**Exploration into lipid management and persistent risk in patients hospitalised for acute coronary syndrome in Japan (EXPLORE-J): Rationale and design for a prospective observational study**

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Exploration into lipid management and persistent risk in patients hospitalised for acute coronary syndrome in Japan (EXPLORE-J): Rationale and design for a prospective observational study

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Key words: acute coronary syndrome, familial hypercholesterolaemia, Japan, lipid management, proprotein convertase subtilisin kexin 9
Abstract

Introduction The present study is the largest registry study ever conducted in Japan exploring the prevalence of familial hypercholesterolaemia (FH) among acute coronary syndrome (ACS) patients. Our study aims to (1) evaluate the status of lipid management and the subsequent risk of major cardiovascular events following hospitalisation of Japanese ACS patients in real-world clinical practice; (2) determine the proportion of Japanese ACS patients who achieve the lipid management goal and have a reduction of event risks with strict lipid management (low-density lipoprotein-cholesterol <1.81 mmol/L); (3) determine the prevalence of FH; and (4) investigate the clinical significance of proprotein convertase subtilisin kexin 9 (PCSK9) level.

Methods and analysis We will conduct a multicentre, prospective, observational study of approximately 2,000 Japanese ACS patients with/without FH hospitalised between April 2015 and August 2016. The primary endpoint is the incidence of major adverse cardiovascular events (MACEs) after initial hospitalisation. The secondary endpoints are (1) MACE developed from Visit 1 to Visit 2 (Day 30); (2) MACE developed from Visit 2 (Day 30) to Visit 5 (Day 730); (3) treatment rate by lipid-lowering therapies (any statin or intensive, PCSK9 inhibitor, fibrates, and ezetimibe); (4) incidence of events by the addition of the following outcomes to the primary endpoint: coronary revascularisation due to myocardial ischaemia, revascularisation other than coronary artery, inpatient treatment for occurrence or exacerbation of heart failure, transient ischaemic attack, acute arterial occlusion, central retinal artery occlusion, and other adverse events prolonging or requiring hospitalisation; and (5) proportion of subjects achieving target lipid levels.

Ethics and dissemination The study protocol was submitted to the ethical review committee of each participating centre for approval. Participation in the study is voluntary and anonymous.
The study findings will be disseminated in international peer-reviewed journals and presented at relevant conferences.

**Clinical trial registration:** UMIN000018946
Strengths and limitations of this study

- This study will provide new insights into the relationship between acute coronary syndrome (ACS) and recurrent events and the relationship between recurrent events and serum low-density lipoprotein-cholesterol levels in Japanese ACS patients.

- This study will be the first to evaluate the familial hypercholesterolaemia (FH) to ACS ratio and will provide important information regarding PCSK9 concentrations, including (1) the dynamic change of PCSK9 concentrations during post-ACS medical management, (2) the relationship between PCSK9 concentrations and cardiovascular events, (3) the difference of PCSK9 concentrations between FH and non-FH, and (4) the relationship among PCSK9 concentrations, lipid parameters and lipid lowering therapies for both statin-naïve and statin-exposed patients.

- This study will be a prospective, large-scale, observational study of approximately 2,000 Japanese ACS patients from 59 participating centres.

- This study is the largest FH registry study in Japan targeting the high-risk population.

- This study will be limited by the inherent limitations of the observational study design (e.g., susceptibility to biases and confounders, and the inability to establish causality) and the small sample size; the generalisability of our findings will be limited to the Japanese population.
INTRODUCTION

The incidence of atherosclerotic disease, including heart disease, in Japan is increasing and is associated with a change towards a Western lifestyle and increase in dyslipidaemia.[1] There is a positive correlation between low-density lipoprotein-cholesterol (LDL-C) levels and the incidence of coronary artery disease.[2, 3] Therapy to lower LDL-C helps prevent cardiovascular events. Large statin trials have demonstrated the benefits of achieving an LDL-C level of 1.42–2.02 mmol/L, which has served as a basis for more aggressive European and US guidelines [4]. In particular, aggressive therapy to achieve LDL-C <1.81 mmol/L, the target value for lipid management (in patients for whom aggressive therapy is intended) in European and US guidelines,[5, 6] or the use of high-intensity statins according to American College of Cardiology/American Heart Association guidelines have helped to reduce cardiovascular events and the progression of atherosclerosis.[5-10]

However, the Japanese guidelines for the prevention of atherosclerosis published in 2012 set higher target values for LDL-C management at <3.11 mmol/L in primary prevention for high-risk patients and <2.59 mmol/L for secondary prevention because the evidence for benefit was suggested to be insufficient.[11] Previous Japanese studies (ESTABLISH and the follow-up Extended-ESTABLISH study) reported that aggressive LDL-C lowering reduced the incidence of death, the recurrence of acute coronary syndrome (ACS), and cerebral infarction compared with a control group.[12, 13] The MEGA study showed the benefit of lipid-lowering therapy in Japanese patients, but to values higher than 2.59 mmol/L.[14] While a number of imaging studies with intravascular ultrasound and other modalities have shown the benefits of aggressive LDL-C-lowering therapy in Japanese patients in terms of reduced plaque volume or coronary plaque regression,[15-19] there is no large-scale clinical study that shows the need for aggressive
lipid-lowering therapy in Japan, especially to LDL-C target values lower than 2.59 mmol/L. It is generally accepted that insufficient evidence is available to determine the benefit of such therapy. Therefore, investigations into this issue, and evaluation of a possible relationship between LDL-C and recurrent coronary events and coronary artery disease progression in Japan are needed.

Familial hypercholesterolaemia (FH) is an inherited, autosomal dominant disease resulting from abnormalities in the genes coding for the LDL receptor and related molecules. It is characterised by three major signs: (i) hyper-LDL-cholesterolaemia; (ii) early onset coronary artery diseases; and (iii) tendon/skin xanthoma. Untreated FH carries an extremely high risk of developing coronary artery diseases, particularly in men aged between 30 and 50 years and women aged between 40 and 70 years.[20, 21] Risk data from the Simon Broome study in 1991 showed that the risk of cardiovascular death was 99 times higher in patients with FH at ages 20–39 years.[22] Although heterozygous FH is present in an estimated 300,000 patients in Japan,[23] the diagnosis rate is only <1% of the estimated number of patients with FH in Japan.[21] According to an investigation by the Ministry of Health, Labour and Welfare in Japan,[20] the prevalence of FH is 4%–19% of patients presenting with ACS. FH may be under-diagnosed because of a masking affect by statin therapy, or the transient reduction of LDL-C associated with acute myocardial infarction (Figure 1). A prospective cohort observational study of 4,534 patients with ACS in Switzerland reported an FH prevalence of 1.6%–5.5%, and a higher adjusted risk of coronary death or myocardial infarction among patients with FH than without (hazard ratio, 2.46–3.53 after 1 year).[24] To date there have been no reports regarding the ACS recurrence rate in patients with FH in Japan. Therefore, it is important to clarify the prevalence of FH in patients with ACS, the recurrence rate of coronary artery disease in patients
with FH, and risk factors for recurrence to provide the optimal treatment for Japanese patients with FH.

Proprotein convertase subtilisin kexin 9 (PCSK9) is a protein that binds to LDL receptors to induce their degradation in intracellular lysosomes and inhibit their recycling.[25] Interestingly, patients with loss-of-function mutations in the \textit{PCSK9} gene have low LDL-C levels and rarely develop cardiovascular diseases compared with normal individuals. PCSK9 inhibitors are an effective lipid-lowering therapy. PCSK9 and LDL-C levels show a positive correlation in patients not treated with a lipid-lowering therapy, but this relationship disappears when lipid-lowering therapies, particularly oral statins, are administered.[26,27] Statins may simultaneously increase the concentration of PCSK9 and the expression of LDL receptors, while lowering LDL-C.[26] However, despite our current knowledge of PCSK9, its clinical significance in ACS patients remains unclear. The ODYSSEY Outcomes trial [28] will be the largest outcomes trial examining the effect of PCSK9 inhibition on reducing cardiovascular morbidity and mortality in ACS patients; however, until these results become available, the EXPLORE-J trial will reveal important insights into this issue.

Considering the above-mentioned gaps in the literature, the primary objective of this study is to evaluate the status of lipid management and risk of major cardiovascular events in Japanese ACS patients in real-world clinical practice. Secondary study objectives are to identify the proportion of ACS patients in Japan who (i) achieve the target value of lipid management (LDL-C \(\leq 2.59\) mmol/L); (ii) have a reduction of event risks with strict lipid management (LDL-C \(< 1.81\) mmol/L); and (iii) who have FH and are hospitalised for treatment of ACS. The risk of recurrent ACS in patients with FH compared with patients without FH will be determined using the diagnostic criteria for FH as shown in Table 1. Other objectives are to investigate the clinical
significance of PCSK9 concentrations by observing the time-course profile of PCSK9 concentrations in patients presenting with ACS and analysing the relationship between the concentration of PCSK9 and FH, lipid-lowering therapy, and lipid management levels.

**Table 1**

Diagnostic criteria for heterozygous FH in adults (aged 15 years and older)

<table>
<thead>
<tr>
<th>Criteria</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hyper LDL cholesterolaemia (LDL-C ≥180 mg/dL before treatment)</td>
<td></td>
</tr>
<tr>
<td>Tendon xanthoma (tendon xanthoma in the back of hand, elbow, knee, etc. or Achilles tendon thickening) or tuberous xanthoma</td>
<td></td>
</tr>
<tr>
<td>Family history (blood relatives within the second degree of kinship) of FH or early onset coronary artery disease</td>
<td></td>
</tr>
</tbody>
</table>

**Notes**

- Diagnosis is established excluding secondary hyperlipidaemia.
- FH is diagnosed when two or more items are met. Diagnosis by genetic examination is advised if FH is suspected.
- Tuberous xanthoma does not include xanthelasma of the eyelid.
- Achilles tendon thickening is diagnosed by a thickness of ≥9 mm on radiogram.
- FH is strongly suspected if LDL-C is ≥250 mg/dL.
- If the patient is already on drug therapy, refer to the lipid level that triggered the treatment.
- Early onset coronary artery diseases are defined as those in which onset occurs at <55 years of age in men and <65 years of age in women.

*a* Reproduced with modifications Harada-Shiba et al.[20] with permission from the Journal of Atherosclerosis and Thrombosis.

FH, familial hypercholesterolaemia; LDL, low-density lipoprotein.

**METHODS AND ANALYSIS**

**Study design**
This will be a multicentre, prospective, observational study of Japanese patients presenting with ACS. Sixty-five sites are planned to participate in this study (see Appendix). These sites were chosen based on their ability to provide the most advanced percutaneous coronary intervention in Japan for patients presenting with ACS. Consecutive patients requiring hospitalisation for ACS were registered in 59 sites, for a total of 2,016 patients, between April 2015 and August 2016.

After the patients provided written informed consent within 7 days after hospitalisation for ACS, the investigator at each study centre successively registered subjects who met the inclusion criteria. Successive registration of patients limits the selection bias by the investigator. The schedule for initial and follow-up examinations and the data to be collected are shown in Figure 2 and Table 2.

<table>
<thead>
<tr>
<th>Category</th>
<th>Method and materials</th>
<th>Observation and examination items</th>
</tr>
</thead>
<tbody>
<tr>
<td>Demographic characteristics</td>
<td>Interview</td>
<td>Age, sex, and smoking and drinking status</td>
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<tr>
<td>(subjects’ background)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ACS</td>
<td>Medical examination and interview</td>
<td>Onset date of ACS, date of hospitalisation, disease type, description of treatment</td>
</tr>
<tr>
<td>History of present illness/previous</td>
<td>Interview</td>
<td>Particular previous history of cardiovascular diseases and cardiovascular risk-related diseases and history of</td>
</tr>
<tr>
<td>Category</td>
<td>Method and materials</td>
<td>Observation and examination items</td>
</tr>
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<tr>
<td>history/therapies</td>
<td></td>
<td>their treatments (immediately before hospitalisation and at each visit).</td>
</tr>
<tr>
<td>Physical findings</td>
<td>Medical examination</td>
<td>Body height, body weight, and presence or absence of xanthoma</td>
</tr>
<tr>
<td>Reference LDL-C value</td>
<td>Interview</td>
<td>Value before treatment such as that obtained at a health examination</td>
</tr>
<tr>
<td>Family history</td>
<td>Interview</td>
<td>Coronary artery diseases, ischaemic cerebral infarction, and hypercholesterolaemia in relatives to the second degree of kinship.</td>
</tr>
<tr>
<td>Primary endpoints</td>
<td>Interview</td>
<td>Investigation of outcome (alive or dead), date of death, cause of death, and presence or absence of non-fatal ACS and non-fatal cerebrovascular diseases requiring in-hospital treatments</td>
</tr>
<tr>
<td>Secondary endpoints</td>
<td>Interview</td>
<td>Presence or absence of event</td>
</tr>
<tr>
<td>Haematological and biochemical examinations</td>
<td>Serum</td>
<td>The following parameters will be measured from blood obtained in the sitting position when the symptoms are stable: Total cholesterol, HDL cholesterol, LDL cholesterol (automatic calculation), triglycerides, apoA1, apoB, Lp(a), creatinine, blood glucose, HbA1c, hsCRP, haemoglobin, and haematocrit</td>
</tr>
<tr>
<td>Category</td>
<td>Method and materials</td>
<td>Observation and examination items</td>
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<tr>
<td>PCSK9</td>
<td>Serum</td>
<td>Collective measurement at the central laboratory</td>
</tr>
<tr>
<td>FH gene examination</td>
<td>Whole blood</td>
<td>Collective measurement at the central laboratory</td>
</tr>
<tr>
<td>Radiography of the Achilles tendon</td>
<td>Radiography</td>
<td>Whenever possible, radiography of the Achilles tendon will be performed during index hospitalisation for registration, but radiography obtained by Visit 3 will also be acceptable</td>
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</table>

ACS, acute coronary syndrome; LDL-C, low-density lipoprotein cholesterol; PCSK9, proprotein convertase subtilisin kexin 9; apo, apolipoprotein; HDL, high-density lipoprotein; HbA1c, glycosylated haemoglobin; hsCRP, high sensitivity C-reactive protein; Lp(a), lipoprotein A; FH, familial hypercholesterolaemia.

Data are collected at Visit 1 (within 14 days after hospitalisation due to ACS), and Visits 2 to 5 during the 2-year observational period on Days 30 (±7 days), 180 (±30 days), 365 (±30 days), and 730 (±30 days). Data are collected using an electronic case report form. The information collected at each visit is shown in Table 2. During the 2-year observation period (Visits 2–5), if a subject is transferred to another hospital during this time, the institution will be asked to provide the following information for the follow-up: attendance/non-attendance; date of observation; primary endpoints (investigation of outcome [alive/dead]); secondary endpoints (presence or absence); physical examination; fasting haematological and biochemical examinations, including PCSK9 concentrations (Visits 2–4); and medications.

The samples collected in this study will be sent to a central laboratory (BML General Laboratory BML, INC., Saitama, Japan) under freezing conditions (−20°C) until completion of
the study so that re-examination can be performed. All samples will be discarded after completion of the study.

**Study subjects**

The study subjects are Japanese patients presenting with ACS, hospitalised between April 2015 and August 2016. In total, 2,016 subjects were registered.

The key inclusion criteria are as follows: age ≥20 years; hospitalisation for any ACS including ST-segment elevation myocardial infarction (STEMI), non ST-segment elevation myocardial infarction (NSTEMI), and unstable angina; and ability to obtain written informed consent. STEMI is defined as the presence of chest symptoms such as pain or breathlessness suspected to be caused by myocardial ischaemia, persisting for ≥20 min; ST elevation of ≥1 mm on ≥2 contiguous leads or new left bundle branch block; and elevated troponin T ≥0.1 µg/L or creatine phosphokinase-MB two times above the upper limit of normal. Acute NSTEMI is defined as the presence of chest symptoms such as pain or breathlessness suspected to be caused by myocardial ischaemia, persisting for ≥20 min ≤24 h before admission; not having ST-segment elevation ≥1 mm or new left bundle branch block; and the presence of elevated troponin T ≥1.0 µg/L or creatine phosphokinase-MB two times above the upper limit of normal. Unstable angina is defined as the presence of resting or nocturnal chest pain that may be persistent (≥20 min) and at least one of the following: ST depression ≥0.5 mm or T wave inversion ≥3 mm; troponin T ≥0.014 µg/L or <1.0 µg/L; confirmation of significant stenosis by diagnostic imaging; new decrease in wall motion detected by echocardiography; or reversible myocardial perfusion defect detected by myocardial perfusion imaging.
The key exclusion criteria are as follows: patients with chest pain and coronary artery diseases presenting with concomitant serious diseases, patients with in-stent thrombosis, patients enrolled in other interventional studies that could affect lipid profile, and those judged as inappropriate by the investigators or subinvestigators.

**Primary endpoint**

The primary endpoint is the incidence of major adverse cardiovascular events (MACE), defined as death associated with myocardial infarction or other cardiovascular death, major non-fatal coronary event (myocardial infarction or hospitalisation for unstable angina), or ischaemic stroke. Incidences are monitored independently by the investigator at each local site. A time window will be allowed for observations at Visit 2 (±7 days) and Visits 3–5 (±30 days).

Deaths associated with myocardial infarction or other cardiovascular deaths are defined as death secondary to acute myocardial infarction or any death with a clear relationship to underlying coronary heart disease, sudden death, heart failure, complication of coronary revascularisation procedure where the cause of death is clearly related to the procedure, unobserved or unexpected death, or other death that cannot be definitively attributed to a non-cardiovascular cause. Non-fatal myocardial infarction is defined in accordance with the ACC/AHA/ESC Universal Definition of Myocardial Infarction.[29]

Ischaemic stroke is characterised by an acute episode of focal cerebral, spinal, or retinal dysfunction caused by infarction, defined by at least one of the following: pathological, imaging, or other objective evidence of acute, focal cerebral, spinal, or retinal ischaemic injury in a
defined vascular distribution; symptoms of acute cerebral, spinal, or retinal ischaemic injury persisting for ≥24 hours or until death, with other aetiologies excluded.

**Secondary endpoints**

The secondary endpoints are MACE developed from Visit 1 to Visit 2 (Day 30); MACE developed from Visit 2 (Day 30) to Visit 5 (Day 730); treatment rate by the following lipid-lowering therapies: any statin, intensive statin (e.g., atorvastatin ≥20 mg, rosuvastatin ≥10 mg, and pitavastatin ≥4 mg), PCSK9 inhibitor, and other lipid-lowering therapies such as fibrates and ezetimibe; incidence of events by the addition of the following outcomes to the primary endpoints: coronary revascularisation due to myocardial ischaemia, revascularisation other than in the heart, inpatient treatment for occurrence or exacerbation of heart failure, transient ischaemic attack, acute arterial occlusion, central retinal artery occlusion, and other adverse events prolonging or requiring hospitalisation; and proportion of subjects achieving target lipid levels per study visit. The treatment rate will be determined based on written prescriptions. Other endpoints include the prevalence rates of FH in patients with ACS, comparison of PCSK9 concentration between patients with or without FH, and comparison between clinical diagnosis of FH based on the guidelines vs. genetic analysis.

**Discontinuation from study**

The criteria used to allow discontinuation from the study include the withdrawal of consent by the subject or his/her legal representative, patient ineligibility (violation of the study contract), death of a subject, or removal by the judgement of the investigator or subinvestigators.
Safety protocol

Because this is an observational study (i.e., non-interventional) there will be no adverse events caused by a study drug. Patients will receive drugs as normally prescribed in daily medical practice.

Serious adverse drug reactions caused by a drug will be the subject for application of relief under the Relief System for Sufferers from Adverse Drug Reactions similar to that in daily medical practice. Treatments for other adverse drug reactions will be covered by the national insurance scheme.

Statistical analyses

The sample size was calculated to assess the persistent cardiovascular risk (defined as MACE) from the index event to 2 years. Based on the PACIFIC registry, in which the incidence of MACE was 6.4% at 2 years, a sample size of 2,000 has a precision of ±1% in the incidence of MACE with a 95% confidence interval (CI) of 0.053–0.074.[30] With this sample size, the subgroup analysis (the comparison of MACE in patients with LDL-C <1.81 mmol/L and ≥1.81 mmol/L) will be performed. Because 409 of 1827 (22.3%) Japanese ACS patients in the PACIFIC study and 305 of 1145 (26.6%) Japanese ACS patients in an ongoing database study reached an LDL-C level <1.81 mmol/L, we expect to have 446–532 patients with an LDL-C level <1.81 mmol/L in this registry. All patients meeting the inclusion criteria will be analysed. The demographic data will be presented as the mean, median, standard deviation, and range for continuous data, and number and proportion of subjects in each category for categorical data.
The analysis of the primary endpoint will be as follows: for the incidence of MACE at 2 years, Kaplan–Meier analysis will be used to estimate the event observed first after registration in the whole population. The Greenwood formula will determine the 95% CI.

Subgroup analysis will investigate the association of factors with the incidence of MACE, LDL-C <1.81 mmol/L vs ≥1.81 mmol/L at registration, and the presence or absence of FH. The Cox proportional hazard model and subgroup analysis will compare demographic factors (age, sex, smoking history, body mass index, and underlying diseases) using a two-sided significance level of 5% (not considering multiplicity, as the study is exploratory). Subgroup analysis will be performed for LDL-C (<1.81 mmol/L or ≥1.81 mmol/L) at the time when the event has occurred.

Analysis of the secondary endpoints (MACE developed by Visit 2 [Day 30]; MACE developed between Visit 2 [Day 30] and Visit 5 [Day 730]; and treatment rate by lipid-lowering therapy) will be assessed by determining the ratio of subjects on each lipid-lowering therapy administered during observation and the 95% CI using the full analysis set. The incidences of events at Visit 2 and between Visits 2–5 or from the time of registration to the first event, and the associations of factors with each event will be determined by the same procedures as for the primary endpoints. For the other endpoints, the prevalence rate of FH in patients with ACS will be assessed and its 95% CI from the diagnosis of FH will be determined. Logistic regression analysis and subgroup analysis of the background factors that are associated with the prevalence of FH for exploratory investigation will be determined. The summary statistics for the concentration of PCSK9 at each measurement point will be calculated and a trend diagram (individual and mean/standard deviation) will be developed. In addition, the presence or absence of the influence of FH by subgroup analysis will be determined.
In the survival analysis, missing values will be censored while continuous data and discrete data will be analysed on the basis of measured values without performing any special processing. Furthermore, we will also perform a sensitivity analysis where continuous data are handled using the last observation carried forward imputation, and discrete data are included only in the denominator but not in the numerator. No special processing will be performed for outliers. For the statistical analysis, SAS version 9.4 software (SAS Institute Inc., NC, USA) will be used.

Quality assurance measures

The study investigators will ensure compliance to the study protocol. Any change in factors that affect the safety of subjects or the scientific quality of this study (study design, endpoints, number of patients, and criteria for registration) will require a revision of the protocol, which must be approved in advance by the ethical review committee. The study records will be stored and information made available to auditors, ethical review committees, or regulatory authorities on request.

ETHICS AND DISSEMINATION

This study is conducted in compliance with the Declaration of Helsinki (amended in October 2013) and the Ethical Guidelines for Medical and Health Research Involving Human Subjects (enacted on December 22, 2014). Prior to the study initiation, the investigator or subinvestigators submitted the protocol and informed consent form to the ethical review committee of each study centre and obtained their approval. Patient anonymity will be protected by the use of subject identification codes. A cooperation fee of 5,000 Japanese yen (about $42 or €37 at Oct 2015) for
study participation will be provided for each patient upon request from the study centre. All patients were required to provide written informed consent.

The results of this study will be presented at major cardiovascular-related congresses. The data regarding FH will be presented at atherosclerotic-related congresses.

CONCLUSION

The EXPLORE-J study will provide new insights into the relationship between ACS and recurrent events, the relationship between recurrent events and serum LDL-C levels, the FH to ACS ratio, and the PCSK9 concentration in ACS patients in a Japanese population. As a large-scale study including 2,016 patients from 59 centres, this study will be the first ACS registry to seek insight into FH in Japan to target the high-risk population.

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The authors thank Marion Barnett, J. Ludovic Croxford, PhD, and Michelle Belanger, MD, of Edanz Group for providing medical writing support; Tamio Teramoto of Teikyo University (Tokyo, Japan), Shun Ishibashi of Jichi Medical University (Tochigi, Japan), Kotaro Yokote of Chiba University (Chiba, Japan), Tomonori Okamura of Keio University (Tokyo, Japan), and Hiroyuki Daida of Juntendo University (Tokyo, Japan) for collaboration and advice regarding planning of the study; Yosuke Ujike and Yasuyoshi Nakahigashi, of Sanofi (Tokyo, Japan) for providing writing and editing support; Mebix, Inc. (Tokyo, Japan) for assistance with study implementation/operation; BML, Inc. (Tokyo, Japan) for PCSK9- and genome-related analysis; CTD, Inc. (Tokyo, Japan) for consulting; and Shizuya Yamamashita of Rinku General Medical
Center (Osaka, Japan), Toru Yoshizumi of Kawasaki Hospital (Hyogo, Japan), Micron, Inc. (Tokyo, Japan) for radiography of the Achilles tendon.

**Competing interests**

Kiyoko Uno is an employee of Sanofi. Masato Nakamura, Atsushi Hirayama, Junya Ako, Atsushi Nohara, Hidenori Arai, and Mariko Harada-Shiba have received consultation fees from Sanofi.

**Funding**

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**Authors’ contributions**

M Nakamura, K Uno, A Hirayama, J Ako, A Nohara, H Arai, and M Harada-Shiba all served on the steering committee as principal investigators and equally contributed to conception and design of the study, protocol development, acquisition of data, analysis and interpretation of the data, and drafting and revising the publication for important intellectual content. M Nakamura, K Uno, A Hirayama, J Ako, A Nohara, H Arai, and M Harada-Shiba all approved the final version of the manuscript and have agreed to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

**Data sharing statement**
No additional unpublished is available.
References


Figure legends

Figure 1 Number of patients diagnosed with familial hypercholesterolaemia by country. FH, familial hypercholesterolaemia. Reproduced from Nordestgaard et al.[15] with permission from the European Heart Journal.

Figure 2 Study flow and design. For FH gene examination, blood will be collected once from the patients who provide consent, at a visit made after registration. Radiographs of the Achilles tendon will be obtained during hospitalisation for registration as a rule, but a radiography obtained by Visit 3 is acceptable. FH, familial hypercholesterolaemia; PCSK9, proprotein convertase subtilisin kexin 9
Appendix: EXPLORE-J Study Centres

Almeida Memorial Hospital

Anjo Kosei Hospital

Chiba Emergency Medical Center

Chiba University Graduate School of Medicine

Fukui Cardiovascular Center

Fukuoka Tokushukai Hospital

Gifu Heart Center

Gifu Prefectural General Medical Center

Gunma Prefectural Cardiovascular Center

Hakodate Municipal Hospital

Higashi Takarazuka Satoh Hospital

Higashiyamato Hospital

Hiroshima City Hospital

Hyogo Brain and Heart Center

Iwaki Kyoritsu General Hospital

Iwatsuki Minami Hospital

Jichi Medical University School of Medicine

Juntendo University Graduate School of Medicine
Juntendo University Shizuoka Hospital

Kanazawa Cardiovascular Hospital

Kikuna Memorial Hospital

Kishiwada Tokushukai Hospital

Kitasato University School of Medicine

KKR Takamatsu Hospital

Kokura Memorial Hospital

Kumamoto University Hospital

Kurashiki Central Hospital

Kurume University School of Medicine

Kyorin University School of Medicine

Mitsui Memorial Hospital

Nagoya Daini Red Cross Hospital

National Cerebral and Cardiovascular Center

National Hospital Organization Kure Medical Center and Chugoku Cancer Center

Nihon University Itabashi Hospital

Nihon University School of Medicine

Nippon Medical School

Nippon Medical School Musashi-Kosugi Hospital
Ome Municipal General Hospital
Osaka General Medical Center
Rinku General Hospital
Saga-Ken Medical Centre Koseikan
Saiseikai Fukuoka General Hospital
Saiseikai Kumamoto Hospital
Sakakibara Heart Institute
Sakurabashi Watanabe Hospital
Sekishinkai Kawasaki Saiwai Hospital
Sendai Kousei Hospital
Showa University Northern Yokohama Hospital
St. Mary’s Hospital
Teikyo University School of Medicine
The Sakakibara Heart Institute of Okayama
Toho University School of Medicine
Tokai University School of Medicine
Tokorozawa Heart Center
Tokushima Prefectural Central Hospital
Tokuyama Central Hospital
Tokyo Women’s Medical University

Yokohama City University Medical Center

Yokohama Rosai Hospital
Figure 1

Number of FH (estimated based on 1/500) versus Diagnosed FH (estimated)

<table>
<thead>
<tr>
<th>Country</th>
<th>Number of FH</th>
<th>Diagnosed FH</th>
</tr>
</thead>
<tbody>
<tr>
<td>Netherlands</td>
<td>33,300</td>
<td>71%</td>
</tr>
<tr>
<td>Norway</td>
<td>9,900</td>
<td>43%</td>
</tr>
<tr>
<td>Iceland</td>
<td>600</td>
<td>19%</td>
</tr>
<tr>
<td>Switzerland</td>
<td>15,000</td>
<td>13%</td>
</tr>
<tr>
<td>UK</td>
<td>121,600</td>
<td>12%</td>
</tr>
<tr>
<td>Spain</td>
<td>92,200</td>
<td>6%</td>
</tr>
<tr>
<td>Belgium</td>
<td>22,200</td>
<td>4%</td>
</tr>
<tr>
<td>Slovak Republic</td>
<td>10,900</td>
<td>4%</td>
</tr>
<tr>
<td>Denmark</td>
<td>11,100</td>
<td>4%</td>
</tr>
<tr>
<td>South Africa</td>
<td>100,000</td>
<td>3%</td>
</tr>
<tr>
<td>Australia</td>
<td>45,000</td>
<td>1%</td>
</tr>
<tr>
<td>Hong Kong</td>
<td>14,100</td>
<td>1%</td>
</tr>
<tr>
<td>France</td>
<td>130,900</td>
<td>1%</td>
</tr>
<tr>
<td>Taiwan</td>
<td>40,300</td>
<td>&lt;1%</td>
</tr>
<tr>
<td>Italy</td>
<td>121,000</td>
<td>&lt;1%</td>
</tr>
<tr>
<td>Oman</td>
<td>5,700</td>
<td>&lt;1%</td>
</tr>
<tr>
<td>USA</td>
<td>621,200</td>
<td>&lt;1%</td>
</tr>
<tr>
<td>Canada</td>
<td>68,600</td>
<td>&lt;1%</td>
</tr>
<tr>
<td>Japan</td>
<td>254,000</td>
<td>&lt;1%</td>
</tr>
<tr>
<td>Chile</td>
<td>34,300</td>
<td>&lt;1%</td>
</tr>
<tr>
<td>Brazil</td>
<td>361,500</td>
<td>&lt;1%</td>
</tr>
<tr>
<td>Mexico</td>
<td>214,900</td>
<td>&lt;1%</td>
</tr>
</tbody>
</table>

Diagnosed FH (estimated), % of estimated number in country

254x190mm (96 x 96 DPI)
Figure 2

254x190mm (96 x 96 DPI)
# Exploration into lipid management and persistent risk in patients hospitalised for acute coronary syndrome in Japan (EXPLORE-J): Protocol for a prospective observational study

<table>
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<td><strong>Date Submitted by the Author:</strong></td>
<td>11-Mar-2017</td>
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<tr>
<td><strong>Complete List of Authors:</strong></td>
<td>Nakamura, Masato; Toho University Ohashi Medical Center, Division of Cardiovascular Medicine&lt;br&gt;Uno, Kiyoko; Sanofi&lt;br&gt;Hirayama, Atsushi; Nihon University School of Medicine, Division of Cardiology&lt;br&gt;Ako, Junya; Kitasato University, Department of Cardiovascular Medicine&lt;br&gt;Nohara, Atsushi; Kanazawa University of Graduate School of Medical Sciences, Department of Lipidology&lt;br&gt;Arai, Hidenori; National Center for Geriatrics and Gerontology&lt;br&gt;Harada-Shiba, Mariko; National Cerebral &amp; Cardiovascular Center Research Institute, Department of Molecular Innovation in Lipidology</td>
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</tr>
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<td>acute coronary syndrome, familial hypercholesterolaemia, Japan, lipid management, proprotein convertase subtilisin kexin 9</td>
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Exploration into lipid management and persistent risk in patients hospitalised for acute coronary syndrome in Japan (EXPLORE-J): Protocol for a prospective observational study

Masato Nakamura¹, Kiyoko Uno², Atsushi Hirayama³, Junya Ako⁴, Atsushi Nohara⁵, Hidenori Arai⁶, and Mariko Harada-Shiba⁷

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24  
25  **Key words:** acute coronary syndrome, familial hypercholesterolaemia, Japan, lipid management, 
26  proprotein convertase subtilisin kexin 9
Abstract

Introduction The present study is the largest registry study ever conducted in Japan exploring the prevalence of familial hypercholesterolaemia (FH) among acute coronary syndrome (ACS) patients. Our study aims to (1) evaluate the status of lipid management and the subsequent risk of major cardiovascular events following hospitalisation of Japanese ACS patients in real-world clinical practice; (2) determine the proportion of Japanese ACS patients who achieve the lipid management goal and have a reduction of event risks with strict lipid management (low-density lipoprotein-cholesterol <1.81 mmol/L); (3) determine the prevalence of FH; and (4) investigate the clinical significance of proprotein convertase subtilisin kexin 9 (PCSK9) level.

Methods and analysis We will conduct a multicentre, prospective, observational study of approximately 2,000 Japanese ACS patients with/without FH hospitalised between April 2015 and August 2016. The primary endpoint is the incidence of major adverse cardiovascular events (MACEs) after initial hospitalisation. The secondary endpoints are (1) MACE developed from Visit 1 to Visit 2 (Day 30); (2) MACE developed from Visit 2 (Day 30) to Visit 5 (Day 730); (3) treatment rate by lipid-lowering therapies (any statin or intensive, PCSK9 inhibitor, fibrates, and ezetimibe); (4) incidence of events by the addition of the following outcomes to the primary endpoint: coronary revascularisation due to myocardial ischaemia, revascularisation other than coronary artery, inpatient treatment for occurrence or exacerbation of heart failure, transient ischaemic attack, acute arterial occlusion, central retinal artery occlusion, and other adverse events prolonging or requiring hospitalisation; and (5) proportion of subjects achieving target lipid levels.

Ethics and dissemination The study protocol was submitted to the ethical review committee of each participating centre for approval. Participation in the study is voluntary and anonymous.
The study findings will be disseminated in international peer-reviewed journals and presented at relevant conferences.

Clinical trial registration: UMIN000018946
Strengths and limitations of this study

This study will provide new insights into the relationship between acute coronary syndrome (ACS) and recurrent events and the relationship between recurrent events and serum low-density lipoprotein-cholesterol levels in Japanese ACS patients.

This study will be the first to evaluate the familial hypercholesterolaemia (FH) to ACS ratio and will provide important information regarding PCSK9 concentrations, including (1) the dynamic change of PCSK9 concentrations during post-ACS medical management, (2) the relationship between PCSK9 concentrations and cardiovascular events, (3) the difference of PCSK9 concentrations between FH and non-FH, and (4) the relationship among PCSK9 concentrations, lipid parameters and lipid lowering therapies for both statin-naive and statin-exposed patients.

This study will be a prospective, large-scale, observational study of approximately 2,000 Japanese ACS patients from 59 participating centres.

This study is the largest FH registry study in Japan targeting the high-risk population.

This study will be limited by the inherent limitations of the observational study design (e.g., susceptibility to biases and confounders, and the inability to establish causality) and the small sample size; the generalisability of our findings will be limited to the Japanese population.
INTRODUCTION

The incidence of atherosclerotic disease, including heart disease, in Japan is increasing and is associated with a change towards a Western lifestyle and increase in dyslipidaemia.[1] There is a positive correlation between low-density lipoprotein-cholesterol (LDL-C) levels and the incidence of coronary artery disease.[2, 3] Therapy to lower LDL-C helps prevent cardiovascular events. Large statin trials have demonstrated the benefits of achieving an LDL-C level of 1.42–2.02 mmol/L, which has served as a basis for more aggressive European and US guidelines [4]. In particular, aggressive therapy to achieve LDL-C <1.81 mmol/L, the target value for lipid management (in patients for whom aggressive therapy is intended) in European and US guidelines,[5-7] or the use of high-intensity statins according to American College of Cardiology/American Heart Association guidelines have helped to reduce cardiovascular events and the progression of atherosclerosis.[5-11]

However, the Japanese guidelines for the prevention of atherosclerosis published in 2012 set higher target values for LDL-C management at <3.11 mmol/L in primary prevention for high-risk patients and <2.59 mmol/L for secondary prevention because the evidence for benefit was suggested to be insufficient.[12] Previous Japanese studies (ESTABLISH and the follow-up Extended-ESTABLISH study) reported that aggressive LDL-C lowering reduced the incidence of death, the recurrence of acute coronary syndrome (ACS), and cerebral infarction compared with a control group.[13, 14] The MEGA study showed the benefit of lipid-lowering therapy in Japanese patients, but to values higher than 2.59 mmol/L.[15] While a number of imaging studies with intravascular ultrasound and other modalities have shown the benefits of aggressive LDL-C-lowering therapy in Japanese patients in terms of reduced plaque volume or coronary plaque regression,[16-20] there is no large-scale clinical study that shows the need for aggressive
lipid-lowering therapy in Japan, especially to LDL-C target values lower than 2.59 mmol/L. It is generally accepted that insufficient evidence is available to determine the benefit of such therapy. Therefore, investigations into this issue, and evaluation of a possible relationship between LDL-C and recurrent coronary events and coronary artery disease progression in Japan are needed.

Familial hypercholesterolaemia (FH) is an inherited, autosomal dominant disease resulting from abnormalities in the genes coding for the LDL receptor and related molecules. It is characterised by three major signs: (i) hyper-LDL-cholesterolaemia; (ii) early onset coronary artery diseases; and (iii) tendon/skin xanthoma. Untreated FH carries an extremely high risk of developing coronary artery diseases, particularly in men aged between 30 and 50 years and women aged between 40 and 70 years.[21, 22] Risk data from the Simon Broome study in 1991 showed that the risk of cardiovascular death was 99 times higher in patients with FH at ages 20–39 years.[23] Although heterozygous FH is present in an estimated 300,000 patients in Japan,[24] the diagnosis rate is only <1% of the estimated number of patients with FH in Japan.[22] According to an investigation by the Ministry of Health, Labour and Welfare in Japan,[21] the prevalence of FH is 4%–19% of patients presenting with ACS. FH may be under-diagnosed because of a masking affect by statin therapy, or the transient reduction of LDL-C associated with acute myocardial infarction. A prospective cohort observational study of 4,534 patients with ACS in Switzerland reported an FH prevalence of 1.6%–5.5%, and a higher adjusted risk of coronary death or myocardial infarction among patients with FH than without (hazard ratio, 2.46–3.53 after 1 year).[25] To date there have been no reports regarding the ACS recurrence rate in patients with FH in Japan. Therefore, it is important to clarify the prevalence of FH in patients with ACS, the recurrence rate of cardiovascular events in patients with FH, and risk factors for recurrence to provide the optimal treatment for Japanese patients with FH.
Proprotein convertase subtilisin kexin 9 (PCSK9) is a protein that binds to LDL receptors to induce their degradation in intracellular lysosomes and inhibit their recycling.[26] Interestingly, patients with loss-of-function mutations in the PCSK9 gene have low LDL-C levels and rarely develop cardiovascular diseases compared with normal individuals. PCSK9 inhibitors are an effective lipid-lowering therapy. PCSK9 and LDL-C levels show a positive correlation in patients not treated with a lipid-lowering therapy, but this relationship disappears when lipid-lowering therapies, particularly oral statins, are administered.[27,28] Statins may simultaneously increase the concentration of PCSK9 and the expression of LDL receptors, while lowering LDL-C.[27] However, despite our current knowledge of PCSK9, its clinical significance in ACS patients remains unclear. Considering the above-mentioned gaps in the literature, the primary objective of this study is to evaluate the status of lipid management and risk of major cardiovascular events in Japanese ACS patients in real-world clinical practice. Secondary study objectives are to identify the proportion of ACS patients in Japan who (i) achieve the target value of lipid management (LDL-C ≤2.59 mmol/L); (ii) have a reduction of event risks with strict lipid management (LDL-C <1.81 mmol/L); and (iii) who have FH. The risk of recurrent ACS in patients with FH compared with patients without FH will be determined using the diagnostic criteria for FH as shown in Table 1. Other objectives are to investigate the clinical significance of PCSK9 concentrations by observing the time-course profile of PCSK9 concentrations in patients presenting with ACS and analysing the relationship between the concentration of PCSK9 and FH, lipid-lowering therapy, and lipid management levels.

Table 1a Diagnostic criteria for heterozygous FH in adults (aged 15 years and older)
**Hyper LDL cholesterolaemia (LDL-C ≥180 mg/dL before treatment)**

<table>
<thead>
<tr>
<th>Condition</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tendon xanthoma (tendon xanthoma in the back of hand, elbow, knee, etc. or Achilles tendon hypertrophy) or xanthoma tuberosum</td>
</tr>
<tr>
<td>Family history (blood relatives within the second degree of kinship) of FH or early onset coronary artery disease</td>
</tr>
</tbody>
</table>

**Notes**

- Diagnosis is established excluding secondary hyperlipidaemia.
- FH is diagnosed when two or more items are met. Diagnosis by genetic examination is advised if FH is suspected.
- Tuberous xanthoma does not include xanthelasma of the eyelid.
- Achilles tendon hypertrophy is diagnosed by a thickness of ≥9 mm on soft-X-ray imaging.
- FH is strongly suspected if LDL-C is ≥250 mg/dL.
- If the patient is already on drug therapy, refer to the lipid level that triggered the treatment.
- Early onset coronary artery diseases are defined as those in which onset occurs at <55 years of age in men and <65 years of age in women.
- FH, familial hypercholesterolaemia; LDL, low-density lipoprotein.

**METHODS AND ANALYSIS**

**Study design**

This will be a multicentre, prospective, observational study of Japanese patients presenting with ACS. Sixty-five sites are planned to participate in this study (see Appendix). These sites were chosen based on their ability to provide the most advanced percutaneous coronary intervention in Japan for patients presenting with ACS. Consecutive patients requiring hospitalisation for ACS were registered in 59 sites, for a total of 2,016 patients, between April 2015 and August 2016.

After the patients provided written informed consent within 7 days after hospitalisation for ACS, the investigator at each study centre successively registered subjects who met the inclusion
criteria. Successive registration of patients limits the selection bias by the investigator. The schedule for initial and follow-up examinations and the data to be collected are shown in Figure 1 and Table 2.

**Table 2 Observations and endpoints**

<table>
<thead>
<tr>
<th>Category</th>
<th>Method and materials</th>
<th>Observation and examination items</th>
</tr>
</thead>
<tbody>
<tr>
<td>Demographic characteristics</td>
<td>Interview</td>
<td>Age, sex, and smoking and drinking status</td>
</tr>
<tr>
<td>(subjects’ background)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>ACS</td>
<td>Medical examination and interview</td>
<td>Onset date of ACS, date of hospitalisation, disease type, description of treatment</td>
</tr>
<tr>
<td>History of present illness/previous history/therapies</td>
<td>Interview</td>
<td>Particular previous history of cardiovascular diseases and cardiovascular risk-related diseases and history of their treatments (immediately before hospitalisation and at each visit).</td>
</tr>
<tr>
<td>Physical findings</td>
<td>Medical examination</td>
<td>Body height, body weight, and presence or absence of xanthoma</td>
</tr>
<tr>
<td>Reference LDL-C value</td>
<td>Interview</td>
<td>Value before treatment such as that obtained at a health examination</td>
</tr>
<tr>
<td>Family history</td>
<td>Interview</td>
<td>Coronary artery diseases, ischaemic cerebral infarction, and hypercholesterolaemia in relatives to the second</td>
</tr>
<tr>
<td>Category</td>
<td>Method and materials</td>
<td>Observation and examination items</td>
</tr>
<tr>
<td>--------------------------------</td>
<td>----------------------</td>
<td>--------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td></td>
<td></td>
<td>degree of kinship.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Primary endpoints</td>
<td>Interview</td>
<td>Investigation of outcome (alive or dead), date of death, cause of death, and presence or absence of non-fatal ACS and non-fatal cerebrovascular diseases requiring in-hospital treatments</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Secondary endpoints</td>
<td>Interview</td>
<td>Presence or absence of event</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Haematological and biochemical examinations</td>
<td>Serum</td>
<td>The following parameters will be measured from blood obtained in the sitting position when the symptoms are stable: Total cholesterol, HDL cholesterol, LDL cholesterol (automatic calculation), triglycerides, apoA1, apoB, Lp(a), creatinine, blood glucose, HbA1c, hsCRP, haemoglobin, and haematocrit</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PCSK9</td>
<td>Serum</td>
<td>Collective measurement at the central laboratory</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>FH gene examination</td>
<td>Whole blood</td>
<td>Collective measurement at the central laboratory</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Radiography of the Achilles tendona</td>
<td>Radiography</td>
<td>Whenever possible, radiography of the Achilles tendon will be performed during index hospitalisation for registration, but radiography obtained by Visit 3 will also be acceptable</td>
</tr>
</tbody>
</table>

aRadiography of the Achilles tendon was performed based on the recommendation of the Japan Atherosclerosis Society FH guidelines.[21]

ACS, acute coronary syndrome; LDL-C, low-density lipoprotein cholesterol; PCSK9, proprotein convertase subtilisin kexin 9; apo, apolipoprotein; HDL, high-density lipoprotein; HbA1c
glycosylated haemoglobin; hsCRP, high sensitivity C-reactive protein; Lp(a), lipoprotein A; FH, familial hypercholesterolaemia.

Data are collected at Visit 1 (within 14 days after hospitalisation due to ACS), and Visits 2 to 5 during the 2-year observational period on Days 30 (±7 days), 180 (±30 days), 365 (±30 days), and 730 (±30 days). Data are collected using an electronic case report form. The information collected at each visit is shown in Table 2. During the 2-year observation period (Visits 2–5), if a subject is transferred to another hospital during this time, the institution will be asked to provide the following information for the follow-up: attendance/non-attendance; date of observation; primary endpoints (investigation of outcome [alive/dead]); secondary endpoints (presence or absence); physical examination; fasting haematological and biochemical examinations, including PCSK9 concentrations (Visits 2–4); and medications.

The samples collected in this study will be sent to a central laboratory (BML General Laboratory BML, INC., Saitama, Japan) under freezing conditions (−20°C) until completion of the study so that re-examination can be performed. All samples will be discarded after completion of the study.

**Study subjects**

The study subjects are Japanese patients presenting with ACS, hospitalised between April 2015 and August 2016. In total, 2,016 subjects were registered.

The key inclusion criteria are as follows: age ≥20 years; hospitalisation for any ACS including ST-segment elevation myocardial infarction (STEMI), non ST-segment elevation myocardial infarction (NSTEMI), and unstable angina; and ability to obtain written informed consent.
consent. STEMI is defined as the presence of chest symptoms such as pain or breathlessness suspected to be caused by myocardial ischaemia, persisting for ≥20 min; ST elevation of ≥1 mm on ≥2 contiguous leads or new left bundle branch block; and elevated troponin T ≥0.1 µg/L or creatine phosphokinase-MB two times above the upper limit of normal. Acute NSTEMI is defined as the presence of chest symptoms such as pain or breathlessness suspected to be caused by myocardial ischaemia, persisting for ≥20 min ≤24 h before admission; not having ST-segment elevation ≥1 mm or new left bundle branch block; and the presence of elevated troponin T ≥1.0 µg/L or creatine phosphokinase-MB two times above the upper limit of normal. Unstable angina is defined as the presence of chest pain that may be persistent (≥20 min) and at least one of the following: ST depression ≥0.5 mm or T wave inversion ≥3 mm; troponin T ≥0.014 µg/L or <1.0 µg/L; confirmation of significant stenosis by diagnostic imaging; new decrease in wall motion detected by echocardiography; or reversible myocardial perfusion defect detected by myocardial perfusion imaging.

The key exclusion criteria are as follows: patients with chest pain and coronary artery diseases presenting with concomitant serious diseases, patients with in-stent thrombosis, patients enrolled in other interventional studies that could affect lipid profile, and those judged as inappropriate by the investigators or subinvestigators.

**Primary endpoint**

The primary endpoint is the incidence of major adverse cardiovascular events (MACE), defined as death associated with myocardial infarction or other cardiovascular death, major non-fatal coronary event (myocardial infarction or hospitalisation for unstable angina), or stroke.
Incidences are monitored independently by the investigator at each local site. A time window will be allowed for observations at Visit 2 (±7 days) and Visits 3–5 (±30 days).

Deaths associated with myocardial infarction or other cardiovascular deaths are defined as death secondary to acute myocardial infarction or any death with a clear relationship to underlying coronary heart disease, sudden death, heart failure, complication of coronary revascularisation procedure where the cause of death is clearly related to the procedure, unobserved or unexpected death, or other death that cannot be definitively attributed to a non-cardiovascular cause. Non-fatal myocardial infarction is defined in accordance with the ACC/AHA/ESC Universal Definition of Myocardial Infarction.[29]

Ischaemic stroke is characterised by an acute episode of focal cerebral, spinal, or retinal dysfunction caused by infarction, defined by at least one of the following: pathological, imaging, or other objective evidence of acute, focal cerebral, spinal, or retinal ischaemic injury in a defined vascular distribution; symptoms of acute cerebral, spinal, or retinal ischaemic injury persisting for ≥24 hours or until death, with other aetiologies excluded.

Secondary endpoints

The secondary endpoints are MACE developed from Visit 1 to Visit 2 (Day 30); MACE developed from Visit 2 (Day 30) to Visit 5 (Day 730); treatment rate by the following lipid-lowering therapies: any statin, intensive statin (e.g., atorvastatin ≥20 mg, rosuvastatin ≥10 mg, and pitavastatin ≥4 mg), PCSK9 inhibitor, and other lipid-lowering therapies such as fibrates and ezetimibe; incidence of events by the addition of the following outcomes to the primary endpoints: coronary revascularisation due to myocardial ischaemia, revascularisation other than
in the heart, inpatient treatment for occurrence or exacerbation of heart failure, transient
ischaemic attack, acute arterial occlusion, central retinal artery occlusion, and other adverse
events prolonging or requiring hospitalisation; and proportion of subjects achieving target lipid
levels per study visit. The treatment rate will be determined based on written prescriptions. Other
endpoints include the prevalence of FH in patients with ACS, comparison of PCSK9
concentration between patients with or without FH, and comparison between clinical diagnosis
of FH based on the guidelines vs. genetic analysis.

**Discontinuation from study**

The criteria used to allow discontinuation from the study include the withdrawal of consent by
the subject or his/her legal representative, patient ineligibility (violation of the study contract),
death of a subject, or removal by the judgement of the investigator or subinvestigators.

**Safety protocol**

Because this is an observational study (i.e., non-interventional) there will be no adverse events
caused by a study drug. Patients will receive drugs as normally prescribed in daily medical
practice.

Serious adverse drug reactions caused by a drug will be the subject for application of relief
under the Relief System for Sufferers from Adverse Drug Reactions similar to that in daily
medical practice. Treatments for other adverse drug reactions will be covered by the national
insurance scheme.
Statistical analyses

The sample size was calculated to assess the persistent cardiovascular risk (defined as MACE) from the index event to 2 years. Based on the PACIFIC registry, in which the incidence of MACE was 6.4% at 2 years, a sample size of 2,000 has a precision of ±1% in the incidence of MACE with a 95% confidence interval (CI) of 0.053–0.074.[30] With this sample size, the subgroup analysis (the comparison of MACE in patients with LDL-C <1.81 mmol/L and ≥1.81 mmol/L) will be performed. Because 409 of 1827 (22.3%) Japanese ACS patients in the PACIFIC study and 305 of 1145 (26.6%) Japanese ACS patients in an ongoing database study reached an LDL-C level <1.81 mmol/L, we expect to have 446–532 patients with an LDL-C level <1.81 mmol/L in this registry. All patients meeting the inclusion criteria will be analysed. The demographic data will be presented as the mean, median, standard deviation, and range for continuous data, and number and proportion of subjects in each category for categorical data.

The analysis of the primary endpoint will be as follows: for the incidence of MACE at 2 years, Kaplan–Meier analysis will be used to estimate the event observed first after registration in the whole population. The Greenwood formula will determine the 95% CI.

Subgroup analysis will investigate the association of factors with the incidence of MACE, LDL-C <1.81 mmol/L vs ≥1.81 mmol/L at registration, and the presence or absence of FH. The Cox proportional hazard model and subgroup analysis will compare demographic factors (age, sex, smoking history, body mass index, and underlying diseases) using a two-sided significance level of 5% (not considering multiplicity, as the study is exploratory). Subgroup analysis will be performed for LDL-C (<1.81 mmol/L or ≥1.81 mmol/L) at the time when the event has occurred.

Analysis of the secondary endpoints (MACE developed by Visit 2 [Day 30]; MACE developed between Visit 2 [Day 30] and Visit 5 [Day 730]; and treatment rate by lipid-lowering
therapy) will be assessed by determining the ratio of subjects on each lipid-lowering therapy administered during observation and the 95% CI using the full analysis set. The incidences of events at Visit 2 and between Visits 2–5 or from the time of registration to the first event, and the associations of factors with each event will be determined by the same procedures as for the primary endpoints. For the other endpoints, the prevalence rate of FH in patients with ACS will be assessed and its 95% CI from the diagnosis of FH will be determined. Logistic regression analysis and subgroup analysis of the background factors that are associated with the prevalence of FH for exploratory investigation will be determined. The summary statistics for the concentration of PCSK9 at each measurement point will be calculated and a trend diagram (median values) will be developed. In addition, the presence or absence of the influence of FH by subgroup analysis will be determined.

In the survival analysis, missing values will be censored while continuous data and discrete data will be analysed on the basis of measured values without performing any special processing. Furthermore, we will also perform a sensitivity analysis where continuous data are handled using the last observation carried forward imputation, and discrete data are included only in the denominator but not in the numerator. No special processing will be performed for outliers. For the statistical analysis, SAS version 9.4 software (SAS Institute Inc., NC, USA) will be used.

**Quality assurance measures**

The study investigators will ensure compliance to the study protocol. Any change in factors that affect the safety of subjects or the scientific quality of this study (study design, endpoints, number of patients, and criteria for registration) will require a revision of the protocol, which must be approved in advance by the ethical review committee. The study records will be stored...
and information made available to auditors, ethical review committees, or regulatory authorities on request.

**ETHICS AND DISSEMINATION**

This study is conducted in compliance with the Declaration of Helsinki (amended in October 2013) and the Ethical Guidelines for Medical and Health Research Involving Human Subjects (enacted on December 22, 2014). Prior to the study initiation, the investigator or subinvestigators submitted the protocol and informed consent form to the ethical review committee of each study centre and obtained their approval. Patient anonymity will be protected by the use of subject identification codes. A cooperation fee of 5,000 Japanese yen (about $42 or €37 at Oct 2015) for study participation will be provided for each patient upon request from the study centre. All patients were required to provide written informed consent.

The results of this study will be presented at major cardiovascular-related congresses. The data regarding FH will be presented at atherosclerotic-related congresses.

**CONCLUSION**

The EXPLORE-J study will provide new insights into the relationship between ACS and recurrent events, the relationship between recurrent events and serum LDL-C levels, the FH to ACS ratio, and the PCSK9 concentration in ACS patients in a Japanese population. As a large-scale study including 2,016 patients from 59 centres, this study will be the first ACS registry to seek insight into FH in Japan to target the high-risk population.
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Competing interests

Kiyoko Uno is an employee of Sanofi. Masato Nakamura, Atsushi Hirayama, Junya Ako, Atsushi Nohara, Hidenori Arai, and Mariko Harada-Shiba have received consultation fees from Sanofi.

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Authors’ contributions
M Nakamura, K Uno, A Hirayama, J Ako, A Nohara, H Arai, and M Harada-Shiba all served on the steering committee as principal investigators and equally contributed to conception and design of the study, protocol development, acquisition of data, analysis and interpretation of the data, and drafting and revising the publication for important intellectual content. M Nakamura, K Uno, A Hirayama, J Ako, A Nohara, H Arai, and M Harada-Shiba all approved the final version of the manuscript and have agreed to be accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

Data sharing statement

No additional unpublished is available.
References


Figure legends

Figure 1 Study flow and design. aFor FH gene examination, blood will be collected once from the patients who provide consent, at a visit made after registration. bRadiographs of the Achilles tendon will be obtained during hospitalisation for registration as a rule, but a radiography obtained by Visit 3 is acceptable. FH, familial hypercholesterolaemia; PCSK9, proprotein convertase subtilisin kexin 9
Figure 1

1. Confirmation of eligibility
2. Informed consent (within 7 days after hospitalisation)
3. Registration
4. Physical findings
5. Haematological and biochemical examinations
6. PCSK9
7. FH gene examination (only those concerned)
8. Radiographs of the Achilles tendon

102x52mm (300 x 300 DPI)
Appendix: EXPLORE-J Study Centres

Almeida Memorial Hospital

Anjo Kosei Hospital

Chiba Emergency Medical Center

Chiba University Graduate School of Medicine

Fukui Cardiovascular Center

Fukuoka Tokushukai Hospital

Gifu Heart Center

Gifu Prefectural General Medical Center

Gunma Prefectural Cardiovascular Center

Hakodate Municipal Hospital

Higashi Takarazuka Satoh Hospital

Higashiyamato Hospital

Hiroshima City Hospital

Hyogo Brain and Heart Center

Iwaki Kyoritsu General Hospital

Iwatsuki Minami Hospital

Jichi Medical University School of Medicine

Juntendo University Graduate School of Medicine

Juntendo University Shizuoka Hospital
Kanazawa Cardiovascular Hospital

Kikuna Memorial Hospital

Kishiwada Tokushukai Hospital

Kitasato University School of Medicine

KKR Takamatsu Hospital

Kokura Memorial Hospital

Kumamoto University Hospital

Kurashiki Central Hospital

Kurume University School of Medicine

Kyorin University School of Medicine

Mitsui Memorial Hospital

Nagoya Daini Red Cross Hospital

National Cerebral and Cardiovascular Center

National Hospital Organization Kure Medical Center and Chugoku Cancer Center

Nihon University Itabashi Hospital

Nihon University School of Medicine

Nippon Medical School

Nippon Medical School Musashi-Kosugi Hospital

Ome Municipal General Hospital
Osaka General Medical Center

Rinku General Hospital

Saga-Ken Medical Centre Koseikan

Saiseikai Fukuoka General Hospital

Saiseikai Kumamoto Hospital

Sakakibara Heart Institute

Sakurabashi Watanabe Hospital

Sekishinkai Kawasaki Saiwai Hospital

Sendai Kousei Hospital

Showa University Northern Yokohama Hospital

St. Mary’s Hospital

Teikyo University School of Medicine

The Sakakibara Heart Institute of Okayama

Toho University School of Medicine

Tokai University School of Medicine

Tokorozawa Heart Center

Tokushima Prefectural Central Hospital

Tokuyama Central Hospital

Tokyo Women’s Medical University
Yokohama City University Medical Center

Yokohama Rosai Hospital