

INVITED REVIEW

Evolution of C4 Phosphoenolpyruvate Carboxylase. Genes and Proteins: a Case Study with the Genus *Flaveria*

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C4 photosynthesis is characterized by a division of labour between two different photosynthetic cell types, mesophyll and bundle-sheath cells. Relying on phosphoenolpyruvate carboxylase (PEPC) as the primary carboxylase in the mesophyll cells a CO₂ pump is established in C4 plants that concentrates CO₂ at the site of ribulose 1,5-bisphosphate carboxylase/oxygenase in the bundle-sheath cells. The C4 photosynthetic pathway evolved polyphyletically implying that the genes encoding the C4 PEPC originated from non-photosynthetic PEPC progenitor genes that were already present in the C3 ancestral species. The dicot genus *Flaveria* (Asteraceae) is a unique system in which to investigate the molecular changes that had to occur in order to adapt a C3 ancestral PEPC gene to the special conditions of C4 photosynthesis. *Flaveria* contains not only C3 and C4 species but also a large number of C3–C4 intermediates which vary to the degree in which C4 photosynthetic traits are expressed. The C4 PEPC gene of *Flaveria trinervia*, which is encoded by the *ppcA* gene class, is highly expressed but only in mesophyll cells. The encoded PEPC protein possesses the typical kinetic and regulatory features of a C4-type PEPC. The orthologous *ppcA* gene of the C3 species *Flaveria pringlei* encodes a typical non-photosynthetic, C3-type PEPC and is weakly expressed with no apparent cell or organ specificity. PEPCs of the *ppcA* type have been detected also in C3–C4 intermediate *Flaveria* species. These orthologous PEPCs have been used to determine the molecular basis for C4 enzyme characteristics and to understand their evolution. Comparative and functional analyses of the *ppcA* promoters from *F. trinervia* and *F. pringlei* make it possible to identify the *cis*-regulatory sequences for mesophyll-specific gene expression and to search for the corresponding *trans*-regulatory factors.

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Key words: Phosphoenolpyruvate carboxylase, C4 photosynthesis, evolution, *Flaveria*.

INTRODUCTION

The C4 photosynthetic carbon cycle is a sophisticated addition to the C3 photosynthetic pathway and enables C4 plants to cope well with high light intensities, high temperatures and dryness. For this reason, C4 plants dominate grassland floras and biomass production in the warmer climates of the tropical and subtropical regions. It is no surprise that C4 plants, such as sugar cane, maize and sorghum are among the most productive crops in agriculture (Brown, 1999; Sage, 1999).

The high photosynthetic capacity of C4 plants is due to their unique mode of carbon assimilation which involves two different photosynthetic cell types, mesophyll and bundle-sheath cells. CO₂ is initially fixed by phosphoenolpyruvate carboxylase (PEPC) in the mesophyll cells into the C4 acids malate and/or aspartate, which are then transported to the bundle-sheath. In the bundle-sheath cells the C4 acids are decarboxylated, and the CO₂ is refixed by ribulose 1,5-bisphosphate carboxylase/oxygenase (Hatch, 1987).

As a consequence of this CO₂ concentration at the site of ribulose 1,5-bisphosphate carboxylase/oxygenase, the competitive inhibition of this enzyme by oxygen, which becomes prominent at higher temperatures, is largely excluded and C4 plants show drastically reduced rates of

photorespiration. In C3 plants this process may be responsible for a reduction in photosynthesis of up to 40 % (Ehleringer and Monson, 1993). The CO₂ pump ensures high rates of photosynthesis even when CO₂ concentrations are low in the intercellular air spaces of the leaf. With this, C4 plants are able to limit the opening of their stomata and thereby minimize water loss due to transpiration. As the CO₂ pump delivers saturating concentrations of CO₂ to the site of ribulose 1,5-bisphosphate carboxylase/oxygenase high photosynthetic rates are maintained with less enzyme than is required in C3 species. This is reflected in a higher nitrogen use efficiency (Long, 1999).

The functioning of C4 photosynthesis depends upon strict compartmentation of the CO₂ assimilatory enzymes into the photosynthetic cell types, mesophyll and bundle sheath cells. The primary carboxylating enzyme, phosphoenolpyruvate carboxylase, occurs exclusively in the mesophyll cells while the secondary carboxylase, ribulose 1,5-bisphosphate carboxylase/oxygenase, and the decarboxylating enzymes NADP-dependent malic enzyme, NAD-dependent malic enzyme or phosphoenolpyruvate carboxykinase are restricted to the bundle-sheath cells (Hatch, 1987; Kanai and Edwards, 1999). Enzymes of the photorespiratory C2 carbon cycle (Baldy and Cavalié, 1984), the nitrogen (Rathnam and Edwards, 1976) and sulphur (Schmutz and Brunold, 1984) assimilation pathways and of carbohydrate

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metabolism (Lunn and Hatch, 1995) also accumulate differentially in mesophyll and bundle-sheath cells. Even the photosynthetic electron transport chains of mesophyll and bundle sheath chloroplasts of the NADP-malic enzyme type of C4 plants differ. While thylakoid membranes of mesophyll chloroplasts possess a fully developed linear electron transport chain, those of the bundle-sheath chloroplasts are devoid of grana and severely depleted in photosystem II (Woo *et al.*, 1970; Meierhoff and Westhoff, 1993). These facts demonstrate that the C4 mode of photosynthesis involves a restructuring of the entire metabolic pathway of the mesophyll and bundle-sheath cells and leads to a single, highly integrative metabolic system.

The vast majority of C4 plants uses this two-cell mode, i.e. Kranz anatomy, to concentrate CO₂ at the site of ribulose 1,5-bisphosphate carboxylase/oxygenase. However, there are plants where inducible or permanent CO₂ pumps have been established within a single cell (Holaday and Bowes, 1980; Freitag and Stichler, 2000, 2002). These one-cell types of C4 photosynthesis are rare exceptions which apparently evolved only under very special environmental conditions.

The division of labour between mesophyll and bundle-sheath cells is governed by differential gene expression. It is known that this differential expression of the genes encoding the C4 cycle enzyme is largely due to transcriptional control (Dengler and Nelson, 1999; Sheen, 1999). Differential expression has also been reported for nuclear as well as plastid-encoded genes for photosystem II proteins (Sheen *et al.*, 1987; Kubicki *et al.*, 1994). Other genes are expressed differentially in C4 photosynthesis too (Wyrich *et al.*, 1998; Furumoto *et al.*, 2000; Renné *et al.*, 2003) but the extent has still to be elucidated.

All gene expression data indicate that the two cell types follow distinct but complementary differentiation pathways. To date it is not known how this interdependence of mesophyll and bundle sheath cells is achieved during leaf development. Cell-lineage analyses indicate that the two cells do not necessarily depend on a single clonal relationship. The available data suggest that positional information and light play important roles in determining the differentiation of mesophyll and bundle sheath cells (Dengler and Nelson, 1999).

C4 plants occur in at least 18 families of mono- and dicotyledonous plants (Sage *et al.*, 1999). These families are phylogenetically quite separate from each other. This indicates that C4 plants must have evolved several times independently from C3 ancestors during the evolution of angiosperms. There is strong evidence that even within a single taxon, for instance the Gramineae, this transition from C3 to C4 may have occurred more than once (Kellogg, 1999; Monson, 1999). The multiple independent origin of C4 photosynthesis suggests that the evolution of a C3 into a C4 species must have been relatively easy in genetic terms and that just a few master genes, if any, would have been involved. The available molecular data on the C4 cycle enzymes support this point of view. None of the C4 enzymes are unique to C4 plants. Non-photosynthetic isoforms of these enzymes are also present in C3 species and in the non-photosynthetic tissues of C4 species. The

ubiquity of these non-photosynthetic isoforms of the C4 cycle enzymes in C3 plants strongly indicates that these 'C3 isoforms' served as the starting point for the evolution of the C4 genes (Monson, 1999).

At least three major changes must have occurred during the evolution to adapt the C4 progenitor gene for its function in C4 photosynthesis. First, C4 isoform genes are highly expressed (Harpster and Taylor, 1986; Hermans and Westhoff, 1990; Crétin *et al.*, 1991) but C3 isoform genes are only moderately transcribed (Crétin *et al.*, 1991; Kawamura *et al.*, 1992; Ernst and Westhoff, 1996). The effectiveness of gene expression had therefore to be increased. Secondly, the C4 isoform genes had to evolve an organ- and cell-specific expression pattern, because strict compartmentation of the C4 enzymes is imperative for proper functioning of the C4 cycle (Hatch, 1987). Thirdly, it is known, at least for PEPC, that the C4 cycle enzymes differ from their C3 counterparts in kinetic and regulatory characteristics (Ting and Osmond, 1973a; Svensson *et al.*, 2003). Therefore, the coding regions had to be changed to achieve the required adaptations of the enzymatic properties.

To gain insight into the evolution of C4 genes the entry enzyme of the C4 cycle, PEPC is being used as our model C4 enzyme/gene and the genus *Flaveria* (Asteraceae; Powell, 1978) as our experimental system. *Flaveria* contains C3 and C4 species and, in addition, a large number of C3–C4 photosynthetic intermediates (Edwards and Ku, 1987). These intermediates differ quantitatively in the expression of C4 photosynthetic traits, and there is convincing evidence that at least some of these are true evolutionary intermediates (Monson and Moore, 1989). Their presence suggests that evolution towards C4 photosynthesis is still continuing in this genus.

Phosphoenolpyruvate carboxylase was selected for this evolutionary analysis because the biochemistry and molecular biology of this enzyme have been studied intensively both in C4 and C3 plants (Rajagopalan *et al.*, 1994; Chollet *et al.*, 1996). PEPC catalyses the irreversible carboxylation of phosphoenolpyruvate (PEP) to form oxaloacetate. The enzyme needs Mg²⁺ as an essential cofactor and requires that the inorganic carbon be supplied as bicarbonate. Consequently, mesophyll cells of C4 plants contain high amounts of carbonic anhydrase to fulfil the demands of PEPC when the carbon flux through the C4 cycle is high (Badger and Price, 1994). Native PEPC is a tetramer comprising four identical subunits each with a molecular mass of about 100 000 Da (Kai *et al.*, 2003). PEPC activity is regulated by metabolites (Kai *et al.*, 2003), but also post-translationally by phosphorylation (Nimmo, 2003).

This brief review is summary of our work on the evolution of the enzymatic characteristics of C4 PEPC and of the transcriptional regulation of its gene in *Flaveria*.

THE GENUS *FLAVERIA* AND C4 PHOTOSYNTHESIS

The PEPC gene family

The photosynthetic and non-photosynthetic PEPCs of the C4 plant *F. trinervia* are encoded by a small gene family which consists of three distinct classes, named *ppcA*, *ppcB*

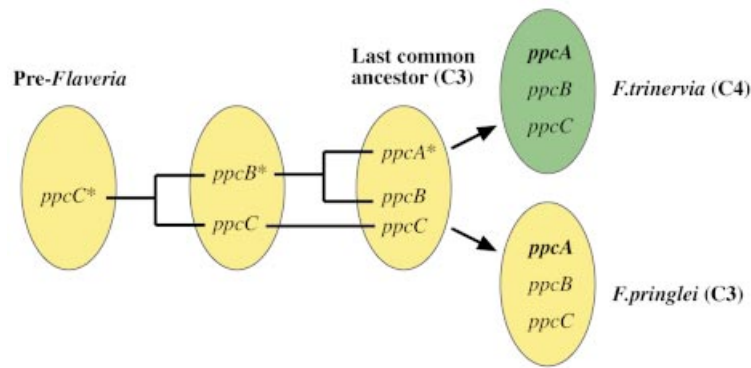


FIG. 1. Evolution of PEPC genes (*ppc*) in the genus *Flaveria*. Sequence analyses suggest that the *ppcA* gene class was formed by gene duplication of a *ppcB*-type PEPC gene (*ppcB**) already present in the last common ancestral C3 plant that gave rise to *F. pringlei* (C3) and *F. trinervia* (C4) (Bläsing *et al.*, 2002). The *ppcA* genes of *F. trinervia* (C4) encode the C4 PEPC of this species. The orthologous *ppcA* gene class of the closely related C3 species *F. pringlei* is used as a reference C3 gene for studying the evolution of C4 PEPC.

and *ppcC* (Fig. 1). The *ppcA* gene class contains two members and encodes the C4 isoform of PEPC (Poetsch *et al.*, 1991; Hermans and Westhoff, 1992). The *ppcB* and *ppcC* gene classes probably consist of only one gene each and code for non-photosynthetic PEPC isoforms. The exact physiological roles of the *ppcB* and *ppcC* PEPCs have not been determined (Ernst and Westhoff, 1996). Phylogenetic analysis of cDNA sequences revealed that *ppcA* and *ppcB* genes are sister gene classes. This indicates that they were derived from an ancestral *ppcB*-like gene by gene duplication (Fig. 1; Bläsing *et al.*, 2002). There may be a fourth gene class, named *ppcD*. However, the existence of this remains doubtful since neither genomic nor cDNA sequences have been analysed and no expression has been detected (Hermans and Westhoff, 1990).

The same three classes of *ppc* genes are also present in the C3 plant *F. pringlei* (Hermans and Westhoff, 1990, 1992). This indicates that the last common ancestor of *F. pringlei* and *F. trinervia* contained the same set of genes as those in present C3 and C4 flaverias (Fig. 1). The nearest neighbour to the *ppcA* genes of *F. trinervia* is the *ppcA* gene class of *F. pringlei*, which appears to be represented by a single copy gene (Hermans and Westhoff, 1990; Bläsing *et al.*, 2002). The *ppcA* gene classes of *F. trinervia* and *F. pringlei* therefore represent evolutionary orthologues. If it is assumed that the *ppcA* gene of *F. pringlei* (C3) was similar, both in function and expression behaviour, to the *ppcA* gene of the last common C3 ancestor of present C3 and C4 *Flaveria* species, the *ppcA* gene class of *F. pringlei* provides the reference C3 gene that defines the starting point of C4 evolution.

The systematics of the genus Flaveria and the evolution of C4 photosynthesis

Based on the number of phyllaries, Powell (1978) divided the genus *Flaveria* into two major branches (Fig. 2A). The section with species that possess three to four phyllaries contains C3, C3–C4 and C4 species. Within this group are *F. trinervia* and *F. pringlei*, which serve as model C4 and

C3 species of this genus, respectively. The three to four phyllaries branch also contains *F. bidentis* which is the only C4 *flaveria* that is amenable to genetic engineering by tissue-culture-based *Agrobacterium tumefaciens*-mediated transformation techniques (Chitty *et al.*, 1994). The group with five to six phyllaries is composed of only C3–C4 intermediate species. Within this group is *F. brownii*, a C4-like species but with the expression of C4 photosynthesis dependent on environmental conditions, i.e. light intensity and growth temperature (Cheng *et al.*, 1989). The group with five to six phyllaries also contains the C3–C4 intermediate species *F. pubescens* which is the only transformable C3–C4 intermediate *flaveria* (Chu *et al.*, 1997).

Kopriva *et al.* (1996) proposed a molecular phylogeny of the genus *Flaveria* by using the H-protein of the glycine cleavage system as a gene marker. Their findings indicate that the group with five to six phyllaries forms a separate clade, thus confirming Powell's classification (Powell, 1978). Two to three thousand base pairs of *ppcA1* promoter sequences were isolated from the C4 plants *F. trinervia* and *F. bidentis*, the C4-like species *F. palmeri*, *F. vaginata* and *F. brownii*, the C4–C3-like species *F. pubescens*, *F. floridana*, *F. anomala* and *F. chloraefolia*, and the C3 plants *F. pringlei* and *F. cronquistii*. The overall structure of the promoters clearly separates the species with five to six phyllaries, i.e. *F. brownii*, *F. pubescens*, *F. floridana* and *F. chloraefolia* from the other *Flaveria* species analysed (U. Gowik and P. Westhoff, unpublished data). The 500 base pairs of proximal promoter sequences which can be aligned to each other without major gaps were used to construct a phylogenetic tree. The phylogram obtained supports the above classification and confirms that the species with five to six phyllaries form a distinct group (Fig. 2B). Based on these *ppcA* PEPC data one may propose that the evolution from C3 to C4 photosynthesis was initiated at least twice in this genus. However, to confirm this conclusion additional C4-related genes should be investigated at the phylogenetic level.

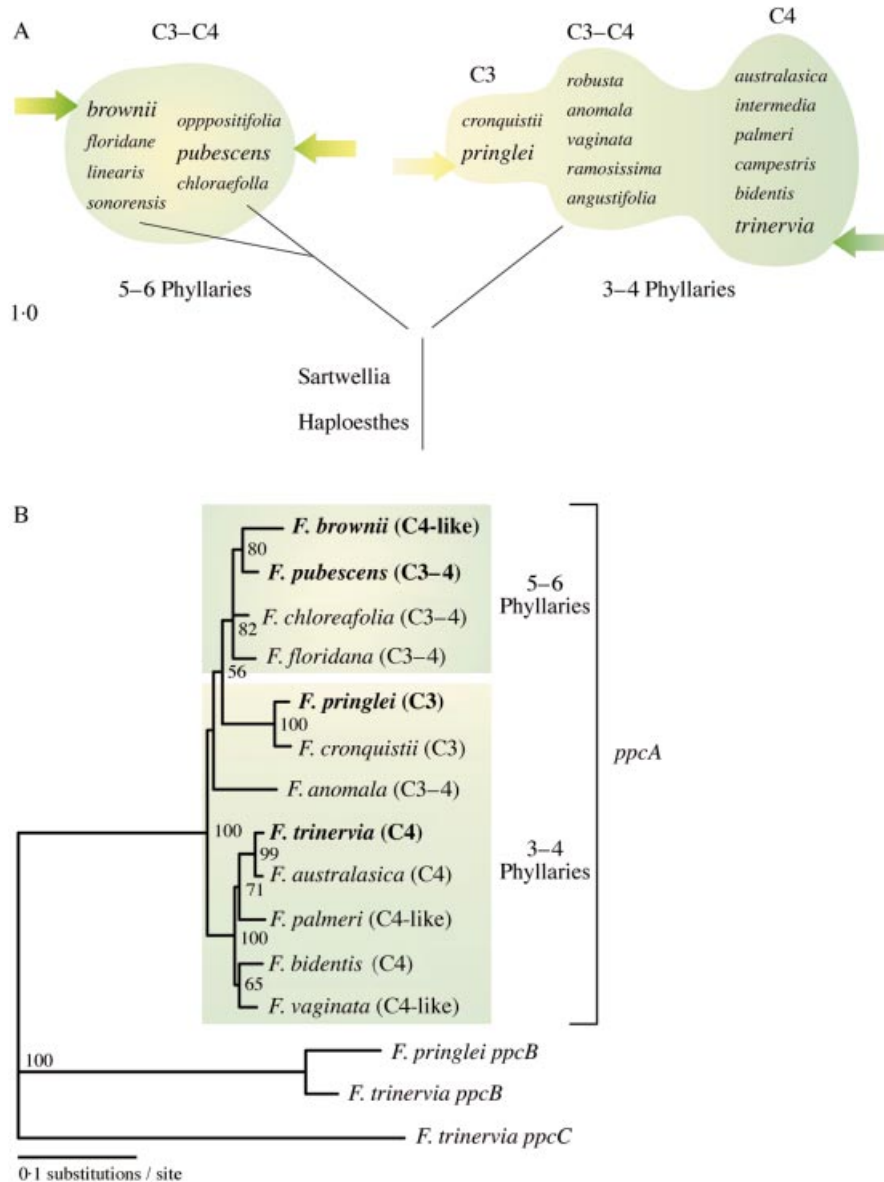


FIG. 2. Systematics and evolution of the genus *Flaveria*. A, Occurrence of C3, C3-C4 and C4 species. The figure is based on the systematics of the genus *Flaveria* as presented by Powell (1978). B, Phylogenetic tree of *Flaveria* species based on *ppcA1* promoter sequences. The 500 base pairs of proximal *ppcA1* promoter sequences were aligned using Clustal W (Thompson *et al.*, 1994). The phylogenetic tree was generated by the neighbour-joining method as implemented in PAUP 4.0 (Swofford, 2002).

EVOLUTION OF THE PEPC PROTEIN

Differences between C3 and C4 PEPCs

PEPC is positively and negatively controlled by its metabolic context. The enzyme is activated by hexose- and triose-phosphates and feedback inhibited by malate and aspartate (Fig. 3; Kai *et al.*, 2003). In addition, PEPC is regulated by phosphorylation at a specific serine residue near the amino terminus thereby increasing its general activity and decreasing its inhibition by malate (Nimmo, 2003).

The PEPCs involved in C4 photosynthesis differ from their non-photosynthetic isoforms in C3 plants (collectively named C3 PEPCs) in kinetic and regulatory properties. C4 PEPCs exhibit substrate saturation constants (K_m) for PEP that are usually about ten times larger than those of their C3 counterparts (Ting and Osmond, 1973b). On the other hand, the saturation constants for bicarbonate, the second substrate, are lower in C4 than C3 PEPCs (Bauwe, 1986). Finally, C4 PEPCs are more tolerant to malate when compared with the C3 PEPC isozymes (Dong *et al.*, 1998; Bläsing *et al.*, 2002).

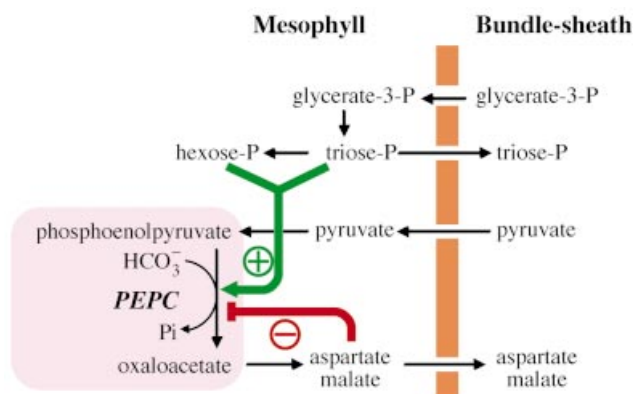


FIG. 3. The physiological context of C4 PEPC and its metabolic regulation. Metabolite products of photosynthesis, i.e. sugar phosphates, stimulate the enzyme and decrease K_m for the substrate PEP. Malate and other four-carbon organic acids (oxaloacetate, aspartate) are feedback inhibitors.

Molecular determinants of C4 properties

The differences in substrate affinity and inhibition by malate suggest that C4 PEPCs harbour specific C4 determinants that were acquired during the evolution of C4 photosynthesis. The use of the genus *Flaveria* as a model system permitted the first analyses of the molecular nature of these determinants. Recombinant C4, C3–C4 and C3 *ppcA* PEPCs and their chimeras were produced by functional expression of the respective cDNAs in a PEPC-negative *E. coli* strain, and the enzymatic properties of the purified proteins were investigated (Svensson *et al.*, 2003).

The *ppcA* PEPC of *F. trinervia* (C4) revealed a $K_m(\text{PEP})$ which is about nine-fold higher than the $K_m(\text{PEP})$ of the *ppcA* PEPC of *F. pringlei* (C3). The C4 enzyme is also ten times more tolerant to malate than its C3 counterpart (Fig. 4). The two orthologous PEPC enzymes, therefore, show the expected differences in kinetic and regulatory properties which are typical for C4 and C3 PEPC isoforms (Svensson *et al.*, 1997).

To unravel how PEPC enzyme characteristics changed during evolution towards C4 photosynthesis, *ppcA* PEPCs from the C3–C4 intermediate plant *F. pubescens* and the C4-like C3–C4 intermediate *F. brownii* were investigated. Both the $K_m(\text{PEP})$ values and the malate inhibition constants, K_i , are intermediate between the C3 and C4 *ppcA* PEPCs (Fig. 4). This indicates that the C3 PEPC evolved step by step into a C4 enzyme (Engelmann *et al.*, 2003).

Since the C3 and C4 *ppcA* isoforms share 96 % identical amino acid positions it should be feasible to pinpoint changes in the amino acid sequence responsible for the C4 characteristics (Svensson *et al.*, 1997). Therefore, reciprocal domain swapping experiments were conducted with the two *ppcA* PEPCs to locate regions in the enzyme that influence $K_m(\text{PEP})$ (Bläsing *et al.*, 2000). With this approach two regions, from amino acids 296 to 437 (region 2) and from amino acids 645 to 966 (region 5), were identified that

Species	<i>F. pringlei</i>	<i>F. pubescens</i>	<i>F. brownii</i>	<i>F. trinervia</i>
Photosynthesis	C3	C3–4	C4-like	C4
$K_{0.5}(\text{PEP})$ [μM]	41	60	128	393
$K_i(\text{malate})$ [μM]	200	600	1500	2500

C4 Photosynthesis

FIG. 4. Evolutionary changes of the affinity of *ppcA* PEPCs for the substrate PEP and in the inhibition by malate. Data from Engelmann *et al.* (2003).

contain the major C4 determinants for the saturation kinetics of the substrate PEP (Fig. 5). This was confirmed by inserting region 2 of the C4 enzyme and the C4 determinant of region 5 (see below) into an otherwise C3 background. The resulting chimeric enzyme possessed about two thirds of C4 PEPC characteristics with respect to $K_m(\text{PEP})$ (Engelmann *et al.*, 2002).

In region 5, the C4-specific properties were confined to a single amino acid, serine 774 (Fig. 5). All C4 enzymes studied to date contain a serine at this position while in all non-photosynthetic and CAM PEPCs this site is occupied by an alanine (Svensson *et al.*, 2003). It has to be concluded that serine 774 is of central importance for the evolution of C4 characteristics, at least with regard to the $K_m(\text{PEP})$. All investigated C3–C4 intermediate PEPCs, even from the C4-like species *F. brownii*, still show an alanine at this position (Engelmann *et al.*, 2003). This suggests that the change from alanine to serine occurred only recently during evolution from C3 to C4 photosynthesis.

In region 2, 16 differences were detected between the C3 and C4 *ppcA* PEPCs (Fig. 5). There is only one amino acid residue, a lysine at position 347, which both *F. trinervia* and *F. brownii* have in common and which differs from the arginine in this position in *F. pubescens* and *F. pringlei* (Fig. 5; Engelmann *et al.*, 2003). This lysine is also conserved in the C4 PEPC of maize where it is located between helices 12 and 13 (Matsumura *et al.*, 2002). This suggests that a lysine at this position is essential for C4 enzyme properties and hence this C4-associated lysine residue is a prime candidate for a C4 determinant of region 2.

Determinants for malate sensitivity and the affinity constant of bicarbonate have not been identified. Since the chimeric C3–C4 enzyme strategy in combination with phylogenetic comparisons of C3–C4 intermediate PEPCs, was very successful in identifying molecular determinants for $K_m(\text{PEP})$ at the amino acid level, this approach should also give new insights into the evolution of C4 PEPC characteristics for malate sensitivity and $K_m(\text{bicarbonate})$.

EVOLUTION OF C4 PEPC GENE REGULATION

Expression patterns of *ppcA* genes

The *ppcA* genes of *F. trinervia* are highly expressed but only in leaves (Ernst and Westhoff, 1996), where expression is

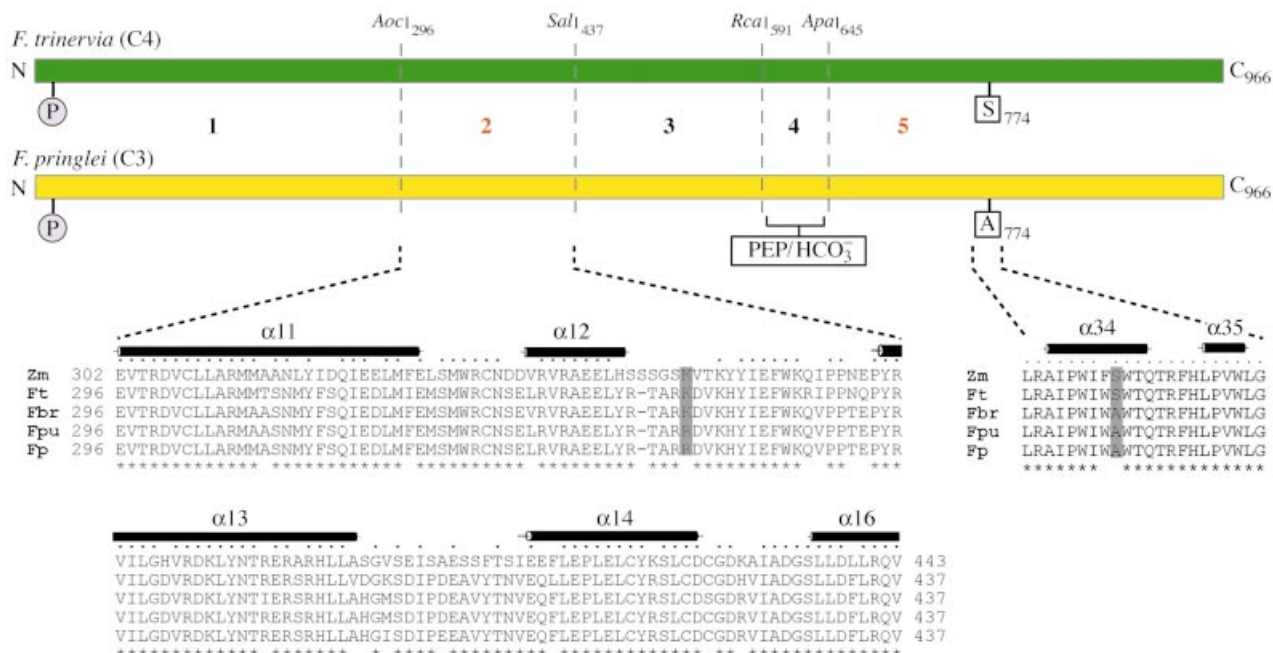


FIG. 5. An evolutionary model of C4 PEP-C in *Flaveria*. From five investigated enzyme domains, region 2 (positions 296–437) and region 5 (amino acids 645–966) contain the major C4 determinants for the saturation kinetics of PEP. P indicates the target phosphorylation site at position 11. The secondary structures indicated on top of the sequence alignments (black bars) were obtained from the recently published 3D structure of the C4 PEP-C of *Zea mays* (Matsumura *et al.*, 2002). The dots above the sequence alignments show those amino acid residues that are conserved in all displayed sequences. Sequence positions which are identical in all four *Flaveria ppcA* PEP-Cs are marked by stars below the strings of sequences. Note that position 347 (grey column) of the PEP-C isoenzymes harbours a lysine in the C4 species *F. trinervia* and *Z. mays*, and in the C4-like plant *F. brownii*, while the C3 species *F. pringlei* and the C3–C4 intermediate plant *F. pubescens* both instead hold an arginine at this site. At position 774 (grey column) serine occurs only in C4 PEP-Cs, while PEP-Cs from C3 and C3–C4 intermediate plants contain an alanine at this position. The amino acid numbering follows that of the *F. trinervia* protein.

restricted to the mesophyll cells (Höfer *et al.*, 1992; Koprivova *et al.*, 2001). This contrasts with the *ppcA* genes of *F. pringlei* which are weakly expressed similarly in leaves, stems and roots (Ernst and Westhoff, 1996). The increased gene expression in only the leaves, and the restriction of expression to the mesophyll cells were therefore two major steps taken during the evolution of the C3 ancestral *ppcA* gene into the present C4 *ppcA* genes. Elevated amounts of *ppcA* RNAs can already be detected in less advanced C3–C4 intermediates, i.e. *F. chloraefolia* (Engelmann *et al.*, 2003), while the corresponding *ppcA* PEP-C is still almost C3-like (Bauwe and Chollet, 1986). This suggests that an increase in *ppcA* gene expression occurred first during C4 evolution and preceded the conversion of the C3 PEP-C into the C4 enzyme. As far as the specificity of *ppcA* gene expression is concerned, no detailed *in situ* studies have been conducted on proteins and RNAs and it remains to be shown when the specific elements controlling mesophyll expression evolved.

Cis-regulatory elements for mesophyll specific *ppcA* gene expression

The mesophyll-specific expression of the *ppcA1* gene of *F. trinervia* is controlled at the transcriptional level. About 2200 base pairs of 5' flanking sequences (with reference to

the AUG translational start codon) are sufficient to cause high β -glucuronidase (GUS) expression exclusively in the mesophyll cells of the closely related C4 plant *F. bidentis*. In contrast, the 2538 base pairs (with reference to the AUG start codon) of the 5' flanking sequences of the *ppcA1* gene of *F. pringlei* were found to be a weak promoter and did not direct any organ- or cell-specific expression (Stockhaus *et al.*, 1997; Fig. 6). Both promoters thus exhibited all the attributes expected from the accumulation patterns of their corresponding RNAs and proteins. The increase in gene expression, but exclusively in the leaves, and the confinement of expression to the mesophyll cells were, therefore, the two prominent steps taken to convert the C3 ancestral *ppcA* gene into the present C4 *ppcA* genes.

Promoter deletion and recombination studies (U. Gowik, J. Burscheidt, M. Akyildiz and P. Westhoff, unpublished data) revealed that the distal sequences between base pairs –1565 to –2188 (DR segment) in combination with the proximal 570 base pairs (PR segment) of 5' flanking sequences of the *ppcA1* gene (Fig. 7A) are sufficient to achieve elevated mesophyll expression of the GUS reporter gene, i.e. the nucleotide sequences between –570 and –1566 are essentially dispensable for *ppcA1* promoter activity. The C4-DR functions both in the correct and the inverse orientation; this *cis*-regulatory region, therefore, shows the typical features of a transcriptional enhancer

(Blackwood and Kadonaga, 1998). When the C4-DR was inserted into the *ppcA1* promoter of *F. pringlei* a mesophyll expression component was added to that promoter, but its expression strength was only slightly increased (U. Gowik, J. Burscheidt, M. Akyildiz and P. Westhoff, unpublished data). Thus the C4-DR contains mesophyll expression determinants which are lacking in the C3 *ppcA1* promoter. However, a high expression in the mesophyll cells is only observed, when the C4-DR is combined with its corresponding PR segment. It also follows that the PR segments of the two promoters differ in mesophyll expression determinants.

The following scenario for the evolution of the C3 into the C4 *ppcA1* promoter may be derived from these findings. The evolutionary changes occurred both in the DR and PR segments of the promoter (Fig. 7A), and resulted in a high and mesophyll-specific expression of the C4 *ppcA* gene (Fig. 7A). First, *cis*-regulatory elements had to evolve that led to mesophyll specificity. Since the C3 *ppcA1* promoter is active in all leaf cells (Stockhaus *et al.*, 1997; Fig. 6) mesophyll specificity required that *cis*-regulatory elements for expression in non-mesophyll cells became inactivated. In addition, novel *cis*-regulatory elements for mesophyll expression may have been created. Secondly and concomitantly, the quantity of *ppcA* gene expression had to be increased. This could have been achieved by adding novel mesophyll specificity elements to the promoter whose interaction with the corresponding *trans*-regulatory factors was optimized step by step when progressing from C3 to C4 photosynthesis. Alternatively, and/or in addition, novel quantitative elements were created that interacted specifically with the mesophyll quality elements.

Detailed analyses allowed more precise definition of determinants in the C4-DR responsible for mesophyll expression. A 41-base-pair segment, named MEM1 (mesophyll expression module 1), was identified in the DR segment of the *F. trinervia* promoter that, in combination with the PR segment of that promoter, was sufficient to confer specificity for expression of the GUS reporter gene in the mesophyll (U. Gowik, J. Burscheidt, M. Akyildiz and P. Westhoff, unpublished data).

MEM1 homologous sequences were also detected in the *ppcA1* promoter of *F. pringlei* (Fig. 7B) and in other C3, C4 and C4-like *Flaveria* species (Gowik *et al.*, 2003). Their comparison revealed that MEM1 sequences consist of two parts, A and B, which are contiguous in *F. trinervia*, but are separated by 97–108 base pairs in the C3 species *F. pringlei* and *F. cronquistii*, the C4 plant *F. bidentis* (C4) and the C4-like species *F. palmeri* and *F. vaginata* (U. Gowik, J. Burscheidt, M. Akyildiz and P. Westhoff, unpublished data). The A parts of all C4 and C4-like species show a guanine at their first nucleotide position, while an adenine is present in the A-homologues of the two C3 species. A similar C4-to-C3 associated difference is also found for the tetra nucleotide CACT. This assemblage is present in the B parts of all C4 and C4-like species but absent in both C3 promoters. These C4-to-C3 correlated differences in MEM1 composition are likely candidates for *cis*-regulatory elements governing mesophyll specific gene expression.

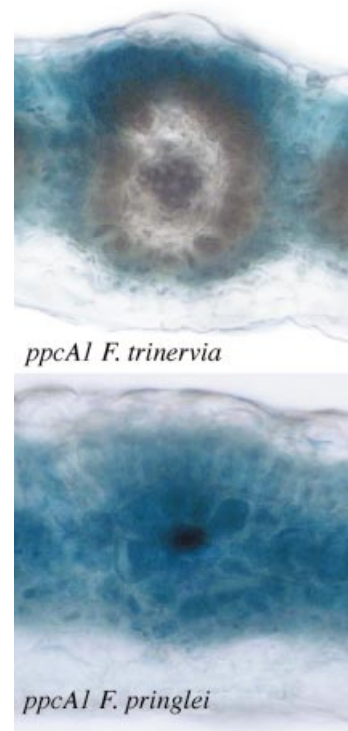


FIG. 6. Histochemical analysis of the activities of the *ppcA1* promoters of *F. trinervia* (C4) and *F. pringlei* (C3) in transgenic *F. bidentis* (C4) (cf. Stockhaus *et al.*, 1997). The β -glucuronidase gene (*GUS*) was used as a reporter gene (Jefferson *et al.*, 1987).

Trans-regulatory factors for mesophyll specific *ppcA* gene expression

Analysis of *trans*-regulatory factors that are necessary for mesophyll-specific expression of the *ppcA* gene is in its infancy. By using the yeast one-hybrid system (Vidal and Legrain, 1999), homeodomain proteins were identified that belong to a hitherto uncharacterized class containing a zinc finger domain. Such proteins specifically interact with the PR segment of the *ppcA1* promoter of *F. trinervia* but not with the corresponding region of the *ppcA1* promoter of *F. pringlei* (Windhövel *et al.*, 2001; Fig. 8). They are, therefore, prime candidates for transcription factors that are required for establishing the C4 specific expression pattern of the C4 *ppcA* genes. However, *in planta* experiments to probe their participation in C4 *ppcA1* gene expression are still lacking.

Similarly, one-hybrid approaches have been performed with MEM1 of *F. trinervia* as the bait (M. Akyildiz and P. Westhoff, unpublished data). The isolated MEM1-binding proteins still need to be completely characterized and their specific binding properties verified (Fig. 8). Taken together, the data suggest that the one-hybrid screening assay is a good heuristic tool to use in the search for transcription factor candidates. Reverse genetic approaches based on RNAi-triggered gene inactivation (Waterhouse and Helliwell, 2003) or 'gain-of-function' experiments which ectopically express the putative transcription factor (Schwechheimer *et al.*, 1998) are required to verify the

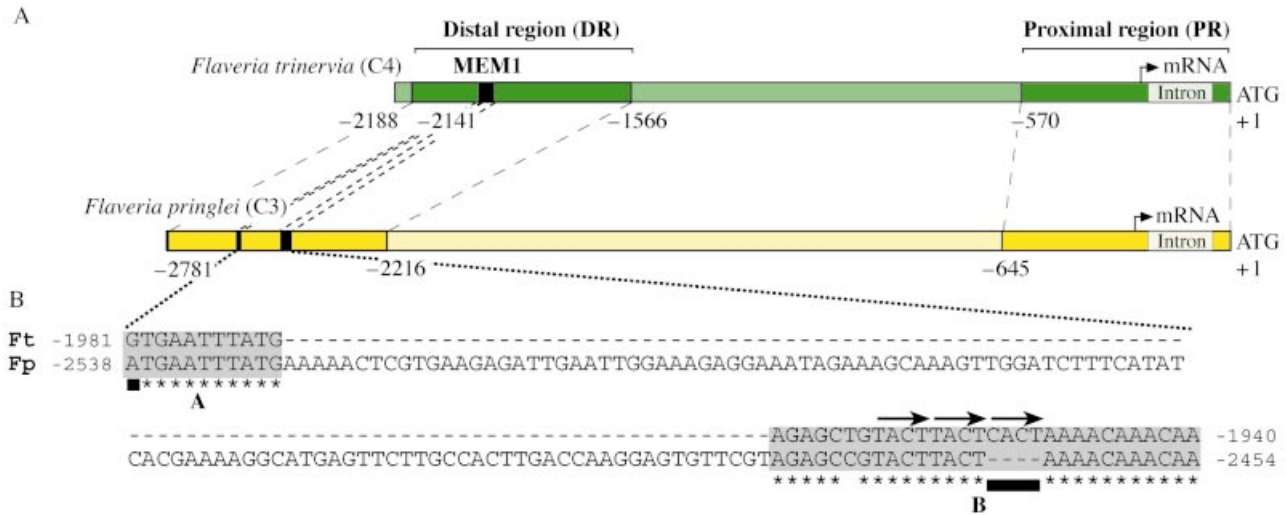


FIG. 7. The structures of the *ppcA1* promoters from *F. trinervia* (C4) and *F. pringlei* (C3) and the nucleotide composition of the mesophyll expression module MEM1. A, Schematic comparisons of the 5' flanking sequences of the *ppcA1* genes of *F. trinervia* and *F. pringlei*. The numbers of nucleotides refer to the translation initiation codon. The proximal (PR) and distal regions (DR) of the two promoters are strongly coloured. The positions of MEM1 and its homologue in *F. pringlei* are marked by black boxes. B, Sequence comparison of MEM1 of *F. trinervia* (Ft) and its homologue in *F. pringlei* (Fp). Asterisks label identical nucleotides in the A or B segments of MEM1. Black bars indicate the single nucleotide difference in A and the CACT tetra nucleotide in B. The two tandem TACT repeats and the third imperfect CACT repeat are marked by arrows. The C/T difference in the B segment is not correlated with C3/C4 photosynthesis, because all C4 flaverias except *F. trinervia* contain a C at that position. Data from U. Gowik, J. Burscheidt, M. Akyildiz and P. Westhoff, unpublished data

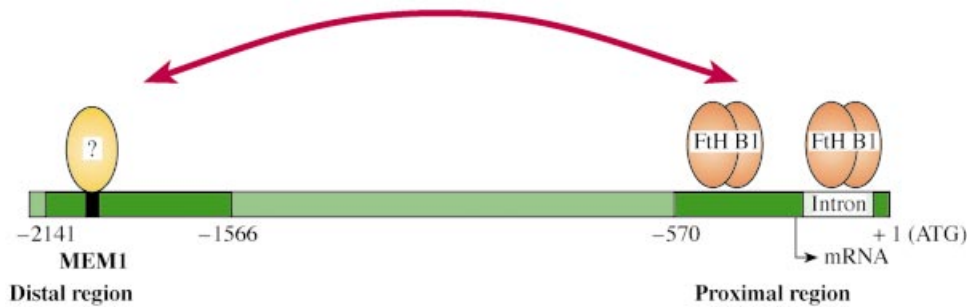


FIG. 8. A working model of the C4 *ppcA1* promoter of *F. trinervia*. FtHB1 denotes the zinc finger homeodomain transcription factors that have been shown to bind to the proximal region of the C4 *ppcA1* promoter (Windhövel *et al.*, 2001). The *trans*-regulatory factors which bind to the enhancer-like distal region are unknown.

in *planta* relevance of these possible *trans*-regulatory factors.

CONCLUSIONS AND OUTLOOK

C4 photosynthesis is a fascinating system for studying the evolution of a new metabolic capability. The C4 photosynthetic pathway evolved polyphyletically and provides insight into the flexibility of the genetic system of angiosperms. This system independently created C4 photosynthesis several times, the main feature—indeed the central pillar—of which is the efficient CO₂ pump, based on PEPC, that concentrates CO₂ where ribulose 1,5-bisphosphate carboxylase/oxygenase is located. The CO₂ pump normally requires two different cell-types, mesophyll

and bundle sheath cells, which unite to a metabolic system that is characterized by a division of labour.

The differentiation of these two photosynthetic cell-types required an alteration of the developmental programmes. This makes C4 photosynthesis an attractive system which reveals how regulatory circuits of gene expression are modified to give new, specific expression patterns. Also, evolution of the C4 assimilatory pathway requires adaptation of enzymes to a new metabolic role which involves changes in enzyme kinetics and their regulation. The case study with C4 PEPC, which is reviewed here, demonstrates that the pursuit of this evolution-oriented approach leads to new insights into structure–function relationships of this enzyme (Svensson *et al.*, 2003) and the molecular nature of *cis*- and *trans*-regulatory factors that are required for the mesophyll specific expression of the corresponding gene.

The polyphyletic origin of C4 photosynthesis implies that, in genetic terms, it has been comparatively simple to evolve C4 plants from C3 ancestors. The data obtained with *Flaveria* support this point of view. Small alterations sufficed to convert a C3 into a C4 PEPC enzyme and change a C3 into a C4 PEPC promoter. Comparison of the enzymatic properties of C3, C3–C4 and C4 PEPC enzymes shows that the changes proceed gradually. To date comparable data on the changes within the gene regulation system are not available. Investigation of *ppcA* RNA amounts in C3, C3–C4, C4-like and C4 *Flaveria* species suggests that at least the changes in the promoter strength also happened step by step (Engelmann *et al.*, 2003).

This gradual transition from C3 to C4 photosynthesis, which occurred in *Flaveria*, can easily be explained if the C4 syndrome is viewed as a quantitative trait. Quantitative traits (Mauricio, 2001) are of a polygenic nature and their gene components are called quantitative trait loci (QTL). In contrast to Mendelian traits, which are discrete, quantitative traits are affected by multiple genes and by environmental factors. Individual QTL may have only small effects on the expression of the trait, i.e. are minor genes. However, recent studies with crop and model plants show that individual loci may behave as major genes and determine a large part of the trait variation. These studies also reveal that regulatory loci play a prominent role within the genetic architecture of quantitative traits (Remington and Purugganan, 2003).

Unfortunately, the quantitative trait concept of C4 photosynthesis cannot be easily tested experimentally, because there is no genetic system consisting of easily crossable C3 and C4 species. A segregating population between *Atriplex hastata* (C3) and *A. rosea* (C4) was constructed and individual C4 traits segregated in that population (Björkman *et al.*, 1971). However, the F₂ plants were aneuploid and a genetic mapping of the C4 QTL failed for this reason (Nobs, 1976). Within the genus *Flaveria* crosses between C3 and C3–C4 species have been achieved, but crosses between C3 and C4 *Flaveria* species have not succeeded (Brown and Bouton, 1993). Clearly, a genetic system which allows the construction of segregating populations from C3 and C4 species is highly desirable. The number of genes which make up the genetic architecture of C4 photosynthesis, and their molecular nature, could be identified with this strategy. Whether mutational studies, for instance with maize, will be a good substitute for the QTL approach remains to be seen.

In his influential review on biochemical evolution, A. C. Wilson pointed out that ‘quantitative mutations affecting enzyme levels may have had a major role in the adaptative metabolic evolution of multicellular organisms’, and that ‘these quantitative effects can result from point mutations in control genes’ (Wilson *et al.*, 1977). He believed that these regulatory mutations happened before mutations in protein coding regions. The studies with the molecular evolution of C4 PEPC in *Flaveria* are in line with this concept. These investigations have shown that a C4-type pattern of gene expression evolved before the C4 enzyme characteristics became established. This is best illustrated by the C4-like species *F. brownii*. The *ppcA* PEPC of this species is rather C3-like, most notably its amino acid position 774 is still

occupied by an alanine and not by the C4-typical serine. On the other hand, the expression levels of the *ppcA* mRNA (Engelmann *et al.*, 2003) and the distribution of the PEPC protein (Cheng *et al.*, 1988) are essentially of C4 type.

Evolutionary biologists have collected convincing evidence which support the view that changes in the spatio-temporal expression patterns of genes are the principal mechanisms for novel morphological and biochemical traits (Doebley and Lukens, 1998; Carroll, 2000). *Flaveria* is a unique system which allows detailed tests of this concept by using C4 photosynthesis as the model trait.

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