, as fast as possible, a given target location. Because the target was separated from the starting point by 28 s at constant speed, reaching the destination could be achieved only if they selected the ideal path and, in the meantime, did not stop at all during navigation. After time elapsed, a quantitative measure of behavioral performance was computed by subtracting the shortest distance remaining between the subject's actual location and the final destination from the length of the optimal path. Additional measures of behavior consisted of the number of stops (defined as stops at landmarks or crossroads and U turns) and navigation speed (expressed as the distance effectively traveled during test duration). Within the last 2 s of the rest period preceding each test, the target location for the test was indicated orally through magnetic resonance-compatible headphones. The same 10 tests were administered in a counterbalanced order, both at the between- and within-subjects levels.

Imaging Parameters. Brain imaging data were obtained by using a 3-T head-only MRI system (Magnetom VISION; Siemens Medical Systems, Erlangen, Germany) equipped with an active shielded gradient coil (30 mT/m). A structural MRI scan using a standard 3D ($0.9 \times 0.9 \times 0.9$ mm) T1-weighted sequence was acquired for each subject [repetition time (TR) = 1,960 ms; echo time (TE) = 4.43 ms; flip angle (FA) = 8° ; 176 slices; field of view (FoV) = 230×173 mm²; matrix size = $256 \times 192 \times 176$; voxel size = $0.9 \times 0.9 \times 0.9$ mm]. For each testing session, 206 functional multislice T2-weighted images were obtained by using a blood-oxygen level-dependent-sensitive single-shot echo planar imaging (EPI) sequence (TR = 2130 ms; TE = 40 ms; FA = 90° ; FoV = 220×220 mm²; matrix size = $64 \times 64 \times 32$) covering the whole brain (126 mm high). Each functional volume consisted of 32 slices, with a thickness of 3 mm (interslice gap = 1 mm) and a pixel size of $3.4 \times 3.4 \times 3$ mm. The four initial scans of each session were discarded to control for magnetic saturation effects.

fMRI Data Analysis. A detailed description of fMRI data analysis methods is provided as *Supporting Text*. Only essential information is reported here. Preprocessing and analysis of functional volumes were performed by using the Statistical Parametric Mapping software SPM2 (Wellcome Department of Cognitive Neurology, London) implemented in MATLAB 6.1 (Mathworks, Sherborn, MA). Preprocessing included realignment and adjustment for movement-related effects, coregistration of functional and anatomical data, spatial normalization into standard stereotactic Montreal Neurological Institute (MNI) space, and spatial smoothing using a Gaussian kernel of 6 mm full width at half maximum (FWHM). Functional data were analyzed by using a mixed-effects model that aimed at showing stereotypical effect in the population from which the subjects are drawn (35). For each subject, a first-level intraindividual analysis tested effects of interest by linear contrasts convolved with a canonical hemodynamic response function, generating statistical parametric maps [SPM(T)]. Movement parameters were included as confounding factors. Cutoff period for high-pass filtering was 128 s. Linear contrasts estimated the main effect of navigation at immediate and delayed retrieval separately, and the difference of navigation-related activity from immediate to delayed retrieval (and conversely). Summary statistic images were thresholded at $P < 0.95$ (uncorrected) and spatially smoothed (6-mm FWHM Gaussian kernel), then entered into a second-level (random-effects) analysis to evaluate differences and commonalities between groups. To test whether modifications of neuronal activity in navigation-related areas were related to performance in place finding, correlation analyses estimated at the random-effects level the coupling between the mean behavioral perfor-

mance per session and the effect size at every voxel in individual statistical maps derived from relevant navigation-related contrasts. Additionally, psychophysiological interaction analyses (37) were computed to test the hypothesis that the availability of posttraining sleep would modulate functional connectivity between navigation-related areas. Coordinates of voxels of interest were determined based on results from the random-effects analysis described above. In all analyses, the resulting set of voxel values for each contrast constituted a map of the t statistic [SPM(T)], thresholded at $P < 0.001$ (uncorrected for multiple comparisons). Statistical inferences were then obtained after corrections at the voxel level by using Gaussian random field theory at $p^{\text{svc}(10 \text{ mm})} < 0.05$ corrected in a small spherical volume

(34) around *a priori* coordinates previously reported in neuroimaging experiments that investigated human navigation using virtual reality devices (Table 4). Finally, posterior probability maps enabled conditional or Bayesian inferences about regionally specific effects, allowing us to ensure that a lack of significant statistical effect in a given contrast was not merely due to a failure to detect this effect using classical inferences (36).

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