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## Serum amyloid A1: Structure, function and gene polymorphism

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### Abstract

Inducible expression of serum amyloid A (SAA) is a hallmark of the acute-phase response, which is a conserved reaction of vertebrates to environmental challenges such as tissue injury, infection and surgery. Human SAA1 is encoded by one of the four SAA genes and is the best-characterized SAA protein. Initially known as a major precursor of amyloid A (AA), SAA1 has been found to play an important role in lipid metabolism and contributes to bacterial clearance, the regulation of inflammation and tumor pathogenesis. *SAA1* has five polymorphic coding alleles (*SAA1.1* – *SAA1.5*) that encode distinct proteins with minor amino acid substitutions. Single nucleotide polymorphism (SNP) has been identified in both the coding and non-coding regions of human *SAA1*. Despite high levels of sequence homology among these variants, *SAA1* polymorphisms have been reported as risk factors of cardiovascular diseases and several types of cancer. A recently solved crystal structure of SAA1.1 reveals a hexameric bundle with each of the SAA1 subunits assuming a 4-helix structure stabilized by the C-terminal tail. Analysis of the native SAA1.1 structure has led to the identification of a competing site for high-density lipoprotein (HDL) and heparin, thus providing the structural basis for a role of heparin and heparan sulfate in the conversion of SAA1 to AA. In this brief review, we compare human SAA1 with other forms of human and mouse SAAs, and discuss how structural and genetic studies of SAA1 have advanced our understanding of the physiological functions of the SAA proteins.

### 1. Introduction

Serum amyloid A1 (SAA1) was originally identified as a serum component recognized by antisera against amyloid fibrils known as amyloid A (AA) (Levin et al., 1973; Husby and Natvig, 1974; Rosenthal and Franklin, 1975). It was subsequently found that SAA1 serves as a major precursor of AA (Rosenthal et al., 1976) and is an apolipoprotein of high-density lipoprotein (HDL) in the acute-phase serum (Benditt and Eriksen, 1977; Benditt et al.,

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1982). SAA is the generic name of a family of proteins with 103–104 amino acids that share high levels of sequence homology but are encoded by different genes (Figures 1, 2). In humans, there are 4 SAA genes (*SAA1*, *SAA2*, *SAA3* and *SAA4*) mapped in a 150-kb region of chromosome 11p15.1 (Betts et al., 1991; Sellar et al., 1994). Of these genes, *SAA1* and *SAA2* code for acute-phase SAA proteins that are highly inducible during the acute-phase response (Gabay and Kushner, 1999; Uhlar and Whitehead, 1999). *SAA4* encodes a constitutively expressed SAA protein, and *SAA3* is a pseudogene (Kluve-Beckerman et al., 1991). Mice also have 4 SAA genes with one of them (*Saa4*) coding for a constitutively expressed SAA. As will be discussed below, mouse SAA proteins are highly homologous to their human counterparts and are excellent models for functional studies. A major difference between the mouse and human SAA genes is that mouse *Saa3* encodes a functional product expressed mainly in extrahepatic tissues such as adipocytes and macrophages (Meek and Benditt, 1986; Benditt and Meek, 1989). Among all known SAA proteins, the human *SAA1* is best characterized for its physiological functions and gene polymorphisms. This review summarizes progress made in our understanding of the physiological functions of human *SAA1*, its gene polymorphism, as well as recent findings in its structure. The review also discusses technical limitations such as the widespread use of a recombinant human *SAA1* hybrid protein for *in vitro* studies, and new tools including genetically altered mice that became available only recently. Where applicable, other forms of SAA such as the mouse *Saa3* are included for comparison. For comprehensive reviews on acute-phase SAAs, the interested reader is referred to a wealth of literature on the subject (Uhlar and Whitehead, 1999; Yamada, 1999; O'Brien and Chait, 2006; Malle et al., 2009; King et al., 2011; Eklund et al., 2012; Kisilevsky and Manley, 2012; Ye and Sun, 2015).

## 2. The human *SAA1* gene product and its structure

*SAA1* encodes a pre-protein of 122 amino acids, including an 18-amino acid signal peptide (Uhlar and Whitehead, 1999). Cleavage of the signal peptide results in a mature *SAA1* protein of 104 amino acids (Figure 1) that is secreted by hepatocytes and released to blood circulation. As a result of the massive production of *SAA1* and *SAA2* during the acute-phase response, the plasma level of these SAA proteins rises by up to 1,000-fold (Gabay and Kushner, 1999). The acute-phase SAA in plasma is tightly bound with high-density lipoprotein (HDL). There has been an ongoing effort to understand how inducible SAA expression and HDL remodeling are functionally associated with the protection against environmental insults (Getz and Reardon, 2008), which is thought to be a primary function of the acute-phase response (Gabay and Kushner, 1999).

The structure of *SAA1* was not available until recently (Lu et al., 2014). In the absence of detailed structural information, computer modeling was used to simulate *SAA1* structure based on a protein with sequence homology (Stevens, 2004). Combined with data from functional studies, the N-terminal region of *SAA1* is predicted to harbor an HDL binding site, whereas the C-terminal segment constrains the overall *SAA1* structure such that its removal facilitates aggregation of the cleavage product AA, forming highly ordered  $\beta$ -sheets as in amyloid fibrils. Structural studies of *SAA1* were also carried out using  $\beta$ -sheet binding fluorescent dyes such as thioflavin T, revealing structural differences between *SAA1.1* and *SAA1.3* (Takase et al., 2014). Using deep UV resonance Raman, far UV-circular dichroism,

atomic force microscopy and fibrillation cross-seeding, a study has identified differences between SAA1.1 and SAA2.2 in their fibrillation kinetics, fibril morphology and quaternary structure (Srinivasan et al., 2013). Recently, the crystal structure of SAA1.1 was solved at a 2.2-Å resolution (Lu et al., 2014). In its native state, SAA1.1 exists as a hexamer of identical subunits showing a four-helix bundle structure. The previously predicted functional regions of human SAA1 partially overlap with these  $\alpha$ -helices (Figure 1). Surprisingly, there is a lack of the previously predicted  $\beta$ -strands in this structure. An analysis of the SAA1.1 structure revealed that the C-terminal tail stabilizes the helix bundle structure by providing multiple contact sites. The apex of the cone-shaped SAA1.1 helix bundle serves as the site for HDL binding, which is inhibited by heparin (Lu et al., 2014). These findings provide structural insights into a mechanism by which heparin and heparan sulfate facilitate AA formation, which requires the dissociation of SAA1.1 from HDL.

The crystal structure of SAA1.1 was compared with that of the mouse Saa3, another SAA protein with its structure determined recently (Derebe et al., 2014). Both structures have four  $\alpha$ -helices, forming a cone-shaped bundle. The  $\alpha 1$  helix in the mouse Saa3 is longer than the one in human SAA1. In mouse SAA3, these subunits oligomerize to form a hollow, largely non-polar interior that serves as a binding pocket for retinol (Derebe et al., 2014). Unlike the hexameric bundle structure formed by the human SAA1, mouse Saa3 forms a tetrameric bundle structure. Differences in the primary sequence of the N-terminal regions in these SAA proteins may account for the discrepancy in their oligomeric states. The human SAA1.1, which has a tendency to form pathogenic amyloid fibrils, contains a more hydrophobic N-terminal region than the mouse Saa3, which is nonamyloidogenic. Therefore, fibrillogenecity and amyloidogenecity can be explained at the structural level as more structural information becomes available.

### 3. Inducible expression of human SAA1

Human *SAA1* is located in the short arm on chromosome 11 and contains 4 exons with the coding sequences residing in exon 2, exon 3 and part of exon 4, respectively (Figure 2A), based on two of the published sequences (NM\_199161, NM\_000331). Exon 1 and part of exon 4 contains non-coding sequences including the *SAA1* promoter. The third published gene sequence (NM\_001178006) suggests the presence of 5 exons, with non-coding sequence in two exons upstream of the first coding exon (Figure 2).

Inducible expression is characteristic of all acute-phase SAAs including SAA1 and SAA2. In addition, Saa3 in mice is also an inducible SAA. The resulting increase in SAA production in various tissues has been a well-established clinical biomarker for inflammatory disorders. It is widely believed that proinflammatory cytokines such as IL-1 $\beta$ , IL-6 and TNF- $\alpha$ , as well as glucocorticoids, play important roles in hepatic expression of SAA1 and SAA2 during the acute-phase response (Uhlir and Whitehead, 1999). This notion is further supported by the observation that genetic deletion of the IL-1 $\beta$  gene led to an impaired acute-phase inflammatory response in mice (Zheng et al., 1995). Likewise, deletion of the IL-6 gene in mice resulted in compromised acute-phase response to tissue injury (Kopf et al., 1994). Along with the reduced acute-phase response, hepatic production of SAA decreases significantly. Transcriptional regulation is primarily responsible for the

marked induction of SAA in the acute-phase response (Uhlir and Whitehead, 1999). A variety of transcription factors including NF- $\kappa$ B, C/EBP, YY1, AP-2, SAF, Sp1, and STAT3, are involved in the induced expression of the acute-phase SAA (Betts et al., 1993; Uhlir and Whitehead, 1999). Hepatocyte-specific mutation of both NF- $\kappa$ B and STAT3, but not either one alone, abrogated the acute-phase response to tissue injury and bacterial infection in mice, leading to markedly reduced expression of hepatic SAA1 and its concentration in plasma (Quinton et al., 2014).

## 4. Major functions of human SAA1

Our understanding of the functions of SAA1 lags far behind our appreciation of its structure and induced expression. The presence of multiple isoforms and single nucleotide polymorphisms (SNPs) further complicates functional studies of SAA1. As a result of these limitations, information presented in this section only serves as a starting point for more detailed investigation of the functions of SAA in physiological and pathological conditions.

### 4.1. Amyloidogenesis

Type AA amyloidosis, also known as inflammatory amyloidosis, is a complication of chronic inflammatory conditions and is characterized by the deposit of insoluble amyloid fibrils in the affected organs and tissues. The amyloid protein amyloid A (AA) is mainly a degradation product of the acute-phase SAA1 (Tape et al., 1988; Liepnieks et al., 1995). In this regard, amyloidogenesis is not exactly a function of SAA1 *per se* but the consequence of over-production and aberrant processing of SAA1. In a commonly identified form, AA consists of the N-terminal 76 amino acids of human SAA1 and SAA2, with SAA1 being the predominant precursor (Prelli et al., 1987; Liepnieks et al., 1995). Fibrillar AA derives mostly from circulating SAA1, which dissociates from HDL before its conversion to amyloid fibrils. This process occurs through an interaction with heparan sulfate, a glycosaminoglycan component of the extracellular matrix. A recent study of the SAA1 structure has identified an overlapping binding site for HDL and heparin, thus explaining the effect of heparan sulfate in the dissociation of SAA1 from HDL before its conversion to amyloid fibrils (Lu et al., 2014). As will be discussed below, polymorphic variants of SAA1 have different propensity for conformational transformation to amyloid fibrils.

### 4.2. HDL remodeling and lipid metabolism

During the acute-phase response, marked elevation in plasma SAA protein level causes HDL remodeling, with the newly synthesized SAA1 and SAA2 displacing apoA-1 and becoming an apolipoprotein of HDL (Benditt and Eriksen, 1977; Coetzee et al., 1986). However, SAA cannot replace apoA-1 for its role in HDL particle formation (Webb et al., 1997). HDL remodeling during the acute-phase response also requires plasma components such as the group IIA secretory phospholipase A2 and cholesteryl ester transfer protein (Jahangiri et al., 2009). It is well recognized that SAA plays an important role in lipid metabolism, but how SAA impacts lipid metabolism remains incompletely understood. Reverse cholesterol transport is a process by which cholesterol in non-hepatic tissues is transported back to the liver, via plasma components such as HDL and the ATP-binding cassette (ABC) transporters such as ABCA1 and ABCG1. In this process, apoA-1 acts as an acceptor for cholesterol.

Several studies have shown that SAA in lipid-free form can also act as a lipid acceptor for ABCA1 (Stonik et al., 2004; Abe-Dohmae et al., 2006). The effect of SAA on cholesterol removal, however, requires more than SAA. In human apoA-1 transgenic mice, adenovirus-mediated over-expression of human SAA1 alone does not significantly alter the distribution of cholesterol among lipoproteins (Hosoi et al., 1999). Using mice lacking both *Saa1* and *Saa2* (the *Saa1/2* double-knockout mice), a study found that the ABCG1-mediated transport of cellular cholesterol to HDL was not significantly affected compared to wild type controls, suggesting that these major forms of mouse SAA proteins are not absolutely required (de Beer et al., 2011). Another study using these double-knockout mice resulted in the conclusion that the impact of SAA on HDL levels and apoA-1 clearance may be very limited (de Beer et al., 2010). An *in vivo* study using the macrophage-to-feces reverse cholesterol transport assay has shown that the LPS-induced impairment of reverse cholesterol transport does not involve SAA (de Beer et al., 2013). These findings from the use of genetically altered mice indicate that the *in vivo* functions of SAA in lipid metabolism are more complicated than previously thought based on mostly *in vitro* studies.

It was reported that SAA binding to HDL increases its affinity for macrophage, while the binding affinity for hepatocytes decreases (Kisilevsky and Subrahmanyam, 1992). This change is believed to favor the removal of cholesterol from sites of inflammation (Kisilevsky, 1991). The scavenger receptor SR-BI was identified as one of the SAA receptors (Baranova et al., 2005; Cai et al., 2005). SAA inhibits HDL binding to SR-BI; however, the efflux of free cholesterol is enhanced in a SR-BI-dependent manner (van der Westhuyzen et al., 2005). Efficient efflux of cholesterol requires both lipid-free SAA and the expression of ABCA1, indicating that the lipidation status of SAA is a determinant for cholesterol efflux through SR-BI (Marsche et al., 2007). It is believed that SR-BI-mediated re-uptake of cholesterol underlies the role SAA plays in cholesterol recycling during tissue repair after injury, when cholesterol is much needed (Kisilevsky and Manley, 2012).

#### 4.3. Tumor pathogenesis

Elevated plasma level of SAA, consisting of SAA1 and SAA2, has been a clinical biomarker for inflammatory diseases (Malle and De Beer, 1996). Accumulating evidence indicates that increased expression of SAA may be associated with tumor pathogenesis as well (Cho et al., 2004; Malle et al., 2009; Liu, 2012). SAA1 and SAA4 are highly expressed in uterine cervical carcinomas and therefore can serve as a biomarker for this type of cancer (Ren et al., 2014). In non-small cell lung cancer patients undergoing EGF receptor tyrosine-kinase inhibitor therapy, the increase in SAA1 expression level in plasma serves as an indicator of poor prognosis (Milan et al., 2012). Likewise, a correlation between SAA1 expression and poor clinical outcome has been established in patients with conventional renal cell carcinoma (Paret et al., 2010). Because of the close link between chronic inflammation and malignant transformation, SAA may affect tumorigenesis and tumor metastasis. A recent study has shown a close link between certain SAA1 allelic variants and the nasopharyngeal carcinoma, with some of these variants having anti-angiogenic and tumor-suppressive activities (Lung et al., 2014). Induced expression of matrix metalloproteases (Lee et al., 2005) and induction of angiogenesis (Mullan et al., 2006) may contribute to tumor metastasis and growth. A member of the SAA family, Saa3 has been shown to facilitate

tumor cell metastasis to lung tissue through a SAA3-TLR4 dependent process that favors an inflammation-like state termed pre-metastasis phase (Hiratsuka et al., 2008). A more recent study identified a link between the S100 family protein S100A4, Saa1 and Saa3, and tumor metastasis. In this study, it was found that S100A4 could stimulate the expression of mouse Saa1 and Saa3, which in turn stimulated the expression of RANTES, G-CSF, MMP2, S100A8 and S100A9, thereby promoting tumor metastasis (Hansen et al., 2015).

#### 4.4. Antibacterial functions

SAA1 has been found to bind to the outer membrane of Gram-negative bacteria including *Escherichia coli*, *Salmonella typhimurium*, *Klebsiella pneumoniae*, *Vibrio cholerae*, and *Pseudomonas aeruginosa* (Hari-Dass et al., 2005). The outer membrane protein A (OmpA) is shown to be responsible for the binding of SAA1 that acts as an opsonin for increased neutrophil uptake of the invading bacteria (Shah et al., 2006). In addition, peripheral blood monocyte-derived macrophages respond to the SAA-opsonized bacteria with enhanced production of TNF- $\alpha$  and IL-10. These findings suggest a potentially important role for SAA1 in host defense against invading Gram-negative bacteria.

A recent study identified SAA1 as a retinol binding protein with a  $K_d$  of 259 nM (Derebe et al., 2014). Retinol plays an important role in host defense. Hence this finding suggests that SAA may limit bacterial burden, particularly in the intestine. The study reports that SAA recovered from serum following bacterial infection is associated with retinol, and mice lacking both *Saa1* and *Saa2* have higher bacterial burdens in the spleen and liver following an acute bacterial infection (Derebe et al., 2014). Together, these new findings suggest that SAA1 plays a role in combating bacterial infection.

In addition to systemic SAA1, epithelial cell-derived SAA1 has bactericidal activities as over-expression of Saa1 and Saa2 in cultured epithelial cell lines reduced co-cultured *E. coli* (Eckhardt et al., 2010). In mice, all 3 inducible SAA isoforms are found in intestinal epithelium, which has close contact with bacteria in the gut. The finding that SAA1 has antibacterial property leads to the prediction that the acute-phase protein may protect host in tissues and organs that are exposed to the outside environment.

#### 4.5. Regulation of inflammation and immunity

A number of studies have been conducted using a chimeric SAA1/SAA2 protein, which is a commercially available recombinant human SAA (rhSAA from PeproTech) containing amino acid substitutions at positions 61 and 72 of the mature protein with a Met added to the N-terminus. These studies identified chemotactic activity of the rhSAA for phagocytes (Badolato et al., 1994) as well as its ability to induce proinflammatory cytokines *ex vivo* (Patel et al., 1998; Furlaneto and Campa, 2000; He et al., 2003; Cai et al., 2007; Sun et al., 2015). These activities are mediated by several receptors for rhSAA including FPR2 (FPR2/ALX, formerly FPRL1) (Su et al., 1999; Ye et al., 2009), TLR2 (Cheng et al., 2008) and TLR4 (Sandri et al., 2008). Because of the presence of multiple receptors for rhSAA, its cytokine-inducing profile is different from that of LPS and of the TLR2 ligands (He et al., 2006; Yan et al., 2014). rhSAA has been shown to extend the lifespan of neutrophils by delaying their constitutive apoptosis (El Kebir et al., 2007). In addition, rhSAA stimulates



the expression of G-CSF, resulting in neutrophil expansion (He et al., 2009). These findings suggest that rhSAA has cytokine-like activities and may play a role in the regulation of inflammation and immunity.

The physiological relevance of the above findings was questioned when a recombinant human SAA1 (rhSAA1), provided by the same vendor without the added N-terminal Met and the two amino acid substitutions, was found to have much lower activity in cytokine induction experiment (Christenson et al., 2013). A similar finding was made in another study (van den Brand et al., 2013). Together, these results suggest the possibility that the chimeric rhSAA may have acquired additional cytokine-inducing activity because of the altered amino acid sequence. An independent study has led to the conclusion that purified SAA does not induce cytokine production in physiological conditions (Kim et al., 2013). There are, however, published data showing that rhSAA1 (from PeproTech) stimulates MMP9 production in the HD2 and HA344 renal carcinoma cell lines (Paret et al., 2010). Recombinant human SAA1 prepared in different laboratories are able to induce the transcripts of proinflammatory cytokines including IL-8, MCP-1 and TNF- $\alpha$  (Leow et al., 2013) as well as the anti-inflammatory cytokine IL-10 (Chen et al., 2014). Of note, SAA1.1 prepared with the same protocol was as potent as SAA2.2 in the induction of chemotaxis and calcium mobilization, while both SAA variants were able to stimulate TLR2-dependent NF- $\kappa$ B activation (Chen et al., 2014). It was also reported that the THP-1-derived macrophages responded to rhSAA1 with the upregulation of 55 genes, many of which being proinflammatory cytokines induced by the chimeric rhSAA (Leow et al., 2012). A recent study has shown that rhSAA and rhSAA1 are both capable of promoting macrophage switch to the M2 phenotype, characterized by the induced expression of the M2 cytokines (Sun et al., 2015). This latter study suggests that SAA1 may be a homeostatic regulator of inflammation. Further supporting a role for SAA1 in cytokine induction, a recent report has shown that transgenic expression of human SAA1 in mouse liver led to induced expression of the chemokines MCP-1, MIP-1 $\alpha$ , MIP-1 $\beta$ , IP-10 and eotaxin, suggesting that SAA1 may be functional *in vivo* for cytokine induction (Ji et al., 2015). As will be discussed below in Section 6, more recent studies identified Saa1 and Saa2 as local mediators for a Th17 response to segmented filamentous bacteria (Atarashi et al., 2015; Sano et al., 2015). These new findings suggest an active role for SAA1 in the regulation of inflammation and immunity in specific organs and tissues. Studies using better controlled experimental conditions will be required for further characterization of rhSAA and rhSAA1 for their inflammation-modulatory functions.

## 5. Human SAA1 polymorphism and disease disposition

*SAA1* has 5 polymorphic coding alleles, *SAA1.1*, *SAA1.2*, *SAA1.3*, *SAA1.4* and *SAA1.5* (Sipe, 1999). The gene products of these coding alleles vary by only a few amino acids at positions 52, 57, 60 and 72 of the mature SAA1 protein (highlighted in red in Figure 1B). Despite these minor differences, the allelic variants of SAA1 have shown differences in various *in vitro* assays as well as linkage to several diseases.

### 5.1. Amyloidogenesis

Studies have shown that homozygosity at the *SAA1.1* allele is a strong predictor for type AA amyloidosis. In one study, SAA1.1 was found to be more susceptible than SAA1.5 to MMP-1 degradation (van der Hilst et al., 2008). The difference lies in sequence variation at position 57, where an Ala in SAA1.1 becomes a Val in SAA1.5 (Figure 1B). This finding may partially explain the clinical observation that individuals with the *SAA1.1/1.1* genotype have higher risk for type AA amyloidosis than individuals with the *SAA1.5/1.5* or *SAA1.1/1.5* genotype (Booth et al., 1998). In addition, *SAA1.3* homozygosity has been shown as a univariate predictor of survival as well as a risk factor for AA amyloidosis, based on a study with an enrollment of 182 Japanese RA patients (Nakamura et al., 2006).

### 5.2. Tumor suppression

A recent study links the high frequency of the *SAA1.5/1.5* genotype in nasopharyngeal carcinoma patients with abrogated anti-angiogenic activity of the SAA1.5 protein (Lung et al., 2014). The study shows that SAA1.1 and SAA1.3 have higher affinity for the integrin  $\alpha v \beta 3$  than SAA1.5. Because  $\alpha v \beta 3$  integrin is required for angiogenesis (Brooks et al., 1994), these two SAA1 variants display strong anti-angiogenic effect by inhibition of stress fiber assembly and focal adhesion of vascular endothelial cells. Restoration of SAA1.1 expression in the deficient nasopharyngeal carcinoma cell lines corrects the absence of the tumor suppressive effect (Lung et al., 2014), supporting the conclusion that genetic variation in *SAA1* has a functional impact on the susceptibility to nasopharyngeal carcinomas.

### 5.3. Differential preference for SAA receptors

At least 6 functional receptors for SAA, including the receptor for advanced glycation end products (RAGE), the formyl peptide receptor 2 (FPR2), the scavenger receptor class B type I (SR-BI), the Toll-like receptors 2 (TLR2) and 4 (TLR4) and the purinoceptor P2X7 receptor, have been identified to date (recently reviewed in (Malle et al., 2009; Sun et al., 2015)). These receptors mediate various functions of SAA1 and possibly other forms of SAA proteins. For example, facilitation of cholesterol efflux by SAA1 is mediated through the scavenger receptor SR-BI (Cai et al., 2005; van der Westhuyzen et al., 2005), whereas the two TLRs are thought to be responsible for the cytokine-inducing activities of SAA (Cheng et al., 2008; Sandri et al., 2008). The effects of amino acid substitutions in the SAA1 variants on receptor interaction have been evaluated in transfected cell lines and primary mouse macrophages lacking functional TLR4 (Chen et al., 2014). Of the 3 SAA1 variants compared, SAA1.1 displayed higher efficacy than SAA1.3 and SAA1.5 in mobilizing intracellular  $\text{Ca}^{2+}$ , a function mediated by the G protein-coupled chemoattractant receptor FPR2 (Su et al., 1999; Ye et al., 2009). In comparison, SAA1.3 showed strong capability in stimulating the phosphorylation of ERK and p38 MAPK. SAA1.5 is most effective in the induction of IL-10 expression, a function mediated primarily through SAA binding to TLR2 (Cheng et al., 2008; Chen et al., 2014). These findings provide the structural basis for the interaction of SAA1 variants with their receptors as well as an explanation for the different effects produced by these SAA1 variants.



#### 5.4. Other SAA1 nucleotide polymorphisms

Single-nucleotide polymorphisms (SNPs) have been identified in coding and non-coding regions of the human SAA1 gene (Figure 2B). The presence of these SNPs of *SAA1* has been associated with disposition to a variety of diseases. A C/T switch at -13 of *SAA1.3* (-13C/T) is associated with increased transcriptional activity of this gene, correlating with susceptibility to type-AA amyloidosis in a Japanese rheumatoid arthritis population (Moriguchi et al., 2005). Individuals carrying this SNP also have an increased susceptibility to familial Mediterranean fever in the Japanese population (Migita et al., 2013). Studies of the SNP rs12218 has been conducted in Chinese Han subjects, and it was found that individuals with the CC genotype of this SNP have lower HDL-C level (Xie et al., 2010) as well as higher risk for peripheral arterial disease, with a decreased ankle-to-brachial index (Xie et al., 2011). The CC genotype of rs12218 was more frequent among patients suffering from coronary artery disease (Xie et al., 2015). This genotype was also more frequent in patients suffering from cerebral infarction (Zhang et al., 2013a). In comparison, the TT genotype of rs12218 is associated with an increased serum uric acid level (Xie et al., 2012) and with susceptibility to osteoporosis (Feng et al., 2013). There is an association of the SNP rs4638289 with increased risk for carotid artery intima-media thickness in obese individuals (Carty et al., 2009). In obese children, the frequency of this SNP and SNP rs12218 is increased (Zhang et al., 2013b). The mechanisms by which these SNPs affect the functions of SAA1 remain poorly understood. SNP rs4638289 has been reported to increase the expression of SAA1 (Zhang et al., 2013b). In comparison to these SNPs, non-synonymous SNPs such as rs79681911 (c.269G>A) lead to missense or nonsense mutations. In this case, rs79681911 causes an amino acid substitution (Gly72 to Asp, as seen in SAA1.2 at this position). The resulting SAA1 protein displayed reduced capability in the induction of IL-8, MCP-1 and TNF $\alpha$  in THP-1 cells (Leow et al., 2013).

### 6. SAA in other species

#### 6.1. Comparison of human, mouse and pig SAAs

Genes coding for SAA or SAA-like proteins have been identified in a variety of vertebrates ranging from zebrafish to humans. Of particular interest are the SAA proteins in mice, which have been widely used in basic research laboratories; and pigs, which have seen rapid increase in their use as models of human diseases. Like the human SAA genes, the mouse and pig SAA genes have the same genomic organization with 4 exons and 3 introns. In mice, the major acute-phase SAA proteins are encoded by *Saa1.1* and *Saa2.1*, located on chromosome 7 (Lowell et al., 1986). Mouse *Saa1.1* as a mature protein has 103 amino acids and shares 76% sequence identity with the human counterpart (Figure 3). Mice predominantly expressing *Saa1.1* and *2.1* are susceptible to AA amyloidosis, whereas mice expressing *Saa2.2* (the CE/J mice) are resistant to AA amyloidosis (Mori et al., 2014), mainly due to its proline-rich cluster of 13 amino acids in the C-terminus (Patke et al., 2012). The two mouse *Saa* proteins share many properties with human acute-phase SAA (see comparison of human SAA1.1 and the mouse counterpart in Figure 3), and are therefore used often in *in vivo* studies. Mice with deletion of both *Saa1.1* and *Saa2.1* have been generated (de Beer, 2010) and used in several recent studies with exciting new findings.

Unlike the human *SAA3* which is a pseudogene (*hSAA3P*), the mouse *Saa3* encodes a functional gene product expressed mainly in extrahepatic tissues and cells, including adipocytes and macrophages (Meek and Benditt, 1986; Benditt and Meek, 1989). The expression of *Saa3* is highly inducible in inflammatory tissues and in obese mice (Chiba et al., 2009). It is interesting to note that *SAA3* transcripts are detected in many species based on a GenBank search, with the few exceptions including humans (Kluve-Beckerman et al., 1991). Research on mouse *Saa3*, therefore, may help to understand the potential functions of tissue-derived SAA proteins in physiological and pathological conditions. The mouse *Saa3* has several distinct properties. It has an alkaline isoelectric point (10.03), as opposed to the much lower isoelectric points of the mouse *Saa1* (6.07) and human *SAA1* (5.89). Although the mouse *Saa3* is abundant in inflammatory tissues, it is not amyloidogenic and does not contribute to the rise of plasma SAA level during the acute-phase response (Chiba et al., 2009). In 3T3-L1 adipocytes, *Saa3* expression is induced with hypoxia (de Oliveira et al., 2013). In immune cells, *Saa3* binds MD-2 for activation of p38 MAPK and NF- $\kappa$ B through TLR4 (Deguchi et al., 2013). *Saa3* serves as an endogenous ligand for TLR4 that facilitates lung tumor metastasis (Hiratsuka et al., 2008). It also functions as a paracrine factor for bone homeostasis (Thaler et al., 2015). A recent study implies that *Saa3* signaling through TLR2 contributes to an enhanced suppressive capacity of myeloid-derived suppressor cells, thus exacerbating tumor growth (Lee et al., 2014).

Several porcine SAA genes have been identified to date, but the function of the gene products remains elusive. The major SAA protein in pig differs from *SAA1* in other mammals, but with some characteristics of *SAA3* (Soler et al., 2011; Soler et al., 2013). These include higher sequence homology to *SAA3* of other species rather than *SAA1* (Figure 3), a highly alkaline isoelectric point, and absence from the HDL-rich serum fraction (Soler et al., 2013). Porcine SAAs differ from their human and mouse counterparts with an insertion of 8 amino acids in the predicted 4<sup>th</sup> helix structure (Figure 3). Based on these properties, it is unlikely that the major porcine SAA participates in HDL metabolism. Taken together, studies of SAAs from other species may shed light on the mechanisms by which these evolutionarily conserved proteins function in vertebrate, thus helping to understand the roles human SAAs play systemically and in specific tissues.

## 6.2. Genetically altered mouse models for SAA research

Several approaches have been taken to create mouse models for SAA research. In early studies, adenovirus-mediated systemic expression of mouse and human *SAA1* was used for evaluation of the *in vivo* properties of acute-phase SAA in mice (Webb et al., 1997; Kindy et al., 1998; Hosoi et al., 1999). These studies took advantage of the available apoA-1 deficient mice, the ApoE deficient mice, and the CE/J strain. By expressing human or mouse SAAs of interest, these studies have led to the conclusions that SAA cannot replace apoA-1 in HDL particle formation (Webb et al., 1997), that the increases in plasma SAA level alone is insufficient to alter HDL cholesterol or apoA-1 levels (Kindy et al., 2000), and that SAA may alter vascular proteoglycans through stimulation of TGF- $\beta$  (Wilson et al., 2008).

Transgenic mice expressing human *SAA1* in adipocytes have been prepared (Olsson et al., 2011). Using these mice, the involvement of *SAA1* in the development of insulin resistance

or obesity-related inflammation was examined. However, there was no evidence that adipose tissue-derived hSAA1 could influence the development of insulin resistance and obesity-related inflammation (Ahlin et al., 2013). When the mice expressing transgenic human SAA1 was bred with ApoE-deficient mice and the atherosclerotic lesion areas were compared with that of ApoE-deficient mice, no significant difference was detected (Ahlin et al., 2014). These findings suggest that the contribution of adipose tissue-derived SAA1 to atherosclerotic lesion and insulin resistance in obese mice may be limited. Whether the same can apply to humans remains unclear at this time, and other mouse models such as the LDLR-deficient mice should be compared. A doxycycline-inducible *Saa1.1* transgene has been expressed in mice for the study of the relationship between inflammation and AA amyloidosis (Simons et al., 2013). The use of this transgenic line has allowed separation of amyloid A deposit from inflammation. In a more recent study, transgenic mice expressing human SAA1 was created to study the effect of SAA1 over-production in the liver (Ji et al., 2015). This work led to the finding that the transgenic SAA1 aggravates T cell-mediated hepatitis in part through TLR2-dependent induction of chemokines such as MCP-1, MIP-1 $\alpha$ , MIP-1 $\beta$ , and IP-10.

Mice lacking both *Saa1.1* and *Saa2.1* have been generated (de Beer et al., 2010). These mice were used to study the role for SAA in lipid metabolism. Deletion of these two major acute-phase SAA genes apparently did not alter plasma apoA-1 level and its clearance. The expected size increase of the acute-phase HDL in wild type mice was also seen in the *Saa1/2* double-knockout mice. In another study, it was observed that the acute-phase HDL isolated from the *Saa1/2* double-knockout mice was more effective in promoting ABCG1 efflux (de Beer et al., 2011). These findings challenge the previously accepted role for acute-phase SAA in HDL remodeling and cholesterol efflux. However, caution must be taken in the interpretation of these results due to the differences between human and mouse SAA proteins and the environment in which these SAA proteins function.

There have been close associations between increased plasma SAA levels and many cardiovascular diseases (Filep and El Kebir, 2008). Atherosclerosis is one of these diseases linked to the acute-phase SAA (Dong et al., 2011; King et al., 2011; Thompson et al., 2015). It is of interest to note that deletion of *Saa1.1* and *Saa2.1* does not affect atherosclerotic lipid deposition in ApoE-deficient mice (De Beer et al., 2014). The *Saa1/2* double-knockout mice, however, are protected against experimental abdominal aortic aneurysm, accompanied with reduced matrix metalloproteinase-2 (MMP-2) activity (Webb et al., 2015). Induction of MMPs by rhSAA is well documented and is a part of the cytokine-like activities of the chimeric rhSAA (Migita et al., 1998; O'Hara et al., 2004; Lee et al., 2005; Mullan et al., 2006). The finding from the *Saa1/2* knockout study confirms the presence of this biological activity of SAA *in vivo*.

The availability of the *Saa1/2* knockout mice has spurred research in other areas where the acute-phase SAA is suspected to play a role. In an experimental colitis model, the *Saa1/2* knockout mice were found to be more susceptible to dextran sodium sulfate-induced weight loss, colon shortening and hematocrit decrease (Eckhardt et al., 2010). This finding led the authors to speculate that the expression of *Saa1* and *Saa2* in intestinal epithelial cells might protect intestinal epithelium against bacterial invasion. This notion has been further

supported by two recent studies that identified the Saa1 and Saa2 expressed in gut epithelium to be mediators of a local Th17 response (Atarashi et al., 2015; Sano et al., 2015).

In addition to mice lacking *Saa1.1* and *Saa2.1*, mice deficient in *Saa3* has been generated. When fed with high fat and high sucrose diet, the commonly seen weight gain and adipose tissue inflammation were improved. Female *Saa3*<sup>-/-</sup> mice also saw improved plasma cholesterol, triglycerides and lipoproteins profiles than the wild type controls (den Hartigh et al., 2014). Interestingly, hepatic production of Saa1 and Saa2 was reduced in *Saa3*<sup>-/-</sup> mice. These findings provide *in vivo* evidence for a regulatory function of Saa3, which is expressed in extrahepatic tissues but may regulate hepatic acute-phase SAA secretion.

## 7. Conclusion

This review briefly summarizes studies conducted on SAA1, a major acute-phase SAA with substantial gene polymorphism. These studies have shown pleiotropic functions of SAA1 ranging from HDL remodeling and lipid metabolism to regulation of inflammation and host defense. Despite extensive research in the past 40 years, there are still unanswered questions concerning the true physiological functions of SAA1. These questions include whether the physical state of SAA1 being studied (*e.g.*, HDL-bound *vs.* lipid-poor) is actually relevant to the physiological and pathological conditions *in vivo*, and whether the source material used (*e.g.*, recombinant human SAA as a chimera of SAA1 and SAA2) may bias result interpretation. These concerns have been raised in some of the cited papers and discussed extensively in two recent reviews on SAA (Kisilevsky and Manley, 2012; Ye and Sun, 2015). It is with great hope that recent advancements in SAA1 research have provided the much needed tools for eventual resolution of the disputes. The crystal structure of SAA1.1 has shown for the first time the  $\alpha$ -helix bundle of this acute-phase protein, and provides insights into the mechanism by which heparin facilitates the conversion of SAA1 to AA (Lu et al., 2014). Genetically altered mice carrying the SAA1 transgenes (Olsson et al., 2011; Ji et al., 2015) or deletion of the *Saa1* and *Saa2* loci (de Beer et al., 2010) have allowed examination of the SAA protein of interest for its functions in whole animals. The use of these new approaches is expected to greatly facilitate research on SAA1 and other SAA proteins.

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## Abbreviations

<b>AA</b>	amyloid A
<b>HDL</b>	high-density lipoprotein

<b>OMA</b>	outer membrane protein A
<b>PBMC</b>	peripheral blood mononuclear cells
<b>rhSAA</b>	recombinant human serum amyloid A
<b>SAA</b>	serum amyloid A
<b>MAPK</b>	mitogen-activated protein kinases
<b>SNP</b>	single-nucleotide polymorphism
<b>STAT3</b>	Signal transducer and activator of transcription 3
<b>ABCA1</b>	ATP-binding cassette transporter A1
<b>ABCG1</b>	ATP-binding cassette transporter G1

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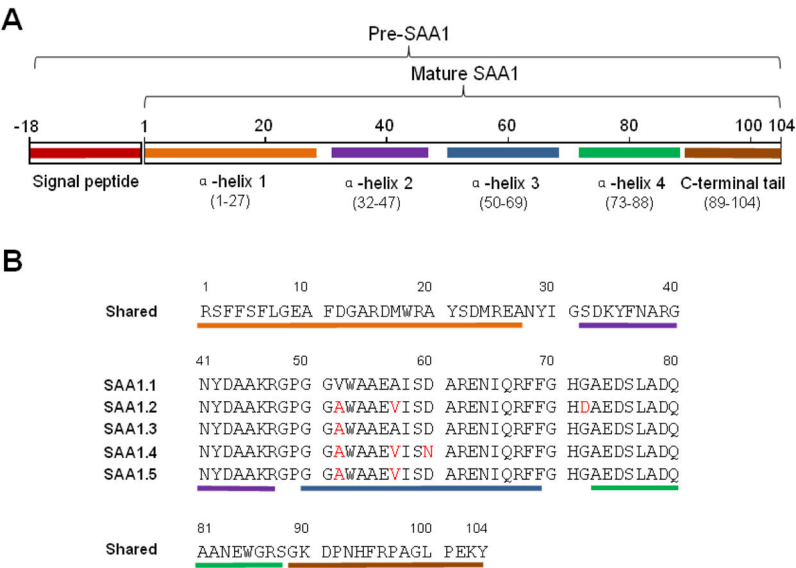
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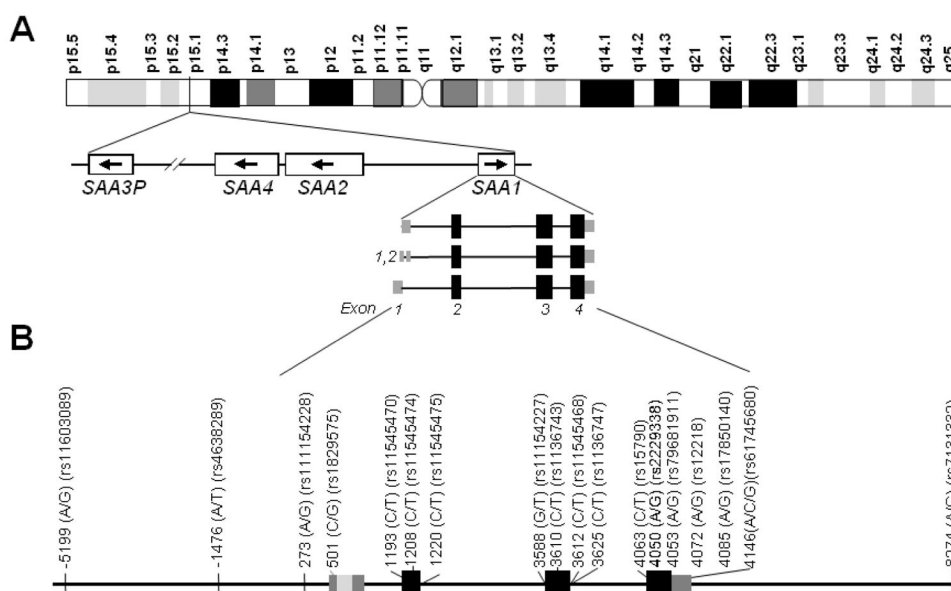


**Highlights**

- SAA1 is a major acute-phase serum amyloid A in humans
- Polymorphism of the SAA1 gene is associated with disease disposition
- The use of a chimeric recombinant SAA in some studies has raised concerns
- SAA1 transgenic and knockout mice have been generated for in vivo studies
- Recent studies have shown regulatory and homeostatic functions of SAA1



**Figure 1. Schematic depiction of SAA1 and its protein sequence variations**  
*A*, linear representation of human SAA1 showing its N-terminal signal peptide of 18 amino acids and the mature SAA1 protein. The 4  $\alpha$ -helices and the C-terminal tail reported recently based on a SAA1.1 crystal structure (Lu et al., 2014) are marked with different colors and their relative positions are indicated. *B*, comparison of the amino acid sequence encoded by the human SAA1 allelic variants. Mature protein sequences of the 5 human SAA1 variants are shown (based on Sipe et al., 1999). Different amino acids in each of the variants are highlighted in red. Amino acids corresponding to the  $\alpha$ -helices and the C-terminal tail are underlined.



**Figure 2. Organization of the human SAA gene cluster and single nucleotide polymorphisms in the human SAA1 gene**

*A*, linear map of human *SAA1* relative to other human SAA genes including the pseudogene *SAA3P*, on chromosome 11p15.1 (Betts et al., 1991; Sellar et al., 1994). Arrows depict the orientation of the genes. The organization of exons in *SAA1* is based on GenBank entries (from top) NM\_199161, NM\_001178006, and NM\_000331, respectively. The human *SAA1* gene has the typical 4-exon and 3-intron organization seen in other species. The coding regions in the exons are marked in black and non-coding regions are marked in gray. *B*, the confirmed human *SAA1* SNPs (Leow et al., 2013) are marked in the *SAA1* gene. The numbers in each name indicate nucleotide positions of the SNPs, and substitutions in nucleotides are shown in the first set of parentheses. The second set of parentheses contain the names of the SNPs.

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hSAA1.1  RSFFSFLGEA FDGARDMWRA YSDMREANYI GSDKYFHARG NYDAAKRGPG GVWAAEAI SD
mSAA1.1  -GFFSFIGEA FQGAGDMWRA YTMKEAGWK DGDKYFHARG NYDAAQRGPG GVWAAEKI SD
mSAA2.1  -GFFSFIGEA FQGAGDMWRA YTMKEANWK NSDKYFHARG NYDAAQRGPG GVWAAEKI SD
mSAA2.2  -GFFSFIGEA FLGAGDMWRA YTMKEAGWK DGDKYFHARG NYDAAQRGPG GVWAAEKI SD
mSAA3     -RWVQFMKEA GQGSRDMWRA YSDMKKANWK NSDKYFHARG NYDAAARRGPG GAWAAKVI SD
pSAA2     QRWASFLKEA GQGAKDMWRA YSDMREANYK NSDKYFHARG NYDAAQRGPG GAWAAKVI SD
          *  *  *      *  *  *      *  *  *      *  *  *      *  *  *      *  *  *
          *  *  *      *  *  *      *  *  *      *  *  *      *  *  *      *  *  *

hSAA1.1  ARENIQRFF- -----GHG AEDSLADQAA NEWGRSGKDP NHFRPAGLPE KY
mSAA1.1  ARESFQEFF- -----GRG HEDTMADQEA NRHGRSGKDP NYRPPGLPA KY
mSAA2.1  GREAFQEFF- -----GRG HEDTIADQEA NRHGRSGKDP NYRPPGLPD KY
mSAA2.2  GREAFQEFF- -----GRG HEDTMADQEA NRHGRSGKDP NYRPPGLPD KY
mSAA3     AREAVQKFT- -----GHG AEDSRADQFA NEWGRSGKDP NHFRPAGLPE KY
pSAA2     ARENVQRVTD LFKHGDSGHG VEDSRADQAA NAWGRSGKDP NHFRPAGLPE KY
          *  *  *      *  *  *      *  *  *      *  *  *      *  *  *      *  *  *

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**Figure 3. Comparison of the amino acid sequence of human SAA1.1 with SAA isoforms from mice and pigs**

Mature protein sequences of the known SAA isoforms are shown, with identical amino acids marked with asterisks (\*) and unmatched gaps marked with dashes (–). The sequences shown are derived from NCBI (<http://www.ncbi.nlm.nih.gov>) and include human SAA1.1 (NP\_000322.2), mouse Saa1.1 (NP\_035444.1), mouse Saa2.1 (NP\_033143.1), mouse Saa2.2 (AAA19818.1), and porcine SAA2 (NP\_001038017.1). Porcine SAA-like (not shown) is available at XP\_003122986.2 of NCBI. These SAA proteins are listed according to the nomenclature of J. Sipe (1999). Note that the pre-1999 nomenclature is still in use by NCBI, such that the mouse Saa1.1 shown in this figure is marked as Saa2, and the mouse Saa2.1 shown is labeled as Saa1, in the NCBI protein database.