Can Computer-Generated Evidence-Based Care Suggestions Enhance Evidence-Based Management of Asthma and Chronic Obstructive Pulmonary Disease? A Randomized, Controlled Trial

William M. Tierney, J. Marc Overhage, Michael D. Murray, Lisa E. Harris, Xiao-Hua Zhou, George J. Eckert, Faye E. Smith, Nancy Nienaber, Clement J. McDonald, and Fredric D. Wolinsky

Objective. Translation of evidence-based guidelines into clinical practice has been inconsistent. We performed a randomized, controlled trial of guideline-based care suggestions delivered to physicians when writing orders on computer workstations.

Study Setting. Inner-city academic general internal medicine practice.

Study Design. Randomized, controlled trial of 246 physicians (25 percent faculty general internists, 75 percent internal medicine residents) and 20 outpatient pharmacists. We enrolled 706 of their primary care patients with asthma or chronic obstructive pulmonary disease. Care suggestions concerning drugs and monitoring were delivered to a random half of the physicians and pharmacists when writing orders or filling prescriptions using computer workstations. A 2×2 factorial randomization of practice sessions and pharmacists resulted in four groups of patients: physician intervention, pharmacist intervention, both interventions, and controls.

Data Extraction/Collection Methods. Adherence to the guidelines and clinical activity was assessed using patients' electronic medical records. Health-related quality of life, medication adherence, and satisfaction with care were assessed using telephone questionnaires.

Principal Findings. During their year in the study, patients made an average of five scheduled primary care visits. There were no differences between groups in adherence to the care suggestions, generic or condition-specific quality of life, satisfaction with physicians or pharmacists, medication compliance, emergency department visits, or hospitalizations. Physicians receiving the intervention had significantly higher total health care costs. Physician attitudes toward guidelines were mixed.

Conclusions. Care suggestions shown to physicians and pharmacists on computer workstations had no effect on the delivery or outcomes of care for patients with reactive airways disease.

Key Words. medical decision making, guidelines, quality improvement

In 2001, the Institute of Medicine documented the gap between recommended and actual practice of medicine in the United States (Institute of Medicine 2001). Many proven interventions were not routinely being used. Reactive airways diseases, asthma and chronic obstructive pulmonary disease (COPD), are an example. They are common, morbid, and costly conditions (McFadden and Gilbert 1992). Despite widely accepted evidence-based treatment guidelines (Canadian Thoracic Society Workshop Group 1992; *National Asthma Education Program Expert Panel Report. Executive Summary: Guidelines for the Diagnosis and Management of Asthma* 1994), many physicians do not prescribe such treatments to patients who might benefit from them (Cabana et al. 2001).

The Institute of Medicine has also stated that electronic medical record systems are "an essential technology for health care" (Institute of Medicine, Committee on Improving the Medical Record 1991) that could improve medical practice (Johnston et al. 1994; Tierney 2001). However, clinical information systems are expensive (Dambro, Weiss, and McClure 1988), potentially intrusive (Krall and Sittig 2001), and have not always improved care (Johnston et al. 1994). We have previously shown that computer-based interventions can increase preventive care (McDonald et al. 1984; Tierney, Hui, and McDonald 1986; McDonald et al. 1999; Tierney 2001) and reduce costs (Tierney, Miller, and McDonald 1990; Tierney et al. 1993). We have had less success affecting chronic management of renal disease (Harris et al. 1998) or heart disease (Tierney et al. 2003; Subramanian et al. 2004). We assessed whether guideline-based care suggestions delivered via physicians' and pharmacists' computer workstations could improve the outpatient management and outcomes among patients with asthma or COPD.

Address correspondence to William M. Tierney, M.D., Department of Medicine, Indiana University School of Medicine, M200-OPW, Wishard Memorial Hospital, 1001 West 10th St., Indianapolis, IN 46202. William M. Tierney, M.D., J. Marc Overhage, M.D., Ph.D., Michael D. Murray, PharmD., M.P.H., Lisa E. Harris, M.D., Xiao-Hua Zhou, Ph.D., Faye E. Smith, M.A., Nancy Nienaber, M.A., Clement J. McDonald, M.D., and Fredric D. Wolinsky, Ph.D., are with the Regenstrief Institute, Incorporated, Indianapolis, IN. William M. Tierney, M.D., J. Marc Overhage, M.D., Ph.D., Lisa E. Harris, M.D., Xiao-Hua Zhou, Ph.D., George J. Eckert, M.A.S., Clement J. McDonald, M.D., and Fredric D. Wolinsky, Ph.D., are with the Department of Medicine, Indiana University School of Medicine, Indianapolis, IN. William M. Tierney, M.D., is with the Richard L. Roudebush Veterans Affairs Medical Center, Indianapolis, IN. Michael D. Murray, PharmD., M.P.H., is with the Purdue University School of Pharmacy, Indianapolis, IN. Fredric D. Wolinsky, Ph.D., is with the University of Iowa School of Medicine, Iowa City, IA.

METHODS

Setting and Subjects

This study was approved by the University Institutional Review Board (study #9211-26) and took place in Indiana University Medical Group-Primary Care (IUMG-PC), an inner-city primary care practice-based research network (Tierney et al. 1991). This study utilized four hospital-based academic practices where 25 faculty general internists and more than 100 internal medicine residents cared for approximately 13,000 patients during 50,000 annual visits. These practices have separate nursing and clerical staff but share paper and electronic medical records (McDonald et al. 1999). At the beginning of each academic year, new physicians were randomly assigned to practices of departing physicians (Tierney et al. 1991). Physicians were assigned to half-day sessions in a single practice: faculty attended 2–5 half-day sessions per week while residents attended one half-day session per week. Prior studies have shown no systematic differences in practice patterns or clinical outcomes between the four practices (McDonald et al. 1984; Tierney, Miller, and McDonald 1990). Each physician cared for an assigned panel of patients. Faculty and residents practiced side by side. Residents briefly presented each patient to a faculty physician yet made all diagnostic and therapeutic decisions for their patients.

This study also included outpatient pharmacists. We separately randomized the 11 full-time and 9 part-time pharmacists to intervention or control status.

Patients were eligible if they were at least 18 years old, had either previously visited the study practices in the past year, and had either (1) the diagnosis of asthma or COPD recorded during any inpatient, emergency, or outpatient visit; (2) emphysema recorded as a reading on any prior chest radiograph or CT scan; or (3) two or more prescriptions for inhaled β -agonists, corticosteroids, ipratropium, or cromolyn, or oral β -agonists or theophylline. Prior studies (Murray et al. 1995) and repeated internal audits have shown that 95 percent of patients visiting these study practices receive all of their medications from the hospital's outpatient pharmacy.

Study Design and Randomization

This study used a 2×2 factorial design to study the relative and additive effects of separate physician and pharmacist interventions. We randomized all half-day sessions to intervention or control status via a coin flip. Because faculty physicians practiced in more than one half-day session per week, this

procedure resulted in a few physicians having sessions with both intervention and control sessions. A biostatistician blinded to their names switched sessions so that the number of intervention and control sessions per practice were equal and all physicians practiced only in sessions with the same study status. To minimize contamination, all physicians in each half-day session shared the same study status. Patients shared their assigned primary care physicians' study status.

As patients were enrolled, they were randomly assigned by computer to receive all of their outpatient drugs from intervention or control pharmacists. Randomizing both practice sessions and patients resulted in four groups of patients: physician intervention only, pharmacist intervention only, both pharmacist and physician interventions, and no intervention (controls).

Evidence-Based Care Suggestions

The investigators used published evidence-based guidelines for managing asthma (*National Asthma Education Program Expert Panel Report. Executive Summary: Guidelines for the Diagnosis and Management of Asthma* 1994) and COPD (Canadian Thoracic Society Workshop Group 1992) to generate patient-specific care suggestions. We determined eligibility for care suggestions using data in patients' electronic medical records: current medications, prior vaccinations, spirometric data, and evidence of recent exacerbations of their reactive airways disease (i.e., hospitalizations or emergency room visits). Peak expiratory flow was not routinely measured in study practices.

The care suggestions were reviewed by a panel of local general internists, pulmonary specialists, and pharmacists. Each care suggestion displayed to the physician or pharmacist explained the rule with a link to the annotated guideline with references. Panel members could independently accept each rule as is, with minor modifications, or major modifications, or reject it. Rules with major modifications or rejected were adjudicated during an expert panel meeting.

The final rules were programmed into physicians' computer workstations that have been in continuous use in this practice since 1984 (McDonald et al. 1999). Care suggestions focused on: (1) performing pulmonary function tests, (2) giving influenza and pneumococcal vaccinations, (3) prescribing inhaled steroid preparations in patients with frequent symptoms of dyspnea, (4) prescribing inhaled anticholinergic agents in patients with COPD, (5) escalating doses of inhaled β -adrenergic agonists for all patients with persistent symptoms, (6) prescribing theophylline for patients with COPD and

continued symptoms despite aggressive use of inhaled anticholinergic agents, β -agonists, and steroids, and (7) encouraging smoking cessation. (Leucotriene inhibitors did not become widely available until after this study ended.)

The goal of this study was to assess the effects of patient-specific care suggestions, not their effects as an educational tool. Therefore, we presented the asthma and COPD guidelines to Medicine and Pharmacy Grand Rounds. We also met with all physicians and pharmacists and gave each a printed summary of the final asthma and COPD management guidelines that were the standard of care in this practice. The printout also listed the Consensus Panel members and summarized the process for developing the rules.

Physician Intervention Protocol

When patients presented for usual care, the physician received the patient's paper chart along with a computer-generated paper encounter form that included a list of active medications. Any study care suggestions for which a patient was eligible that day were printed at the bottom of the medication list. After the patient visit, the physician wrote orders on a workstation. No handwritten orders were allowed. Because patients' electronic medical records lacked symptom data and emergency and inpatient visits to outside hospitals, both intervention and control physicians were required to enter the severity of the subject's dyspnea (none, mild, moderate, severe) and number of exacerbations in the previous month. We required no further actions from control physicians, and no care suggestions were given although care suggestions were generated for all patients. Care suggestions were only presented to intervention physicians, both on their paper medication lists and on their computer workstations. The workstation displayed "suggested orders" on the main workstation screen (see online-only appendix at http://www.blackwellpublishing.com/products/journals/suppmat/HESR/HESR_00369/HESR00369sm.htm). The physician was required to view all care suggestions (see online-only appendix at http://www.blackwellpublishing.com/products/journals/suppmat/HESR/HESR_00369/HESR00369sm.htm), which contained three separate windows. The window at the bottom middle of the screen contained the actual suggested orders. The upper left window listed possible actions for each order ("order" or "omit"). The upper center window contained a short note explaining each care suggestion. Physicians could display the guideline and supporting references by hitting the "help" key.

Patients could also present without appointments. If the patient's assigned physician was present, that physician usually cared for the patient and the

intervention protocol was followed. In all other cases, the care suggestions were only displayed if both the patient and physician were assigned to intervention status. Throughout the study, all physicians received other messages, reminders, and suggested orders, mostly drug interaction warnings and preventive care suggestions not related to this study's target conditions (McDonald et al. 1984).

Pharmacist Intervention Protocol

All prescriptions were sent electronically to the outpatient pharmacy computer system that printed labels for pill bottles. For all enrolled subjects, any study care suggestions were sent electronically to the outpatient pharmacy's Pharmacist Intervention Recording System (Overhage and Lukes 1999) in which outpatient pharmacists recorded all prescription problems and interactions with patients. For patients randomized to the pharmacist intervention, instead of printing the pill bottle labels the system printed a message indicating that there were care suggestions that should only be viewed by an intervention pharmacist who could respond to them, if desired, and then fill the prescriptions. These suggestions were identical to those presented to the primary care physician. Care suggestions were only displayed when both the pharmacist and the patient were in the pharmacist intervention group. Notably, patients were eligible for the pharmacist intervention whenever they presented to the outpatient pharmacy, not just after primary care visits and not just with prescriptions for asthma or COPD.

When viewing care suggestions, the pharmacist had three options: do nothing, discuss them with the patient, or discuss them with the physician. However, during the first 2–3 months of this study, physician discussions rarely occurred. Pharmacists found paging or calling physicians difficult and time consuming. We therefore added an electronic mail option that allowed intervention pharmacists to send care suggestion to the patient's physician, adding text as desired. The physician received a notice of the electronic mail message after logging onto any workstation but was not required to read or respond to it.

Subject Enrollment and Data Collection

We used data from patients' electronic medical records (McDonald et al. 1999) to generate weekly lists of eligible patients with primary care appointments. A research assistant approached potential subjects in the practice's waiting room, escorted the patient to a private area, explained the study, and invited the patient to participate. Agreeable patients signed an informed consent statement and were scheduled for 45-minute telephone interviews to

collect demographic information, income, educational level, and smoking history. At enrollment and during close-out 12 months later, we also administered the SF-36, a measure of health-related quality of life (McHorney and Ware 1995). To assess disease-specific quality of life, we administered the McMaster Chronic Respiratory Disease Questionnaire (CRQ) (Guyatt et al. 1987) for patients with COPD or the McMaster Asthma Quality-of-Life Questionnaire (AQLQ) (Juniper et al. 1993). We also administered the American Board of Internal Medicine's patient satisfaction questionnaire (Webster 1988), a measure of physicians' communication abilities that had been previously validated in this practice (Dexter et al. 1996). We assessed pharmacy satisfaction with a locally developed questionnaire (Weinberger et al. 2001). Medication adherence was assessed using surveys developed by Inui, Carter, and Pecoraro (1981) and Morisky, Green, and Levine (1986). We also assessed medication compliance with the medication possession ratio (Steiner et al. 1988), which uses refill data from patients' pharmacy records and correlates well with other adherence measures (Choo et al. 1999).

A research assistant telephoned the patient at the beginning of his or her twelfth study month to administer the close-out interview. If no telephone interview could be held during the twelfth month, a research assistant attempted to interview the patient during visits made during the thirteenth and fourteenth months after enrollment. We extracted information from patients' electronic medical records, including evidence of compliance with the study care suggestions, hospitalizations, emergency department visits, and direct health care charges. To assess the degree to which physicians' attitudes toward guidelines may have affected their response to the intervention, we also administered a questionnaire to study physicians (after their Grand Rounds on guidelines but before the study started) that included a questionnaire created by Tunis et al. (1994). This questionnaire was originally developed with data from 1,513 generalist and specialist internists. It assessed personal attitudes toward guidelines; no correlations were made with practice behavior.

Statistical Analyses

The unit of analysis for all outcomes was the patient. Because we intervened on the physician and pharmacist, differences between study groups were assessed using random effects generalized linear models (for continuous variables) and generalized estimating equations (for categorical variables) to account for correlations of outcomes among patients treated by specific physicians. We did not adjust for the intervention pharmacist because there was no one-to-one

assignment of pharmacists to patients. The primary outcome was adherence to the guideline-based care suggestions. If a patient were eligible for same care suggestion on multiple visits, it was considered as *one* care suggestion. Adherence occurred when the indicated action was taken any time after it was first suggested and before patient close-out. We used analysis of variance to compare adherence to the care suggestions between the four study groups and Student's *t*-tests to assess the separate effects of the physician and pharmacist interventions. We used Poisson's regression with an overdispersion parameter to compare the numbers of outpatient and emergency visits and hospitalizations between study groups. After creating overall and subscale scores for the SF-36, AQLQ, CRQ, and the physician and pharmacist satisfaction surveys, we used analysis of covariance to compare these scores between study groups, including baseline scores and indicators for ceiling and floor effects as covariates. We analyzed charges and medication possession ratios using Wald-type tests for log-normal data containing zeroes (Zhou and Tu 1999). We used logistic regression to compare results of the Inui measure of medication adherence and analysis of covariance for the Morisky instrument, including baseline scores and indicators for ceiling and floor effects as covariates.

Because our main focus was to improve patient-centered outcomes, data from a prior study (Dexter et al. 1996) indicated that we needed 500 patients for 80 percent power to detect one unit change in the standard error of measurement for each subscale score of the SF-36 (Wyrwich, Tierney, and Wolinsky 1999). Anticipating 25 percent attrition of subjects during the study, we sought to enroll 700 patients.

RESULTS

Between January 1, 1994 and May 1, 1996, 1,730 potentially eligible subjects kept scheduled primary care visits (Figure 1). Of these, 1,181 (63 percent) were contacted and agreed to be interviewed, 865 (73 percent) of whom agreed to participate and signed informed consent statements, and 706 (82 percent) completed baseline interviews, were enrolled, and were randomized. Of the 274 physicians practicing in the study practices during this 3-year study, 133 practiced in half-day sessions randomized to intervention status and 141 practiced in control sessions. A quarter of physicians were faculty general internists, the rest internal medicine, or medicine-pediatrics residents.

There were no significant demographic or clinical differences between the four patient groups (Table 1). Two-thirds were women, 60 percent were

Figure 1: Flow Diagram Showing Subject Recruitment

caucasian, and two-thirds had COPD rather than asthma. The mean age was 51 years. Approximately two-thirds of each group completed a 12-month interview. More than 80 percent of patients in each study group had at least one scheduled visit to their primary care physician during their year in the

Table 1: Study Subjects

	<i>Control (No Intervention)</i>	<i>Physician Intervention</i>	<i>Pharmacist Intervention</i>	<i>Both Interventions</i>
Number of subjects enrolled	169	194	161	182
Age (years, mean $\pm$ SD)	52 $\pm$ 13	50 $\pm$ 14	51 $\pm$ 14	51 $\pm$ 14
Sex (% women)	71	77	68	71
Race (% caucasian)	61	55	56	59
Education (years, mean $\pm$ SD)	9.9 $\pm$ 3.0	10.1 $\pm$ 2.9	10.8 $\pm$ 2.7	10.4 $\pm$ 2.9
% with COPD	74	70	63	68
N(%) with a 12-month follow-up interview	111 (66)	135 (70)	110 (68)	118 (65)
% with primary care visits during study	95	91	88	92
Number of scheduled primary care visits during study (mean $\pm$ SD)	4.9 $\pm$ 3.5	5.0 $\pm$ 4.4	4.4 $\pm$ 3.8	4.8 $\pm$ 3.9

COPD, chronic obstructive pulmonary disease.

study (mean of almost five visits). The mean number of study care suggestions per patient was just under three.

Table 2 shows the number of subjects eligible for each care suggestion and the fraction ordered during the study. There were no differences between the four study groups in either adherence to the care suggestions, combined or individually. Post hoc power analyses showed that it would take 145 subjects per group to have 80 percent power to detect a 50 percent increase in adherence to the care suggestions (from 32 percent to 48 percent, a 16 percent absolute increase) found in the control group.

There was also no consistent effect on quality of life (Table 3). The only significant difference in any SF-36 subscale was a significantly improved Role Physical subscale in the group receiving both interventions. There were no differences in the CRQ subscales. For the AQLQ, patients with asthma receiving the pharmacist intervention had significantly improved scores in the emotion subscale.

There were no intergroup differences in medication adherence or in patients' satisfaction with their physicians or pharmacists (Table 3). Nor were there any differences in emergency department visits or hospitalizations for any cause or for reactive airways disease in particular (Table 3). Patients in the group receiving only the physician intervention had significantly elevated total health care charges, possibly because of just a small number of extremely high-cost hospitalizations (Table 3).

Physicians' opinions of practice guidelines were mixed (Table 4). They generally felt that guidelines were a good educational tool, a convenient source of information, and intended to improve the quality of care. Yet many

Table 2: Adherence to Treatment Guidelines

	<i>Control*</i> (No Intervention), N = 169	<i>Physician</i> <i>Intervention,</i> N = 194	<i>Pharmacist</i> <i>Intervention,</i> N = 161	<i>Both</i> <i>Interventions,</i> N = 182
All indicated tests and treatments				
N (%) of subjects with any suggestion	160 (95%)	179 (92%)	141 (87%)	168 (92%)
N of suggestions (mean/subject $\pm$ SD)	416 (2.6 $\pm$ 1.6)	498 (2.8 $\pm$ 1.7)	382 (2.7 $\pm$ 1.5)	471 (2.8 $\pm$ 1.6)
N (%) of suggestions adhered to	135 (32%)	161 (32%)	123 (32%)	173 (37%)
Influenza vaccination				
N (%) of patients with suggestions	85 (50%)	92 (47%)	80 (49%)	100 (55%)
N (%) of suggestions adhered to	36 (42%)	37 (40%)	34 (43%)	37 (37%)
Pneumococcal vaccination				
N (%) of patients with suggestions	78 (46%)	89 (46%)	76 (47%)	95 (52%)
N (%) of suggestions adhered to	7 (9%)	7 (8%)	6 (8%)	15 (16%)
Obtain pulmonary function test				
N (%) of patients with suggestions	66 (39%)	97 (50%)	65 (40%)	75 (41%)
N (%) of suggestions adhered to	4 (6%)	6 (6%)	4 (6%)	9 (12%)
Start ipratropium				
N (%) of patients with suggestions	67 (40%)	71 (37%)	59 (36%)	65 (36%)
N (%) of suggestions adhered to	17 (25%)	30 (42%)	15 (25%)	23 (35%)
Start inhaled β -agonist				
N (%) of patients with suggestions	33 (20%)	30 (15%)	25 (15%)	24 (13%)
N (%) of suggestions adhered to	23 (70%)	18 (60%)	13 (52%)	16 (67%)
Switch to cheaper β -agonist				
N (%) of patients with suggestions	24 (14%)	30 (15%)	20 (12%)	33 (18%)
N (%) of suggestions adhered to	17 (71%)	23 (77%)	13 (65%)	30 (91%)
Increase/decrease theophylline dose				
N (%) of patients with suggestions	24 (14%)	39 (20%)	25 (15%)	31 (17%)
N (%) of suggestions adhered to	16 (67%)	26 (67%)	18 (72%)	20 (65%)
Stop ipratropium				
N (%) of patients with suggestions	21 (12%)	22 (11%)	18 (11%)	28 (15%)
N (%) of suggestions adhered to	12 (57%)	7 (32%)	10 (56%)	16 (57%)
Start inhaled corticosteroid				
N (%) of patients with suggestions	9 (5%)	18 (9%)	10 (6%)	11 (6%)
N (%) of suggestions adhered to	1 (11%)	2 (11%)	3 (30%)	3 (27%)
Start oral corticosteroid				
N (%) of patients with suggestions	9 (5%)	10 (5%)	4 (2%)	9 (5%)
N (%) of suggestions adhered to	2 (22%)	5 (50%)	2 (50%)	3 (33%)

*Care suggestions were generated by the computer program but were not displayed to physicians or pharmacists caring for patients in the control group.

physicians felt that guidelines represented oversimplified “cookbook” medicine, were often too rigid to apply to individual patients, hampered physician autonomy, and were used to decrease health care costs.

Table 3: Outcomes at 12 Months*

	Control (No Intervention), N = 111	Physician Intervention, N = 135	Pharmacist Intervention, N = 110	Both Interventions, N = 118
Short-form 36 subscales				
Physical function	37 ± 26	38 ± 23	38 ± 27	36 ± 24
Role physical [†]	32 ± 40	32 ± 40	33 ± 40	38 ± 41
Pain	44 ± 26	49 ± 25	47 ± 27	48 ± 26
General health	34 ± 22	37 ± 24	29 ± 25	35 ± 20
Vitality	36 ± 20	37 ± 21	39 ± 23	36 ± 23
Social function	63 ± 29	69 ± 27	63 ± 30	61 ± 29
Role emotional	60 ± 45	65 ± 43	60 ± 44	59 ± 43
Mental health	61 ± 24	62 ± 23	62 ± 23	50 ± 25
Chronic respiratory disease questionnaire subscales				
Number of subjects	91	72	104	91
Overall health status	4.2 ± 1.1	4.4 ± 1.2	4.3 ± 1.3	4.1 ± 1.1
Dyspnea	4.0 ± 1.5	4.2 ± 1.6	4.2 ± 1.7	4.0 ± 1.6
Fatigue	3.6 ± 1.2	3.8 ± 1.3	3.7 ± 1.5	3.4 ± 1.2
Emotion	4.4 ± 1.3	4.6 ± 1.3	4.5 ± 1.4	4.2 ± 1.2
Mastery	4.6 ± 1.4	4.8 ± 1.4	4.8 ± 1.5	4.5 ± 1.4
Asthma quality-of-life questionnaire subscales				
Number of subjects	20	38	31	27
Overall health status	3.7 ± 1.3	4.0 ± 1.5	4.2 ± 1.4	4.2 ± 1.1
Activity	3.9 ± 1.2	4.5 ± 1.5	4.6 ± 1.3	4.4 ± 1.2
Symptoms	3.6 ± 1.4	4.0 ± 1.5	4.0 ± 1.5	4.2 ± 1.2
Emotion [†]	3.6 ± 1.5	3.8 ± 2.0	4.3 ± 1.6 [†]	4.4 ± 1.2
Environment	3.7 ± 1.4	3.9 ± 1.6	4.2 ± 1.5	4.0 ± 1.4

Medication adherence scores			
Mean compliance score (Inui measure) (%)	80	81	82
Mean compliance score (Morisky measure)	0.88 ± 1.0	0.95 ± 1.1	0.89 ± 1.0
N (%) of subjects with ≥ 2 prescription refills	96 (87%)	128 (95%)	109 (92%)
Medication possession ratio (mean ± SD) [‡]	0.92 ± 1.0	0.98 ± 0.8	1.1 ± 2.0
Patient satisfaction			
Satisfaction with physician	2.1 ± 0.7	1.9 ± 0.9	2.1 ± 0.6
Satisfaction with pharmacist	2.1 ± 0.7	2.1 ± 0.7	2.0 ± 0.6
Number of emergency department visits			
All visits	1.4 ± 1.9	1.4 ± 1.7	1.4 ± 2.1
For reactive airways disease	0.3 ± 0.8	0.3 ± 0.7	0.4 ± 0.8
Number of hospitalizations			
All hospitalizations	0.4 ± 0.8	0.5 ± 1.6	0.4 ± 1.1
For reactive airways disease	0.1 ± 0.3	0.1 ± 0.5	0.1 ± 0.5
Direct health care charges			
Outpatient charges	\$3,129 ± 2,921	\$3,142 ± 3,381	\$3,177 ± 3,558
Inpatient charges	\$2,671 ± 6,805	\$4,864 ± 17,257	\$2,475 ± 8,699
Total health care charges	\$5,800 ± 8,536	\$8,006 ± 18,720 [†]	\$5,652 ± 10,579

*All results are mean ± SD unless otherwise specified.

[†] $p < .05$ after adjusting for baseline values.

[‡] Calculated from refill information for all patients, not just those with a 12-month closeout interview.

Table 4: Physicians' Attitudes Toward Practice Guidelines

<i>Please check the box which best describes your agreement with the following statements about practice guidelines. Guidelines are:</i>					
	<i>1, Strongly Disagree</i>	<i>2, Somewhat Disagree</i>	<i>3, Neutral</i>	<i>4, Somewhat Agree</i>	<i>5, Strongly Agree</i>
					<i>Mean Score ± SD</i>
Good educational tools	0 (0%)	5 (3%)	26 (17%)	90 (58%)	30 (19%) 4.0 ± 0.8
A convenient source of advice	0 (0%)	2 (1%)	16 (10%)	98 (64%)	34 (22%) 4.1 ± 0.7
Oversimplified or "cookbook" medicine	0 (0%)	38 (25%)	51 (33%)	35 (53%)	9 (6%) 3.3 ± 0.9
Too rigid to apply to individual patients	2 (1%)	53 (35%)	45 (29%)	35 (23%)	14 (9%) 3.1 ± 1.1
Intended to improve quality of care	0 (0%)	6 (4%)	14 (9%)	80 (52%)	61 (33%) 4.2 ± 0.8
Intended to decrease health care costs	1 (1%)	14 (9%)	32 (21%)	69 (45%)	36 (24%) 3.8 ± 0.9
Likely to decrease practitioner autonomy	5 (3%)	30 (20%)	29 (19%)	68 (44%)	20 (13%) 3.5 ± 1.1

Additional analyses were performed to ensure that the lack of significance was not because of the analytic methods chosen. Analyses of quality of life and satisfaction data were repeated after categorizing the changes from baseline into “better,” “no change,” and “worse” using the standard error of measurement (Wyrwich, Tierney, and Wolinsky 1999). Direct health care charges, number of hospitalizations, and number of emergency department visits were also analyzed using Kruskal–Wallis nonparametric tests. These results of these analyses were similar to the primary analyses and are not presented.

DISCUSSION

We had hoped to show that computer-generated care suggestions would enhance adherence to evidence-based guidelines and have salutatory effects on patient-centered and clinical outcomes. Unfortunately, none of this occurred, which surprised us, given our previous success with interventions to increase preventive care (McDonald et al. 1984; Tierney, Hui, and McDonald 1986; McDonald et al. 1999) and reduce costs (Tierney, Miller, and McDonald 1990; Tierney et al. 1993). Yet the workstations have been an effective medium for delivering interventions to affect physician ordering behavior (Tierney et al. 1987, 1988; Tierney, Miller, and McDonald 1990). It is possible that providers will accept a computer’s advice about preventive care and costs but may be less open to a computer’s suggestions about managing chronic illnesses. A prior study of a similar computer-based intervention to improve physician adherence to local and national guidelines for heart disease was also ineffective (Tierney et al. 2003). Another study used computer-generated reminders as part of a comprehensive management program for patients with chronic renal insufficiency. Despite mandatory consultation by nephrologists and a renal nurse, social worker, and dietician, there were no improvements in the processes or outcomes of care (Harris et al. 1998). In fact, chronic disease management programs have had mixed effects in affecting the processes and outcomes of care (Ferguson and Weinberger 1998). To date, the promise of computer-based decision support has not been realized. We have recently shown that including patient symptoms, their severity, and changes from the most recent primary care visit in patient-specific, computer-generated care suggestions had no effect on the processes or outcomes of care for veterans with heart failure (Subramanian et al. 2004). Additional work is needed to improve the timing and content of such interventions. A recent review of

computer-based decision-support systems found them to be effective in affecting preventive care but had less effect in chronic disease management and little evidence of improvement in patient outcomes (Mitchell and Sullivan 2001). The content, timing, and presentation of such decision-support tools need to be improved. Expertise in human factor engineering (Norman 2002; Bates et al. 2003) may be particularly useful in designing interventions that physicians can and will use to improve the translation of evidence-based guidelines into everyday clinical practice.

Although physicians and pharmacists had to view each suggestion, they could easily erase them from the screen by hitting the "escape" key. In a busy practice, the care suggestions could have been seen as a nuisance. Automatically deleting them may have become routine. We have strong evidence from two prior studies that this may have been the case. We found no effects of preventive care suggestions presented to residents on Wishard Hospital's inpatient medicine service (Overhage, Tierney, and McDonald 1996). The same intervention was repeated on the same service several years later by Dexter et al. (2001), the only major difference being that the "escape" key was disabled. Each care suggestion had to be dealt with in some way, either by complying with it or explaining why it was inappropriate. The effects were dramatic: adherence increased by a factor of 45 over the rate found by Overhage. The key, then, is to find a balance between the hassle factor of an intervention that cannot be avoided and the patient benefits of adhering to evidence-based practice guidelines. It would seem to require clinician leadership to find the correct balance, or providers themselves could decide which care suggestions should require their input and response.

The majority of patients in this study were middle-aged women with COPD, as defined using standard criteria. Yet the line between asthma and COPD among aging adults is blurred, and many patients with COPD have a reactive component to their lung disease that is amenable to pharmacotherapy. The strongest evidence for use of stepped care is among patients with asthma, which were in the minority in this study. This may have tempered providers' enthusiasm for following the care suggestions.

Although pharmacists could not directly respond to care suggestions, they could have discussed them with the patients and/or physicians. In a work-sampling study performed during our intervention (Murray et al. 1999), Murray et al. found that intervention pharmacists spent significantly more time than control pharmacists discussing information with patients, advising and informing them, and solving problems. Intervention pharmacists spent significantly less time checking and filling prescriptions and working alone, and

they spent significantly more time than control pharmacists interacting with other pharmacy personnel, patients, and physicians and nurses. Hence, there were dramatic effects of the intervention on pharmacist-patient interactions that did not impact on patient care, outcomes, or satisfaction.

Communication between physicians and pharmacists in this study was practically nonexistent. This is unfortunate, because as time for clinical encounters continues to shrink, these two groups of providers could have complementary roles in enhancing pharmacotherapy and monitoring for adverse outcomes (Singhal, Raisch, and Gupchup 1999). Further work is needed to increase the collaboration of physicians and pharmacists and to define the role of computer-based interventions for improving the quality and outcomes of patient care.

This study was performed in academic practices where three-quarters of the physicians are residents. Results might not be generalizable to the everyday practice. However, we have previously used this practice-based research network to develop and test computer-based interventions (McDonald et al. 1984, 1999; Tierney, Hui, and McDonald 1986; Tierney et al. 1988, 1993, 2003; Tierney, Miller, and McDonald 1990; Overhage, Tierney, and McDonald 1996) that others have then tested more broadly (McPhee et al. 1991; Shea, DuMouchel, and Bahamonde 1996).

Although the Institute of Medicine believes electronic medical records are essential to improving the quality of care (Institute of Medicine, Committee on Improving the Medical Record 1991; Institute of Medicine 2001), expensive and sometimes intrusive innovations need to be thoroughly tested in rigorous trials before broad implementation. Electronic medical record systems should be useful in enhancing evidence-based practice. However, in this study, providing patient-specific care suggestions for asthma and COPD management did not improve decision making or patient outcomes and cannot be recommended at this time. Additional research, especially with respect to chronic disease management, is required if computer information systems are to help bridge the quality chasm (Institute of Medicine 2001).

ACKNOWLEDGMENTS

This work was supported by grant number HS07763 from the Agency for Healthcare Research and Quality. We thank the physicians, nurses, clerks, and administration of the IU Medical Group-Primary Care for their continued support of clinical research in general and this project in particular.

REFERENCES

- Bates, D. W., G. J. Kuperman, S. Wang, T. Gandhi, A. Kittler, L. Volk, C. Spurr, R. Khorasani, M. Tanasijevic, and B. Middleton. 2003. "Ten Commandments for Effective Decision Support: Making the Practice of Evidence-Based Medicine a Reality." *Journal of the American Medical Informatics Association* 10 (6): 523-30.
- Cabana, M. D., C. S. Rand, O. J. Becher, and H. R. Rubin. 2001. "Reasons for Pediatrician Nonadherence to Asthma Guidelines." *Archives of Pediatric and Adolescent Medicine* 155 (9): 1057-62.
- Canadian Thoracic Society Workshop Group. 1992. "Guidelines for the Assessment and Management of Chronic Obstructive Pulmonary Disease." *Canadian Medical Association Journal* 147 (4): 420-8.
- Choo, P., C. Rand, T. Inui, M. L. Lee, E. Cain, M. Codiero-Breault, C. Canning, and R. Platt. 1999. "Validation of Patient Reports, Automated Pharmacy Records, and Pill Counts with Electronic Monitoring of Adherence to Antihypertensive Therapy." *Medical Care* 37 (9): 846-57.
- Dambro, M. R., B. D. Weiss, and C. L. McClure. 1988. "An Unsuccessful Experience with Computerized Medical Records in an Academic Medical Center." *Journal of Medical Education* 63 (8): 617-23.
- Dexter, P. R., S. Perkins, J. M. Overhage, K. Meharry, R. B. Kohler, and C. J. McDonald. 2001. "A Computerized Reminder System to Increase the Use of Preventive Care for Hospitalized Patients." *New England Journal of Medicine* 345 (13): 965-70.
- Dexter, P. R., T. E. Stump, W. M. Tierney, and F. D. Wolinsky. 1996. "The Psychometric Properties of the SF-36 Health Survey among Older Adults in the Clinical Setting." *Journal of Clinical Geropsychology* 2 (3): 225-37.
- Ferguson, J. A., and M. Weinberger. 1998. "Case Management Programs in Primary Care." *Journal of General Internal Medicine* 13 (2): 123-6.
- Guyatt, G. H., L. B. Berman, M. Townsend, S. O. Pugsley, and L. W. Chambers. 1987. "A Measure of Quality of Life for Clinical Trials in Chronic Lung Disease." *Thorax* 42 (10): 773-8.
- Harris, L. E., F. C. Luft, D. W. Rudy, J. G. Kesterson, and W. M. Tierney. 1998. "Effects of Multidisciplinary Case Management of Patients with Chronic Renal Insufficiency." *American Journal of Medicine* 105 (6): 464-71.
- Institute of Medicine, Committee on Improving the Medical Record. 1991. *The Computer-based Patient Record: An Essential Technology for Health Care*. Washington, DC: National Academy Press.
- Institute of Medicine. 2001. *Crossing the Quality Chasm: A New System for the 21st Century*. Washington, DC: National Academy Press.
- Inui, T. S., W. B. Carter, and R. E. Pecoraro. 1981. "Screening for Noncompliance among Patients with Hypertension: Is Self-Report the Best Available Measure?" *Medical Care* 19 (10): 1061-4.
- Johnston, M. E., K. B. Langton, R. B. Haynes, and A. Mathieu. 1994. "Effects of Computer-Based Clinical Decision Support Systems on Clinician Performance and Patient Outcomes." *Annals of Internal Medicine* 120 (2): 135-42.

- Juniper, E., G. H. Guyatt, P. J. Ferrie, and L. E. Griffith. 1993. "Measuring Quality of Life in Asthma." *American Review of Respiratory Diseases* 147 (4): 832-8.
- Krall, M. A., and D. F. Sittig. 2001. "Subjective Assessment of Usefulness and Appropriate Presentation Mode of Alerts and Reminders in the Outpatient Setting." *Proceedings of the American Medical Informatics Association Symposium*: 334-8.
- McDonald, C. J., S. L. Hui, D. M. Smith, W. M. Tierney, S. J. Cohen, M. Weinberger, and G. P. McCabe. 1984. "Reminders to Physicians from an Introspective Computer Medical Record. A Two-Year Randomized Trial." *Annals of Internal Medicine* 100 (1): 130-8.
- McDonald, C. J., J. M. Overhage, W. M. Tierney, P. R. Dexter, D. K. Martin, J. G. Suico, A. Zafar, G. Schadow, L. Blevins, T. Glazener, J. Meeks-Johnson, L. Lemmon, J. Warvel, B. Porterfield, J. Warvel, P. Cassidy, D. Lindbergh, A. Belsito, M. Tucker, B. Williams, and C. Wodniak. 1999. "The Regenstrief Medical Record System: A Quarter Century Experience." *International Journal of Medical Informatics* 54 (3): 225-53.
- McFadden, E. R., and I. A. Gilbert. 1992. "Asthma." *New England Journal of Medicine* 327 (27): 1928-37.
- McHorney, C. A., and J. E. Ware Jr. 1995. "Construction and Validation of an Alternate form General Mental Health Scale for the Medical Outcomes Study Short-Form 36-Item Health Survey." *Medical Care* 33 (1): 15-28.
- McPhee, S. J., J. A. Bird, D. Fordham, J. E. Rodnick, and E. H. Osborn. 1991. "Promoting Cancer Prevention Activities by Primary Care Physicians: Results of a Randomized, Controlled Trial." *Journal of the American Medical Association* 266 (4): 538-44.
- Mitchell, E., and F. Sullivan. 2001. "A Descriptive Feast but an Evaluative Famine: Systematic Review of Published Articles on Primary Care Computing during 1980-97." *British Medical Journal* 322 (7281): 279-82.
- Morisky, D. E., L. W. Green, and D. M. Levine. 1986. "Concurrent and Predictive Validity of a Self-Reported Measure of Medication Adherence." *Medical Care* 24 (1): 67-74.
- Murray, M. D., B. Loos, T. Wanzhu, G. J. Eckert, X. H. Zhou, and W. M. Tierney. 1999. "Work Patterns of Ambulatory Care Pharmacists with Access to Electronic Guideline-Based Treatment Suggestions." *American Journal of Health-System Pharmacy* 56 (3): 225-32.
- Murray, M. D., M. T. Rupp, J. M. Overhage, D. Ebbeler, J. W. Main, and W. M. Tierney. 1995. "Multidimensional Work Sampling in an Outpatient Pharmacy." *Pharmacy Practice Management Quarterly* 15 (3): 44-56.
- National Asthma Education Program Expert Panel Report. *Executive Summary: Guidelines for the Diagnosis and Management of Asthma*. 1994. Washington, DC: U.S. Department of Health and Human Services (AHCPR Publication No. 94-3042A).
- Norman, D. A. 2002. *The Design of Everyday Things*. New York: Basic Books (Perseus).
- Overhage, J. M., and A. Lukes. 1999. "Practical, Reliable, Comprehensive Method for Characterizing Pharmacists' Clinical Activities." *American Journal of Health-System Pharmacy* 56 (23): 2444-50.

- Overhage, J. M., W. M. Tierney, and C. J. McDonald. 1996. "Computer Reminders to Implement Preventive Care Guidelines for Hospitalized Patients." *Archives of Internal Medicine* 156 (14): 1551-6.
- Shea, S., W. DuMouchel, and L. Bahamonde. 1996. "A Meta-Analysis of 16 Randomized Controlled Trials to Evaluate Computer-Based Clinical Reminder Systems for Preventive Care in the Ambulatory Setting." *Journal of the American Medical Informatics Association* 3 (6): 399-409.
- Singhal, P. K., D. W. Raisch, and G. V. Gupchup. 1999. "The Impact of Pharmaceutical Services in Community and Ambulatory Care Settings: Evidence and Recommendations for Future Research." *Annals of Pharmacotherapy* 33 (12): 1336-55.
- Steiner, J. F., T. D. Koepsell, S. D. Fihn, and T. S. Inui. 1988. "A General Method of Compliance Assessment Using Centralized Pharmacy Records: Description and Validation." *Medical Care* 26 (8): 814-23.
- Subramanian, U., S. D. Fihn, M. Weinberger, L. Plue, F. E. Smith, E. Udriș, M. B. McDonnell, G. J. Eckert, M. Temkit, X. H. Zhou, L. Chen, and W. M. Tierney. 2004. "A Controlled Trial of Including Symptom Data in Computer-Based Care Suggestions for Managing Chronic Heart Failure." *American Journal of Medicine* 116 (6): 375-84.
- Tierney, W. M., S. L. Hui, and C. J. McDonald. 1986. "Delayed Feedback of Physician Performance versus Immediate Reminders to Perform Preventive Care: Effects on Physician Compliance." *Medical Care* 24 (8): 659-66.
- Tierney, W. M., C. J. McDonald, S. L. Hui, and D. K. Martin. 1988. "Computer Predictions of Abnormal Test Results: Effects on Outpatient Testing." *Journal of the American Medical Association* 259 (8): 1194-8.
- Tierney, W. M., C. J. McDonald, D. K. Martin, S. L. Hui, and M. P. Rogers. 1987. "Computerized Display of Past Test Results: Effects on Outpatient Testing." *Annals of Internal Medicine* 107 (4): 569-74.
- Tierney, W. M., M. E. Miller, S. L. Hui, and C. J. McDonald. 1991. "Practice Randomization and Clinical Research: The Indiana Experience." *Medical Care* 29 (7 suppl.): JS57-64.
- Tierney, W. M., M. E. Miller, and C. J. McDonald. 1990. "The Effect on Test Ordering of Informing Physicians of the Charges for Outpatient Diagnostic Tests." *New England Journal of Medicine* 233 (21): 1499-504.
- Tierney, W. M., M. E. Miller, J. M. Overhage, and C. J. McDonald. 1993. "Physician Inpatient Order-Writing on Microcomputer Workstations: Effects on Resource Utilization." *Journal of the American Medical Association* 269 (3): 379-83.
- Tierney, W. M., J. M. Overhage, M. D. Murray, L. E. Harris, X. H. Zhou, G. J. Eckert, F. E. Smith, N. Nienaber, C. J. McDonald, and F. D. Wolinsky. 2003. "Effects of Computerized Guidelines for Managing Heart Disease in Primary Care: A Randomized, Controlled Trial." *Journal of General Internal Medicine* 18 (12): 967-76.
- Tierney, W. M. 2001. "Improving Clinical Decisions and Outcomes with Information: A Review." *International Journal of Medical Informatics* 62 (1): 1-9.
- Tunis, S. R., R. S. A. Hayward, M. C. Wilson, H. R. Rubin, E. B. Bass, M. Johnston, and E. P. Steinberg. 1994. "Internists' Attitudes about Clinical Practice Guidelines." *Annals of Internal Medicine* 120 (11): 956-63.

- Webster, G. D. 1988. *Final Report of the ABIM Patient Satisfaction Project*. Philadelphia: American Board of Internal Medicine.
- Weinberger, M., M. D. Murray, D. G. Marrero, N. Brewer, M. Lykens, L. E. Harris, and W. M. Tierney. 2001. "A Pharmaceutical Care Program for Patients with Reactive Airways Disease." *American Review of Health-System Pharmacy* 58 (9): 791–6.
- Wyrwich, K. W., W. M. Tierney, and F. D. Wolinsky. 1999. "Further Evidence Supporting a SEM-Based Criterion for Identifying Meaningful Intra-Individual Changes in Health-Related Quality of Life." *Journal of Clinical Epidemiology* 52 (9): 861–73.
- Zhou, X. H., and W. Tu. 1999. "Comparisons of Several Independent Population Means When Their Samples Contain Non-Zero Log-Normal and Possibly Zero Observations." *Biometrics* 55 (2): 645–51.