

High-order feature-based mixture models of classification learning predict individual learning curves and enable personalized teaching

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Pattern classification learning tasks are commonly used to explore learning strategies in human subjects. The universal and individual traits of learning such tasks reflect our cognitive abilities and have been of interest both psychophysically and clinically. From a computational perspective, these tasks are hard, because the number of patterns and rules one could consider even in simple cases is exponentially large. Thus, when we learn to classify we must use simplifying assumptions and generalize. Studies of human behavior in probabilistic learning tasks have focused on rules in which pattern cues are independent, and also described individual behavior in terms of simple, single-cue, feature-based models. Here, we conducted psychophysical experiments in which people learned to classify binary sequences according to deterministic rules of different complexity, including high-order, multicue-dependent rules. We show that human performance on such tasks is very diverse, but that a class of reinforcement learning-like models that use a mixture of features captures individual learning behavior surprisingly well. These models reflect the important role of subjects' priors, and their reliance on high-order features even when learning a low-order rule. Further, we show that these models predict future individual answers to a high degree of accuracy. We then use these models to build personally optimized teaching sessions and boost learning.

inference | information | maximum entropy

We regularly learn to classify sensory stimuli into novel categories, often using an impoverished sampling of the stimulus space and the underlying rule: even a “simple” task of classifying patterns of n bits into two categories, requires an implicit mapping of the 2^n possible patterns, which means there are 2^n potential deterministic classification rules. It is clear then that when we learn to classify, we cannot simply explore the space of rules and patterns, but instead must rely on simplifying assumptions.

Analysis of human learning of deterministic classification rules has focused on modeling of the average behavior of subjects (1–3), and explored the effect of rule complexity on the average level of success (4). Learning to classify according to a probabilistic rule is inherently ambiguous, and so studies of such tasks have focused on simpler rules than those used in deterministic classification. For example, the weather prediction (WP) task (5) requires learning probabilistic associations between multiple cues and a label, where each cue carries independent information about the correct label. Analysis of the learning strategy of individual subjects in this task has compared single-cue or single feature-based strategies, and the possibility of switching between such strategies (6, 7). Associative or Bayesian learning models that rely on simple stimulus features were used to describe the diversity of individual learning dynamics that subjects exhibited and compare between subjects (8), and reflected differences between healthy subjects and patients (9, 10). However, these models were mostly evaluated in terms of their ability to describe subjects' performance, rather than cross-validated predictive power. Second, the complexity of the rules studied was limited (i.e., cues carried independent information). Third, these tasks often relied on a strong bias in the presentation

rate of different patterns, which changes the available information for subjects.

To characterize learning behavior of complex rules at the individual level, we used a psychophysical task of classifying binary visual patterns into two abstract classes, with no bias in the set of presented patterns. Our task involved only deterministic rules, but included rules of different complexity, focusing on high-order dependencies between elements in the pattern. We extended ideas of feature-based learning (6, 11–13) to present a reinforcement learning-like family of maximum entropy-based models to describe how individuals learn different high-order classification rules. We found that these models capture individual behavior to a high degree of accuracy, and can also predict individual behavior. We then used such models, which were fitted to individuals during a learning session, to pick the optimal samples to present them to help them learn the rule faster.

Results

To characterize individual classification learning in terms of accurate quantitative models, we presented 41 healthy subjects with a psychophysical task in which they had to classify patterns of black and white squares, into two abstract categories labeled “red” and “blue” (Fig. 1A). The correct label of each pattern was determined by a deterministic rule—a fact that was unknown to the subjects. In each session, patterns of size $n = 4$ or 5 squares were presented one at a time, and the correct label was shown after the subject classified the pattern. To enable quantitative analysis and comparison between subjects, all subjects were presented with the same order of patterns; moreover, each block of 16 examples (for $n = 4$, or 32 patterns in case $n = 5$) contained all possible patterns in a “frozen” random order. Given the huge number of deterministic rules (65,536 for $n = 4$, and 9×10^9 for $n = 5$), we chose six different balanced rules (equal number of red- and blue-labeled patterns), but of different complexity. Denoting the patterns as \vec{x} , where $x_i = \pm 1$, and the label as $y = \pm 1$, the label of each pattern was determined according to single, pairwise, or triple-wise dependencies between the squares in the pattern, or according to “holistic” features of the whole pattern (one-bit, two-bit, three-bit, majority, symmetry, and middle symmetry rules; Fig. 1). Each subject performed four sessions on the same day, with a different rule in each session.

The diversity of learning dynamics among subjects was wide, ranging from no learning, to incremental learning, to abrupt transition to full success. Fig. 1B shows the fraction of correct answers as a function of time (over a running-average window) for 10 different subjects learning the same 1-bit rule ($y = x_3$). Similar

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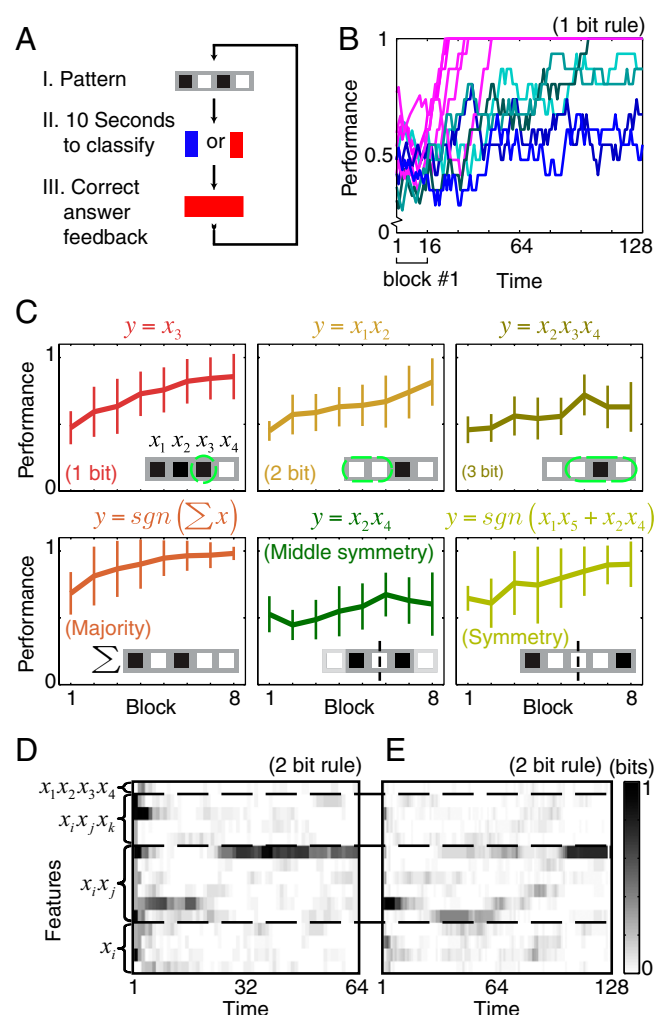


Fig. 1. Pattern classification learning task and diversity of individual performance. (A) Setup of the psychophysical task. In each step of an experimental session, a pattern of black and white squares was shown on a screen, and subjects had 10 s to classify it as blue or red. Then the correct label of the pattern was presented, and a new pattern was presented. (B) Diversity of individual learning curves. The running average performance of 10 subjects classifying patterns according to a one-bit rule, with the same order of patterns. For clarity, we color-coded learning curves of subjects who demonstrated no clear improvement during the 128 patterns (blue), gradual learning (cyan), and abrupt learning (magenta). (C) Average learning curves for different rules used. Each panel shows the average learning curve over $n = 12$ –39 subjects for one rule used, $y = y(\vec{x})$. Performance mean and SD over subjects were estimated in blocks of 16 steps. (D) The mutual information between the subject's choices and different features of the pattern, estimated over running windows of 20 steps. This subject had a clear, single feature-based classification rule, which was switched from one pairwise feature to another. (E) Same as in D, but for a subject that did not have a clear single feature-based strategy.

diversity of learning curves was seen for the other rules we tested. Fig. 1C shows the average learning curve over all subjects for the different rules, which reflect the average difficulty of each rule. The large SDs over subjects result from the individual differences between them; in particular, for each rule there was at least one subject that failed to learn it, and no subject succeeded in learning all rules. The performance for the majority rule demonstrates the strong effect of the prior that subjects had, as 2 of 16 subjects learned the rule without any mistakes, and another two made a single mistake (12). The marked differences between the population learning curves of the different rules reflect that memorization without generalization can be ruled out as the sole mechanism of learning.

In *SI Text, Ruling out Simple Memorization as the Strategy That Subjects Use*, we show that both gradual memorization and pattern specific memorization can also be ruled out as pure strategies.

Intuitively, one might assume that subjects seek distinctive features in the patterns according to which they classify, as has been studied in similar tasks (1, 4, 6). We therefore quantified the relation between a set of different features of the patterns, $f_i(\vec{x})$, and subjects' answers. Fig. 1D and E show the mutual information between subjects' choices and pattern features, $I(f_i; \text{answers})$ as a function of time for different one-, two-, three-, and four-bit features (this set is a complete basis from which one could linearly construct any other feature). In a few cases, subjects indeed relied on single features (Fig. 1D), but in most cases, they were using a different strategy, which could be described as mixing of features, or a very fast switching between features (Fig. 1E).

We therefore used a mixture model of these features, which are a basis that can span any rule, to explore individual behavior and characterize the effect of subjects' priors on learning (Fig. 2A). Using Bayes' rule we can represent any probabilistic classifier of pattern x into label y , in terms of "internal models" that a subject has for each category c (the probability that pattern x belongs to category c) as a weighted mixture of features of x ,

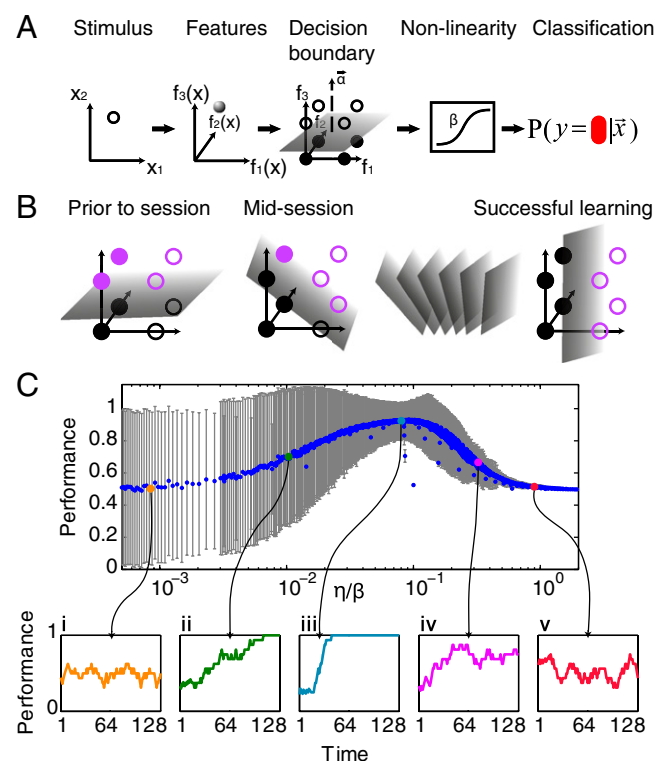


Fig. 2. Mixture model description and theoretical analysis. (A) Graphic representation of the mixture of features-based model. The classifier is a hyperplane in the space of features, f , of the pattern x . The features mixture coefficients, \vec{a} , define the decision boundary plane in the features space. We extract a global scaling parameter, β , which serves as the model's confidence. The logit form of Eq. 2 results from Bayes' rule. (B) Graphic representation of learning dynamics. At the start of the experimental session, subjects' decisions can be explained in terms of a hyperplane in the high dimensional space of pattern features. During the learning process, this hyperplane is shifted and rotated in the features space, getting it closer to the correct rule. (C) Model performance as a function of η/β . The mean model performance (blue dots) and SD (gray bars) for a one-bit rule are computed using a random set of initial conditions for each value of η/β . (i–v) Typical model learning curves.

Discussion

We have shown that in a pattern classification task, human performance can be described to a high degree of accuracy by a probabilistic model that dynamically adapts the weight subjects give to different features of the patterns. These models rely on a prior and two static parameters, and were accurate enough to enable personally tailored teaching, by picking for individual subjects the best patterns to show them to help them learn.

Our results reflect the important role of the prior that a subject has in such learning tasks (12), and that these priors already rely on high-order correlations in the patterns that the subjects classify. This finding suggests that subjects' history and experience are instrumental in shaping their learning.

We note that it is possible that more-detailed models, in particular using adaptive learning rates (η) or subject's certainty (β), could result in better fit for the subjects' answers and predictive power of the model. However, we submit that the power of the current approach is in its relative simplicity.

The mathematical nature of our models suggests that learning may be seen as a dynamic weighting of "experts" [†] by combining, linearly, prototypes of the stimulus or exemplar representation of the stimulus space (2, 20, 21) (*SI Text, Mixture Model as a Simple Case of Prototype or Exemplar Representation*). Because the classification rule could rely on an arbitrary feature of the patterns—and, in particular, some of the rules we studied were nonlinear functions of simple features of the patterns (in contrast to refs. 5 and 22)—our model included in the mixture a set of features that allowed describing any rule, i.e., a spanning set of features. This features set could correspond to neural correlates of decision-making (23, 24), probabilistic inference (13, 25), and learning and strategy shifts (26, 27) that were observed in single-unit recordings in primate and mammalian cortex. Seeking neural correlates of the model presented here would be of particular interest in light of the characterization of the role of memory systems involved in WP (28, 29) and other learning and decision-making tasks (30, 31), and theoretical models of incremental learning through spike timing-dependent plasticity (32, 33).

Methods

Subjects. A total of 78 healthy adults (34 male) between the ages of 22 and 42 performed four learning sessions, taking short breaks between sessions, for a total duration of ~1 h. The experimental setup was explained to all subjects before the first session, and they went through one brief training session. The detailed nature of the complexity of classification rules was not discussed with the subjects. Subjects signed a formal participation agreement according to Helsinki protocol TLV-0287-09 approved by the Weizmann Institute's institutional review board. Subjects were rewarded for participation, regardless of their performance. *SI Text, Instructions to Subjects in the Pattern Classification Task*, contains the instructions that were given to the subjects.

Experimental Setup. Stimulus presentation, feedback, and recoding of responses was done using MatLab (MathWorks) and Psychtoolbox (34) on a standard desktop computer (Intel-based operating system: Windows XP, 4 GB RAM). Subjects indicated their choices using a standard computer mouse.

Behavior analysis. Subjects' answers were binary and so their performance was measured via (i) learning curves, using a moving average window of 16 steps to smooth the stepwise performance, and (ii) block averages, averaging the performances in consecutive blocks of 16 steps.

The mutual information between the subject's choice, r , and the value of a parity feature, $f_j(\vec{x}) = \prod_{i \in J} x_i$, is estimated from the empirical estimation of the rates, $P(r, f_j)$, as

$$I(r; f_j) = \sum_{r=\pm 1} \sum_{f_j=\pm 1} P(r, f_j) \cdot \log_2 \left(\frac{P(r, f_j)}{P(r)P(f_j)} \right). \quad [4]$$

Modeling. The model's learning dynamics is given by a gradient ascent step (Eq. 3) as described in the text, followed by renormalizing $|\vec{a}| = 1$.

For a given set of model coefficients, Θ , including a prior, \vec{a}^{-1} , \vec{a}^1 at $t = 0$ ($\gamma_t = 0 = 0$), a certainty, β , and a learning rate, η , the learning algorithm produces a set of decision probabilities, $P(y_t = 1 | \vec{x}_t; \Theta)$, for the patterns sequence $\{\vec{x}_t\}_{t=1}^{128}$. We then use these for model-fitting and prediction of future answers.

Model-fitting. We treat the subject's sequence of answers, $\{r_t\}_{t=1}^{128}$, as a realization of the binomial independent random variables, $p_t \sim \text{Binom}(1, P(1 | \vec{x}_t; \Theta))$, and fit each session of each subject with a model that maximizes the log-likelihood:

$$L(\Theta) = \sum_{t=1}^{128} \log P(p_t = r_t | \vec{x}_t). \quad [5]$$

Because this function is not concave, we heuristically looked for the optimal point, Θ^* , by maximizing the likelihood through a combination of a genetic algorithm and simulated annealing.

Prediction of subjects' future behavior based on their individually fitted model.

For prediction we fit the model based only on the first 64 answers in a session. We then estimated the agreements between the model and the subject as the fraction of subject answers that match the model's most likely answers, $a = \langle r_t - \text{sgn}(P(1 | \vec{x}_t; \Theta) - \frac{1}{2}) \rangle_{t \in T}$. When estimated, the expected agreement if the subject's answers were indeed a realization of the model's decision probabilities, $\mu = \langle \max(P(1 | \vec{x}_t; \Theta), 1 - P(1 | \vec{x}_t; \Theta)) \rangle_{t \in T}$, and its SD,

$\sigma = \frac{1}{\sqrt{T}} \left[\sum_{t \in T} P(1 | \vec{x}_t; \Theta) \cdot (1 - P(1 | \vec{x}_t; \Theta)) \right]^{1/2}$; we used these to estimate the Z-score, $z = \frac{a - \mu}{\sigma}$, as a measurement of difference between the subject and the model.

Personalized Teaching. Subjects had to learn a two-bit rule (with $n = 4$). All of the subjects were presented with the same patterns, except for the fourth block, in which they were shown a sequence of patterns that was optimized for them individually. To fit the model online, we prepared a set of 80 million candidate models, covering a large region of parameter space, for which we stored the decision probabilities for learning steps 1–48 and the most helpful sequence for steps 49–64. The most helpful sequences were found using a *Glauber* dynamics search (35) that aimed to bring the models to the closest point to the target rule. While subjects performed steps 1–48, their answers were transmitted, using transmission control protocol/internet protocol, to another computer that calculated the best fit among the 80 million models and transmitted back the patterns sequence for the fourth block. The entire transmission duration was always less than the waiting time between samples (1 s), and so did not affect the session progress.

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