



Published in final edited form as:

*Expert Rev Anti Infect Ther.* 2016 August ; 14(8): 719–729. doi:10.1080/14787210.2016.1206469.

## The respiratory microbiome of HIV-infected individuals

**MB Lawani and A Morris**

University of Pittsburgh School of Medicine, Division of Pulmonary, Allergy, and Critical Care Medicine, Department of Medicine, University of Pittsburgh, Pittsburgh, Pennsylvania

### Abstract

**Introduction**—The respiratory tract is constantly exposed to various environmental and endogenous microbes; however, unlike other similar mucosal surfaces, there has been limited investigation of the microbiome of the respiratory tract.

**Areas Covered**—In this review, we summarize the current state of knowledge of the bacterial, fungal, and viral respiratory microbiomes during HIV infection and how the microbiome might relate to HIV-associated lung disease.

**Expert Commentary**—HIV infection is associated with alterations in the respiratory microbiome. The clinical implications of lung microbial dysbiosis are however currently unknown. Mechanistic studies are needed to establish causality between shifts in the respiratory microbiome and pulmonary complications in HIV-infected individuals.

### Keywords

HIV infection; lung microbiome; mycobiome; virome; pulmonary disease; bronchoalveolar lavage

## 1. Introduction

The human microbiome is an aggregate of microorganisms—bacteria, fungi, viruses and archaea that co-evolved with human cells. Microbial genes outnumber those of the host, and microbes have important roles in immune modulation, metabolism and vitamin synthesis [1]. On the bases of culture-dependent techniques [2–4], the lung was originally considered sterile and excluded from initial efforts to characterize the human microbiome [5]. With the application of culture-independent techniques, emerging data [6–8] challenged the paradigm of lung sterility in human and murine models. Thus, the lung microbiome has recently become an area of active research.

The clinical profile of HIV infection has changed dramatically since the advent of highly active antiretroviral therapy (HAART). While HAART administration does not constitute an HIV cure, the resultant viral control and health gains have improved the longevity of HIV-

---

Corresponding Author: Dr. Alison Morris, MD, MS, [morrisa@upmc.edu](mailto:morrisa@upmc.edu).

### Declaration of interest

The authors have no relevant affiliations or financial involvement with any organization or entity with a financial interest in or financial conflict with the subject matter or materials discussed in the manuscript. This includes employment, consultancies, honoraria, stock ownership or options, expert testimony, grants or patents received or pending, or royalties. The authors would like to thank Dr Meghan Fitzpatrick for her role in the review of the drafts.

infected individuals. Infectious pulmonary diseases have historically been a major co-morbidity in HIV infection, with *Pneumocystis pneumonia* (PCP) and *Mycobacterium tuberculosis* (TB) being the most common HIV-associated pulmonary complications [9]. In the post-HAART era, non-infectious pulmonary conditions including chronic obstructive pulmonary disease (COPD) have increased in the HIV-infected population [10–12]. It has been proposed that lung microbial dysbiosis contributes to inflammation in HIV-associated chronic lung diseases [13], and comprehensive studies are ongoing to establish causality.

Much of what is currently known about the lung microbiome in HIV has been reported by members of the Lung HIV Microbiome Project (LHMP), a multi-center research consortium that investigated the microbiome of the upper and lower respiratory tract in various populations of HIV-infected individuals [6, 13, 22–26]. In this review, we summarize the immune changes in HIV infection that may alter the lung microbiome, discuss existing data from the LHMP and other groups on the microbiome of the various components of the respiratory tract, and speculate on how these changes may influence disease.

## 2. Lung Immunity in HIV-infected Individuals

HIV infection alters host immune responses which may lead to alterations in the microbiome. HIV modifies local pulmonary immune regulation and may also impact other organ systems, particularly the gut which may indirectly shift the lung immune response. Although immune responses are improved with HAART, altered immunity and immune activation persist even in treated individuals.

### 2.1 Alterations in Lung Immunity with HIV

HIV infection impacts both innate and adaptive pulmonary immune responses in ways that may lead to alterations in the lung microbiome. HIV preferentially infects CD4 T cells, but due to the high frequency of HIV-specific CD4 T cells and robust alveolar Th17 responses in the lung mucosa, HIV-mediated CD4 T cell depletion is initially delayed [27]. Cytopathic effects of HIV infection eventually cause CD4 T cell depletion, which drives infiltration of interferon-gamma (IFN- $\gamma$ )-producing CD8 T cells into the alveolar space resulting in lymphocytic alveolitis [28]. Persistent IFN- $\gamma$  chronically activates alveolar macrophages (AM), increases permissiveness to HIV infection and promotes further CD4 T cell loss. AM dysfunction and impaired epithelial integrity shift T cell differentiation from Th17 cells towards immunosuppressive regulatory T cell (T<sub>reg</sub>) phenotype [28–30]. Ensuing immunosuppression results in poor pathogen control, increasing susceptibility to opportunistic lung infections [28]. Additionally, HIV infection impairs B cell and antibody function which also mediate poor pathogen control [28]. Cross-talk with the gut mucosa and further exposure to microbial products activates plasmacytoid dendritic cells in the lung which produce IFN- $\alpha$  and indolamine 2, 3-dioxygenase (IDO), and thereby promote further T cell apoptosis, T<sub>reg</sub> recruitment and perpetuate the cycle of chronic immune activation [27, 31]. These conditions may contribute to alterations in lung microbial composition.

## 2.2 Alterations in Gut Immunity that Impact the Lung

HIV-mediated damage to the gut mucosa has consequences on both gut and lung microbiota. HIV targets the gut associated lymphoid tissue (GALT), which contains the largest pool of CD4 T cells in the body. CD4 T cell depletion from the gut mucosa increases permeability and allows transmucosal passage of microbes and microbial products into systemic circulation [32]. Gut microbial translocation is the primary driver of the characteristic immune activation in HIV infection [33].

The gut microbiome has been studied extensively in health and in HIV infection [13–16]. Gut microbial diversity is lower in HIV-infected persons relative to HIV-uninfected individuals [17, 18] and appears to partially normalize with increasing duration on HAART [18]. Additionally, depletion of protective bacteria is more pronounced in HIV infection and improves with prebiotic treatment in HAART-naïve HIV-infected individuals [19]. Likewise, changes in the rectal microbiome with HIV infection have been reported [20]. HIV infection reduces rectal microbial diversity and enriches *Fusobacteria*; however, HAART effectively reverses dysbiosis [21].

The gut and lung mucosa are hypothesized to constitute an immune organ with shared functions in immunosurveillance and host immune response [34]. Cross-talk between the two mucosal sites is speculated to occur through the following potential mechanism: gut microbiota mediate antigen-specific T cell priming through toll-like receptor (TLR) signaling [35]. Upon insult to the airways, primed memory T cells may home to the lung mucosa and enhance protective anti-inflammatory responses [36]. In response to the influence of microbes or microbial elements on gut or lung mucosal epithelial cells, TLR-mediated NF $\kappa$ B (nuclear factor kappa-light-chain enhancer of activated B cells) signaling could lead to pro-inflammatory cytokine production and mediate local or distal inflammatory responses. Thus, gut TLR signals could mediate lung mucosal inflammatory responses [35]. Similarly, respiratory infections can alter gut microbiota, leading to intestinal inflammation and injury [37].

HIV-mediated microbiota dysbiosis or aberrant TLR signaling can result in systemic and local inflammation [32]. Inflammation, as well as alterations in microbiome composition, has been associated with inflammatory lung diseases including COPD [38–40]. Additionally, inflammation driven by microbial translocation causes fibrosis of lymphoid tissues throughout the body, resulting in end-organ disease [33]. Bronchial associated lymphoid tissue (BALT) is lymphoid tissue located in the submucosa of bronchioles. BALT is autologous to GALT (gut associated lymphoid tissue) and can be induced by chronic respiratory infections and immunodeficiency [41]. BALT induction is a marker of inflammation and has been described in certain chronic inflammatory phenotypes [42]. Thus, there might be a link between HIV infection, microbial dysbiosis and altered immunity in lung disease [36, 43].

## 3. Terminology and Methods

A comprehensive overview of the data analysis, sampling and molecular techniques applied in microbiome research is beyond the scope of this review and has been previously discussed

[13, 44]. A glossary of basic terminology and methods are referenced in Table 1 and Figure 1.

## 4. The Bacterial Microbiome

Microbial dynamics in the healthy respiratory tract provide insights to the natural history of lung diseases. Bacteria enter the lung largely by microaspiration [45] and the constitution of the healthy lung microbiome is determined by equilibrium between factors that govern microbial immigration and clearance [46]. Disruption of this balance is thought to create selective pressures, which drive microbial shifts (and permit colonization) associated with disease states [46]. Thus the conventional notion of a single predominant causative pathogen in lung disease has expanded to include the effects of perturbing lung microbial homeostasis. HIV infection can independently drive imbalances in lung homeostasis, thus it is clinically relevant to determine whether microbial shifts are more prominent in HIV-associated lung diseases.

Subject-subject variations in the lung microbiome are greater than intrasubject variations during health [46] and the converse is true of disease states [47]. The sputum microbiome of COPD [48] and CF [49, 50] patients is reportedly relatively stable although can shift around the time of exacerbations, and biodiversity does decrease with disease severity in both conditions [48–52]. Additional studies are needed to translate these findings into improving therapy for lung diseases.

### 4.1 The Upper Respiratory Tract

HIV-infected persons run a disproportionate risk of developing oral and periodontal diseases, yet associated microbial shifts have been understudied in HIV infection. Li *et al* demonstrated decreased oral biodiversity in HIV-infected persons relative to uninfected controls, likely due to overgrowth of opportunistic microbes [53]. Distinct bacterial genera were also detected in HIV-uninfected, but not HIV-infected persons, suggesting HIV-mediated dysbiosis of core healthy oral microbiota. Further, the outgrowth of commensals such as *Aggregatibacter* appeared to be ameliorated with six months of HAART therapy relative to the pre-HAART baseline [53]. Thus, HAART reverses, at least partially, HIV-mediated selective pressure. Interestingly, neither HIV status nor HAART status impacted the predominance of *Streptococcus* and *Veillonella* [53]. This finding is in contrast to reports of increased lingual *Streptococcus* colonization six months post-HAART in HIV-infected individuals [54]. These differences suggest that the oral and lingual microbiomes might be distinct in HIV infection or that different sampling methods may contribute to these observations. While Li *et al* examined saliva samples [53], tongue scrapings were sampled in the lingual microbiome experiments [54]. Both were small studies, and larger longitudinal studies would clarify HIV and HAART-mediated effects on the upper airway microbiome.

A recent LHMP study compared the upper airway microbiome in a cohort of HIV-uninfected individuals, HAART-naïve HIV-infected individuals and HAART-treated HIV-infected individuals [23]. In this cohort, microbial communities in the upper respiratory tract (URT) varied with both HIV and HAART status. *Atopobium* was more prevalent in the HIV-infected groups. *Streptococcus* and *Actinomyces* were more prevalent in the HAART-naïve

HIV-infected group, while *Rothia* was most abundant in the HAART-treated HIV-infected group [23]. *Streptococcus* causes opportunistic infections and is associated with multiple HIV-associated diseases, thus abundant *Streptococcus* in the HAART-naïve HIV-infected group suggests it may have a pathogenic role. Additionally, CD4 counts did not correlate with the URT microbial composition [23]. However, CD4 counts were relatively preserved in the cohort and similar between the HAART naïve HIV-infected and HAART-treated HIV-infected groups—median CD4 count 668 and 618 counts/ $\mu$ L respectively. Other cohorts with a wider range of CD4 cell counts might demonstrate more significant differences in the URT microbiome with HIV infection. Of note, correlations between CD4 counts and gut microbial profiles have yielded conflicting results in HIV-infected individuals. Consistent with the above findings in the URT, certain studies have reported a lack of association between CD4 counts and gut microbial composition [55, 56], whereas others have reported associations between CD4 counts and enrichment of certain bacterial taxa [57, 58]. Thus in addition to CD4 counts, other markers of HIV progression should be included in analyses for more robust results.

Overall, these studies suggest that the upper respiratory tract microbial composition is influenced by HIV infection. Large cohorts with varying degrees of immune recovery (for example, broader ranges of CD4 counts and markers of immune activation and inflammation) might be beneficial in dissecting overall effects of HAART on the URT microbiome. Studies that assess the effects of concomitant antibiotic use and illicit drug use in the URT of HIV-infected individuals might provide clearer definition of URT microbial diversity in the HIV-infected population.

## 5. The Lower Respiratory Tract

### 5.1 HIV Infection Status

Recent studies have reported the existence of a distinct lower respiratory tract (LRT) microbiome in both health [6, 25] and disease [6, 24]. One of the initial findings of the LHMP was the detection of *Tropheryma whippelii* in HIV-infected individuals. *T. whippelii* had previously been detected in a small number of HIV-uninfected controls [25, 59], but the LHMP reported significantly higher abundance of *T. whippelii* in the lower respiratory tract (LRT) of HIV-infected individuals compared with HIV-uninfected controls, as well as decreased carriage with effective HAART [24]. Notably, despite its enrichment in the lung, *T. whippelii* was not detected in the oral cavity of either HIV-infected or uninfected individuals, suggesting a true niche for *T. whippelii* in the lower airways. This phenotype was unexpected because *Tropheryma whippelii* is the cause of Whipple's disease, which classically manifests in the gastrointestinal (GI) tract. Pulmonary involvement including pleural effusion and granulomatous mediastinal adenopathy has been reported and only rarely presents [60, 61]. *T. whippelii* infections are also not increased in HIV infection [61]. Interestingly, none of the participants with high *T. whippelii* burden presented with serious pulmonary manifestations at the time of sampling [24], but more subtle effects on the lung inflammatory response have not been evaluated. The [51][50] clinical implications of asymptomatic *T. whippelii* colonization in the lung, as well as its functional interactions with other lung microbiota in the HIV-infected host are currently unknown.

Two recent LHMP studies characterized the lower respiratory tract microbiome at different stages of HIV disease [23, 26]. Beck and colleagues evaluated a cohort of HIV-uninfected individuals, HAART naïve HIV-infected individuals and HAART-treated HIV-infected individuals [23]. In this cohort, BAL samples showed similarities in microbial community structure between the HIV-uninfected and infected groups as well as between the HAART-naïve and treated groups. Thus, neither HIV nor HAART status appeared to be important factors in determining the lower airways microbial structure in this cohort, but CD4 cell counts were relatively well-preserved which may have prevented shifts in the microbiome. In addition, there may still be functional differences between the lung microbiota in HIV infection that are not captured in taxonomic descriptions.

The authors also compared the URT and LRT microbiome within the cohort and reported microbiome differences in anatomic site by HIV and HAART status. In the HIV-uninfected group, *Streptococcus*, *Veillonella*, *Prevotella*, *Fusobacterium* and *Rothia* were more abundant in the URT than the LRT. In the HAART-naïve, HIV-infected group, *Rothia*, *Veillonella*, and *Granulicatella* were more abundant in the URT than the LRT. This study was one of the first to describe the lung microbiome in HIV-infected individuals and lays the groundwork for studies examining function of the microbiome and impact on the host in HIV.

Twigg and colleagues recently examined the lung microbiome in 20 HIV-uninfected persons and 26 HIV-infected persons sampled by BAL at baseline (pre-HAART), 1 year and 3 years post-HAART initiation [26]. In contrast to the LHMP study above, the median CD4 count at baseline was 280 cells/ $\mu$ L and 375 cells/ $\mu$ L at 3 years. At baseline, the lower airways of HIV-infected participants were characterized by decreased richness ( $\alpha$  diversity), but increased  $\beta$  diversity of microbial communities. Taxonomic differences at baseline included elevated abundance of *Streptococcus* in HIV-infected subjects and increased abundance of *Flavobacterium* in uninfected controls [26]. Although these findings are in contrast with results from Beck *et al* [23], the differences are likely due to differences in the immune status of the cohorts.

The microbiome in these individuals also changed during the course of HAART use. After 1 year of HAART, the dissimilarities in  $\alpha$  and  $\beta$  diversity between HIV-infected and uninfected groups were less pronounced, although they persisted to some degree. The differences in relative abundance of *Streptococcus* and *Flavobacterium* persisted at pre-HAART levels. Interestingly, the frequency of *Veillonella* and *Prevotella* increased in the HIV-infected group at this time point [26]. At the 3-year time point, the differences in abundance of *Streptococcus* and *Flavobacterium* were less pronounced. The differences in *Prevotella* and *Veillonella* were not significant between the groups; however, *Veillonella* was still higher at 3 years post-HAART, relative to baseline. *Prevotella* and *Veillonella* outgrowth has been associated with subclinical inflammation in individuals with stable COPD [62]. Thus the dynamics of these genera might be indicative of the kinetics of ART in regulating inflammation and resultant dysbiosis in the context of HIV. How these dynamics vary in HIV-associated COPD remains to be clarified. Overall, the differences in diversity and abundance of bacterial communities improved with increasing time on HAART.



Together, these studies suggest that HIV infection alters the lung microbiome, and that HAART may partially normalize the lung microbial communities. Measures of immune recovery (including CD4 count, inflammation and immune activation markers) may be important predictors of microbial dysbiosis in the lung of HIV-infected persons. Additional studies are required to identify peripheral biomarkers of alteration in the lung microbiome. Further mechanistic investigations into the functional correlates of lung microbial dysbiosis in HIV-infected individuals might enhance understanding of pulmonary disease pathogenesis in the HIV-infected population.

## 5.2 Acute Pneumonia

HIV-infected individuals, regardless of CD4 reconstitution and viral suppression, remain at increased risk for recurrent pneumonia [63]. Whether HIV infection and/or therapy mediated alterations in the microbiome can be used to predict recurrent pneumonia is currently being investigated. Dynamics of respiratory microbiota vary with therapeutic modalities and may influence treatment outcomes. In COPD for instance, antibiotics decrease sputum biodiversity, but corticosteroids tend to increase biodiversity, and the effects of these therapies extend beyond clinical recovery [48]. Microbial structure has also been shown to vary with stages of TB infection. Interestingly *Pseudomonas* was more abundant in treatment failure compared with recurrent or cured TB patients, suggesting a role of the microbiome in treatment outcomes. Defining the role of the microbiome, together with mechanistic studies are necessary to guide effective treatment when either disease is concurrent with HIV.

In two independent studies, Iwai and colleagues investigated respiratory bacterial communities associated with acute pneumonia in HIV-infected persons [64, 65]. In the first study [64], paired oral (combined lingual scrapings and oropharyngeal wash) and airway (BAL) samples were compared in a cohort of HIV-infected persons during the course of antimicrobial therapy for acute pneumonia. Airway microbiota were also compared between this cohort and HIV-uninfected acute pneumonia patients on antimicrobial therapy. Within the HIV-infected acute pneumonia group, bacterial burden was lower in the lower respiratory tract (LRT) compared to the upper respiratory tract (URT) [64]. The URT and LRT microbiota structure were similar in HIV-infected pneumonia patients who were using HAART, indicating HAART-mediated selective pressure on both oral and airway microbiota, despite concomitant anti-microbial use [64].

Interestingly, the baseline LRT microbial profile was found to predict the cause of recurrent pneumonia in a small number of the HIV-infected study participants [64]. This finding suggests a diagnostic potential of the microbial species present in the LRT despite antimicrobial therapy. Similar prognostic utility of microbial community structure has been demonstrated in certain chronic lung diseases. For example, bacterial community structure is reportedly the primary predictor of exacerbation frequency in bronchiectasis [66]. Larger longitudinal studies would be beneficial in evaluating the diagnostic potential of persistent microbes in the LRT of HIV-infected persons.

The study further investigated associations between airway microbial structure and acute pneumonia in HIV-infected and uninfected persons. HIV-infected persons with acute

pneumonia had greater airway diversity than HIV-uninfected pneumonia patients [64]. HIV-mediated immunosuppression and low CD4 count in the HIV group may permit bacterial outgrowth that could account for these observations. While acute pneumonia in HIV-uninfected persons was characterized by higher abundance of *Bacteriodes* (*Pseudomonas*) and *Proteobacteria*, acute pneumonia in the HIV-infected group was characterized by *Actinobacteria*, *Chloroflexi*, *Cyanobacteria*, *Bacteroidetes* (*Prevotellaceae*) and *Firmicutes* (*Clostridiaceae*) by 16S rRNA analyses. The distinct LRT microbiota in the two pneumonia groups suggests different pathogenesis of pneumonia, with the latter strains increasing susceptibility to recurrent HIV-associated pneumonia [64].

African HIV-infected individuals have higher mortality rates due to respiratory tract infections than HIV-infected individuals in developed countries. Having demonstrated the existence of distinct bacterial communities in the respiratory tract of HIV-infected individuals with acute pneumonia [64], Iwai and colleagues subsequently studied the LRT in HIV-infected Ugandan patients, within two weeks post-antimicrobial therapy for acute pneumonia. *Streptococcus pneumoniae*—the most common agent of HIV-associated pneumonia in westernized countries was absent from the lower airway of Ugandan HIV-infected persons with pneumonia (by 16SrRNA quantification of BAL samples). Instead, *Pseudomonas aeruginosa* was the most frequent etiology of bacterial pneumonia in the Ugandan cohort [65]. Clinical factors in the Ugandan cohort, such as antimicrobial therapy and advanced HIV as indicated by low CD4 counts were associated with *Pseudomonas aeruginosa* [67] infections and were thought to contribute to these findings [65].

The lung microbiome may be important because it impacts the inflammatory response in the lung. Correlations were demonstrated between LRT microbial composition and pro-inflammatory gene expression from BAL samples in the Ugandan HIV-infected cohort [65]. TNF- $\alpha$  expression was directly correlated with bacterial burden and inversely correlated with phylogenetic diversity. Matrix metalloproteinase-9 (MMP-9), which is associated with airway remodeling, was inversely correlated with phylogenetic diversity and richness [65].

Interactions between microbial communities may be important in defining the LRT microbial composition in HIV-associated acute pneumonia. The ability of microbiota to regulate outgrowth of commensal bacterial species has been recently reported in the gut [68–70] as well as in the URT of a murine model [71]. In the Iwai study, LRT microbiome richness and phylogenetic diversity were inversely correlated with bacterial burden [65]. The lung microbiome of individuals with low bacterial burden was enriched for *Lachnospiraceae*, *Desulfuromonadaceae* and *Alicyclobacillaceae* [65]. Importantly, these bacteria have been shown to function in immunomodulation [70] by inducing anti-inflammatory T<sub>regs</sub> [72, 73] and producing hydrogen sulfide [74], each of which are protective to the lung. The predominance of these ‘lung protective’ phyla may account for the observed decreased LRT bacterial burden [65].

Overall, these results indicate the presence of distinct polymicrobial communities in the LRT of antimicrobial treated HIV-infected persons with acute pneumonia. LRT microbial structure influences local inflammatory responses and could impact clinical outcomes in HIV-associated pneumonias. Further evaluation of the impacts of different antimicrobial



classes and their effects on both lung microbiome and inflammation may provide beneficial insights to improved treatment regimens for HIV-associated pneumonias.

### 5.3 Geographic Effects

Given the interaction of the lung with the environment, it is possible that individuals in different geographic locations could have different lung microbiota. For example, variations in bacterial diversity and structure have been shown in sputum samples isolated from CF patients in the US compared with those from the UK [75]. Geographic effects on the lung microbiome in the HIV-infected population have been studied in a recent comparison of the respiratory microbiome in HIV-infected persons with acute pneumonia from San Francisco (US) and Uganda (east Africa) [65]. While lower airway bacterial burden was similar between the two cohorts, bacterial richness and phylogenetic diversity were significantly higher in the Ugandan population [65]. The San Franciscan LRT appeared to be primarily enriched with *Firmicutes* and *Actinobacteria*, while the Ugandan LRT was enriched in *Proteobacteria*.

Functionally, bacterial community enrichment was associated with glycan synthesis, polyketide degradation and lactose phosphotransferase pathways in the San Franciscan cohort. In the Ugandan cohort, associations were seen in pathways involved in flagellar assembly, lipopolysaccharide (LPS) and peptidoglycan synthesis as well as sugar metabolism [65]. Given that the pathways enriched in the Ugandan cohort are associated with pathogenesis of pneumonia, the authors conclude that enrichment for these pathways contribute to the higher rate of mortality in the Ugandan cohort [65].

While socioeconomic factors, compliance with HAART and other antimicrobial regimens may also influence the observed differences in the Ugandan and San Franciscan cohorts, there might be some underlying effects of geographical differences. Of note, between different cities within the US, the LHMP did not find any geographic differences in the lung microbiome of HIV-infected persons [24]. Aside from geographic effects, host genetics reportedly influences lung [76] and gut [77] microbiome structure. Though studies are limited, recent data from concordance studies suggest that host genetics affects the composition of the sputum microbiota in healthy individuals [76]. It is likely that a combination of lifestyle and environment might influence microbiome diversity differentially in genetically predisposed hosts. Additional studies are required to identify underlying genetic and molecular mechanisms in disease models. With the push towards personalized medicine, geographic and ethnic (genetic) effects on the respiratory microbiome require particular consideration.

### 5.4 Effects of Smoking

Cigarette smoking is common in HIV-infected individuals and may have multiple effects on mucosal surfaces. Smoking has been shown to modulate the microbial composition of the gut mucosa [78, 79]. Given that cigarette smoking has a direct impact on lung mucosal cells, it is likely that smoking could also alter the lung microbiome. Smoking promotes bacterial colonization of the upper airways by facilitating mucosal epithelial binding and by disrupting mucociliary clearance [80]. Additionally, smoking disrupts homeostasis of

commensal microbial communities in the upper airways and is associated with oral diseases in healthy individuals [81]. Despite these effects of smoking on the lung, the LHMP found no significant differences in lung bacterial communities by smoking status in HIV-uninfected individuals [25].

In HIV-infected individuals, the effects of smoking may be even more pronounced, but the direct effects of smoking on the lower airway microbiome in HIV are currently unknown. Smoking impairs immune responses to HAART [9]. It also enhances the risk of HIV-associated pneumonias [82]. COPD is one of the most common smoking related chronic lung diseases, and HIV infection is an independent risk factor for COPD [10–12]. There is evidence to suggest bidirectional cross-talk between airway microbiota and antigens from cigarette smoke in the pathogenesis and exacerbation of HIV-associated COPD. The ‘vicious circle’ hypothesis [38–40] posits that impaired lung immunity following an initial insult such as smoking, causes shifts in lung microbial colonization. The altered microbial antigens and other microbial products then perpetuate a cycle that contributes to chronic airway inflammation. It is therefore possible that smoking has direct effects on the lower respiratory microbiome in HIV-infected individuals.

## 6. The Fungal Microbiome (Mycobiome)

The microbiome is not solely composed of bacteria. Fungi and viruses are also present and may have important biological effects. Study of the fungal microbiota in the lung, or the lung mycobiome, lags behind the bacterial microbiome in studies relating to respiratory health and disease. Yet the mycobiome is an important component of the lung microbiome that interacts with bacterial communities and influences host immune responses [83, 84]. The mycobiome has been investigated in cystic fibrosis (CF) with findings of decreased fungal diversity in CF patients [85].

Effects of the mycobiome in HIV and associated lung diseases are only just emerging. Cui *et al* recently analyzed oral wash (OW), induced sputum (IS) and BAL from HIV-infected and uninfected individuals with or without COPD [86]. Regardless of HIV or COPD status, distinct fungal clusters were shown in each compartment suggesting niche specificity along the respiratory tract [86]. The relative abundance of *Candida* was highest in the OW and progressively decreased from IS to BAL. As in the bacterial microbiome analysis, this overlap likely results from anatomic contiguity and suggests that the mouth is the source of some fungi in other respiratory compartments. Taxonomic analyses also showed predominance of *Ceriporia lacerata*, *Saccharomyces cerevisiae* and *Penicillium brevicompactum* in the BAL compared to the OW. These fungi are implicated in opportunistic infections and may represent lung-specific commensals [86].

The investigators then examined the relationship of fungal communities to HIV and to HIV-associated COPD. Unique fungal communities were identified with HIV and with COPD in HIV-infected individuals. *Pneumocystis jirovecii* was the most dominant organism that distinguished HIV-infected from uninfected persons and was found in HIV-infected individuals with COPD [86]. The lung mycobiome also varied with factors of immune

recovery in HIV infection. HIV-infected persons with lower CD4 counts showed outgrowth of *Zasmidium nocozi* and *Teratosphaeria jonkershoekensis* [86].

*Pneumocystis* detection by polymerase chain reaction (PCR) has previously been reported both in HIV-infected and HIV-uninfected individuals with COPD [87, 88]. Subclinical *Pneumocystis* infections are associated with accelerated COPD [87] and predict airflow obstruction in both HIV-infected and uninfected smokers with COPD [88]. Additionally, airway remodeling in COPD has been linked to matrix metalloproteinases (MMPs), and MMP-12 has been found to be increased in *Pneumocystis*-colonized HIV-infected individuals with COPD [87]. Thus, synergistic pathways could mediate accelerated COPD in HIV-infected persons.

The association of *Pneumocystis* with COPD has been demonstrated in animal models. Shipley *et al* [42] provided additional insights into functional outcomes of host-*Pneumocystis* interactions in a simian human immunodeficiency virus (SHIV) model. *Pneumocystis*-colonized SHIV macaques developed airflow obstruction and anatomic evidence of emphysema—increased lung and airway volume with decreased lung weight [42] compared to SHIV-infected animals that did not become *Pneumocystis*-colonized. In a rodent model of cigarette smoke-induced COPD, Christensen and colleagues demonstrated that mice colonized with *Pneumocystis* and exposed to cigarette smoke developed greater airway obstruction and lung inflammation than animals exposed to cigarette smoke only [89].

These studies suggest that fungal community structure is important in HIV and in COPD. The effects of antimicrobials, corticosteroid treatments and HAART on alterations in the fungal microbiome of HIV-infected persons are currently unknown. Further analysis of fungal-bacterial interactions in HIV infection as well as functional correlates are other knowledge gaps that require further study.

## 7. The Viral Microbiome (Virome)

The viral microbiome, or virome, is the most unstudied component of the lung microbiome. Studies have indicated a role of the virome in respiratory diseases including COPD, asthma and cystic fibrosis [90, 91]; however, the respiratory virome has not been studied in HIV infection. There is limited evidence to suggest that the presence of particular bacterial communities can enhance respiratory viral infections [92]. For instance, proteolytic activity of *Staphylococcus aureus* has been shown to enhance influenza A viral replication and infection [93, 94]. Additionally, respiratory viral-induced metabolites are thought to alter host immune responses and interactions between gut and respiratory microbiome influences local lung responses to viral infections [92]. Although current data are limited, certain gut viruses may play a role in HIV-associated chronic lung diseases. Thus, exploring gut-lung microbiome interactions as potential therapy for respiratory infections is an emerging concept in microbiome research [92]. Together, these findings provide a premise for further investigations into host-virome and bacterial-virome interactions in the HIV-infected population.

## 8. Metabolomics

Metabolomics, the systematic study of unique chemical fingerprints from cellular metabolism [95], is gaining prominence in microbiome research. Metabolites may be host- or microbiome-derived, and metabolic profiles vary in health and disease states. Much lung microbiome research has focused on characterizing microbial communities associated with disease states. While these studies have advanced the field significantly, functional description of host-microbiome interaction and their pathophysiologic implications at a more granular level are lacking. Metabolomic research begins to address some of these knowledge gaps; in the gastrointestinal tract, metabolomic approaches are being used to establish mechanistic links between microbial profiles and metabolism with the aim of developing target therapies and for disease monitoring [96, 97]. Similar studies have been few in the respiratory microbiome of HIV-infected hosts.

One of the first studies of the lung metabolome evaluated the ability to distinguish HIV-infected persons from healthy controls based on specific metabolic profiles of BAL fluid [98]. *Pyochelin*—a siderophore (iron carrier) excreted by *Pseudomonas aeruginosa* when iron is depleted, was elevated in the BAL of HIV-infected individuals relative to controls. HIV-infected participants were otherwise healthy and had no previous history of pneumonia [98]. *P. aeruginosa* colonization and resultant oxidative stress increases susceptibility to infection. Thus, the authors suggest early *pyochelin* detection may be a potential prognostic marker of lung immune health [98].

Recently, the metabolic signatures representative of host-microbiome interactions were investigated in the lower airways of HIV-infected individuals [99]. Similar to previous reports [23], the lung microbiota was indistinguishable between HIV-infected subjects and HIV-uninfected controls [99]. In contrast, the metabolome differed significantly by HIV status [99]. Particular metabolites were found to be associated with specific bacteria found by microbiome sequencing. For example, lineolate, glycerophospholipid and fatty acid metabolism pathways associated with *Caulobacteraceae*, *Staphylococcaceae* and *Nocardiodaceae*. Importantly, these bacteria are clinically relevant etiologies of pneumonia in HIV-infected individuals.[99].

Further metabolite analysis demonstrated that four features were significantly over-represented in the BAL of HIV-infected compared to HIV-uninfected individuals, including cystine and two complex carbohydrates. Cystine was particularly interesting as it is a product of oxidation of cysteine [100], with increased abundance generally indicating increased oxidative stress. Cysteine is a rate-limiting component of glutathione (GSH) synthesis (the principal anti-oxidant in the lung) and plays an important role in maintaining the detoxification of free radicals and reactive oxygen species (ROS) [100]. It is also an integral part of fatty acid synthesis. Cysteine supply is limiting for important lymphocyte functions and impaired in HIV [101, 102]. HIV infection is also known to cause oxidative stress [103–105]. Thus, higher cystine levels likely reflect decreased protective cysteine, which could be particularly important in HIV. In fact, treatment with N-acetylcysteine has been studied in ART-naïve HIV-infected patients [106, 107] with relative increases in CD4+ T cell numbers.

Based on these metabolomic associations, the authors proposed the following cascade for lung microbiome-host interactions in the HIV-infected host: HIV infection causes inflammation and oxidative stress, which alter inflammatory and oxidative metabolic pathways in the lung. The resultant shift in lung microbiota and/or host responses impair airway immunity, increasing the risk of pneumonia as well as other HIV-associated chronic pulmonary diseases [99]. Metabolomics is also being explored in diagnostic and therapeutic platforms to identify biomarkers of inflammation in respiratory diseases including COPD, CF and asthma.[108,109]. Larger, longitudinal studies are necessary to examine the applicability and clinical utility of metabolomics; however, the metabolomic approach presents a promising avenue for evaluating the respiratory microbiome in HIV infection.

## 9. Expert Commentary

The dogma of lung sterility has been supplanted by the notion of the existence of niche-specialized microbiomes along the respiratory tract. Host-microbiome interactions are no longer encompassed in a single view of microbes as pathogens. Instead, emerging roles of lung microbiota in local and systemic immune modulation in both health and disease states are widely appreciated. Culture-independent techniques have revolutionized the ability to study rare, but clinically relevant microbes. However, interpreting lung microbiome data across studies is often limited by differences in sampling, molecular and analytic methods as well by experimental controls and reference groups. To avert some of these issues, optimization and standardization of existing methodologies will be necessary. Additionally, technological advancements along with enhanced reference databases will be particularly necessary to facilitate research in understudied areas such as the mycobiome and virome. As the field develops, functional correlates of microbial communities and mechanistic studies will become increasingly important. The clinical implications of the lung microbiome also require further investigation. A summary of the current understanding of lung microbiome interactions and the development of lung disease in the HIV-infected host is referenced in Figure 2. Investigations of the lung microbiome in healthy HIV-infected individuals, in those with advanced immunosuppression, and in HIV-infected individuals with acute and chronic lung diseases may provide insight into microbial dysbiosis and pulmonary complications in these cohorts as well as in other diseases. Overall, the field is rapidly growing and promises to profoundly impact current understanding and management of respiratory diseases, particularly in the HIV-infected host.

## 10. Five-year View

Building upon current work and the view of the respiratory tract as a polymicroorganism, future investigations will integrate bacterial, fungal and viral biomes in defining the pathogenesis of respiratory diseases. Additionally, by understanding the protective effects of core microbiota, therapeutic interventions can be developed. An assessment of antimicrobial and antiretroviral effects on the core microbiome would be beneficial in augmenting therapeutic strategies which permit optimal microbial composition. The respiratory microbiome should not be studied in isolation, studies that elucidate interactions between microbiota in the respiratory mucosa with other mucosal sites would be beneficial: Cross-talk between gut and respiratory microbiomes in immunomodulation require further

investigation in health and disease. Further insights into respiratory symptoms resulting from vertical transmission of HIV require further assessment of interactions between vaginal and respiratory mucosa. Finally, longitudinal studies that ensure environmental and geographic diversity would not only provide globally applicable, data but will enhance personalized medicine.

## Acknowledgments

### Funding

This paper was supported by a grant from the National Institute of Health (grant number: K24 HL123432 to A Morris, RO1 HL125049S to M Lawani).

## References

\* of interest and

\*\* of considerable interest

- Gill SR, et al. Metagenomic analysis of the human distal gut microbiome. *Science*. 2006; 312(5778): 1355–9. [PubMed: 16741115]
- Baughman RP, et al. Use of the protected specimen brush in patients with endotracheal or tracheostomy tubes. *Chest*. 1987; 91(2):233–6. [PubMed: 3802934]
- Thorpe JE, et al. Bronchoalveolar lavage for diagnosing acute bacterial pneumonia. *J Infect Dis*. 1987; 155(5):855–61. [PubMed: 3559289]
- Kahn FW, Jones JM. Diagnosing bacterial respiratory infection by bronchoalveolar lavage. *J Infect Dis*. 1987; 155(5):862–9. [PubMed: 3559290]
- Beck JM V, Young B, Huffnagle GB. The microbiome of the lung. *Transl Res*. 2012; 160(4):258–66. [PubMed: 22683412]
- Erb-Downward JR, et al. Analysis of the lung microbiome in the “healthy” smoker and in COPD. *PLoS One*. 2011; 6(2):e16384. [PubMed: 21364979]
- Hilty M, et al. Disordered microbial communities in asthmatic airways. *PLoS One*. 2010; 5(1):e8578. [PubMed: 20052417]
- Barfod KK, et al. The murine lung microbiome in relation to the intestinal and vaginal bacterial communities. *BMC Microbiol*. 2013; 13:303. [PubMed: 24373613]
- Morris A, et al. An official ATS workshop report: Emerging issues and current controversies in HIV-associated pulmonary diseases. *Proc Am Thorac Soc*. 2011; 8(1):17–26. [PubMed: 21364216]
- Crothers K, et al. HIV-associated lung infections and complications in the era of combination antiretroviral therapy. *Proc Am Thorac Soc*. 2011; 8(3):275–81. [PubMed: 21653528]
- Crothers K, et al. Increased COPD among HIV-positive compared to HIV-negative veterans. *Chest*. 2006; 130(5):1326–33. [PubMed: 17099007]
- Gingo MR, et al. Pulmonary function abnormalities in HIV-infected patients during the current antiretroviral therapy era. *Am J Respir Crit Care Med*. 2010; 182(6):790–6. [PubMed: 20522793]
- Dickson RP, Erb-Downward JR, Huffnagle GB. The role of the bacterial microbiome in lung disease. *Expert Rev Respir Med*. 2013; 7(3):245–57. [PubMed: 23734647]
- Fujimura KE, et al. Role of the gut microbiota in defining human health. *Expert Rev Anti Infect Ther*. 2010; 8(4):435–54. [PubMed: 20377338]
- Saxena D, et al. Human microbiome and HIV/AIDS. *Curr HIV/AIDS Rep*. 2012; 9(1):44–51. [PubMed: 22193889]
- Zhang Y, Lun CY, Tsui SK. Metagenomics: A New Way to Illustrate the Crosstalk between Infectious Diseases and Host Microbiome. *Int J Mol Sci*. 2015; 16(11):26263–79. [PubMed: 26540050]



17. Nowak P, et al. Gut microbiota diversity predicts immune status in HIV-1 infection. *AIDS*. 2015; 29(18):2409–18. [PubMed: 26355675]
18. Lozupone CA, et al. HIV-induced alteration in gut microbiota: driving factors, consequences, and effects of antiretroviral therapy. *Gut Microbes*. 2014; 5(4):562–70. [PubMed: 25078714]
19. Gori A, et al. Specific prebiotics modulate gut microbiota and immune activation in HAART-naïve HIV-infected adults: results of the “COPA” pilot randomized trial. *Mucosal Immunol*. 2011; 4(5): 554–63. [PubMed: 21525866]
20. Salas JT, Chang TL. Microbiome in human immunodeficiency virus infection. *Clin Lab Med*. 2014; 34(4):733–45. [PubMed: 25439273]
21. McHardy IH, et al. HIV Infection is associated with compositional and functional shifts in the rectal mucosal microbiota. *Microbiome*. 2013; 1(1):26. [PubMed: 24451087]
22. Twigg HL 3rd, et al. Use of bronchoalveolar lavage to assess the respiratory microbiome: signal in the noise. *Lancet Respir Med*. 2013; 1(5):354–6. [PubMed: 24429191]
- 23\*\*. Beck JM, et al. Multicenter Comparison of Lung and Oral Microbiomes of HIV-infected and HIV-uninfected Individuals. *Am J Respir Crit Care Med*. 2015; 192(11):1335–44. This article compares the oral and lung microbiomes in otherwise healthy HIV-infected persons and uninfected controls. The authors found no differences in the lung microbiome of the HIV-infected individuals in this cohort, despite the fact that even virally suppressed HIV-infected persons remain at increased risks of HIV-associated pulmonary complications. The HIV-infected individuals were generally well with preserved CD4 cell counts, possibly explaining the lack of differences between the HIV-infected and HIV-uninfected groups. [PubMed: 26247840]
- 24\*\*. Lozupone C, et al. Widespread colonization of the lung by *Tropheryma whippelii* in HIV infection. *Am J Respir Crit Care Med*. 2013; 187(10):1110–7. This study is one of the initial investigations to establish a unique lung microbiome in the HIV-infected host. *T. whippelii*, the causal agent of Whipple’s disease, was identified in the lung, but not the oral cavity of HIV-infected persons. Colonization appeared to be ameliorated with HAART. The clinical implications of *T. whippelii* colonization were not investigated. [PubMed: 23392441]
- 25\*. Morris A, et al. Comparison of the respiratory microbiome in healthy nonsmokers and smokers. *Am J Respir Crit Care Med*. 2013; 187(10):1067–75. This study was the first to apply neutral modeling to identify distinct microbial populations along the respiratory tract of healthy individuals. Importantly, differences were not observed in microbial communities of the lower respiratory tract of smokers compared to non-smokers, but the oral microbiome differed by smoking status. [PubMed: 23491408]
- 26\*\*. Twigg HL III, et al. Effect of Advanced HIV Infection on the Respiratory Microbiome. *Am J Respir Crit Care Med*. 2016 This study provides further clarification on microbiome alterations in different stages of HIV infection. In contrast to the Beck study, they found differences between HIV-infected and HIV-uninfected individuals that lessened with longer HAART administration.
27. Rossouw TM, Anderson R, Feldman C. Impact of HIV infection and smoking on lung immunity and related disorders. *Eur Respir J*. 2015; 46(6):1781–95. [PubMed: 26250491]
28. Twigg HL 3rd, Knox KS. HIV-Related Lung Disorders. *Drug Discov Today Dis Mech*. 2007; 4(2): 95–101. [PubMed: 18709181]
29. Brenchley JM, et al. High frequencies of polyfunctional HIV-specific T cells are associated with preservation of mucosal CD4 T cells in bronchoalveolar lavage. *Mucosal Immunol*. 2008; 1(1):49–58. [PubMed: 19079160]
30. Brenchley JM, et al. Differential Th17 CD4 T-cell depletion in pathogenic and nonpathogenic lentiviral infections. *Blood*. 2008; 112(7):2826–35. [PubMed: 18664624]
31. Favre D, et al. Tryptophan Catabolism by Indoleamine 2,3-Dioxygenase 1 Alters the Balance of T(H)17 to Regulatory T Cells in HIV Disease. *Science Translational Medicine*. 2010; 2(32)
32. Brenchley JM, et al. Microbial translocation is a cause of systemic immune activation in chronic HIV infection. *Nat Med*. 2006; 12(12):1365–71. [PubMed: 17115046]
33. Douek DC. Immune activation, HIV persistence, and the cure. *Top Antivir Med*. 2013; 21(4):128–32. [PubMed: 24225078]
34. Segal LN, Blaser MJ. A brave new world: the lung microbiota in an era of change. *Ann Am Thorac Soc*. 2014; 11(Suppl 1):S21–7. [PubMed: 24437400]

35. Ichinohe T, et al. Microbiota regulates immune defense against respiratory tract influenza A virus infection. *Proc Natl Acad Sci U S A*. 2011; 108(13):5354–9. [PubMed: 21402903]
36. Samuelson DR, Welsh DA, Shellito JE. Regulation of lung immunity and host defense by the intestinal microbiota. *Front Microbiol*. 2015; 6:1085. [PubMed: 26500629]
37. Wang J, et al. Respiratory influenza virus infection induces intestinal immune injury via microbiota-mediated Th17 cell-dependent inflammation. *J Exp Med*. 2014; 211(12):2397–410. [PubMed: 25366965]
38. Mammen MJ, Sethi S. COPD and the microbiome. *Respirology*. 2016
39. Sethi S, et al. New strains of bacteria and exacerbations of chronic obstructive pulmonary disease. *N Engl J Med*. 2002; 347(7):465–71. [PubMed: 12181400]
40. Sethi S, Murphy TF. Infection in the pathogenesis and course of chronic obstructive pulmonary disease. *N Engl J Med*. 2008; 359(22):2355–65. [PubMed: 19038881]
41. Schacker T. The role of secondary lymphatic tissue in immune deficiency of HIV infection. *AIDS*. 2008; 22(Suppl 3):S13–8. [PubMed: 18845917]
42. Shipley TW, et al. Persistent pneumocystis colonization leads to the development of chronic obstructive pulmonary disease in a nonhuman primate model of AIDS. *J Infect Dis*. 2010; 202(2):302–12. [PubMed: 20533880]
43. Marsland BJ, Trompette A, Gollwitzer ES. The Gut-Lung Axis in Respiratory Disease. *Ann Am Thorac Soc*. 2015; 12(Suppl 2):S150–6. [PubMed: 26595731]
44. Beck JM. ABCs of the lung microbiome. *Ann Am Thorac Soc*. 2014; 11(Suppl 1):S3–6. [PubMed: 24437402]
45. Bassis CM, et al. Analysis of the upper respiratory tract microbiotas as the source of the lung and gastric microbiotas in healthy individuals. *MBio*. 2015; 6(2):e00037. [PubMed: 25736890]
46. Dickson RP, et al. Spatial Variation in the Healthy Human Lung Microbiome and the Adapted Island Model of Lung Biogeography. *Ann Am Thorac Soc*. 2015; 12(6):821–30. [PubMed: 25803243]
47. Willner D, et al. Spatial distribution of microbial communities in the cystic fibrosis lung. *ISME J*. 2012; 6(2):471–4. [PubMed: 21796216]
48. Huang YJ, et al. Airway microbiome dynamics in exacerbations of chronic obstructive pulmonary disease. *J Clin Microbiol*. 2014; 52(8):2813–23. [PubMed: 24850358]
49. Price KE, et al. Unique microbial communities persist in individual cystic fibrosis patients throughout a clinical exacerbation. *Microbiome*. 2013; 1(1):27. [PubMed: 24451123]
50. Stressmann FA, et al. Does bacterial density in cystic fibrosis sputum increase prior to pulmonary exacerbation? *J Cyst Fibros*. 2011; 10(5):357–65. [PubMed: 21664196]
51. Cox MJ, et al. Airway microbiota and pathogen abundance in age-stratified cystic fibrosis patients. *PLoS One*. 2010; 5(6):e11044. [PubMed: 20585638]
52. Zhao J, et al. Decade-long bacterial community dynamics in cystic fibrosis airways. *Proc Natl Acad Sci U S A*. 2012; 109(15):5809–14. [PubMed: 22451929]
53. Li Y, et al. HIV infection and microbial diversity in saliva. *J Clin Microbiol*. 2014; 52(5):1400–11. [PubMed: 24523469]
54. Dang AT, et al. Evidence of an increased pathogenic footprint in the lingual microbiome of untreated HIV infected patients. *BMC Microbiol*. 2012; 12:153. [PubMed: 22838383]
55. Vujkovic-Cvijin I, et al. Dysbiosis of the Gut Microbiota Is Associated with HIV Disease Progression and Tryptophan Catabolism. *Science Translational Medicine*. 2013; 5(193)
56. Mutlu EA, et al. A compositional look at the human gastrointestinal microbiome and immune activation parameters in HIV infected subjects. *PLoS Pathog*. 2014; 10(2):e1003829. [PubMed: 24586144]
57. Perez-Santiago J, et al. Gut Lactobacillales are associated with higher CD4 and less microbial translocation during HIV infection. *AIDS*. 2013; 27(12):1921–31. [PubMed: 24180001]
58. Monaco CL, et al. Altered Virome and Bacterial Microbiome in Human Immunodeficiency Virus-Associated Acquired Immunodeficiency Syndrome. *Cell Host Microbe*. 2016; 19(3):311–22. [PubMed: 26962942]

59. Charlson ES, et al. Topographical continuity of bacterial populations in the healthy human respiratory tract. *Am J Respir Crit Care Med*. 2011; 184(8):957–63. [PubMed: 21680950]
60. Desnues B, Al Moussawi K, Fenollar F. New insights into Whipple's disease and *Tropheryma whippelii* infections. *Microbes Infect*. 2010; 12(14–15):1102–10. [PubMed: 20708091]
61. Patel SJ, et al. Possible case of CNS Whipple's disease in an adolescent with AIDS. *J Int Assoc Physicians AIDS Care (Chic)*. 2008; 7(2):69–73. [PubMed: 18319513]
62. Segal LN, et al. Enrichment of lung microbiome with supraglottic taxa is associated with increased pulmonary inflammation. *Microbiome*. 2013; 1(1):19. [PubMed: 24450871]
63. Benito N, et al. Pulmonary infections in HIV-infected patients: an update in the 21st century. *Eur Respir J*. 2012; 39(3):730–45. [PubMed: 21885385]
- 64\*. Iwai S, et al. Oral and airway microbiota in HIV-infected pneumonia patients. *J Clin Microbiol*. 2012; 50(9):2995–3002. This article discusses association between respiratory microbiota dysbiosis and the propensity to recurrent acute pneumonia in HIV-infected persons. Comparisons were made between the oral and lung microbiome as well as between HIV-infected participants with pneumonia and HIV-uninfected participants with pneumonia. [PubMed: 22760045]
- 65\*\*. Iwai S, et al. The lung microbiome of Ugandan HIV-infected pneumonia patients is compositionally and functionally distinct from that of San Franciscan patients. *PLoS One*. 2014; 9(4):e95726. This article is the first study that compares the lung microbiome in HIV-infected persons with acute pneumonia in a high income country (San Francisco USA) and a low-middle income country (Uganda, East Africa). This article demonstrates the importance of considering geographic location in HIV lung microbiome research. [PubMed: 24752365]
66. Rogers GB, et al. A novel microbiota stratification system predicts future exacerbations in bronchiectasis. *Ann Am Thorac Soc*. 2014; 11(4):496–503. [PubMed: 24592925]
67. Meynard JL, et al. *Pseudomonas aeruginosa* infection in human immunodeficiency virus infected patients. *J Infect*. 1999; 38(3):176–81. [PubMed: 10424798]
68. Lawley TD, et al. Antibiotic treatment of *Clostridium difficile* carrier mice triggers a supershedder state, spore-mediated transmission, and severe disease in immunocompromised hosts. *Infect Immun*. 2009; 77(9):3661–9. [PubMed: 19564382]
69. Dong Y, Manfredini F, Dimopoulos G. Implication of the mosquito midgut microbiota in the defense against malaria parasites. *PLoS Pathog*. 2009; 5(5):e1000423. [PubMed: 19424427]
70. Nagano Y, Itoh K, Honda K. The induction of Treg cells by gut-indigenous *Clostridium*. *Curr Opin Immunol*. 2012; 24(4):392–7. [PubMed: 22673877]
71. Abreu NA, et al. Sinus microbiome diversity depletion and *Corynebacterium tuberculo*stearicum enrichment mediates rhinosinusitis. *Sci Transl Med*. 2012; 4(151):151ra124.
72. Atarashi K, et al. Treg induction by a rationally selected mixture of *Clostridia* strains from the human microbiota. *Nature*. 2013; 500(7461):232–6. [PubMed: 23842501]
73. Atarashi K, et al. Induction of colonic regulatory T cells by indigenous *Clostridium* species. *Science*. 2011; 331(6015):337–41. [PubMed: 21205640]
74. Aslami H, et al. Hydrogen sulfide donor NaHS reduces organ injury in a rat model of pneumococcal pneumosepsis, associated with improved bio-energetic status. *PLoS One*. 2013; 8(5):e63497. [PubMed: 23717435]
75. Stressmann FA, et al. Analysis of the bacterial communities present in lungs of patients with cystic fibrosis from American and British centers. *J Clin Microbiol*. 2011; 49(1):281–91. [PubMed: 21068277]
76. Lim MY, et al. Analysis of the association between host genetics, smoking, and sputum microbiota in healthy humans. *Sci Rep*. 2016; 6:23745. [PubMed: 27030383]
77. Goodrich JK, et al. Human genetics shape the gut microbiome. *Cell*. 2014; 159(4):789–99. [PubMed: 25417156]
78. Allais L, et al. Chronic cigarette smoke exposure induces microbial and inflammatory shifts and mucin changes in the murine gut. *Environ Microbiol*. 2016; 18(5):1352–63. [PubMed: 26033517]
79. Biedermann L, et al. Smoking cessation induces profound changes in the composition of the intestinal microbiota in humans. *PLoS One*. 2013; 8(3):e59260. [PubMed: 23516617]
80. Charlson ES, et al. Disordered microbial communities in the upper respiratory tract of cigarette smokers. *PLoS One*. 2010; 5(12):e15216. [PubMed: 21188149]

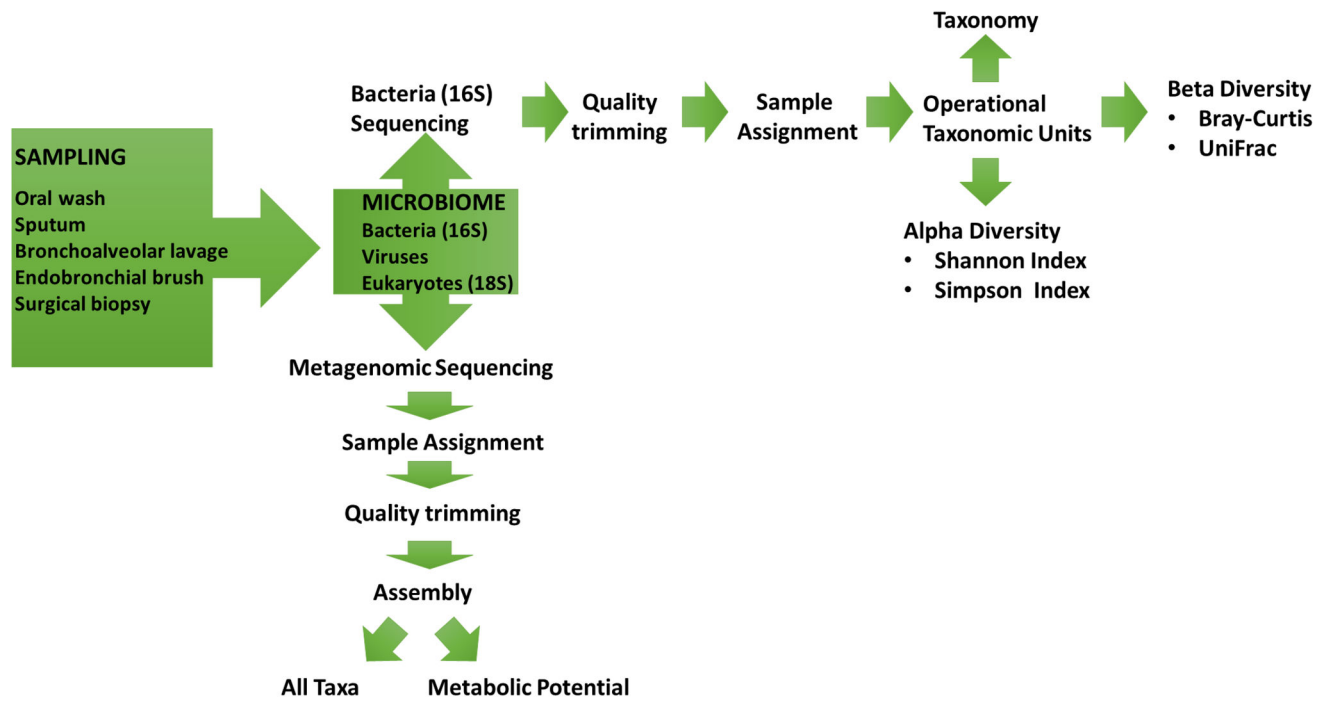
81. Lee J, Taneja V, Vassallo R. Cigarette smoking and inflammation: cellular and molecular mechanisms. *J Dent Res*. 2012; 91(2):142–9. [PubMed: 21876032]
82. Morris A, et al. Prevalence and clinical predictors of *Pneumocystis* colonization among HIV-infected men. *AIDS*. 2004; 18(5):793–8. [PubMed: 15075515]
83. Cui L, Morris A, Ghedin E. The human mycobiome in health and disease. *Genome Med*. 2013; 5(7):63. [PubMed: 23899327]
84. Nguyen LD, Viscogliosi E, Delhaes L. The lung mycobiome: an emerging field of the human respiratory microbiome. *Front Microbiol*. 2015; 6:89. [PubMed: 25762987]
85. Delhaes L, et al. The airway microbiota in cystic fibrosis: a complex fungal and bacterial community--implications for therapeutic management. *PLoS One*. 2012; 7(4):e36313. [PubMed: 22558432]
- 86\*. Cui L, et al. Topographic Diversity of the Respiratory Tract Mycobiome and Alteration in HIV and Lung Disease. *Am J Respir Crit Care Med*. 2015; 191(8):932–42. This article is the first study to evaluate the respiratory fungal microbiome (mycobiome) in HIV-infected persons with and without COPD. The mycobiome is understudied compared to the bacterial microbiome, and this report provides insights into impact of fungal microbiome on respiratory microbial dysbiosis in HIV infection. [PubMed: 25603113]
87. Morris A, et al. Airway obstruction is increased in pneumocystis-colonized human immunodeficiency virus-infected outpatients. *J Clin Microbiol*. 2009; 47(11):3773–6. [PubMed: 19759224]
88. Morris A, et al. Association of chronic obstructive pulmonary disease severity and *Pneumocystis* colonization. *Am J Respir Crit Care Med*. 2004; 170(4):408–13. [PubMed: 15117741]
89. Christensen PJ, et al. *Pneumocystis murina* infection and cigarette smoke exposure interact to cause increased organism burden, development of airspace enlargement, and pulmonary inflammation in mice. *Infect Immun*. 2008; 76(8):3481–90. [PubMed: 18490462]
90. Hogg JC. Role of latent viral infections in chronic obstructive pulmonary disease and asthma. *Am J Respir Crit Care Med*. 2001; 164(10 Pt 2):S71–5. [PubMed: 11734471]
91. Willner D, et al. Metagenomic analysis of respiratory tract DNA viral communities in cystic fibrosis and non-cystic fibrosis individuals. *PLoS One*. 2009; 4(10):e7370. [PubMed: 19816605]
92. Lynch SV. Viruses and microbiome alterations. *Ann Am Thorac Soc*. 2014; 11(Suppl 1):S57–60. [PubMed: 24437408]
93. Scheiblauer H, et al. Interactions between bacteria and influenza A virus in the development of influenza pneumonia. *J Infect Dis*. 1992; 166(4):783–91. [PubMed: 1527412]
94. Tashiro M, et al. Role of *Staphylococcus protease* in the development of influenza pneumonia. *Nature*. 1987; 325(6104):536–7. [PubMed: 3543690]
95. Jones DP, Park Y, Ziegler TR. Nutritional metabolomics: progress in addressing complexity in diet and health. *Annu Rev Nutr*. 2012; 32:183–202. [PubMed: 22540256]
96. McHardy IH, et al. Integrative analysis of the microbiome and metabolome of the human intestinal mucosal surface reveals exquisite inter-relationships. *Microbiome*. 2013; 1(1):17. [PubMed: 24450808]
97. Vulevic J, et al. Influence of galacto-oligosaccharide mixture (B-GOS) on gut microbiota, immune parameters and metabonomics in elderly persons. *Br J Nutr*. 2015; 114(4):586–95. [PubMed: 26218845]
- 98\*. Cribbs SK, et al. Metabolomics of bronchoalveolar lavage differentiate healthy HIV-1-infected subjects from controls. *AIDS Res Hum Retroviruses*. 2014; 30(6):579–85. This article discusses the potential of using metabolomics to identify more robust predictors of lung complications in HIV-infected individuals. Although a small study, it provides initial proof of concept for applying metabolomic approaches in HIV lung microbiome research. [PubMed: 24417396]
- 99\*. Cribbs SK, et al. Correlation of the lung microbiota with metabolic profiles in bronchoalveolar lavage fluid in HIV infection. *Microbiome*. 2016; 4(1):3. This study demonstrates relationships between metabolic profiles in the bronchoalveolar lavage fluid of HIV-infected individuals and etiologies of bacterial pneumonia. This study provides functional correlates of microbiome dysbiosis in the lung of the HIV-infected host. [PubMed: 26792212]

100. Jones DP. Radical-free biology of oxidative stress. *Am J Physiol Cell Physiol*. 2008; 295(4):C849–68. [PubMed: 18684987]
101. Droge W, et al. Modulation of lymphocyte functions and immune responses by cysteine and cysteine derivatives. *Am J Med*. 1991; 91(3C):140S–144S. [PubMed: 1928206]
102. Droge W, et al. Dysregulation of plasma amino acid levels in HIV-infection and cancer and its relevance for the immune system. *Amino Acids*. 1991; 1(2):193–8. [PubMed: 24194103]
103. Coaccioli S, et al. Oxidant/antioxidant status in patients with chronic HIV infection. *Clin Ter*. 2010; 161(1):55–8. [PubMed: 20393680]
104. Cribbs SK, et al. Anti-retroviral therapy is associated with decreased alveolar glutathione levels even in healthy HIV-infected individuals. *PLoS One*. 2014; 9(2):e88630. [PubMed: 24533122]
105. Lassiter C, et al. HIV-1 transgene expression in rats causes oxidant stress and alveolar epithelial barrier dysfunction. *AIDS Res Ther*. 2009; 6:1. [PubMed: 19193217]
106. Droge W, Eck HP, Mihm S. HIV-induced cysteine deficiency and T-cell dysfunction--a rationale for treatment with N-acetylcysteine. *Immunol Today*. 1992; 13(6):211–4. [PubMed: 1378279]
107. Kinscherf R, et al. Effect of glutathione depletion and oral N-acetyl-cysteine treatment on CD4+ and CD8+ cells. *FASEB J*. 1994; 8(6):448–51. [PubMed: 7909525]
108. Ubhi BK, et al. Targeted metabolomics identifies perturbations in amino acid metabolism that sub-classify patients with COPD. *Mol Biosyst*. 2012; 8(12):3125–33. [PubMed: 23051772]
109. Nobakht MGBF, et al. The metabolomics of airway diseases, including COPD, asthma and cystic fibrosis. *Biomarkers*. 2015; 20(1):5–16. [PubMed: 25403491]

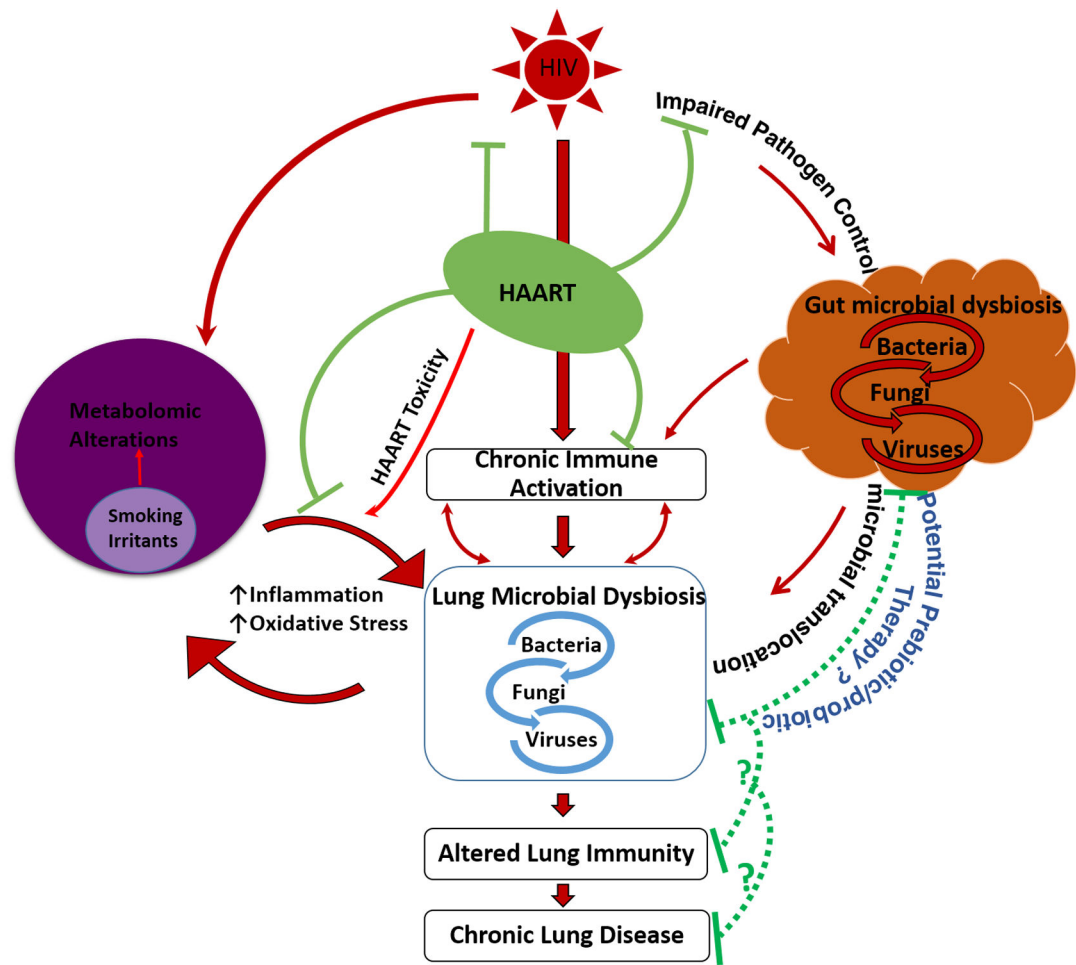
## 11. Key Issues

- The lung is not sterile. Distinct respiratory microbiomes exist in both health and disease states.
- The bacterial microbiome of the lung might be altered in HIV infection and other states of pulmonary disease. Factors such as HAART use, anti-microbial therapy, and immune health— as measured by CD4 counts are associated with shifts in microbial communities. Further studies are required to elucidate HIV-specific effects on lung microbial dysbiosis.
- Mycobionne-bacteria interactions influence species outgrowth, susceptibility to infection and exacerbation of inflammatory lung disorders.
- The virome has been understudied, but co-infections with multiple latent viruses are particularly important in HIV persistence and resultant lung complications.
- Metabolomic signatures of host-microbe and microbe-microbe interactions can elucidate functional and mechanistic pathways involved in HIV-associated pulmonary complications.





**Figure 1.**  
Schematic of microbiome data acquisition, processing and data analysis.



**Figure 2.**

Schematic of the relationships between HIV infection, alterations in host metabolic profiles (metabolome), alterations in gut and lung microbiomes and the development of chronic lung diseases.

**Table 1****Terminology and Methods Used in Microbiome Research**

<b>Terminology</b>	
<b>Microbiota</b>	The microorganisms of a particular site
<b>Microbiome</b>	Combined genetic material of all the microorganisms in a particular site
<b>Mycobiome</b>	Collection of the genomic contents of fungi within the microbiota
<b>Virome</b>	Collection of the genomic contents of viruses within the microbiota
<b>Metabolomics</b>	Study of unique chemical fingerprint from cellular metabolism includes both host- and microbe-associated metabolites
<b>Processing</b>	
<b>Next generation sequencing</b>	High throughput parallel DNA sequencing technologies. Includes Illumina, 454 Pyrosequencing, Polony sequencing etc.
<b>Metagenomic shotgun sequencing</b>	Method of determining nucleic acid sequence from a random mixed population of genomes.
<b>16S rRNA gene sequencing</b>	Method of determining DNA sequence of variable regions of the highly conserved bacterial 16S ribosomal gene
<b>RNA-seq</b>	Method of analyzing gene expression patterns from sequencing.
<b>Analysis</b>	
<b>Alpha diversity</b>	Measure of diversity within a sample
<b>Beta diversity</b>	Measure of uniqueness between populations
<b>Richness</b>	The number of species types in the microbiota
<b>Evenness</b>	Relative abundance or frequency of distribution of different communities
<b>Chao1 richness index</b>	Expression of numbers of different items in a population. Method of measuring $\alpha$ diversity.
<b>Operational Taxonomic Unit (OTU)</b>	Method of grouping similar taxa based on 16S rRNA sequence similarity. Used as a surrogate for species when sequences are 97% identical.
<b>Shannon Index</b>	Method of measuring diversity evenness. Lower number indicates lower diversity.
<b>Simpson Index</b>	Method of measuring diversity evenness. Lower number indicates higher diversity.
<b>UniFrac</b>	Method of determining phylogenetic distances between different populations.