

Decreased plasma cholesterol and hypersensitivity to statins in mice lacking *Pcsk9*

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Communicated by Joseph L. Goldstein, University of Texas Southwestern Medical Center, Dallas, TX, March 2, 2005 (received for review February 8, 2005)

PCSK9 encodes proprotein convertase subtilisin/kexin type 9a (PCSK9), a member of the proteinase K subfamily of subtilases. Missense mutations in *PCSK9* cause an autosomal dominant form of hypercholesterolemia in humans, likely due to a gain-of-function mechanism because overexpression of either WT or mutant PCSK9 reduces hepatic LDL receptor protein (LDLR) in mice. Here, we show that livers of knockout mice lacking PCSK9 manifest increased LDLR protein but not mRNA. Increased LDLR protein led to increased clearance of circulating lipoproteins and decreased plasma cholesterol levels (46 mg/dl in *Pcsk9*^{-/-} mice versus 96 mg/dl in WT mice). Statins, a class of drugs that inhibit cholesterol synthesis, increase expression of sterol regulatory element-binding protein-2 (SREBP-2), a transcription factor that activates both the *Ldlr* and *Pcsk9* genes. Statin administration to *Pcsk9*^{-/-} mice produced an exaggerated increase in LDLRs in liver and enhanced LDL clearance from plasma. These data demonstrate that PCSK9 regulates the amount of LDLR protein in liver and suggest that inhibitors of PCSK9 may act synergistically with statins to enhance LDLRs and reduce plasma cholesterol.

low-density lipoprotein receptor | lipoproteins | proteinase | sterol regulatory element-binding protein

The activity of the low-density lipoprotein receptor (LDLR) in liver is the major determinant of plasma LDL cholesterol concentrations (1, 2). Transcription of the LDLR is regulated by sterol regulatory element-binding protein-2 (SREBP-2), one of three SREBP family members that regulate the expression of many enzymes involved in cholesterol and fatty acid synthesis (3, 4). When hepatocellular sterols are low, SREBP-2 is activated, which restores cholesterol to normal levels by simultaneously activating enzymes required for *de novo* cholesterol synthesis and by increasing cholesterol uptake from the plasma through enhanced expression of the LDLR (3).

Recent studies suggest that hepatic LDLRs also may be post-transcriptionally regulated by proprotein convertase subtilisin/kexin type 9a (PCSK9) (5–7). PCSK9 belongs to the proteinase K subfamily of subtilases, which are proteinases synthesized as soluble zymogens that subsequently undergo autocatalytic cleavage to active enzymes (8). *Pcsk9* was identified as an SREBP-regulated gene in liver by using oligonucleotide arrays hybridized with RNA from livers of mice that either overexpressed or lacked SREBPs (4, 9). *Pcsk9* was regulated in a manner similar to other SREBP-responsive genes involved in lipid homeostasis, suggesting that PCSK9 might also participate in lipid metabolism.

This suggestion was confirmed by the finding that missense mutations in *PCSK9* are associated with an autosomal dominant form of hypercholesterolemia (10–12). The clinical phenotype of these subjects is indistinguishable from two other autosomal dominant forms of hypercholesterolemia, both of which are caused by defective receptor-mediated clearance of LDL: (i) familial hypercholesterolemia, which is caused by mutations in the LDLR; and (ii) familial defective apolipoprotein B (apoB), caused by mutations in the ligand for the LDLR (13). This similarity raised the possibility that PCSK9 somehow lowers the amount or activity of LDLRs in liver.

This hypothesis was supported by the finding that overexpression of mutant forms of PCSK9 in mice significantly reduced LDLR protein in liver and raised plasma LDL (5–7). Overexpression of WT PCSK9 reduced hepatic LDLRs to a similar extent as expression of PCSK9 mutant forms (7). These studies suggested that PCSK9 might function normally to reduce LDLR expression levels in liver. If this hypothesis is correct, then the elimination of PCSK9 through targeted disruption of its gene should lead to an increase in LDLRs and a decrease in plasma LDL. To test this hypothesis, we deleted *Pcsk9* in mice and characterized the effects on cholesterol metabolism.

Materials and Methods

DNA manipulations were performed by using standard molecular biology techniques (14). Cholesterol and triglyceride concentrations in plasma and liver were measured as described (15). Plasma lipoprotein fractions were separated by FPLC gel filtration by using a Superose 6 column. Measurements of cholesterol concentrations eluted from the FPLC fractions and Coomassie staining of plasma lipoproteins were performed as described (16). Protein concentrations were determined by using the BCA Protein Assay Reagent (Pierce). Other reagents were obtained from Sigma–Aldrich.

Construction of Targeting Vector for Disruption of *Pcsk9*. Mouse *Pcsk9* was disrupted by using a gene-replacement vector that deleted the 3' half of exon 2 through intron 4. Details of the gene-targeting vector construction are available upon request.

ES Cell Culture for Disruption of *Pcsk9*. Passage 11 SM-1 ES cells were electroporated with the *Pcsk9* targeting vector as described (17). Recombinated clones were identified by PCR using primers P1 (5'-GCT TCT GAG GCG GAA AGA ACC AGC-3') from the 5' coding region of the *neo* gene and P2 (5'-TCA TCA TCC AAT GGG TGG GCC TGA AG-3') from the promoter of *Pcsk9* located outside of the targeting vector. The targeted allele produced a 1.1-kb PCR product. Targeted clones were confirmed by Southern blot analysis using a 0.35-kb DNA probe from the *Pcsk9* promoter region (Fig. 8, which is published as supporting information on the PNAS web site).

Generation of *Pcsk9* Knockout Mice. Two targeted ES clones with a disrupted *Pcsk9* allele were injected separately into C57BL/6J blastocysts, yielding chimeric males whose coat color (*agouti*) indicated a contribution of ES cells from 75% to 100%. Three chimeric males derived from each clone subsequently produced offspring that harbored the disrupted *Pcsk9* allele. Mice carrying

Abbreviations: ARH, autosomal recessive hypercholesterolemia; Apo, apolipoprotein; LDLR, low-density lipoprotein receptor; LRP, LDLR-related protein; PCSK9, proprotein convertase subtilisin/kexin type 9a; SREBP, sterol regulatory element-binding protein; RAP, receptor-associated protein; VLDL, very low-density lipoprotein; HDL, high-density lipoprotein.

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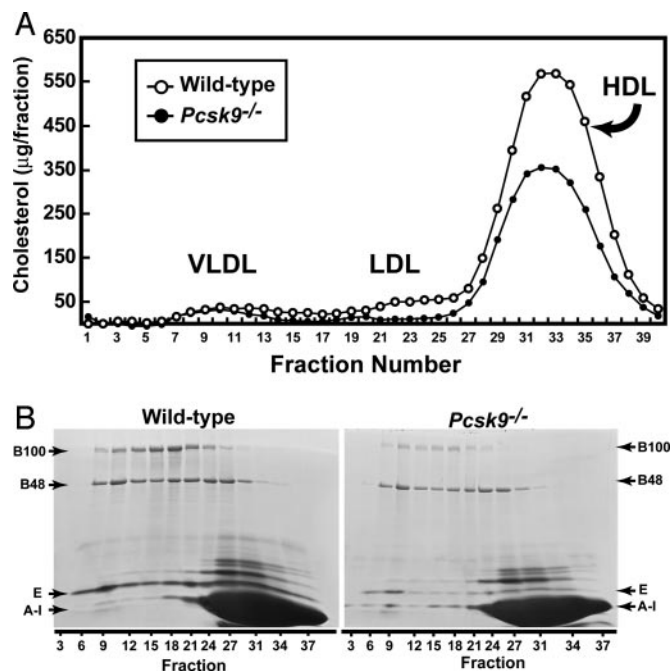


Fig. 1. FPLC profiles and SDS/PAGE of plasma apolipoproteins from WT and *Pcsk9*^{-/-} mice. (A) Plasma from 16 WT and 16 *Pcsk9*^{-/-} male mice was pooled (6.5 ml for each genotype) and subjected to ultracentrifugation at $d = 1.215$ g/ml. The lipoprotein fractions were separated by FPLC gel filtration, and the cholesterol content of each fraction was measured (16). (B) SDS/PAGE of plasma apolipoproteins from WT and *Pcsk9*^{-/-} mice. Equal aliquots (0.5 ml) from three consecutive FPLC fractions were pooled and delipidated, and apoproteins were precipitated (16). Apoproteins were subjected to 3–15% gradient SDS/PAGE and stained with Coomassie blue. The positions of migration of apoB100, apoB48, apoE, and apoA-I are indicated.

The reduction in apoE- and apoB-containing lipoproteins suggested that less VLDL was secreted from liver or else these particles were cleared faster from the circulation in the *Pcsk9*^{-/-} mice. The major route of clearance of apoE- and apoB-containing lipoproteins is by means of LDLR-mediated endocytosis in the liver (2). Fig. 2 shows the levels of LDLR and other proteins in pooled livers from four *Pcsk9*^{-/-} and four WT littermate controls as determined by immunoblotting. As expected, no PCSK9 protein was detected in the livers of *Pcsk9*^{-/-} mice when a polyclonal antibody directed against the mouse protein was used for immunoblotting (Fig. 2A). The level of hepatic LDLR protein was ≈ 2.8 -fold higher in the *Pcsk9*^{-/-} mice than in the WT mice as determined by densitometric scanning of the autoradiogram. No changes were found in the amount of LRP, a member of the LDLR family (21), and ARH, an adaptor protein involved in hepatic LDLR internalization (20), or in SREBP-1 or SREBP-2, the transcriptional regulators of the *Ldlr* and lipid biosynthetic enzymes (Fig. 2B). Similarly, no changes in protein levels were measured for the VLDL receptor, another member of the LDLR family, or scavenger receptor class B type I (SR-BI), a receptor involved in HDL clearance (data not shown).

To determine whether the increase in LDLR was secondary to an increase in the level of mRNA encoding the LDLR, we measured the level by quantitative RT-PCR. We found no changes in the mRNAs encoding the LDLR or several other proteins involved in cholesterol and fatty acid biosynthesis (see Table 3, which is published as supporting information on the PNAS web site).

Indirect immunofluorescence confocal microscopy was used to confirm the increase in LDLRs on the surface of the hepatocytes from *Pcsk9*^{-/-} mice (Fig. 3). The specificity of the polyclonal anti-LDLR antibody was confirmed by the absence of staining in a

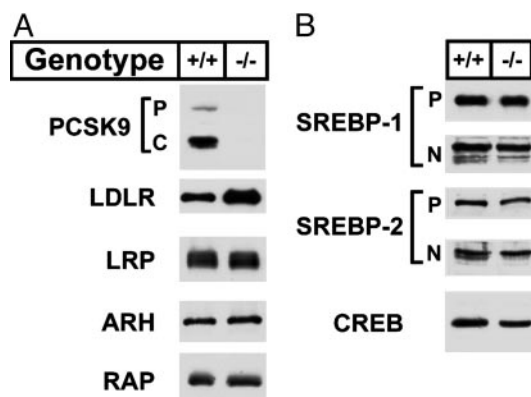


Fig. 2. Levels of proteins in livers of WT and *Pcsk9*^{-/-} mice. Livers from mice described in Table 1 were pooled, and aliquots of membrane protein (40 μ g), whole cell lysate (30 μ g), or nuclear protein (30 μ g) were subjected to SDS/PAGE (7). (A) Immunoblot analyses of PCSK9, ARH (whole cell lysate), LDLR, LRP, and RAP (membrane fraction). P and C for PCSK9 denote the proprotein and cleaved forms of PCSK9, respectively. (B) Immunoblot analysis of SREBP-1, SREBP-2 (membrane and nuclear fractions), and cAMP response element binding protein (CREB) (nuclear fraction). P and N denote the precursor and cleaved nuclear forms of SREBP-1 and SREBP-2. Similar results were obtained in four independent experiments.

liver of an *Ldlr*^{-/-} mouse (Fig. 3A) (22). A marked increase in staining for the LDLR was observed in hepatocytes from *Pcsk9*^{-/-} mice compared with those from WT mice (compare Fig. 3B and C).

To measure the rate of LDL clearance from plasma, we isolated LDL from the blood of *Ldlr*^{-/-} mice and labeled its apoB with ¹²⁵I. Radiolabeled LDL was injected into two different groups of WT and *Pcsk9*^{-/-} mice (six mice in each group). The first group was used to measure the rate of disappearance of ¹²⁵I-labeled LDL during the first 30 min after injection (Fig. 4A), and the second group was used to measure the rate of LDL clearance at longer time points (Fig. 4B). The time required for clearance of $\approx 50\%$ of the ¹²⁵I-LDL was 12 min in *Pcsk9*^{-/-} mice and >60 min in WT mice. We attribute this 5-fold increase in ¹²⁵I-LDL clearance to the ≈ 3 -fold increase in hepatic LDLRs in *Pcsk9*^{-/-} mice.

We next determined whether the absence of *Pcsk9* altered the rate of apoB secretion from primary hepatocytes derived from WT and *Pcsk9*^{-/-} mice. For this purpose, freshly isolated hepatocytes were incubated with [³⁵S]methionine/cysteine. After a 30-min labeling period, the medium was switched to medium containing cold methionine/cysteine. ApoB100 and apoB48 were immunoprecipitated from cells and medium after 1 or 2 h, and the amount of labeled apoB was quantified by SDS/PAGE autoradiography. The deletion of *Pcsk9* was associated with a slight reduction in the secretion of apoB48 (Fig. 5). ApoB100 secretion was not significantly different in hepatocytes from WT or *Pcsk9*^{-/-} mice. Whether this reduction is due to a presecretory mechanism that degrades apoB or to recapture of secreted apoB owing to higher levels of LDLR protein expression in hepatocytes from *Pcsk9*^{-/-} mice could not be determined in these experiments.

The SREBP-mediated up-regulation of PCSK9 creates a potential problem for therapy with 3-hydroxy-3-methylglutaryl CoA (HMG-CoA) reductase inhibitors like lovastatin and other statins. These inhibitors block cholesterol synthesis and thereby cause an increase in cleaved SREBP-2. Nuclear SREBP-2 enhances the transcription of the *LDLR* gene, leading to increased LDLRs and a fall in plasma LDL. The elevated nuclear SREBP-2 also increases the mRNA for PCSK9, and this result would lead to a reduction in LDLR protein. If this scenario is correct, lovastatin should increase LDLRs to a greater extent in *Pcsk9*^{-/-} mice than in WT mice.

To test this hypothesis, WT and knockout mice were fed normal chow or chow supplemented with 0.2% lovastatin. Table 2 contains

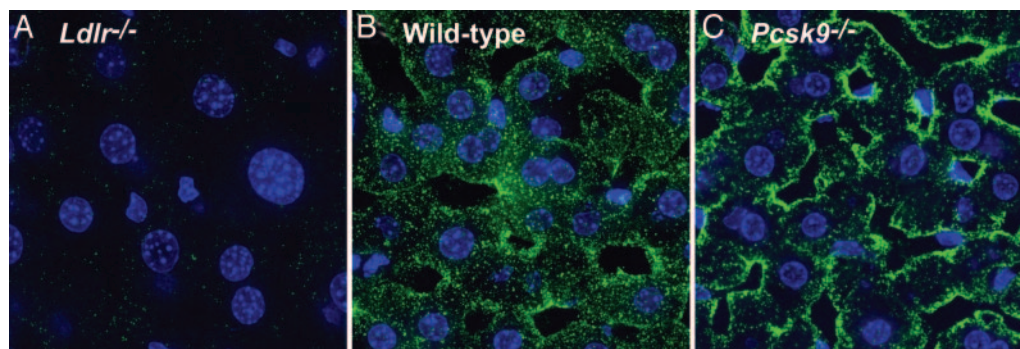


Fig. 3. Indirect immunofluorescence in liver using antibodies against LDLR. Frozen sections of liver from *Ldlr*^{-/-} (A), WT (B), and *Pcsk9*^{-/-} (C) mice were incubated with a polyclonal antibody against the LDLR. Bound IgG was detected with 20 μ g/ml Alexa Fluor 488-labeled goat anti-rabbit IgG (20).

pooled data from three independent experiments. Addition of 0.2% lovastatin to chow did not significantly reduce the mean plasma cholesterol in WT mice (71 mg/dl versus 81 mg/dl). As observed previously, the *Pcsk9*^{-/-} mice maintained on chow had a plasma cholesterol level that was significantly lower than WT mice (51 mg/dl versus 81 mg/dl). Addition of lovastatin to the diet of knockout mice resulted in the plasma cholesterol level falling to 41 mg/dl; this value was significantly less than those measured in *Pcsk9*^{-/-} mice fed chow. Plasma triglycerides were significantly reduced in mice from both genotypes fed lovastatin.

Analysis of hepatic gene expression revealed that feeding the lovastatin-containing diet to WT and *Pcsk9*^{-/-} mice resulted in increases in SREBP-2 mRNA levels and a corresponding increase in all measured SREBP target gene mRNA levels, including those encoding 3-hydroxy-3-methylglutaryl CoA (HMG-CoA) reductase, PCSK9, and LDLR (Table 4, which is published as supporting information on the PNAS web site). The fold-increase of cholesterol biosynthetic gene mRNAs in livers of lovastatin-treated *Pcsk9*^{-/-} mice was similar to that measured in WT mice fed the same diet.

Lovastatin administration to WT mice led to an increase in PCSK9 protein in liver (Fig. 6A). Lovastatin also resulted in the predicted increase in nuclear SREBP-2 protein levels in WT and *Pcsk9*^{-/-} mice. To more accurately quantify the changes in levels of LDLR and RAP, a ¹²⁵I-labeled secondary antibody was used to generate the data shown in Fig. 6B. LDLR protein levels in livers of WT mice fed lovastatin were consistently lower than chow-fed mice despite the measured increase in LDLR mRNA expression. The expression of the LDLR was 2.8-fold higher in livers of

chow-fed *Pcsk9*^{-/-} mice compared with the WT mice and was further increased after administration of lovastatin to a level 4.6-fold higher than chow-fed WT mice. Fig. 6C shows the relative amount of LDLR protein in livers of WT and *Pcsk9*^{-/-} mice administered lovastatin from three independent experiments. In all experiments, lovastatin administration resulted in a significantly greater increase in LDLR expression in the absence of PCSK9.

To determine whether lovastatin increased LDL clearance, radiolabeled LDL was injected into WT and *Pcsk9*^{-/-} mice fed chow or lovastatin (eight mice per group) (Fig. 7). Lovastatin administration to WT mice did not significantly alter LDL clearance from the plasma after only 30 min. *Pcsk9*^{-/-} mice again displayed a marked increase in the rate of LDL clearance from plasma compared with that of WT mice. Lovastatin administration to *Pcsk9*^{-/-} mice resulted in an additional \approx 2-fold increase in the rate of labeled LDL clearance from the plasma compared with chow-fed *Pcsk9*^{-/-} mice. This change was similar in magnitude to the change measured in LDLR protein levels in chow versus lovastatin-fed *Pcsk9*^{-/-} mice (Fig. 6B).

Discussion

The current study demonstrates that the inactivation of *Pcsk9* reduces plasma cholesterol levels primarily by increasing LDLR protein expression in liver and accelerating the clearance of circulating cholesterol. These results, together with the previous observation that overexpression of WT PCSK9 in mice leads to elevated plasma LDL cholesterol levels by reducing the LDLR protein in liver (7), indicate that one function of PCSK9 is to negatively regulate LDLR protein levels. No changes were detected in

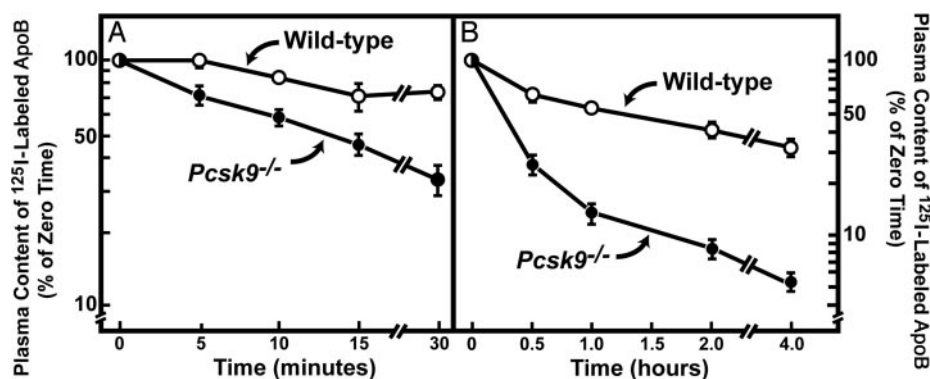


Fig. 4. Plasma clearance of ¹²⁵I-labeled mouse LDL in WT and *Pcsk9*^{-/-} mice. (A) Six male mice (10–12 weeks of age) of the indicated genotype were injected i.v. with ¹²⁵I-labeled LDL (30 μ g of protein, 294 cpm/ng apoB protein). Blood was obtained at 30 s (time 0) and at 5, 10, 15, and 30 min for quantification of plasma content of ¹²⁵I-labeled total apoB (17). (B) Six male mice (10–12 weeks of age) of the indicated genotype were injected i.v. with the same ¹²⁵I-labeled LDL used in A. Blood was obtained at 30 s (time 0) and at 0.5, 1, 2, and 4 h for quantification of plasma content of ¹²⁵I-labeled total apoB. Data are plotted as the percentage of zero time value. Each value represents mean \pm SEM of six mice.

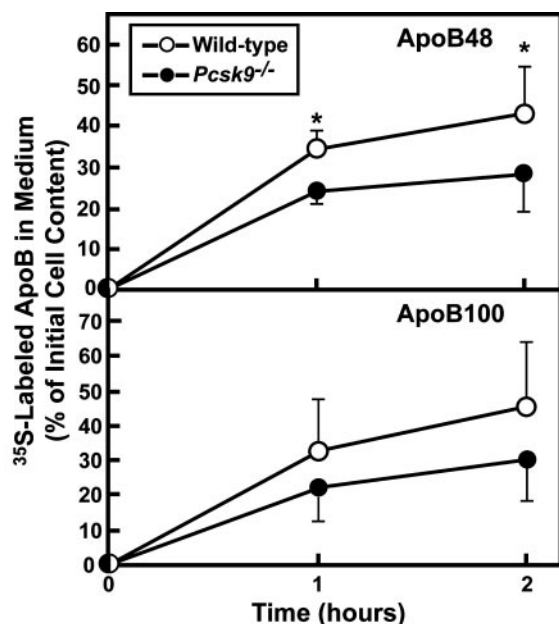


Fig. 5. Rates of apoB secretion by primary hepatocytes from WT mice and *Pcsk9*^{-/-} mice. Hepatocytes were prepared from mice of the indicated genotype, and apoB48 and apoB100 were immunoprecipitated and separated by SDS/PAGE gel electrophoresis as described under *Materials and Methods*. The data are expressed as the apoB content in the medium as a percentage of the ³⁵S-labeled apoB in the cells at zero time. Each value is mean \pm SEM of duplicate incubations from eight WT and eight *Pcsk9*^{-/-} mice. *, Statistical difference of $P < 0.05$ (Student's *t* test).

SREBP-2 protein or in the mRNAs of genes encoding cholesterol synthesis enzymes in livers of *Pcsk9*^{-/-} mice, which suggests that the reduction in plasma cholesterol levels was not due to decreased cholesterol synthesis. A small reduction in apoB48 secretion was detected in primary hepatocytes derived from *Pcsk9*^{-/-} mice; however, the marked increase in plasma LDL clearance measured in the *Pcsk9*^{-/-} mice suggests that the primary mechanism responsible for lower plasma cholesterol levels is increased LDLR expression.

3-Hydroxy-3-methylglutaryl CoA (HMG-CoA) reductase inhibitors function by reducing cellular cholesterol levels, which activates SREBP-2, leading to the transcriptional activation of the LDLR. Inasmuch as SREBP-2 also activates *Pcsk9*, the increase in PCSK9 expression may normally attenuate the increase in LDLR expression in animals administered statins. The data of Fig. 6 are consistent with this interpretation. The administration of lovastatin to WT mice increased nuclear SREBP-2 protein and the mRNAs of both the LDLR and PCSK9 (Table 4). Despite the transcriptional activation of the

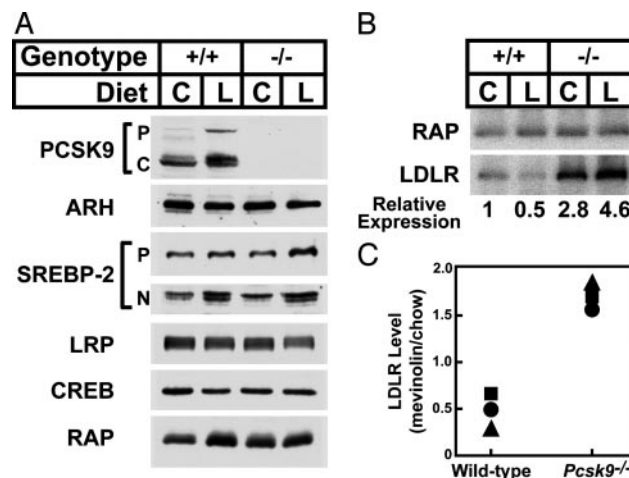


Fig. 6. Levels of proteins in livers of WT and *Pcsk9*^{-/-} mice fed chow (C) or chow supplemented with 0.2% lovastatin (L). Livers from four male mice in the groups of Table 2 were pooled, and aliquots of membrane protein (40 μ g), whole cell lysate (30 μ g), or nuclear protein (30 μ g) were subjected to SDS/PAGE. (A) Immunoblot analysis of PCSK9, ARH (whole cell lysate), SREBP-2 (membrane and nuclear fractions), cAMP response element binding protein (CREB) (nuclear fraction), LRP, and RAP (membrane fraction). P and C for PCSK9 denote the proprotein and cleaved forms of PCSK9. For SREBP-2, P and N denote the precursor and cleaved nuclear forms. (B) Immunoblot analyses of LDLR and RAP. A ¹²⁵I-labeled secondary anti-rabbit antibody from donkey was used for the LDLR and RAP to quantify the expression of the LDLR by using a PhosphorImager. The relative expression of the LDLR protein is normalized to the amount of LDLR expressed in livers of WT mice fed chow. (C) Relative amount of hepatic LDLR protein in WT and *Pcsk9*^{-/-} mice fed 0.2% lovastatin versus chow. Each symbol represents an independent experiment with four mice per group.

Ldlr, total hepatic LDLR protein was slightly lower than WT mice fed chow, and the plasma cholesterol levels were not statistically reduced (Table 2 and Fig. 6). One reason for the apparent paradoxical response seems to be the simultaneous induction of PCSK9, which posttranscriptionally reduces LDLR protein levels. This interpretation is supported by the findings that *Pcsk9*^{-/-} mice administered lovastatin had higher LDLR protein levels, increased LDL clearance from plasma, and lower plasma cholesterol levels than *Pcsk9*^{-/-} mice fed chow. These results suggest that inhibitors of PCSK9 may have beneficial effects on plasma cholesterol levels, especially when combined with statins.

Our findings in mice are consistent with those of Ness *et al.* (23), who previously showed that statins administered to rats increased the LDLR mRNA levels but not LDLR protein levels in liver. The lack of an increase in LDLR protein was attributed to increased LDLR degradation in these studies. It is likely that

Table 2. Phenotypic comparison of wild-type and *Pcsk9*^{-/-} mice fed 0.2% lovastatin

	WT		<i>Pcsk9</i> ^{-/-}	
Parameter	Chow	0.2% lov.	Chow	0.2% lov.
No. of mice	12	12	12	12
Body weight, g	24.4 ± 1.0	26.4 ± 1.2	25.8 ± 1.0	24.7 ± 0.7
Plasma cholesterol, mg/dl	80.9 ± 5.1	71.1 ± 3.0	51.4 ± 2.3*	40.8 ± 1.8*,**
Plasma TG, mg/dl	94.4 ± 8.7	64.5 ± 4.6*	100 ± 5.9	66.2 ± 4.2*,**

Mean values of pooled data from three independent experiments. The eight male and four female mice (12–14 weeks of age) in each group were fed a standard rodent chow or the standard chow supplemented with 0.2% (wt/wt) lovastatin (lov.) for 7 days. The mice were killed nonfasted. Each value represents the mean \pm SEM. *, A statistical difference of $P < 0.01$ (Student's t test) between the indicated group and WT mice fed chow. **, A statistical difference of $P < 0.01$ (Student's t test) between *Pcsk9*^{-/-} mice fed 0.2% lovastatin and chow.

