Polarity, cell division, and out-of-equilibrium dynamics control the growth of epithelial structures

Benedetta Cerruti,1,7 Alberto Puliafito,1 Annette M. Shewan,2 Wei Yu,4 Alexander N. Combes,3 Melissa H. Little,3 Federica Chianale,1 Luca Primo,1,5 Guido Serini,1,5 Keith E. Mostov,4 Antonio Celani,6 and Andrea Gamba1,8,9,10

Abbreviations used in this paper: AMIS, apical membrane initiation site; E, embryonic day; MLC, myosin light chain; PCX, podocalyxin; PSI, pseudosubstrate inhibitor.

Introduction

Epithelial morphogenesis is a complex process involving cell divisions, cell–cell and cell–ECM adhesion, cell migration, cell shape changes, and apoptosis, and represents a fundamental step in organogenesis. Indeed, these features are fundamental for the correct functioning of the tissue in terms of proliferation, survival, and differentiation. Aberrant epithelial architecture is most frequently found in the pathogenesis of epithelial tumors, and architectural patterns have been used for decades by pathologists to diagnose and classify carcinomas. The study of morphogenetic processes leading to the formation of epithelial tissues can thus be used to gain a better understanding of the development of epithelial organs and of carcinogenesis.

In vitro biological models have been successfully used to reproduce some of the key events involved in epithelial morphogenesis, and represent a fundamental tool to dissect the molecular cascade of events leading to the formation of tissues (O’Brien et al., 1986; O’Brien et al., 2002) and is considered to be a prototype for the development of several spherical structures encountered in vivo, such as acini, follicles, ampullae, and alveoli. Cysts are spherical monolayers of epithelial cells enclosing a central lumen (McAteer et al., 1986). Cells within cysts are connected by specialized junctions and cell–cell adhesion structures lying in the basolateral sides, whereas a strong apicobasal polarization characterizes the

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external surface, contacting the ECM, and the apical surface, facing the lumen. The correct architecture and the formation and maintenance of the lumen are crucial for normal cyst morphology and are altered in several common human diseases such as polycystic kidney disease (Boletta and Germino, 2003), hypertension (Iruela-Arispe and Davis, 2009), and many epithelial cancers, such as prostate carcinomas or preinvasive epithelial lesions (Debnath and Brugge, 2005).

Despite the specificity inherent to diverse types of tissues, recent findings support the idea that the formation of several spheroidal epithelial structures can be generated by common mechanisms, and that shared features underlie the appearance of aberrant phenotypes (Datta et al., 2011).

The first general key aspect involved in the process of cyst growth is the mechanics of cell contacts. Epithelial cells are physically connected to the ECM via integrin receptors (O’Brien et al., 2001), and neighboring cells are tightly linked by cell–cell junctions via adhesion receptors, such as cadherins and nectins (Harris and Tepass, 2010). Cell shape variations are caused by local deformations of the cortical actomyosin network. The cumulative effect of differential cell–matrix and cell–cell adhesion processes and of cortical elasticity can be described in terms of interfacial tensions, which have been shown to be the driving force behind tissue formation in several biological models (Käfer et al., 2007; Lecuit and Lenne, 2007; Manning et al., 2010).

A second aspect involves apico-basal polarization and the de novo generation of a luminal space. Luminogenesis proceeds through a coordinated series of molecular events starting with the exocytosis of apical membrane proteins (such as Crrbs3a [Crb3], podocalyxin [PCX], and Mucin 1 [Muc1]) to the cell surface, leading to the formation of the nascent lumen in a region termed the apical membrane initiation site (AMIS; Schlüter et al., 2009; Bryant et al., 2010). Similar structures have been observed during vascular lumen formation in developing mouse aorta (Strilić et al., 2009) and during neural rod formation in zebrafish (Tawk et al., 2007). After the formation of the AMIS, an asymmetric distribution of the phosphoinositides PIP2 and PIP3 is established (Shewan et al., 2011). In particular, the apical region is enriched in PIP2 and PTEN, whereas PIP3 is localized exclusively to the basolateral membrane. The AMIS matures to form a preapical patch (PAP), and eventually a lumen expands (Martín-Belmonte et al., 2007; Ferrari et al., 2008; Bryant et al., 2010; Datta et al., 2011).

A third aspect is the spatial control of cell division. The apico-basal polarization of specialized molecules such as PIP2, PTEN (Martín-Belmonte et al., 2007), Cdc42 (Jaffe et al., 2008), the Cdc42-specific exchange factors Tuba (Qin et al., 2010) and Intersectin-2 (Rodríguez-Fraticelli et al., 2010), Par3 (Hao et al., 2010), aPKC (Qin et al., 2010), and LGN (Zheng et al., 2010) restricts the formation of the mitotic spindle to the monolayer plane, ensuring the stability of the polarized architecture during subsequent cell divisions.

Remarkable progress in understanding the molecular cascade giving rise to the formation of a cyst has been made thanks to 3D cultures of MDCK cells and other similarly well-polarized epithelial cell lines, which provide an excellent model for epithelial morphogenesis in vitro and give valuable insights into the basic processes driving the formation of the correct tubular architecture and its disruption in cancer (Debnath and Brugge, 2005; Datta et al., 2011; Lo et al., 2012). Nonetheless, the precise connection between molecular and mechanical cues and the resulting dynamics is still largely unknown. In particular, it has not been demonstrated whether the simple aforementioned mechanistic principles are sufficient to reproduce cyst architectures. Furthermore, although it is clear that misorientation of the spindle is an ingredient leading to the aberrant multilumen phenotype (Zheng et al., 2010; Engelberg et al., 2011), it is not clear how and to what extent cyst architecture and orientation of cell division are related.

To shed light on these issues, we developed a mathematical model based on the phenomenology of cell–cell and cell–matrix interactions that reproduces the experimentally observed phenotypes in both the normal and the aberrant cases. Our model indicates that cystogenesis occurs through a sequence of states that are far away from mechanical equilibrium, and provides evidence that the appearance of the aberrant multilumen phenotype is determined by misorientation of the spindle only in conjunction with strongly out-of-equilibrium dynamics. We verify our predictions by performing computer-assisted, full 3D reconstruction at different stages of growth of MDCK cysts and of 3D epithelial structures derived from mouse embryos. We demonstrate that both cysts in vitro and developing epithelial structures in vivo share the same nonequilibrium phenotype. Our model predicts that equilibrium can be favored by slowing down cell division, by altering cell–cell and cell–ECM interactions and cortical contractility, or by increasing cell motility. Moreover, we demonstrate experimentally that slowing down cell division partially rescues a multilumen phenotype, thus confirming our predictions. We provide experimental evidence that inhibition of ROCK activity drives the appearance of equilibrium configurations in MDCK cysts. Finally, our model suggests that an increase in cell duplication rates, a typical hallmark of hyperproliferative diseases like cancer, could alone be responsible for the appearance of aberrant phenotypes, independent of the control of spindle positioning.

## Results

**Theoretical modelling of cyst morphogenesis predicts a crucial role for cell duplication and mechanical relaxation**

The geometric structure of epithelia can be described in terms of the mechanical forces that result from cell–cell and cell–ECM interactions. The action of the actomyosin cortex can be described by an overall surface tension that acts so as to minimize the total cell surface (Lecuit and Lenne, 2007; Manning et al., 2010). Conversely, basal and lateral adhesion receptors favor the increase of contact surfaces (Käfer et al., 2007; Lecuit and Lenne, 2007; Manning et al., 2010). These ideas have been successfully used to quantitatively describe the geometrical configuration of ommatidia (Hilgenfeldt et al., 2008) and the growth of wings (Classen et al., 2005; Hufnagel et al., 2007; Lecuit and Lenne, 2007; Farhadifar et al., 2007) in *Drosophila melanogaster* and...
the spreading of murine sarcoma cell aggregates in vitro (Douezan et al., 2011).

We develop a simple theoretical model of a growing cyst by extending the reasoning presented in Manning et al. (2010) to a 3D context. Such a model, under the assumption of equilibrium dynamics, predicts that the observed configurations of a growing cyst are those that minimize mechanical energy (see Materials and methods and Eqs. 1 and 2) containing contributions from both elastic and adhesive processes. Indeed, minimizing this energy under the assumption of spherical symmetry, it is possible to find analytically the equilibrium configuration for a cyst-like cell aggregate of any number of cells (see Materials and methods). This equilibrium configuration has a central lumen and a soccer ball–like structure of the kind described in Cox and Flikkema (2010). Under more general conditions, an analytical solution is impossible, and it is necessary to resort to computer simulations. To this aim, we implemented a dynamical Potts model (Potts, 1952; Wu, 1982; Graner and Glazier, 1992; Glazier and Graner, 1993) with energy given by Eqs. 1 and 2. Within this model, random motility of cells plays a role analogous to temperature, and the associated thermal energy is always much smaller than the typical values of mechanical energy. (A more detailed description of the computational model is given in the Materials and methods section.)

The dynamics governed by Eqs. 1 and 2 depend crucially on the mechanical relaxation timescale \( T \), i.e., on the time needed to reach global equilibrium, and on the characteristic duplication time \( \tau \). Whenever the ratio \( \chi = T/\tau \) of the relaxation time to the division time is much smaller than unity (\( \chi \ll 1 \)), cystogenesis proceeds through a sequence of equilibrium states. Conversely, when \( \chi \) is much larger than unity (\( \chi \gg 1 \)), the next division round takes place before significant mechanical adjustments occur. In the latter case, the dynamics are constantly kept out of equilibrium by subsequent cell divisions. A deeper understanding of the problem comes from the observation that constrained systems often display a spectrum of relaxation times associated with a complex energy landscape with multiple local minima (Mezard et al., 1987). For the present purposes it will be sufficient to distinguish between the fast timescales that govern mechanical rearrangements without topology changes, such as the relaxation of the basal surface to a near-spherical shape, and the much slower timescales that involve a passage over energy barriers, such as the exchange of cell–cell neighbors. Such global topological rearrangements are associated with the longer timescale \( T \).

Assessing the equilibrium or out-of-equilibrium nature of cystogenesis by means of a direct measurement of relaxation timescales is a daunting task. Here we propose a simpler method that allows unambiguous experimental discrimination between the two regimes. Assuming that the cyst is a monolayer and that spherical symmetry is preserved, the two opposite limits of equilibrium and strong deviation from equilibrium yield quite different predictions for the distribution of cell–cell contacts that characterizes the topology of the spherical monolayer.

If mechanical equilibrium holds, the problem of energy minimization with given cell volumes can be mapped on the problem of tiling a sphere with polygons of a given surface. This problem has been solved by a combination of mathematical arguments and extensive computer searches (Cox and Flikkema, 2010). For a cyst composed of several cells \( N > 13 \), the equilibrium topology is given by the “soccer-ball” configuration made of 12 pentagons and \( N - 12 \) hexagons.

In the opposite regime mechanical equilibrium is never reached. In this case, for a 2D monolayer, our model predicts that the probability distribution of cell–cell contacts should reduce to that found in Gibson et al. (2006) and Dubertret et al. (1998), as mechanical relaxations become negligible compared with cell division. Indeed, the models described in Gibson et al. (2006) and Dubertret et al. (1998) consider the topological distribution of polygonal tilings emerging as a sole effect of random cell divisions, without taking into account mechanical relaxation. This nonequilibrium topological distribution is consistent with the observed topologies for a large class of planar metazoan epithelia (Lewis, 1928; Rivier et al., 1995; Dubertret and Rivier, 1997; Dubertret et al., 1998; Gibson et al., 2006; Li et al., 2012; Fig. S1 N). The same arguments hold unchanged for curved epithelial structures, provided that the monolayer structure is preserved and that the number of composing cells is large.

Our numerical simulations qualitatively and quantitatively reproduce the theoretically predicted cyst geometries. Indeed, for \( \chi \gg 1 \), the nonequilibrium distribution emerges, as shown in Fig. 1 A and B, and the corresponding topological distribution also corresponds to the predictions of Gibson et al. (2006) in this limit case (Fig. 1 D). In the opposite regime (\( \chi \ll 1 \)), as shown in Fig. 1 C, the basal surface topology complies with the minimal energy tessellation of the sphere (Fig. 1 D, inset).

Thus, the theoretical model predicts two opposite scenarios: if cysts grow under mechanical equilibrium, their surface topology has to be that of a soccer ball (Cox and Flikkema, 2010). Conversely, if cysts grow out of mechanical equilibrium, their surface topology has to be the one predicted in Gibson et al. (2006).
from other naturally occurring processes in the cyst, such as cell division or apoptosis. However, simulations allow us to easily perform such experiments numerically, and the details of out-of-equilibrium growth can be investigated. To this aim, we have simulated the growth of a cyst up to a size of a given number of cells, \( n_{\text{cells}} \), blocked cell division, and let the cyst relax mechanically. Relaxation toward the equilibrium topology (Fig. 2, A and B) is a very slow process, as several energetically unfavorable random cell movements have to take place one after another to overcome the many local energy barriers that separate the original nonequilibrium distribution from the globally energy-minimizing configuration (Fig. 2 A, insets). The time needed to reach the predicted equilibrium configuration increases exponentially with the number of cells in the cyst, as shown in Fig. 2 C. This finding confirms that cysts evolve in a rugged energy landscape and are geometrically frustrated systems (Mezard et al., 1987). Geometrical frustration means that local moves that would, by themselves, tend to minimize energy are actually forbidden or strongly discouraged by the constraints acting on the system. As a result, global rearrangements are required, with ensuing long times for relaxation to equilibrium.

Quasi-equilibrium growth can be obtained if the cell division time is significantly slowed down (Fig. 2 D), as this allows mechanical relaxation to take place between subsequent cell divisions.

Although the typical nonequilibrium distribution described in Gibson et al. (2006) has been found in a variety of biological contexts, it has never been proved whether it is relevant in the context of developing epithelial structures in mammals. To test this hypothesis, we studied the topological distribution within tubular epithelia in developing mouse embryos. To this aim, we performed whole mount staining of lung and kidney samples and imaged them on a confocal microscope. After segmenting the data, the topology was calculated for rounded cyst-like alveoli from the lung (Fig. 3 A), the tubular ureter (Fig. 3 B), and the tips of the ureteric tree (Fig. 3 C) from the developing kidney. The analysis of the ureteric tree tips is a particularly relevant comparison to the in vitro cyst data, as MDCK cells were derived from an adult kidney. We found that the topological distributions from these tissues (Fig. 3 D) are indistinguishable from those found in an MDCK cyst (see Fig. 1 and Table S2). Therefore, the topological distribution is a universal fingerprint of nonequilibrium dynamics in cyst-like and tubular epithelial tissues both in vitro and in vivo.

Relaxation of cortical tension in epithelial cysts favors equilibrium topology

Our theory predicts that cysts could be brought to quasi-equilibrium conditions by slowing down proliferation, thus allowing more time for topological relaxation, or by favoring the passage over topological barriers that separate distinct configurations.

The Rho-associated protein kinase (ROCK) inhibitor Y-27632 is known to relieve cortical tension (Matthews et al., 2006) through inhibition of actomyosin contractility, and we therefore expect it to ease topological rearrangements and induce the appearance of equilibrium phenotypes. Indeed, theoretically, ROCK inhibition would lower the overall mechanical relaxation time \( T \), making \( y \) smaller.

To verify the validity of our theoretical prediction, we compared the topology of growing cysts untreated and treated with ROCK inhibitor at different times from seeding, shown in Fig. 4 A. First, we evaluated the effect of ROCK inhibition on cysts by using GFP–myosin light chain (GFP-MLC) as an indicator for cortical tension (Yu et al., 2003). As shown in Fig. 4 B, MLC-GFP is concentrated at the basal surface in untreated cysts (a quantification is given in Fig. S5). When Y-27632 is applied from the first day of the experiment, MLC is no longer accumulated at the basal surface, and instead is
diffuse in the cytoplasm, which is consistent with an alteration of cortical tension.

To compare topologies in treated and untreated cysts, we digitally reconstructed >50 cysts, and, for each cyst, we measured the topology of the outer surface and the number of composing cells. Representative images of experimental cysts are shown in Fig. 4 A and the complete set of reconstructed cysts is shown in Fig. S2. The comparison of theoretical predictions and experimental data for a set of cysts is summarized in Fig. 5 A. Cell–cell contact statistics of ROCK-inhibited cysts are significantly closer to the soccer-ball topology, which is the hallmark of equilibrium, as shown in Fig. 5 (B–D). Conversely, as expected, the contact statistics of control cysts are closer to the out-of-equilibrium topology.

To quantify the degree of equilibrium, we computed for each reconstructed cyst the normalized topological distribution and calculated the distance from equilibrium and nonequilibrium distributions (see Materials and methods). The distances from equilibrium of cysts under different treatments at 1–5 d from seeding are shown in Fig. 5 C.

According to our theoretical predictions, the time needed to reach mechanical equilibrium configuration depends on the number of cells in the cysts rather than on time (see Fig. 2 C). Thus, we expect the effect of ROCK inhibition to depend on the diffuse in the cytoplasm, which is consistent with an alteration of cortical tension.

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number of cells composing the cyst. Indeed, as shown in Fig. 5 (B and C), Y-27632 is very effective on cysts composed by $n < 40$ cells, and its effect becomes weaker for larger aggregates. Note that for both treated and untreated samples, cysts containing a smaller number of cells are generally closer to equilibrium than bigger cysts, as expected.

Treatment with Y-27632 has been reported to slow down cell divisions (Ishizaki et al., 2000). According to our theory, cell division slowdown can contribute to relaxation toward the equilibrium configuration. To separate the contributions to this effect of cortical tension relief and cell division slowdown, we compared ROCK-inhibited cells with cells treated with the DNA synthesis inhibitor Aphidicolin. We performed experiments according to a variety of protocols, i.e., supplying the drugs in different combinations either from the first day of the experiment or from the third (Fig. 5 C). The observed slowing down of cell divisions induced by either Y-27632 or Aphidicolin is of a similar order (Fig. 5 E). However, topology is not significantly altered by Aphidicolin, as documented in Fig. 5 D. To explain this apparent discrepancy between our theoretical predictions and the experimental data, we recall the quantification of the effect of cell division slowdown on cell-contact topology (see Fig. 2 D). Indeed, the changes in the duplication time required to entail a significant effect on the relaxation toward equilibrium (on the order of 10-fold) are much larger than those experimentally accessible (for example, 30% to 40% in Fig. 5 E). According to our theoretical model, the duplication time is indeed independent of the number of cells, whereas the relaxation time grows exponentially with the number of cells (Fig. 2 C).

In summary, the observed equilibrium phenotype of Y-27632–treated cysts can most likely be attributed to the release of cortical tension induced by ROCK inhibition, rather than to an effect on proliferation.

Multi-luminal cysts result from the combined effect of constrained out-of-equilibrium dynamics and disruption of mitotic spindle control

The nonequilibrium character of cyst growth manifests itself with particular evidence in the dynamics of luminal spaces. It is well known that cysts grown with cells that are defective in establishing a proper direction for the cleavage plane are characterized by several, interspersed luminal spaces and the lack of a clear monolayer structure, as shown in Fig. 6 (A and B; Martín-Belmonte et al., 2008). In vivo spherical epithelial structures equipped with such a phenotype would be severely impaired. A stringent control of the mitotic spindle orientation in the apico-basal direction therefore seems necessary. To test how sensitive the single-lumen phenotype is to perturbations in the alignment of the cleavage plane, we performed a large number of numerical simulations with decreasing control on the division geometry (Fig. 6, C–H). Indeed, our results indicate that larger errors in spindle positioning are associated with a dramatic increase of the emergence of aberrant phenotypes.
Figure 5. Relaxation of cortical tension via inhibition of ROCK drives topology toward equilibrium, independent of cell division rate. (A) The table reports the experimentally observed and theoretically predicted topological configurations of a subset of control (top) and (bottom) MDCK cysts treated with Y-27632 to inhibit ROCK activity. Each line of the table represents, for a given number of cells in the cyst (top rows), the number of cells displaying four, five, six, seven, and eight neighbors. The topology of control cysts is never consistent with equilibrium and approaches the nonequilibrium topology for increasing numbers of cells, as expected from the theory. (B) After reconstructing the 3D structure of treated and untreated cysts, we calculated the distance from equilibrium and plotted it as a function of the number of cells. The topology of control cysts (closed blue triangles) is clearly closer to the nonequilibrium distribution, whereas Y-27632–treated cysts (closed red squares) are closer to equilibrium, especially when the number of cells is small. Here Y-27632 is given right after seeding. Open symbols are medians on series of data (n = 0–20, 20–40, 40–80, and 80–100), and lines are a guide to the eye. The data shown are from a total of n = 34 cysts, each containing between 10 and 90 cells, coming from a single experiment. (C) Topology of cysts at different times: untreated (top right), Y-27632 given from seeding (top left), Y-27632 given from day 3 (bottom left), and Aphidicolin given from day 3 (bottom right). Labels: c, control; Y, Y-27632; A, Aphidicolin; 1d/2d/3d, 1/2/3 d; and c3dY1d, untreated for 3 d, then treated with Y-27532 for 1 d; c3dA2d, untreated for 3 d, then treated with Aphidicolin for 2 d. Y-27632–treated cysts (top left) lie systematically in the half plane closer to equilibrium with respect to control cysts (top right) and contain on average a smaller number of cells (at a given time from seeding), due to the slowdown of cell division induced by Y-27632. Each point corresponds to the topology of a single cyst. (D) To account for the differences in topology, different groups of cysts were compared by means of a one-tailed Welch’s test to assess for statistical significance, where the existence of a difference in the mean value of the topology was used as a null hypothesis. Cysts with 20–40 cells (left) and 40–60 cells (right) were grouped and compared. A statistically significant difference is seen between Y-27632–treated (left, n = 7; right, n = 6) and control (left, n = 7; right, n = 4) for any number of cells. As for other treatments, such as Aphidicolin (left, n = 5; right, n = 3) or Y-27632 given from day 3 (left, n = 1; right, n = 2), the topology is different from the Y-27632–treated cysts, resembling the untreated case, albeit not significantly. Asterisks indicate statistical significance: *, P < 0.05; **, P < 0.01; ***, P < 0.001, etc. Error bars indicate standard deviations. (E) The effect of the different treatments on cell division was assessed by means of statistical comparison (Welch’s Test) of different groups. The number of cells in the cysts at day 5 was compared in the different populations. All cases have n = 5 samples. Error bars indicate standard deviations.
Figure 6. The ratio of lumen coalescence to cell division times controls the monolumen or multilumen phenotypes. (A) We treated cysts with aPKC-PSi to induce polarity disruption and spatially disordered spindle positioning. Cells were seeded in growth medium supplemented with 50 µM aPKC-PSi, and fixed 3 d later. Confocal scans for cysts treated with aPKC-PSi, which lack control over mitotic spindle positioning; stained for β-catenin (green), labeling cell membranes; and ZO-1 (white) and PCX/gp135 (red), labeling luminal interfaces. Notice the multilumen morphology. Time from seeding: 3 d. Bar, 10 µm. (B) 3D reconstructions of the cyst in A from the full set of confocal sections. Several disconnected lumens are visible in the cross-section (right). Bar, 10 µm. (C) Mean number of luminal volumes and fraction of cysts with single lumen/multiple lumen phenotypes (inset), obtained from numerical simulations as a function of the tightness of the control over orientation of the cleavage plane. φ = 0°, mitotic planes always orthogonal to the luminal surface. φ = 90°, division planes chosen isotropically in three dimensions. Note that even for φ = 0°, it is still possible to get more than one lumen. Simulated cysts have 32 cells. Error bars indicate standard deviations. (D) Numerical simulation of a multiple-lumen phenotype. The cleavage plane has been chosen at random, isotropically in 3D space. Equivalent time from seeding is around 5 d. (E) Mean fraction of cysts with single lumen/multiple lumen phenotypes (red/blue), obtained from numerical simulations as a function of the duplication time τ, for different levels of the control over the orientation of the cleavage plane. The dynamics of several cysts were simulated for four rounds of division, and the fraction of normal cysts in the population was measured at the end of the simulation (16 cells), corresponding approximately to day 3 in the experiments. The duplication time is rescaled with τ₀, which corresponds to
The localization of gp135/PCX. "AMIS" refers to cysts that exhibit an accumulation of gp135/PCX in vesicular structures that underlie the membrane where the exterior surface of the cyst, where the cell membrane contacts the ECM. "Lumen" refers to cysts with centrally localized lumens, scored on the basis of normal level of mono- versus multiluminal cysts (aPKC-PSi vs. aPKC-PSi WO). Error bars indicate standard deviations.

To further corroborate these observations we performed the following in silico experiment: we simulated the out-of-equilibrium growth of a cyst up to a given size, \( n_{\text{cells}} \), with disrupted orientation of mitotic spindle. The obtained multiluminal cyst was then relaxed, with cell divisions blocked. We measured the time needed to reach the monolumen phenotype as shown in Fig. 6 F. Such times are faster than those required to reach global equilibrium (and in particular a soccer ball–like configuration), as shown in Fig. 2, which suggests the absence of significant energy barriers. The total time \( T_n \) to reach the monolumen increases with the number of cells, as a larger number of microlumens are generated. In our simulations, smaller lumens were observed to coalesce toward larger lumens by sliding along cell–cell interfaces, as depicted in Fig. 6 G. The sliding movement can take place without appreciably changing the global surface energy (Eq. 2), as the total area of cell–cell contacts does not change. We verified this prediction by simulating the coalescence of a single microlumen into a central lumen and by measuring the characteristic sliding time as a function of surface tension. Our results indicate that, indeed, coalescence time does not depend on surface tension (Fig. 6 H, inset). This is compatible with the experimental observation that ROCK inhibition does not have a significant effect on the speed of lumen coalescence (Fig. S1 P). It is worth observing, however, that although the sliding of microlumens does not imply a significant energy expenditure, its final coalescence into a larger lumen provides an energy advantage, as a more symmetric and energetically favorable distribution of cellular interfaces is realized (Fig. 2 A, inset). The coalescence of lumens is therefore an energetically favored process.

Theoretically, as in the case of the topology of cell contacts, slow cell division compared with lumen coalescence yields equilibrium growth, i.e., monoluminal phenotype, whereas fast cell division favors the accumulation of multiple lumens. To better characterize the role of the proliferation rate, we simulated the growth of a polarity-disrupted cyst with increasingly long division times, starting from a single cell. In normal cysts, lumen coalescence times are typically faster than cell division times, thus allowing single lumen configurations to be reached after each cell duplication. This is also observed in experimental cysts (Fig. 1, E and F). For cysts that have faster cell proliferation, this ceases to be true, giving rise to multiple lumen phenotypes (Fig. 6 H).

To experimentally validate our theoretical predictions, we quantitatively characterized the maturation and dynamics of lumens in MDCK cysts (Fig. S1 P). In control cysts, the incidence of single lumens at day 2 is ~50%, while it reaches 80% by day 5. As monoluminal cysts become more frequent, the number of immature and multiple lumens decreases.

To verify whether lumen dynamics are actually independent of cortical tension, as suggested by our simulations (Fig. 6 H, inset), we generated multiluminal cysts by using an aPKC pseudosubstrate inhibitor (aPKC-PSi). This inhibitor contains a pseudosubstrate for atypical PKC that has been myristoylated to be cell permeable and alters the correct orientation of cell division (Qin et al., 2010). Cells were then treated with aPKC-PSi or Y-27632,

normal MDCK cysts [20 degrees of error on spindle positioning and 30% of normal phenotype]. For \( r_{10} \gg 1 \) [cell division slower than control], eventually 100% of the cysts are normal, regardless of cell division orientation. For \( r_{10} \ll 1 \), slightly smaller than unity, the emergence of aberrant phenotypes is strongly increased, even in the case of perfect spindle positioning. [F] We numerically simulated the relaxation of a multiluminal cyst grown with aberrant spindle positioning to the monolumen configuration, with blocked cell divisions. Here we plot the time needed to reach the monolumen rescaled by the division time as a function of the number of cells, \( n_{\text{div}} \). As expected, this increases with the size of the aggregates, as more microlumens are generated. [G] Cartoon illustrating how the process of lumen coalescence can take place with no alteration in the total extent of lateral surfaces, and therefore without changing the energy defined in Eqs. 1 and 2. [H] To show the effect of cell division on lumen coalescence in numerical simulations, we grew cysts starting from a single cell with different division rates. Cysts are grown with normal, i.e., correctly oriented, cell divisions. Duration of treatment, cell division favors the accumulation of multiple lumens. To better characterize the role of the proliferation rate, we simulated the growth of a polarity-disrupted cyst with increasingly long division times, starting from a single cell. In normal cysts, lumen coalescence times are typically faster than cell division times, thus allowing single lumen configurations to be reached after each cell duplication. This is also observed in experimental cysts (Fig. 1, E and F). For cysts that have faster cell proliferation, this ceases to be true, giving rise to multiple lumen phenotypes (Fig. 6 H).

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and the effect on lumen dynamics was quantified. As shown in Fig. S1 P, the treatment with Y-27632 has no significant effect on the fraction of monolumens versus multilumen cysts.

We then verified the effect of cell division on lumen dynamics. To this aim, we measured the frequency of the monolumen phenotype in cysts with disrupted cell polarity where the proliferation rate was slowed down by Aphidicolin (Fig. 6 I). Interestingly, the slowing down of cell divisions induced a significant increase in the frequency of the monolumen phenotype (p-value: 10^-5). Although the control had 73.4 ± 3.8% monolumens, incubation with aPKC-PSi for 4 d decreased single lumens to 52.2 ± 6.1%. Slowing down of division by including Aphidicolin largely rescued the formation of single lumens, bringing it back to 66.1 ± 1.2%.

In conclusion, slowing down cell division partially rescues the multilumen phenotype induced by misoriented cell division.

**Discussion**

Epithelial tissue growth is a complex process involving both biochemical signaling cascades and mechanical forces. The coordination between these two aspects is crucial for the correct development and maintenance of a well-ordered architecture, whereas aberrant phenotypes are the hallmark of life-threatening human diseases, such as adenocarcinomas and polycystic kidney disease. In this paper we focus on cystogenesis as an in vitro model of 3D epithelial growth and describe a novel theoretical framework that discriminates between the two radically different dynamical regimes observable when the cell aggregate grows either close to, or far away from, mechanical equilibrium.

We show that cell–cell contact topology can efficiently discriminate between these two regimes. By comparing theoretical predictions for cell arrangements and the 3D reconstruction of experimental MDCK cysts, we demonstrate that cystogenesis occurs out of mechanical equilibrium.

The out-of-equilibrium condition can be rephrased in the observation that topological rearrangements in cysts involving the exchange of next-neighbors are rare events, occurring on timescales much longer than the duplication time. This leads to a peculiar topological distribution of cell-to-cell contacts (Fig. 1 D) that is the true fingerprint of a strong departure from equilibrium.

Neighbor rearrangements require that the cysts transiently assume energetically unfavorable configurations, for instance during the shrinking of a basolateral cell–cell contact area that is required in the process of neighbor exchange. Random cell motility favors the crossing of such topological energy barriers, therefore playing the role that thermal agitation has in statistical physics systems. Indeed, induced activation of ERK1/2 in MCF10A acini results in increased cell motility and neighbor exchanges (Pearson and Hunter, 2007).

Our experiments on cyst-like and tubular structures show clear hallmarks of nonequilibrium (Fig. 1) both in vivo and in vitro. These features are not shared by all epithelial tissues. For instance, *Drosophila* wing epithelium at pupal stage shows a nearly perfect hexagonal packing, corresponding to the equilibrium configuration for planar tilings (Classen et al., 2005). Similarly highly ordered, close-to-equilibrium structures are observed in the fovea, lens, and corneal tissues of mammals (Bhat, 2001; Jalbert et al., 2003; Hofer et al., 2005). The achievement of this geometrical order has been related to an increased ability in rearranging bonds between cells (Amonlirdviman et al., 2005; Classen et al., 2005) induced by various mechanisms of active cell remodeling, such as increased trafficking of cell adhesion molecules (Classen et al., 2005) and anisotropic accumulation of myosin II (Rauzi et al., 2008). Cell division slowdown may also be at play in these tissues. Overall, these contributions act to favor barrier crossing and the approach to an equilibrium configuration.

In MDCK cysts it has been reported that ROCK inhibition rescues the orientation of polarity in polarity-disrupted cells (Yu et al., 2008). Here we have shown that the inhibition of ROCK activity gives access to the equilibrium topological distribution. ROCK inhibition is known to relieve cell cortical tension (Matthews et al., 2006), thus lowering the energy barriers that separate topologically different configurations and therefore favoring the approach to equilibrium. We have found that the multilumen phenotype induced by aPKC-PSi treatment cannot be rescued with a ROCK inhibitor, as shown in Fig. S1 P, which suggests that lumen coalescence and that topological rearrangements of cell–cell contacts are unrelated processes.

Another important feature that discriminates equilibrium versus nonequilibrium phenotypes is the presence of single or multiple lumens. Our results indicate that when proliferation rates are high with respect to the characteristic time for lumen coalescence, multiple lumens may accumulate. Conversely, when proliferation rates are low, multiple lumens tend to coalesce into a single lumen. Additionally, when proper alignment of the mitotic spindle is disrupted by perturbing the normal establishment of cell polarity, aberrant multiple cysts are even more frequent.

In this case as well, nonequilibrium plays a determinant role: our results show that if cystogenesis were a pure equilibrium process, mechanical relaxation would ultimately always lead to the single-lumen phenotype independently of cell polarity, as shown in Fig. 6 E.

Our model explains why even in the case of normal cells, a significant fraction of cysts does not show a single lumen: indeed out-of-equilibrium growth can give rise to aberrant phenotypes even in presence of fairly accurate positioning of the spindle.

Cell-cycle signaling pathways are often altered in cancers, and accelerated proliferation represents one of the most typical features of carcinomas. In this context, the observation that control of cell duplication time alone can effectively have an impact on the properties of cyst growth is particularly relevant. Our simulations indicate that faster cell divisions would give rise to aberrant phenotypes even in the case where the geometry of cell division is perfectly controlled. These observations lead us to speculate that cancerous architectural patterns could also emerge due only to faster cell divisions, through a polarity-independent mechanism, thus calling for more detailed experimental investigations.

The present findings raise the following question. Since equilibrium dynamics would, by themselves, ensure a proper cyst geometry without the need for tight control over the mitotic spindle orientation, why does cystogenesis not take place in the...
equilibrium regimen? The answer probably lies in the fact that it is difficult to reconcile the contrasting requirements of fast growth and fast relaxation. Allowing sufficient time for mechanical relaxation to equilibrium inevitably makes the overall endeavor of cyst construction much slower. To make matters worse, the number of local energy barriers to be overcome before reaching equilibrium increases exponentially with the number of cells, with a consequent increase in the relaxation time. Eventually the cyst is trapped into an aberrant configuration. Control of the orientation of cell division circumvents this topological stalemate.

This leads us to speculate that evolution has warranted fast and orderly cyst growth through an accurate control of cleavage plane orientation, thereby remedying to the frustrating constraints imposed by mechanics.

Materials and methods

Experimental procedures

MDCK (subcloned from ATCC-CCL34 as described previously; Lipshutz et al., 2001) were cultured at 37°C, 5% CO₂, in Eagle’s minimal essential medium supplemented with 10% FBS, with the addition of l-Glutamine and antibiotics. To grow cysts, culture slides (Falcon 354108; BD) were precoated with a layer of basement membrane extracts (Matrigel; BD). Cells were seeded with a density of 50–100 cells/mm² and fed every other day in complete medium supplemented with 2% Matrigel. αPKC activity was perturbed by the addition of 50 μM C2eta pseudosubstrate, myristoylated (αPKC-PS; Biosource) in growth medium (Martin-Belmonte et al., 2007). ROCK activity was perturbed by the addition of 20 μM Y27632 (EMD Millipore) to the medium. Aphidicolin was used at 1 μM. Cells were fixed and stained according to the protocol used in Yu et al. (2005). The primary antibodies used were: mouse anti-gp135/PCX (a gift from G. Ojakian, Department of Cell Biology, SUNY Downstate Medical Center, Brooklyn, NY), rabbit anti-Bcatenin (Santa Cruz Biotechnology, Inc.), and rat anti-ZO-1 (R40.76; a gift from B. Stevenson, Nationwide Children’s Hospital, Columbus, Ohio). Alexa fluorophore-conjugated secondary antibodies (Molecular Probes), Hoechst and DAPI (to counterstain nuclei), and Alexa Fluor-conjugated Phalloidin were used. Whole-mount samples were dissected from embryonic day 13.5 (E13.5) C57/Bl6 mice and fixed in 4% paraformaldehyde for 10 min. Samples were washed in phosphate-buffered saline with 0.1% Triton X-100 (PBST), blocked (PBTX + 10% FCS), and then incubated for 48 h in primary, then secondary antibody solutions, washing with PBTX in between. Mouse anti-E-cadherin (No. 610181; BD), and rabbit anti-ZO-1 (No. 40-2300; Life Technologies) primary antibodies were used and detected with Alexa fluorophore-conjugated secondary antibodies. Samples were then imaged on a laser scanning microscope (LSM510 and Leica SP2 equipped with PMT detectors, 40× oil objective lens, 1.25 aperture, Carl Zeiss). The expression vector for MC-GFP was transiently transfected into MDCK using Lipofectamine, according to the manufacturer’s recommended procedure, and imaged after 24 h.

Image analysis

Image segmentation of cysts with formed lumen was performed with the help of ImageJ, SciPy, Mayavi, and MATLAB software. To measure topological distributions in vivo, sections from confocal stacks were semiautomatically analyzed by using the ImageJ plugins “3D Suite” and “VolSurf.” Lumen areas were set to 0.6–1.2 mm². Whole-lumen volumes were calculated by using the “3D Slice Viewer” plugin of ImageJ. The dynamic Potts model is driven by a discretization of the energy as follows:

\[ E = E_m + \sum_{\text{cells}} \left[ C_V(\text{cell}) + C_S(\text{cell-lumen}) \right] \]  

(2)

where \( E_m \) denotes the contact area between two cells (and similarly the contact area with ECM and lumen). The surface tensions \( \alpha, \beta, \gamma \) are hierarchically ordered: \( \gamma > \beta \Rightarrow \alpha \gg 0 \). This ordering implies that cell–cell adhesion is energetically more favored than cell–ECM contact, leading to the formation of a spherical cell aggregate. The tendency to minimize mechanical surface energy is hindered by physical constraints, such as the limited variability of cell volume, and biochemical ones, such as the presence of signaling domains that control the size of the apical regions (Martin-Belmonte et al., 2007; Veglio et al., 2009; Bryant et al., 2010; see also Fig. S1). These two constraints are implemented through additional terms \( C(V) \), where \( V_{\text{cell}} \) is the cell volume, and \( C_S(\text{cell-lumen}) \). These penalization terms have a single minimum at a given target cell volume and target cell-lumen area, respectively. The total energy therefore reads:

When a division occurs, a cell is split in two along a cleavage plane picked randomly in the plane parallel to the luminal surface. For cells lacking proper spindle positioning, the orientation of the cleavage plane is chosen completely (or at different extents) at random in 3D space. The model can be easily extended to the case of shape-dependent cell division (Gibson et al., 2011), without substantially altering the predictions. After each division, the energy is reevaluated according to the new cell configuration. The constraint contribution to the energy has a much shorter relaxation time than the surface energy part, so that during the dynamical evolution, cell volume and apical surfaces are only transiently different from their target values.

Numerical simulations

Cystogenesis was simulated using a dynamic Potts model (Potts, 1952; Wu, 1982; Graner and Glazier, 1992; Glazier and Graner, 1993). On a 3D cubic lattice, each site is occupied by a "color" variable that takes on integer values. To each value there corresponds an individual cell. At each division a new color is added. The exchange energies on neighboring sites provide a lattice approximation of the surface energies of cell–cell, cell–lumen, and cell–ECM contacts described in Eq. 1. Target cell volume and luminal surface are introduced on the basis of experimental observation and enforced by additional terms in the energy. The target cell volume and luminal areas are set to \( V_0 = 1,200 \mu m^3 \) and \( S_0 = 80 \mu m^2 \), according to experimental values (Fig. S1). Simulations always started from a single cell. Duplication times are chosen from a uniform distribution with mean \( \tau \) and coefficient of variation 0.1. To simulate normal cells, the division axis is chosen at random in the plane tangent to the lumen surface, whereas in the aberrant case it is allowed to depart from the tangent plane to various degrees (Martin-Belmonte et al., 2007). We verified that our results do not depend significantly on the precise values of the surface tension parameters in Eq. 1, as long as the hierarchy \( \gamma > \beta \gg \alpha \) is respected. The results are similarly independent of the precise form of the constraints in Eq. 2, as long as they have single minima and a relaxation time much shorter than the typical relaxation time of the surface energy term.

Stochastic algorithm

The dynamic Potts model is driven by a discretization of the energy as assigned by Eqs. 1 and 2. We consider a 3D cubic lattice of \( N = n^3 \) sites, with \( n = 100–300 \) and periodic boundary conditions. We assign to each site \( i \) a variable \( s_i \) whose values indicate if the site is occupied by ECM (\( s_i = 0 \)), lumen (\( s_i = -1 \)), or one of a collection of \( n \) cells (\( s_i = 1, \ldots, n \)). Every color cluster corresponds to a cell. We refer to the \( s_i \) values as "spins" or "colors," following the traditional nomenclature of statistical physics. Discretized surface energies are computed by the formula:

\[ H_m = \sum_{i,j} J_{ii,j} \left[ 1 - \delta_{s_i, s_j} \right] \]

where the sum runs over the edges that connect each site \( i \) to its 26 cubic neighbors. The symmetric tensor \( \delta_{s_i, s_j} \) describes the energy cost of having two neighboring sites occupied by different colors. It takes on different values depending on whether an edge joins two cells, a cell and the ECM, or a cell and the lumen. There is no cost for edges joining two sites with the same color. To reduce the anisotropy induced by the cubic lattice, the interactions are weighted depending on the edge length. The closest, next-closest, and next-to-next-closest neighbors have weights 1, 1/2, and...
where the Lagrange multiplier $\lambda$ satisfies a single requirement: it must be large enough to provide a relaxation time for the constraint contribution much faster than the characteristic time for the relaxation of the surface energy. Target volumes are enforced by the Monte Carlo dynamics by allowing only moves that tend to decrease the distance between the actual cell volume and the target volume $V_k$, as described in Creutz (1983). We start the simulations from a single spherical cell with “color” 1. Spins relax according to a Monte Carlo dynamics with inverse temperature $\beta$ (Landau and Binder, 2000). The precise value of $\beta$ is not relevant as long as $\beta$ is large enough to smear lattice anisotropies associated with the cubic discretization and small enough to allow the formation of stable spin clusters. At each time step, a randomly chosen spin is “flipped” to another color chosen among those taken by its neighbors, corresponding to an elementary extension or retrac- tion of one cell to the expenses of its neighbors. This random move is ac-
cepted if the change in energy $\Delta H$ is negative. It is rejected with probability $1 - e^{-\Delta H}$ if $\Delta H > 0$. When a move is accepted, another spin is flipped, and the procedure is repeated iteratively until the division time, chosen for each cell from a uniform probability distribution with mean $r$ and coefficient of variation 0.1, is reached. Then, the original cell is allowed to grow to the double of the target volume $V_k$ and split in two along a randomly chosen cleavage plane. Two new colors are assigned to the newly formed cells. A
germ of luminal surface is positioned at the interface between the two cells by assigning --1 spins in a localized circle around the center of the cleavage plane. The orientation of the cleavage plane is always randomly chosen, but the plane is constrained to be perpendicular to the cell apical surface for wild-type cells, and is instead completely unconstrained for cells lacking any control on mitotic spindle orientation. To determine the amount of error that is necessary to destroy the monolumen architecture, the immediate
case was also considered, where the positioning of the cleavage plane perpendicular to the apical surface could be affected by an angular error of increasing amplitude.

### Solvable model for cyst radii

The configuration of a cyst of $N$ cells, subject to the constraints that cells have volume $V$ and apical surface $s$ can be calculated analytically in the limiting case of sharp constraints. Indeed, the internal and external radii ($r$ and $R$, respectively) obey: $(4\pi/3)(R^3 - r^3) = NV, 4\pi r^2 = Na$. From these two relations we obtain $r = \sqrt[3]{Na / 4\pi}$ and $R = [(N a / 4\pi)^{1/2} + (3/4\pi) \sqrt{V}]^{1/3}$.

### Image analysis

DAPI- and Phalloidin-stained cysts stacks were acquired by confocal mi-
scopy, with LSM510 (Carl Zeiss) and SPV (Leica) microscopes (Figs. S3, A and D). The boundaries of cells in each slice were drawn semiauto-
matically by looking at the original images. Both nuclei position and actin
staining were used to this aim. Each cell was tracked across the stack by
assigning it a unique color in the stack. All cells in the cysts were then
uniquely identified, as shown in Fig. S3 (B and E). Black traits correspond-
ing to cell boundaries were then fused to neighboring cells by a standard
erosion algorithm. A median filter was applied to remove isolated pixels
and remaining segmentation errors (Figs. S3, C and F). Slices were then
mounted together in a 3D image at the correct spatial resolution (i.e.,
accounting for different resolutions in the x-y plane and in the z direction).
The result was then visualized by a rendering routine written in Python
and MayaVi (Figs. S3, G and H). To extract the outer (or inner) topology,
a 3-pixel-thick shell was extracted and contacts were then computed on
the shell by calculating the neighbor map. Results were then carefully cor-
corrected by eye on the full 3D structure to identify possible errors coming from
the finite spatial resolution. To quantitatively characterize MLC-GFP spatial
distribution, we segmented midsections of digital images of stained cysts
by using DAPI, Phalloidin, and GP135 staining. The three channels were
used to reconstruct a binary mask identifying the section of the cyst. Within
the mask, we identified cells by segmenting nuclei and then applying the
watershed transform into the mask. Two circular layers spanning a quarter of the
thickness of the cyst (one starting from the basal and the other starting
from the apical side) were digitally constructed. The ratio of fluorescence
intensity of the MLC-GFP channel between the basal and apical quarter was
then used as a quantification (Fig. S5).
data from the nonequilibrium distribution. The following custom written routines for 3D stochastic simulations and image visualization are provided in a zip file: potts3d.f is a Fortran code for dynamical simulations of 3D Potts model; image_analysis.py is a Python code for image analysis using SciPy and MayaVi libraries; invivo_topolopy.py is a MATLAB code for the analysis of in vivo data; and palette is the list of colors used by the routines in “image_analysis.py.” Online supplemental material is available at http://www.jcb.org/cgi/content/full/jcb.201305044/DC1.

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