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## Non-alcoholic Steatohepatitis is Associated with Increased Mortality in Obese Patients Undergoing Bariatric Surgery

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### Abstract

**Background & Aims**—Bariatric surgery is associated with improved outcomes in subjects with severe obesity. We investigated the prognostic relevance of non-alcoholic steatohepatitis (NASH) and liver gene expression patterns in patients undergoing bariatric surgery.

**Methods**—We performed a retrospective analysis of 492 subjects who underwent gastric bypass bariatric surgery at a single center in Switzerland from January 1997 through December 2004;

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### Disclosures

The authors disclose no conflicts

### Transcript Profiling

Microarray data are available at National Center for Biotechnology Information Gene Expression Omnibus (GSE69248).

Reviewer access link to the transcriptome profiling dataset: [http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?](http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?token=clsnclgmvbajneb&acc=GSE69248)

[token=clsnclgmvbajneb&acc=GSE69248](http://www.ncbi.nlm.nih.gov/geo/query/acc.cgi?token=clsnclgmvbajneb&acc=GSE69248)

### Author Contributions

study concept and design: NG, YH, JLF, LS, FN, LRB, EG

acquisition of data: NG, LS, MJ, WS, LRB, EG

analysis and interpretation of data: NG, YH, WS, EG

drafting of the manuscript: NG

critical revision of the manuscript for important intellectual content: all authors

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routine peri-operative liver biopsies were collected, analyzed histologically, and RNA was isolated. We collected data on overall survival and clinical and biochemical parameters and compared these with data from propensity score-matched subjects participating in the third National Health and Nutrition Examination Survey (NHANES III). We used liver biopsies to identify bariatric surgery patients with NASH; NHANES III participants with NASH were identified based on a hyperechogenic liver at ultrasound and increased alanine transaminase levels. We analyzed a 32-gene signature associated with NAFLD severity in the liver tissues collected from 47 bariatric surgery patients with NASH, and assessed its prognostic features using nearest template prediction and survival analysis.

**Results**—At baseline, the median body mass index of patients who underwent bariatric surgery was 43.6 kg/m<sup>2</sup>; based on histologic findings, 12% had NASH and 16% had fibrosis. During a median follow-up of 10.2 years after the surgery, 4.2% of the subjects died. In multivariable Cox regression, the presence of NASH (hazard ratio [HR], 2.9; *P*=.02) and arterial hypertension (HR, 3.9; *P*=.02) were associated with overall mortality. When bariatric surgery patients were matched with NHANES III participants, bariatric surgery reduced the risk of death during the follow-up period (HR, 0.54; *P*=.04). However, bariatric surgery patients with NASH did not have a reduced risk of death compared to NHANES III participants with NASH (HR, 0.90; *P*=.85). We identified an expression pattern of 32 genes in liver tissues from patients with NASH that was associated with increased risk of death in multivariable analysis (HR, 7.7; *P*=.045).

**Conclusions**—Histologically proven NASH is associated with increased risk of death within a median follow-up of 10.2 years after bariatric surgery, compared to patients who undergo bariatric surgery without NASH. The survival benefit of bariatric surgery in subjects with NASH may be reduced. A 32-gene expression pattern identified NASH patients who underwent bariatric surgery and had shorter survival times.

## Keywords

post-operative complication; fatty liver disease; treatment; biomarker; NHANES III

## Introduction

Obesity, defined as a body mass index (BMI) of over 30kg/m<sup>2</sup>, is a major public health issue affecting 34.9% of adults in the US and costing the US economy an estimated \$148 billion in 2008, or almost 10% of total US healthcare spending<sup>1, 2</sup>. Globally, the prevalence of overweight (BMI greater than 25kg/m<sup>2</sup>) increased from 857 million to 2.1 billion, (29% to 37% of the world population) between 1980 and 2013<sup>3</sup>. Obesity is strongly associated with non-alcoholic fatty liver disease (NAFLD), a clinicopathological spectrum ranging from hepatic steatosis, to non-alcoholic steatohepatitis (NASH) and cirrhosis. NAFLD is emerging as the most common liver disease in the Western world, with up to one third of adults affected in the US<sup>4, 5</sup>. The prevalence of NAFLD in the setting of morbid obesity and bariatric surgery, ranges from 63–99% for steatosis >5%, 10–58% for NASH and 7–74% for fibrosis depending on definition, diagnostic criteria and the distribution of risk factors in the specific population studied<sup>6</sup>

Bariatric surgery is now widely performed for severely obese subjects, and has been associated with weight reduction and an improvement in obesity-related conditions including type 2 diabetes, arterial hypertension and dyslipidaemia<sup>7</sup>. Despite increasing data from case-control or cohort studies suggesting that bariatric surgery is associated with improved long-term survival, the mortality benefit depends on the risk-profile of the population with one study in Veterans Affairs medical centres reporting no benefit<sup>8–10</sup>. Most prognostic studies in bariatric surgery-treated patients have focused on short-term postoperative outcomes<sup>11, 12</sup>. Baseline factors associated with long-term mortality post bariatric surgery have not been fully established, although age, male sex, BMI over 50kg/m<sup>2</sup>, presence of comorbid conditions (including diabetes, cardiovascular disease) and active smoking have been proposed<sup>9, 13, 14</sup>. In particular, despite the high prevalence of NAFLD/NASH in this population, its relevance in long-term outcome after bariatric surgery is unknown.

We aimed to study the prognostic relevance of histological and molecular parameters derived from liver biopsy specimens, on overall long-term survival in a well-characterized single-centre cohort of obese patients undergoing bariatric surgery.

## Materials and Methods

### Patient cohort (Geneva bariatric surgery cohort)

Five hundred severely obese patients consecutively underwent bariatric surgery (Roux-en-Y gastric bypass surgery) at Geneva University Hospital, a tertiary hospital serving the area around Geneva, Switzerland, between June 1997 and December 2004 (Geneva bariatric surgery cohort)<sup>15</sup>. During surgery, patients underwent systematic cholecystectomy and left-lobe (segment 3) wedge-resection or needle liver biopsy. Patients were excluded from the study if they had chronic hepatitis B virus (HBV) or hepatitis C virus (HCV) infection at baseline, no available baseline liver biopsy tissue, or previous weight-losing surgery (Figure 1). Patients with significant substance abuse, including alcohol abuse (defined as more than 14 units of alcohol per week), were excluded from surgery (see Supplementary Methods for additional details). This study was approved by the Institutional Review Board of Geneva University Hospital (protocol number 14–125), and the requirement for informed consent from the patients was waived due to the retrospective nature of this study.

### Outcome assessment

The main outcome of interest was overall survival. Mortality data was obtained prospectively or, if the patient was lost to follow-up, it was assessed from the cantonal registry of Geneva. Cause of death was extracted from electronic medical records at our institution. Percentage excess BMI loss (EBMIL) was defined as  $([\text{preoperative BMI} - \text{postoperative BMI}] \times 100) / (\text{preoperative BMI} - 25)$ . All endpoints, assessed variables and analyses were pre-planned (see Supplementary Methods for additional details).

### Histological assessment of liver biopsy

All histological liver biopsy specimens were reviewed by an experienced liver histopathologist (LRB) blinded to clinical data. A threshold of 5% of hepatocytes with

macrovesicular steatosis was required for the diagnosis of NAFLD. NASH was defined as an overall pattern of histological injury based on the presence of >5% macrovesicular steatosis, inflammation, and hepatocyte ballooning and not a NAFLD activity score (NAS) cut-off because previous reports underscore a suboptimal correlation between histological assessment of NASH and the semiquantitative NAS<sup>16, 17</sup>. NAS was determined based on steatosis grade, lobular inflammation, and hepatocellular ballooning<sup>16</sup> and the fibrosis score was assessed according to the NASH clinical research network (CRN) modified Brunt methodology<sup>16</sup>.

### Comparison with the NHANES III cohort

To assess whether overall survival in the Geneva cohort differed to a control obese population, we performed propensity-score matching of the Geneva cohort subjects to subjects from the third US National Health and Nutrition Examination Survey (NHANES III)<sup>18</sup> and compared survival of the subjects. A subset of the NHANES III cohort, who likely had NASH based on elevated ALT (>330 IU/L in men and >19 IU/L in women) and at least moderate hepatic steatosis by ultrasound (834 subjects: NHANES III NASH cohort), was propensity-score matched to the NASH subjects in the Geneva bariatric surgery cohort (see Supplementary Methods for additional details).

### Tissue processing, RNA extraction and gene expression profiling

All NASH subjects with sufficient remaining formalin-fixed, paraffin-embedded (FFPE) hepatic tissue for RNA extraction (n=47) were included for gene expression profiling. Briefly, total RNA was extracted from five 5- $\mu$ m-thick FFPE tissue sections using the High Pure RNA paraffin kit (Roche). Gene expression profiling was performed using 100–400ng total RNA by using nCounter Digital Analyzer system (NanoString) according to the manufacturer's instructions. Raw transcript count data were log transformed and scaled by geometric mean of control probe data by using NanoString normalizer module implemented in GenePattern genomic analysis toolkit ([www.broadinstitute.org/cancer/software/genepattern](http://www.broadinstitute.org/cancer/software/genepattern)). Gene expression dataset is available at National Center for Biotechnology Information Gene Expression Omnibus database (accession number: GSE 69248).

### Statistical and bioinformatics analysis

Continuous and categorical variables were tested using Wilcoxon rank-sum test and Fisher's exact test, respectively. Association of the baseline variables with clinical outcomes were evaluated using uni- and multivariable Cox regression modelling. Propensity score-matching was performed non-parametrically using nearest-neighbour 1 to 1 matching, based on the following variables: BMI, sex, age, presence of diabetes, arterial hypertension, level of bilirubin, aspartate aminotransferase (AST),  $\gamma$ -glutamyltransferase (GGT) and total cholesterol (see Supplementary Methods for additional details).

A prognostic 32-gene signature reported in our previous study<sup>19</sup> was used to perform prognostic prediction by using nearest template prediction algorithm<sup>20</sup> implemented in GenePattern. Gene regulatory network was identified by using Multiscale Embedded Gene Co-expression Network Analysis (MEGENA)<sup>21, 22</sup>. All statistical and bioinformatics

analyses were performed using GenePattern and the R statistical package version 3.1 ([www.r-project.org](http://www.r-project.org)).

## Results

### Patient characteristics of the Geneva cohort

Eight of the 500 consecutively enrolled subjects were excluded due to absence of liver biopsy or prior bariatric surgery (Figure 1). Median baseline weight and BMI of the 492 patients included were 118kg and 43.6kg/m<sup>2</sup> respectively, Table 1 summarizes other baseline characteristics. At baseline histology (84% wedge-resection biopsy), 89% had steatosis in more than 5% of hepatocytes, 12% had NASH and 4% had fibrosis stage 2 or greater (Table 2). Compared to non-NASH patients, patients with NASH were more likely to be male, diabetic, hypertensive, previous or current smokers and to be older, whereas subjects with fibrosis were more likely to be male and diabetic (Table 1).

Prospectively assessed weight and BMI was available in 81%, 67%, 53% and 34% 1, 2, 3 and 5 years after bariatric surgery, respectively (Supplementary Table 1). Median weight loss and EBML at 1 year after bariatric surgery were 38kg and 74% respectively, similar to other reports and systematic reviews of bariatric surgery effectiveness<sup>7</sup>. No patient required bariatric surgery reversal. In univariable and multivariable analysis, EBML greater than 50% was not associated with features at liver histology but inversely correlated to male sex and baseline BMI greater than 45kg/m<sup>2</sup> (Supplementary Table 2).

### Overall Survival

Median follow-up of the Geneva cohort was 10.2 years (7.0–12 years). Overall, 21/492 (4.3%) individuals died during follow-up, causes of death were cerebrovascular disease in 2/21, non-hepatic neoplasia in 4/21 (all more than 5 years after bariatric surgery), sepsis in 7/21 (not at time of initial surgery), other causes in 7/21 patients (including pulmonary embolus, suicide, accident and one cirrhosis initially attributed to alcohol liver disease but reassessed as multifactorial aetiology due to the short history of alcohol consumption prior to death) and 3/21 unknown. When stratified by cause of death, NASH was associated with death from sepsis in univariable analysis along with other baseline factors (Supplementary Table 3) whereas fibrosis had borderline significance ( $p=0.067$ ). Events were too rare in the other causes of death to test association with baseline factors.

Baseline factors associated with overall mortality in univariable survival were male sex, age greater than 50 years, presence of diabetes, arterial hypertension, presence of histological NASH and liver fibrosis (Table 3 and Figure 2A–B). In Cox regression multivariable stepwise AIC model selection only NASH (hazard ratio [HR] 2.9,  $p=0.02$ ) and arterial hypertension (HR 3.9,  $p=0.02$ ) were independently associated with overall mortality (Table 3). Neither liver fibrosis nor the interaction term between NASH and liver fibrosis were associated with overall mortality in the multivariable Cox regression.

To further clarify whether metabolic cofactors were driving the association between increased mortality and NASH, we performed a matched case-control analysis within the Geneva cohort. We matched the 59 NASH subjects with 59 non-NASH controls for age,

presence of diabetes and arterial hypertension. This yielded 59 pairs of NASH cases and matched non-NASH controls with identical proportions of diabetes (46%), arterial hypertension (51%) and age greater than 50 years (34%) although, as expected, AST, ALT and GGT were significantly elevated in the NASH group (Supplementary Table 4). Despite matching for features of the metabolic syndrome, NASH at baseline biopsy was still associated with increased long-term mortality (HR=8.8, 95%CI 1.1–70, p=0.04).

When comparing baseline liver histology between subjects alive and dead at end of follow-up, deceased subjects had a higher proportion of NASH (38% versus 11%, p=0.01), fibrosis (38% versus 18%, p=0.045) a higher median NAS score (3 versus 2, p<0.001) and more advanced fibrosis (p=0.03) (Supplementary Table 5). In survival analysis, individuals with a NAS score  $\geq 5$  had significantly higher mortality than patients with a NAS score of  $\leq 2$  (p<0.001), whereas patients with fibrosis stage 1 and stage  $\geq 2$  had a significantly increased mortality than patients without fibrosis (p=0.048 and p=0.005 respectively) (Figure 2C–D and Supplementary Table 6).

### Comparison with matched obese subjects in NHANES III

In order to assess the effect of bariatric surgery on the survival of obese patients, the Geneva cohort was matched, using propensity-score matching, to 18723 subjects from the NHANES III cohort (Figure 1: propensity-score matching #1). This yielded 335 matched pairs of patients with similar baseline characteristics (Supplementary Table 7, Supplementary Figure 1A–B). Mortality was significantly reduced in the patients undergoing bariatric surgery in univariable and multivariable analysis (HR 0.54, p=0.035, Figure 2E, Supplementary Table 8).

To assess the potential impact of bariatric surgery on overall survival in patients with NASH, we matched the subset of patients in the Geneva cohort with histological NASH (n=59) with subjects from NHANES III with at least moderate steatosis on liver ultrasound and raised ALT (Figure 1: propensity-score matching #2). In the Geneva cohort, when compared to the histological gold standard, this surrogate marker of NASH had a sensitivity of 74%, specificity of 96%, positive predictive value of 83% and negative predictive value of 93% for the diagnosis of NASH. This index was confirmed to be prognostic in the Geneva cohort as the 145 subjects with steatosis and raised ALT had increased mortality (HR 3.7, p=0.003). This matching yielded 41 pairs of subjects with similar baseline characteristics despite higher ferritin in the NHANES III cohort (Supplementary Table 9, Supplementary Figure 2A–B). In this analysis, bariatric surgery was not significantly associated with reduced survival (Figure 2F), whereas older age was associated with worse survival (Supplementary Table 10).

### Gene signature-based prognostication in NASH patients

We next assessed whether molecular-based risk assessment in hepatic tissue of subjects undergoing bariatric surgery could improve risk stratification, especially in NASH patients. Forty-seven NASH subjects had available FFPE tissue blocks for transcriptome analysis. Median BMI and weight were 44.6kg/m<sup>2</sup> and 125kg respectively, median NAS was 5 and seven subjects (15%) died during follow-up (Supplementary Table 11). A previously



published 32-gene signature<sup>19</sup> (Supplementary Table 12) was found to be significantly associated with NAFLD severity in a previous cohort of 72 NAFLD subjects<sup>23</sup> (Supplementary Figure 3). The 32-gene poor prognosis prediction was associated with increased overall mortality in Geneva cohort NASH subjects (log-rank  $p=0.03$ ). In multivariable Cox regression modelling, age greater than 50 years (HR 14,  $p=0.02$ ) and 32-gene signature poor prognosis prediction (HR 9.0,  $p=0.045$ ) were independently associated with increased overall mortality (Figure 3A–B, Supplementary Table 13).

In the NASH gene expression profiles, MEGENA identified 9 distinct gene regulatory modules (Figure 3C, Supplementary Table 14). A tightly clustered gene module (no.4) was centred on a hub gene, epidermal growth factor (EGF), a fibrogenic and carcinogenic driver implicated as an HCC chemoprevention target in our previous study<sup>24</sup>, which has led to initiation of a clinical trial of EGF receptor inhibitor, erlotinib (clinicaltrials.gov, trial number NCT02273362). Module no.6 was enriched with TNF- $\alpha$  signalling via NF- $\kappa$ B pathway ( $p<0.001$ ), indicating presence of inflammatory pathway activation in NASH-affected liver (Supplementary Table 15). Module no.5 was enriched with collagen-encoding genes, *TGF- $\beta$*  target genes such as *TGFB1*, epithelial-mesenchymal transition pathway ( $p=0.002$ ), as well as 2 previously published hepatic stellate cell signatures<sup>25, 26</sup>, evidencing activated fibrogenesis ( $p<0.05$ ).

## Discussion

In this retrospective, single-centre cohort, we have demonstrated that presence of NASH at baseline liver histology of severely obese subjects undergoing bariatric surgery is associated with increased long-term mortality compared to those without NASH. Of greater concern, despite reduced overall mortality for obese subjects undergoing bariatric surgery compared to matched, non-surgical, subjects, patients with NASH at baseline did not have improved survival after bariatric surgery. Importantly, we identified that a poor prognosis 32-gene signature prediction<sup>19</sup> was associated with NAFLD severity in a previously published cohort of 72 subjects with NAFLD<sup>23</sup> and could identify poor prognosis NASH subjects in the Geneva cohort with increased long-term mortality compared to control obese NASH subjects.

To our knowledge, our study is the first analysis of the relationship between perioperative findings at liver biopsy and long term outcomes after bariatric surgery. Previous reports have demonstrated that the beneficial effects of bariatric surgery are not only linked to a reduction of body weight but also to an improvement in metabolic parameters such as type 2 diabetes, hypertension and dyslipidaemia<sup>7</sup>. Paired liver biopsy studies have also demonstrated a reduction of NASH prevalence over 5 years after surgery and improvement of fibrosis<sup>27, 28</sup>. Nevertheless, despite these encouraging results, our data suggests that subjects with baseline NASH have an increased mortality after bariatric surgery and their overall survival may not improve after bariatric surgery despite no significant difference in weight loss. Further studies will have to examine whether persistence of diabetes was associated with NASH and long-term mortality. Interestingly, when performing a subgroup analysis on cause of death in our cohort, NASH was associated with mortality from sepsis. This could suggest that the association between NASH and mortality in our study captures a risk factor for non-hepatic

mortality as underlined by the finding that only one person died from liver-related cause in our cohort. This could also explain why the association with overall mortality and NASH seemed stronger than the association with fibrosis, as opposed to longitudinal studies in non-bariatric surgery NAFLD subjects, indicating again that NASH could be a better predictor of overall, non-hepatic mortality whilst fibrosis may better predict liver-related mortality<sup>29, 30</sup>. In addition, the finding that TNF- $\alpha$  signalling was enriched in one of the gene regulatory module, may suggest a link with altered intestinal permeability<sup>31</sup> and possibly a lack of reversal to a more favourable metabolic profile in a subgroup of individuals captured by the gene signature.

Potential limitations of our study include the selection of different populations for our bariatric surgery cohort and the US-based control NHANES III cohort although we performed careful propensity-score matching to minimize bias with well-matched major predictors of prognosis such as age, or features of the metabolic syndrome. Although the diagnosis of NASH could not be histologically documented in the control NHANES III cohort due to the absence of liver biopsy, we believe that our two-tier selection of patients, first by selecting subjects with steatosis and raised ALT then by propensity-matching these subjects to the histologically documented Geneva cohort NASH subjects, limited potential biases. In addition, we tested the diagnostic performance of this previously reported<sup>32, 33</sup> non-invasive definition of NASH in the Geneva cohort and confirmed that it was associated with prognosis. Finally, although potential bariatric surgery in the NHANES III control group could represent a bias, only 2.9% of adults eligible for bariatric surgery in the US underwent the procedure between 1998 and 2006, suggesting that the impact of potential bariatric surgery in the control NHANES III group would be relatively low<sup>34</sup>.

In conclusion, we have shown that features found at baseline liver histology predict long-term overall survival in severely obese subjects undergoing bariatric surgery. Our results also suggest that a subgroup of subjects with NASH and a poor prognosis 32-gene signature is at particularly high risk of long-term mortality after bariatric surgery and may require specific management and follow-up. We believe these findings also reinforce the role of systematic perioperative liver biopsy in subjects undergoing bariatric surgery as a prognostic and diagnostic tool. Further experimental and clinical studies will be required to characterize and define these novel findings.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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## Abbreviations

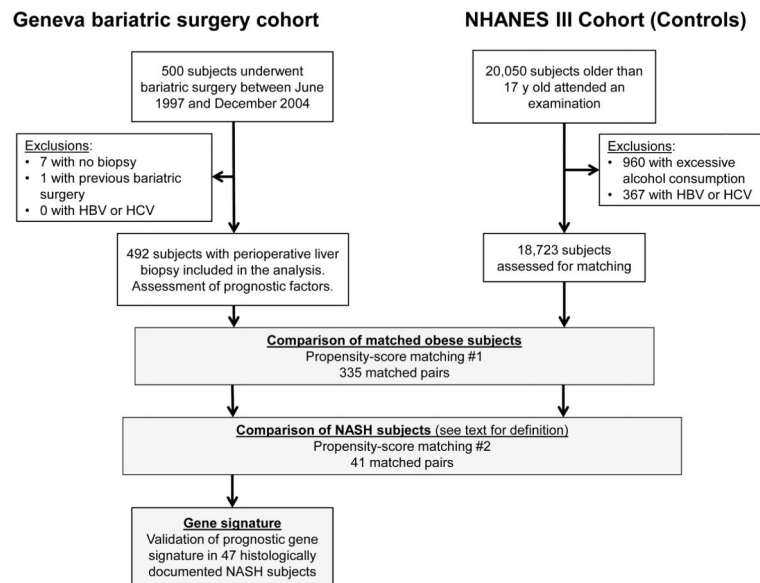
|                   |   |
|-------------------|---|
| <b>AIC</b>        | Akaike information criteria                             |
| <b>ALT</b>        | alanine transaminase                                    |
| <b>BMI</b>        | body mass index   |
| <b>EBMIL</b>      | excess BMI loss   |
| <b>EGF</b>        | epidermal growth factor                                 |
| <b>FDR</b>        | false discovery rate                                    |
| <b>HBV</b>        | hepatitis B virus                                       |
| <b>HCV</b>        | hepatitis C virus                                       |
| <b>HR</b>         | hazard ratio  |
| <b>MEGENA</b>     | Multiscale Embedded Gene Co-expression Network Analysis |
| <b>mTOR</b>       | mechanistic target of rapamycin                         |
| <b>NAFLD</b>      | non-alcoholic fatty liver disease                       |
| <b>NAS</b>        | NAFLD activity score                                    |
| <b>NASH</b>       | non-alcoholic steatohepatitis                           |
| <b>NHANES III</b> | National Health and Nutrition Examination Survey        |
| <b>OR</b>         | odds ratio  |

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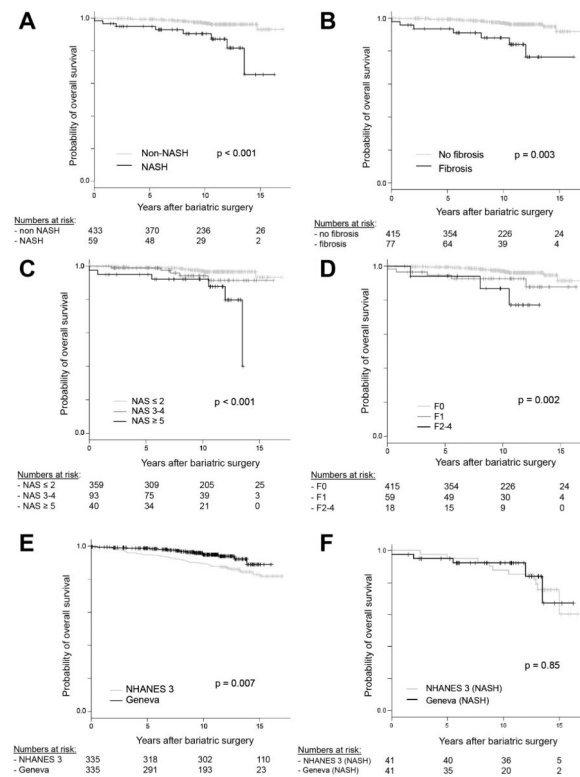
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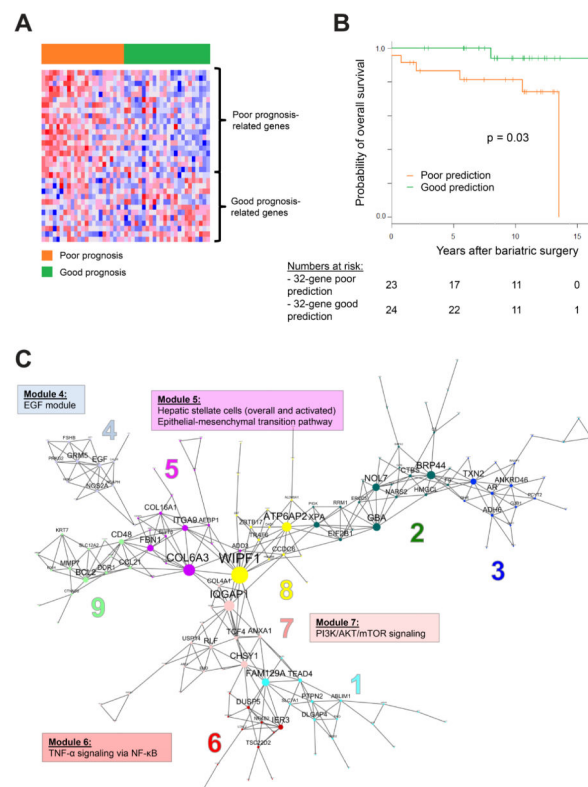


**Figure 1.**

Flowchart of patient inclusion in the Geneva cohort (left) and matching with the NHANES III cohort (right). A subset of 47 patients with histologically documented NASH was assessed for a liver-based gene signature.

**Figure 2.**

A–D, Survival of the Geneva bariatric surgery cohort stratified by NASH, fibrosis, NAS score and fibrosis score. E–F, Survival comparison of propensity-score matched Geneva and NHANES III cohorts (n=335 in each cohort). F, Survival comparison of NASH subjects in the propensity-score matched Geneva and NHANES III cohorts (n=41 in each cohort).

**Figure 3.**

Gene expression signature prediction of overall survival in 47 NASH patients in the Geneva bariatric surgery cohort. A, 32-gene signature expression pattern, red and blue colors in the heatmap indicate high and low expression respectively. Each patient is represented as a column, individual genes are represented as rows. B, Survival of subjects based on their 32-gene prediction group. C, Gene regulatory network in NASH tissues. Each gene sub-module in the network is indicated by a different color and number. Enriched molecular pathways associated to 4 specific modules are highlighted.

EGF, epidermal growth factor; mTOR, mechanistic target of rapamycin



Table 1

Baseline characteristics of patients of the Geneva cohort and comparison of NASH versus non-NASH and fibrotic versus non-fibrotic subjects.

| Variable                           | All subjects     |     | NASH comparison |                | Fibrosis comparison |                | Statistical comparison    |                                   |
|------------------------------------|------------------|-----|-----------------|----------------|---------------------|----------------|---------------------------|-----------------------------------|
|                                    | N                | 492 | NASH            | non-NASH       | Fibrosis            | Non-fibrosis   | NASH vs non-NASH, p-value | Fibrosis vs non-fibrosis, p-value |
| <b>Clinical</b>                    |                  |     |                 |                |                     |                |                           |                                   |
| Male sex, n (%)                    | 89 (18)          |     | 22 (13)         | 67 (16)        | 26 (34)             | 63 (15)        | <b>0.004</b>              | <b>0.007</b>                      |
| Age (y)                            | 41 (34–49)       |     | 46 (40–53)      | 40 (33–48)     | 43.6 (36–50)        | 40.3 (33–49)   | <b>0.004</b>              | 1.0                               |
| Age (>50y), n (%)                  | 104 (21)         |     | 20 (34)         | 84 (19)        | 19 (25)             | 85 (21)        | 0.4                       | 1.0                               |
| Weight (kg)                        | 118 (108–132)    |     | 123 (161–174)   | 117 (110–130)  | 125 (112–140)       | 117 (108–131)  | 0.4                       | 0.2                               |
| BMI (kg/m <sup>2</sup> )           | 43.6 (41–47)     |     | 44 (42–49)      | 43 (41–47)     | 44.4 (42–48)        | 43.2 (41–47)   | 1.0                       | 1.0                               |
| BMI (>45kg/m <sup>2</sup> ), n (%) | 181 (37)         |     | 25 (42)         | 156 (36)       | 30 (39)             | 151 (36)       | 1.0                       | 1.0                               |
| Diabetes, n (%)                    | 82 (17)          |     | 27 (46)         | 55 (13)        | 27 (35)             | 55 (13)        | <b>0.002</b>              | <b>0.002</b>                      |
| Arterial Hypertension, n (%)       | 155 (32)         |     | 30 (51)         | 125 (29)       | 31 (40)             | 124 (30)       | <b>0.03</b>               | 1.0                               |
| OSA, n (%)                         | 67 (13)          |     | 12 (20)         | 55 (13)        | 12 (16)             | 55 (13)        | 1.0                       | 1.0                               |
| Ever smoked (n=297), n (%)         | 136 (46)         |     | 35 (66)         | 101 (41)       | 43 (62)             | 93 (41)        | <b>0.03</b>               | 0.051                             |
| <b>Biological</b>                  |                  |     |                 |                |                     |                |                           |                                   |
| Platelets ( $\times 10^9$ /L)      | 268 (226–310)    |     | 255 (200–300)   | 274 (240–310)  | 256 (220–310)       | 272 (230–310)  | 1.0                       | 1.0                               |
| Albumin (g/L)                      | 36 (35–39)       |     | 38 (35–39)      | 36 (35–38)     | 38 (35–39)          | 36 (35–38)     | 1.0                       | 1.0                               |
| Ferritin (ng/mL)                   | 74 (35–142)      |     | 147 (90–310)    | 65.5 (32–120)  | 137 (55–280)        | 70 (31–122)    | <b>0.002</b>              | <b>0.002</b>                      |
| Bilirubin (mg/dL)                  | 0.59 (0.47–0.76) |     | 0.65 (0.5–0.8)  | 0.60 (0.5–0.8) | 0.64 (0.5–0.8)      | 0.60 (0.5–0.8) | 1.0                       | 1.0                               |
| AST (IU/L)                         | 20 (16–27)       |     | 30 (25–42)      | 19 (15–24)     | 28 (21–42)          | 19 (15–24)     | <b>0.002</b>              | <b>0.002</b>                      |
| ALT (IU/L)                         | 26 (17–42)       |     | 47 (34–70)      | 24 (17–36)     | 44 (23–78)          | 24 (17–36)     | <b>0.002</b>              | <b>0.002</b>                      |
| AlkPh (IU/L)                       | 71 (60–84)       |     | 72 (60–94)      | 71 (60–84)     | 74 (62–91)          | 70 (60–84)     | 1.0                       | 1.0                               |
| GGT (IU/L)                         | 30 (20–48)       |     | 52 (36–92)      | 27.5 (19–43)   | 48 (34–85)          | 27 (19–43)     | <b>0.002</b>              | <b>0.002</b>                      |
| Total cholesterol (mg/dL)          | 200 (170–230)    |     | 200 (180–240)   | 200 (170–220)  | 210 (170–250)       | 200 (170–220)  | 1.0                       | 0.5                               |
| HDL cholesterol (mg/dL)            | 140 (110–170)    |     | 150 (120–190)   | 130 (110–160)  | 150 (130–190)       | 130 (100–170)  | 1.0                       | 0.6                               |
| Triglycerides (mg/dL)              | 120 (90–190)     |     | 160 (115–210)   | 115 (80–180)   | 160 (115–230)       | 115 (80–180)   | <b>0.004</b>              | <b>0.002</b>                      |
| HOMA-IR                            | 5.4 (3.6–8.5)    |     | 8 (5.3–17)      | 5.1 (3.4–8.2)  | 8.7 (5.4–16)        | 5.1 (3.4–7.9)  | <b>0.002</b>              | <b>0.002</b>                      |

AlkPh, alkaline phosphatase; GGT,  $\gamma$ -glutamyltransferase; HOMA-IR, homeostatic model assessment-insulin resistance; OSA, obstructive sleep apnoea.

Continuous variables: median (IQR). P-values adjusted for multiple testing (Bonferroni correction).

**Table 2**

Baseline histological data of the Geneva cohort.

| Variable                     | All subjects | NASH      | Fibrosis |
|------------------------------|--------------|-----------|----------|
| N                            | 492          | 59        | 77       |
| NAFLD (steatosis >5%), n (%) | 439 (89)     | 59 (100)  | 73 (95)  |
| NASH, n (%)                  | 59 (12)      | 59 (100)  | 47 (61)  |
| Fibrosis, n (%)              | 77 (16)      | 47 (78)   | 77 (100) |
| <b>NAS scoring</b>           |              |           |          |
| Overall NAS score            | 2 (1–3)      | 5 (4–6)   | 4 (2–5)  |
| Steatosis                    | 2 (1–2)      | 3 (2.5–3) | 3 (2–3)  |
| Lobular Inflammation         | 0 (0–0)      | 1 (1–1)   | 1 (0–2)  |
| Ballooning                   | 0 (0–0)      | 1 (1–2)   | 1 (0–1)  |
| <b>Fibrosis, n (%)</b>       |              |           |          |
| stage 0                      | 415 (84)     | 12 (20)   | 0 (0)    |
| stage 1                      |              |           |          |
| stage 1A                     | 30 (6.1)     | 21 (36)   | 30 (39)  |
| stage 1B                     | 7 (1.4)      | 6 (10)    | 7 (9)    |
| stage 1C                     | 22 (4.5)     | 2 (3)     | 22 (29)  |
| stage 2                      | 8 (1.6)      | 8 (14)    | 8 (10)   |
| stage 3                      | 9 (1.8)      | 9 (15)    | 9 (12)   |
| stage 4                      | 1 (0.2)      | 1 (2)     | 1 (1)    |

NAS, non-alcoholic fatty liver disease activity score.

Continuous variables: median (IQR).

Table 3

Predictors of overall survival in the Geneva cohort.

| Variable                       | Number in each group |              | Univariable analysis |          |                  | Multivariable analysis |         |             |
|--------------------------------|----------------------|--------------|----------------------|----------|------------------|------------------------|---------|-------------|
|                                | Died, n (%)          | Alive, n (%) | HR                   | 95% CI   | p-value          | HR                     | 95% CI  | p-value     |
| Total n                        | 21 (4)               | 471 (96)     |                      |          |                  |                        |         |             |
| <b>Clinical</b>                |                      |              |                      |          |                  |                        |         |             |
| Male sex                       | 9 (43)               | 80 (17)      | 3.8                  | 1.6–9.0  | <b>0.001</b>     | 2.0                    | 0.8–4.8 | 0.14        |
| Age (> 50y)                    | 12 (57)              | 92 (19)      | 5.3                  | 2.2–13   | <b>&lt;0.001</b> | 2.5                    | 0.9–6.4 | 0.07        |
| BMI (> 45kg/m <sup>2</sup> )   | 11 (52)              | 170 (36)     | 2.1                  | 0.90–5.0 | 0.08             |                        |         |             |
| Diabetes                       | 8 (38)               | 74 (16)      | 3.3                  | 1.4–8.0  | <b>0.005</b>     | -                      | -       | -           |
| Arterial hypertension          | 16 (76)              | 139 (30)     | 7.9                  | 2.9–22   | <b>&lt;0.001</b> | 3.9                    | 1.2–12  | <b>0.02</b> |
| OSA                            | 4 (19)               | 63 (13)      | 1.6                  | 0.53–4.7 | 0.41             |                        |         |             |
| Ever smoked                    | 9 (45)               | 127 (46)     | 0.96                 | 0.40–2.3 | 0.92             |                        |         |             |
| <b>Histology</b>               |                      |              |                      |          |                  |                        |         |             |
| NAFLD (steatosis >5%)          | 20 (95)              | 419 (89)     | 2.2                  | 0.29–16  | 0.43             |                        |         |             |
| NASH                           | 8 (38)               | 51 (11)      | 5.1                  | 2.1–12   | <b>&lt;0.001</b> | 2.9                    | 1.2–7.4 | <b>0.02</b> |
| Fibrosis                       | 8 (38)               | 69 (15)      | 3.5                  | 1.5–8.6  | <b>0.003</b>     | -                      | -       | -           |
| <b>Biological</b>              |                      |              |                      |          |                  |                        |         |             |
| Platelets (> ULN)              | 2 (10)               | 18 (14)      | 0.79                 | 0.18–3.4 | 0.75             |                        |         |             |
| Albumin (< 35 g/L)             | 7 (35)               | 48 (38)      | 0.93                 | 0.42–2.7 | 0.89             |                        |         |             |
| Ferritin (>1.5 × ULN) *        | 1 (5)                | 13 (3)       | 1.51                 | 0.20–11  | 0.69             |                        |         |             |
| Bilirubin (> ULN)              | 1 (5)                | 7 (2)        | 2.8                  | 0.37–21  | 0.3              |                        |         |             |
| AST (> ULN)                    | 2 (10)               | 21 (5)       | 2.3                  | 0.53–9.8 | 0.25             |                        |         |             |
| ALT (> ULN) +                  | 16 (76)              | 296 (64)     | 1.9                  | 0.68–5.1 | 0.22             |                        |         |             |
| AlkPh (>ULN)                   | 1 (5)                | 11 (2)       | 2.4                  | 0.32–18  | 0.37             |                        |         |             |
| GGT (> ULN)                    | 14 (70)              | 212 (47)     | 2.6                  | 0.98–6.7 | 0.054            |                        |         |             |
| Total cholesterol (> 240mg/dL) | 4 (19)               | 75 (17)      | 1.2                  | 0.41–3.6 | 0.73             |                        |         |             |
| Triglycerides (> 200mg/dL)     | 4 (19)               | 74 (17)      | 1.1                  | 0.36–3.1 | 0.91             |                        |         |             |
| HOMA-IR (>3)                   | 15 (88)              | 321 (81)     | 2.1                  | 0.46–9.2 | 0.33             |                        |         |             |
| <b>Outcome</b>                 |                      |              |                      |          |                  |                        |         |             |
| EBMIL > 50%                    | 14 (78)              | 286 (72)     | 1.9                  | 0.62–5.8 | 0.27             |                        |         |             |

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AIC, Akaike information criteria; AlkPh, alkaline phosphatase; CI, confidence interval; EBMIL<sup>+</sup>, excess BMI loss; GGT,  $\gamma$ -glutamyltransferase; HOMA-IR, homeostatic model assessment-insulin resistance  
HR, hazard ratio; OSA, obstructive sleep apnoea; ULN, upper limit of normal

\* Ferritin: >300ng/ml in women and >450 ng/ml in men,

<sup>†</sup> ALT: Men: ULN3<330 IU/L, Women: ULN3<319 IU/L